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DIRECTORATE-GENERAL VIII  
DEVELOPMENT  
Management of instruments  
**Decentralized cooperation and cofinancing with NGOs**  
VIII/B/2

April 1997

**DIGEST OF  
COMMUNITY RESOURCES AVAILABLE  
FOR FINANCING THE ACTIVITIES OF NGOs  
AND OTHER GOVERNMENTAL AND/OR DECENTRALIZED BODIES  
REPRESENTING CIVIL SOCIETY IN THE FIELDS OF DEVELOPMENT  
COOPERATION AND HUMANITARIAN AID**

**VIII/207/97 EN**

This digest is drawn up by Unit VIII/B/2 on the basis of information obtained from the officials responsible for the budget heading concerned in Directorates-General IA, IB, V, VIII and XXII and ECHO.

It can be obtained via the **Internet** from the following address:

**<http://europa.eu.int/en/comm/dg08/dgviii.htm>**

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## **USERS' SUGGESTIONS OR COMMENTS**

Dear readers,

We are anxious to improve future editions of this digest and welcome any contribution you have to make concerning either the presentation or the contents.

Thank you for your cooperation.

**Errors** (give the page number and line(s) and the correction to be made):

**Suggestions/comments concerning the layout:**

**Suggestions/comments concerning the contents:**

**Comments on any difficulties encountered in obtaining information about, or access to, funding under the budget headings listed here:**

**Brief evaluation of the digest:**

*(please detach and send to the address overleaf)*

**Mr. Orlando PALEO LABAEN**  
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**G01 - 1/33**  
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**B-1049 BRUSSELS**

**FAX: 32-2-299 28 47**

## **INTRODUCTION**

This digest is designed as a tool for development NGOs and other decentralized bodies that want to find possible sources of Commission funding for development and humanitarian projects carried out by them.

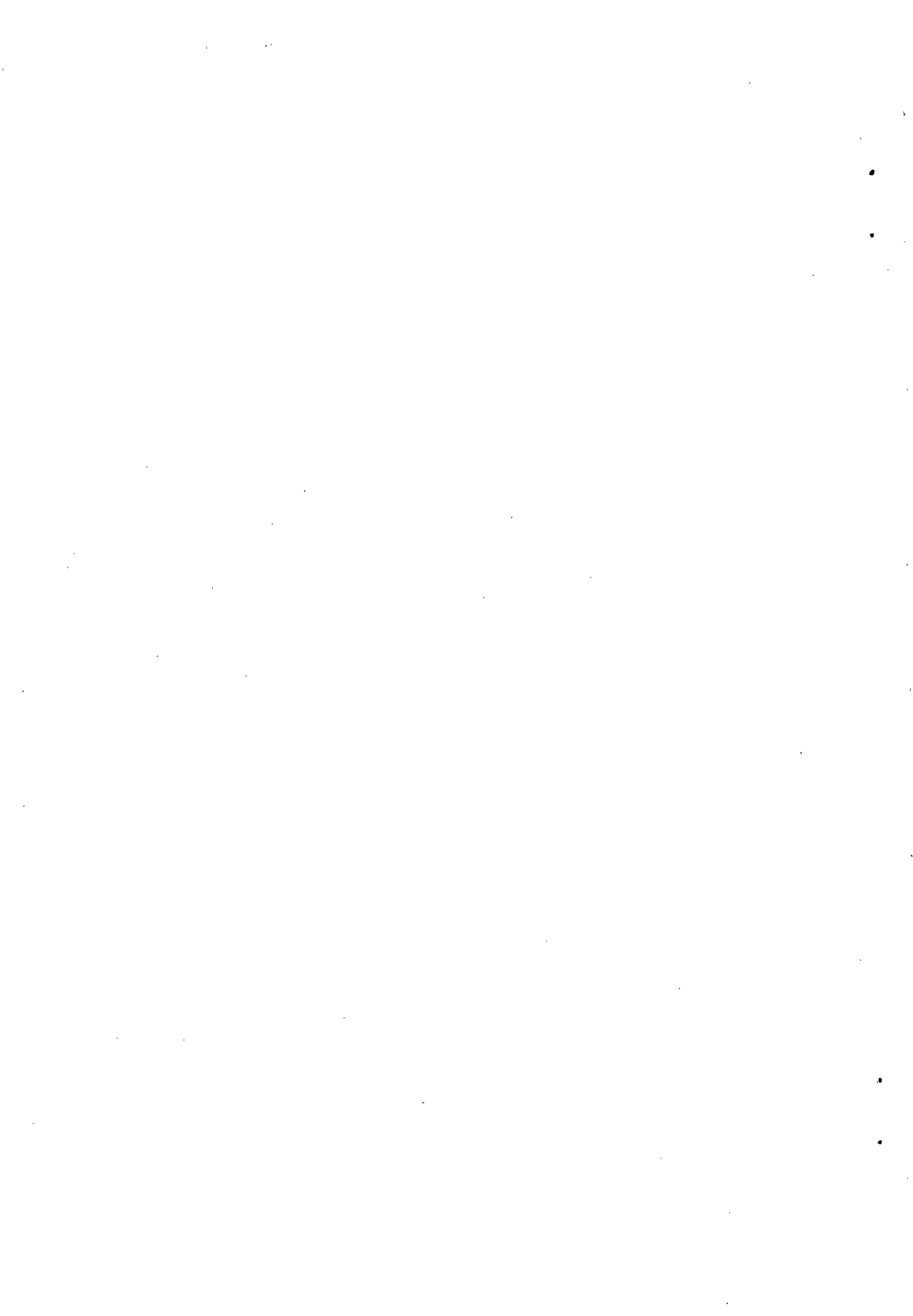
It is also for use by officials and other Commission employees in various departments who may have to direct those seeking information to other administrative units or to the officials responsible for the relevant budget heading.

This is why the decentralized cooperation/NGO cofinancing unit (VIII/B/2) of the Commission's Directorate-General for Development decided in 1989 to publish an annual digest.

It has been drawn up on the basis of information received from the Commission units concerned in close consultation with the officials responsible for each budget heading.

The basic aim of this digest is to give an overview of each relevant budget heading and point potential partners in the right direction; they will then be able to get more detailed information from the unit concerned should their project fall within the terms of reference.

In the interests of readability and comprehensibility we have tried to keep this digest simple, informative and practical. Please bear in mind that the information set out here is not exhaustive and that further details may have to be sought from the officials of the unit concerned.



This digest has two chapters corresponding to the different categories of budget heading:

**Chapter I** Community resources reserved exclusively for financing projects put forward by NGOs of the European Union (pp 2-9)

**Chapter II** Other Community resources to which NGOs and other decentralized and/or governmental bodies from the European Union or non-member countries may have access (pp 10-100)

The main sources are:

1. the current year's appropriations entered in the Commission's operating budget;
2. the resources allocated to the European Development Fund (EDF) under the Lomé Convention.

Published for the first time in April 1989, this digest is updated annually. Most changes concern budget appropriations but some may concern the EDF, the criteria applicable or the department responsible.

Partial updates and details of any new sources of financing available should be supplied by the heads of unit concerned as they become available. Suggestions and comments from users will be gratefully received.

## **PRACTICAL ADVICE TO READERS**

### **\* TELEPHONE INQUIRIES**

The code for Brussels is "2".

The code for Belgium is "32".

If you encounter difficulties with the telephone numbers here, you may call the switchboard on "32-2-299 11 11".

### **\* MAIL**

All mail can be sent to the main address of the Commission of the European Communities:

rue de la Loi 200

B-1049 Brussels

### **\* FURTHER INFORMATION**

For further information on any budget heading listed here, apply to the second of the contacts listed (where there are two), who is usually responsible for the practical administration of the budget heading in question.

It may also be useful to contact the NGO-EC Liaison Committee at:

Square Ambiorix 10

B-1040 Brussels

Tel. 32-2-743 87 60 / 743 87 87

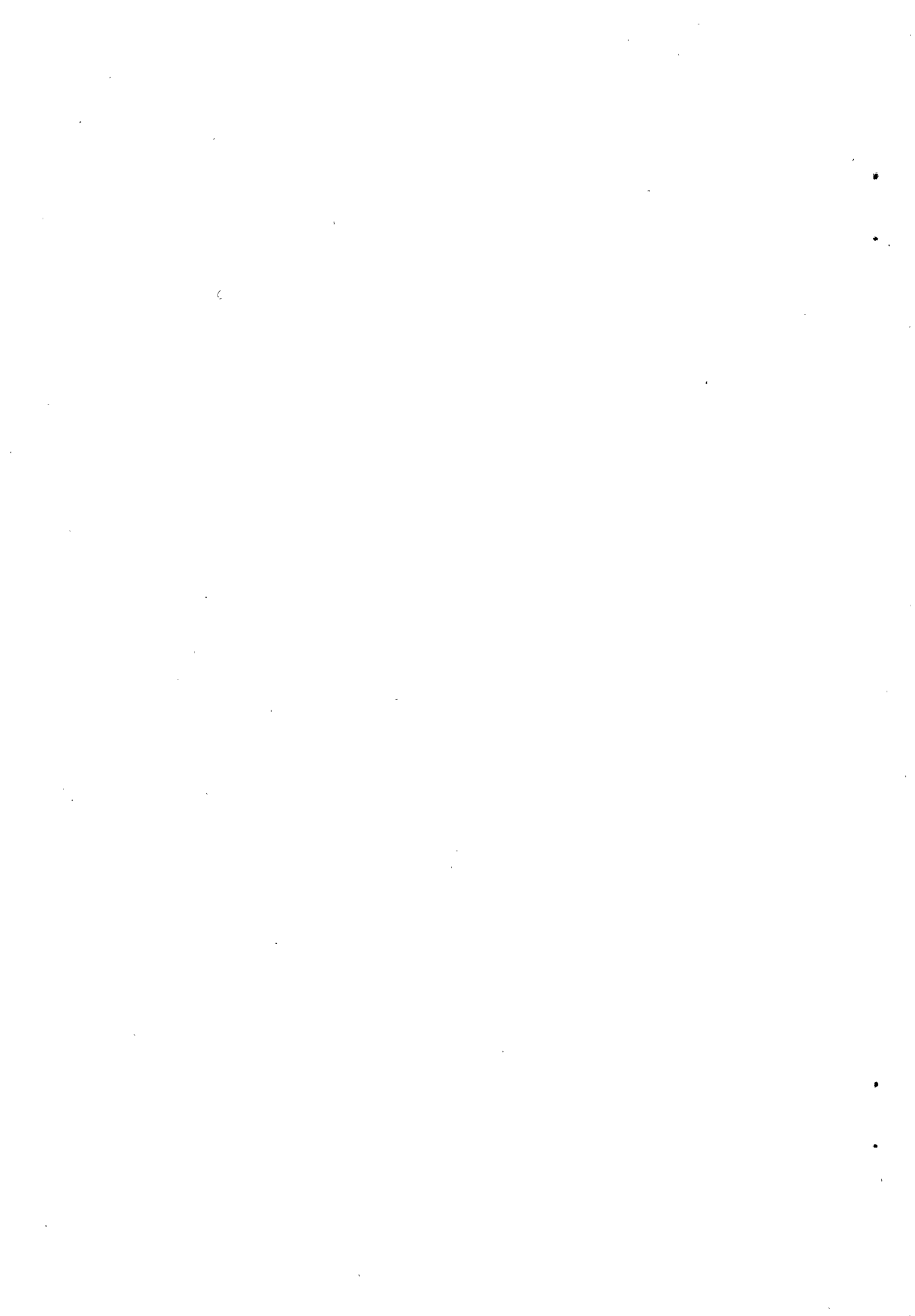
Fax 32-2-732 19 34

E-Mail: [clong @ gn.apc.org](mailto:clong@gn.apc.org)



\* **MAIN ABBREVIATIONS USED**

<b>ACP</b>	<b>African, Caribbean and Pacific</b>
<b>ALA</b>	<b>Asian and Latin American countries</b>
<b>CEC</b>	<b>Commission of the European Communities</b>
<b>EC</b>	<b>European Community</b>
<b>ECHO</b>	<b>European Community Humanitarian Office</b>
<b>ECU</b>	<b>European Currency Unit (ECU 1 = approx. US\$ 1.20)</b>
<b>EDF</b>	<b>European Development Fund</b>
<b>EIB</b>	<b>European Investment Bank</b>
<b>EU</b>	<b>European Union</b>
<b>Lomé IV</b>	<b>Fourth ACP-EC Convention</b>
<b>MNC</b>	<b>Mediterranean non-member countries</b>
<b>NGDO</b>	<b>Non-governmental development organization</b>
<b>NGO</b>	<b>Non-governmental organization</b>
<b>OCT</b>	<b>Overseas countries and territories</b>
<b>OJ</b>	<b>Official Journal</b>
<b>PHARE</b>	<b>Action plan for coordinated aid to Poland and Hungary</b>
<b>PLO</b>	<b>Palestine Liberation Organization</b>
<b>SADC</b>	<b>Southern African Development Community</b>
<b>SME</b>	<b>Small and medium-sized enterprises</b>
<b>STD</b>	<b>Sexually transmissible diseases</b>
<b>WID</b>	<b>Women in Development</b>



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**CHAPTER I**

**COMMUNITY RESOURCES RESERVED EXCLUSIVELY FOR FINANCING  
PROJECTS PUT FORWARD BY NGDOS OF THE EUROPEAN UNION**

**Budget Item A-3040**

**Support for international non-governmental youth organizations**

**Description**

**Context**

Item A-3040 of the general budget of the European Communities provides support for international non-governmental youth organizations operating within a European framework. This type of support has been encouraged by the European Parliament, as set out in a 1991 resolution.

The subsidy is designed to cover the costs of the organization's expenses and the implementation of activities within a European framework.

**Objective**

These funds are essentially aimed at promoting the development of international non-governmental youth organizations, catering also for the organization, by the latter, of activities of interest to the Community and involving young people and/or contributing to their welfare.

Funds are mainly be allocated towards the organizations' operating costs, thus contributing towards the smooth running of the above-mentioned activities.

**Financing criteria for the current year**

**Selection criteria**

The Commission will carry out the selection and will decide the various sums to be awarded on the basis of the following set of criteria:

- the quality of the programme of European activities involving young people or groups leaders responsible for youth-related activities and who are directly organized by the tendering organization or on its behalf (number and nature of activities, number of participants, publications, languages used etc.) and having an interest in further developing the network of its activities;
- the European dimension and the knock-on effect, in other words the number of European countries in which the organization is represented (given that the organization adheres to the eligibility criteria, requiring a presence in at least 6 countries of the European Union), the number of young active members in these countries and the probable impact of the programme of activities on the groups in question;

- the effective financial requirements of the organization;
- the capacity to demonstrate the financial feasibility of the annual programme of activities by means of the realistic, reasonable and balanced budget;
- the activities' report and the financial breakdown for 1996;
- the budgetary resources available to the Commission.

As a general rule, all of the above criteria will be taken into consideration both for the selection of tenders and the decision in relation to the amount of funding.

#### Legal basis

- Item A-3040 of the Community budget;
- Parliament resolution of 14 June 1991 on Community policies and their impact on youth (OJ No C 183, 15.7.1991).

#### Financing available

ECU 1 300 000.

#### Eligibility/Initiative

##### **Eligibility criteria**

Proposals will be considered only if they are submitted by organizations which:

- have a proper legal standing at the time of submission of the proposal;
- are non-governmental;
- are non-profit making;
- are principally for the benefit of young people; organizations which are not exclusively concerned with young people but whose programme of activities contains youth activities may be taken into consideration, provided the subsidy is aimed at sustaining and developing youth activities;
- have active member organizations in at least 6 countries of the European Union;
- organize (or effectively contribute towards the organization of), activities of interest to the Community and benefiting young people

Should a submission be received by both a European organization and an organization with a wider geographic coverage, having the same representation of European Union members (or a majority therefrom) and similar aims, priority will be given to the European structure. The organizations in question may decide to introduce a single application.

#### Type of financing and procedures

##### **Financial conditions**

Funding is granted on a strictly annual basis and confers no entitlement for subsequent years. This notice concerns the allocation of funds for the 1997 calendar year only.

The maximum grant will be ECU 35 000 for the year 1997.

The grant should not exceed 50% of the general annual overheads of the organization for 1997 (see 'Eligible expenditure' below). Exceptions may be granted for organizations whose general overheads are considerably lower owing to services provided in kind (voluntary work, no rental costs,...) and which also intend to use part of the funds for specific activities. Whatever the case, the grant will not automatically be calculated as a fixed percentage of the general overheads.

Requests for funding must include an estimate of the organization's general overheads for the 1997 calendar year, based on the effective general overheads incurred in 1996 and on the infrastructure required for implementing the 1997 programme of activities. The sum total of the estimated overheads will be equal to the total funding allocated for that purpose.

Should the effective costs be lower than initially estimated, the Commission will reduce its grant by the balance. It is therefore in the tenderer's interest to submit a realistic estimate of expenses.

Given that such funding should not generate a profit, the Commission will keep track of all income enabling the organization to cover its general overheads and activities in 1997. At the beginning of 1998 tenderers will have to submit a statement of the general overheads incurred along with the corresponding revenue; they will also have to present their overall accounts, showing total income and expenditure.



### **Eligible expenditure**

The following general overheads incurred in 1997 may be taken into account, provided they are absolutely necessary for the sound operation of the organization and the smooth running of normal activities set out in the programme:

- personnel costs;
- rental costs and related charges;
- cost of equipment;
- telecommunications and postal charges;
- cost of office supplies;
- cost of publications and information;
- cost of meetings.

### **Non-eligible expenditure**

Expenses incurred by a third party and not reimbursed by the recipient organization will not be eligible, nor will expenses in kind which do not give rise to any financial movements, costs of purchasing material and equipment (unless they concern the annual depreciation of equipment purchased), expenses not related to the implementation of the organization's normal activities, non-necessary or excessive expenditure.

### **Presentation of the application for funding**

The application for funding must be made on the form designed for that purpose, which may be obtained from the following address:

M.A. Tsolakis,  
European Commission,  
DG XXII/C/2,  
200, rue de la Loi/Wetstraat (B-7, 7/24),  
B-1049 Bruxelles/Brussel,  
Fax: (32-2) 299.41.58.

The form can also be found at the following Internet address:  
<http://europa.eu/int/en/comm/dg22/youth/ingyofr.html>.

**Procedure for the submission and appraisal of applications**

Only applications submitted on the form prescribed will be considered. That form must be mailed, duly completed and signed, to the above address before 28 February 1997 (the postmark serving as proof). Incomplete or unsigned forms, those sent by fax, Internet, electronic mail or handed in to our offices will not be taken into consideration.

Organizations to which the Commission awards a grant will be sent an agreement specifying figures in ECUs, the terms and the level of funding. It must be signed and returned to the Commission without delay. Organizations whose requests are not accepted will be notified in writing.

**Department responsible**

DG XXII-C-2 "Action in the field of youth, including "Youth for Europe III"  
European Commission  
rue de la Loi 200  
B-1049 Brussels.

**For the attention of:**

Mr A. Tsolakis

Tel.: 295 99 81

Fax: 299 41 58

**Budget Item B7-6000**

**Community contribution towards schemes concerning developing countries carried out by non-governmental organizations**

**Description**

- (a) Development operations carried out by NGOs of the EU to help the poorest sections of the population in developing countries.

Development operations in South Africa are now eligible.

Six budget headings have been deleted and incorporated into the overall heading for NGO cofinancing (B7-6000), the general conditions of which now apply (see Doc. VIII/764/87 EN): the headings concerned are those concerning Cuba, Vietnam, Cambodia, Kurds, Tibetan refugees and the people of the Western Sahara. During a transition period projects relating to the six headings subsumed into B7-6000 and mother-and-child health may be cofinanced to 75%, provided the NGO furnishes the requisite proof.

Projects dealing in particular with population issues should be presented under Article B7-631 "Aid for population policies and programmes in the developing countries" (see p. 65), which is managed by DG IB-D-4. Mother-and-child health projects have been brought under Item B7-6000.

- (b) Campaigns cofinanced with the NGOs to promote public awareness in the EU of development issues and to promote solidarity between people in Europe and people in developing countries.

**Financing criteria for the current year**

See above

**Legal basis**

- (a) Item B7-6000 of the Community budget.
- (b) General Conditions for the cofinancing of projects undertaken in the developing countries by non-governmental organizations (NGOs) (Doc. VIII/764/87 EN).
- (c) General Conditions for the cofinancing of projects to raise public awareness of development issues carried out by non-governmental organizations (NGOs) in the European Community (Document VIII/271/87-EN).

Financing available

ECU 160 million. A reserve of ECU 36 million in commitments has been approved and may be used under certain conditions.

Eligibility/Initiative

Any NGO of the EU fulfilling the conditions set out in the General Conditions referred to above.

However, the Commission cannot grant requests made directly by organizations or associations in developing countries or non-member countries. Such bodies should first attract the interest of an NGDO of the EU to make cofinancing applications to the Commission.

Type of financing and procedures

Cofinancing shared between the applicant NGO, the European Commission and, where appropriate, other donors, under the conditions set out in the General Conditions for cofinancing.

Applications must be presented in accordance with the General Conditions, available on request from the department concerned.

Department responsible

Unit VIII/B/2  
(Decentralized cooperation and cofinancing with NGOs)  
Directorate-General for Development  
European Commission  
Building: G--1 Astrid 1/18  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr B. Ryelandt (Head of Unit)	Tel:	29-99861
Mr A. Brusasco (Operations in developing countries)	Tel:	29-92974
	Fax:	29-92847

Further information is available from:

The Secretariat of the NGO Liaison Committee  
10 Square Ambiorix (Metro: Schuman)  
B-1040 Brussels

Tel: 32/2/736.40 87

Fax: 32/2/732.19 34

Telex: 62751 CLONG B

E-Mail: [clong @ gn.apc.org](mailto:clong@gn.apc.org)

**CHAPTER II**

**COMMUNITY RESOURCES TO WHICH NGDOs AND OTHER  
DECENTRALIZED AND/OR GOVERNMENTAL BODIES FROM THE  
EUROPEAN UNION OR NON-MEMBER COUNTRIES HAVE AT LEAST  
PARTIAL ACCESS**

## **Lomé IV - Articles 252 and 253**

### **EDF microprojects**

#### **Description**

As a practical response to the development needs of local communities, the European Development Fund (EDF) participates in the financing of microprojects at the ACP States' request.

Programmes for microprojects cover small projects which are likely to have an economic and social impact on the life of the people and the local communities in the ACP States. These projects are carried out in both rural and urban areas. In order to be eligible for EU financing, microprojects must:

- have a social and economic impact on the lives of local people;
- meet a real priority need expressed and observed at local level;
- be undertaken with the active participation of the local community.

#### **Financing criteria for the current year**

See above.

#### **Legal basis**

Articles 252 and 253 of the fourth Lomé Convention.

#### **Eligibility/Initiative**

Any operations for which EU aid is requested must be based on an initiative from the local community which is to receive the aid.

As is the rule for all operations provided for in the Lomé Convention, the EDF contributes to the financing of microprojects at the request of the ACP States concerned.

#### **Type of financing and procedures**

Microprojects are normally financed by:

- the local community concerned, in the form of a contribution in kind, or by supplying services or cash, depending on the resources available;

- the EDF, whose share may not exceed three-quarters of the total cost of each project, the maximum contribution being ECU 300 000. This ceiling does not apply to technical assistance, which, where applicable, may be planned either at programme level or at the level of individual projects;
- the ACP State concerned may also participate by making a financial contribution or by making public equipment available or providing services;
- each multiannual microprojects programme is approved in Brussels by the Directorate-General for Development. Within the framework of a programme which has already been approved, the local Commission delegate is empowered to sign agreements directly with the local authorities on each project to be financed.

Department responsible

NGOs interested in participating either directly or indirectly (for example through their local counterparts) in microproject programmes should contact:

- the local Commission delegation;
- the relevant DG VIII departments in Brussels:

For the attention of:

Unit: VIII/D/1	(Sahel)	Mrs Piergrossi	Tel: 29-93230
VIII/D/2	(Coastal West Africa)	Mr L. Lopez Blanco	Tel: 29-93243
VIII/D/4	(Central Africa)	Mr H. Carle	Tel: 29-93213
VIII/E/1	(Horn of Africa)	Mr B. Papadopoulos	Tel: 29-93276
VIII/E/2	(East Africa)	Mr A. Pilegaard	Tel: 29-93275
VIII/E/3	(Southern Africa)	Mr F. da Camara	Tel: 29-69291
VIII/F/1	(Northern Caribbean)	Mr M. Meloni	Tel: 29-93281
VIII/F/2	(Southern Caribbean)	Mr P. Darmuzey	Tel: 29-69321
VIII/F/3	(Pacific)	Mr E. Kröner	Tel: 29-93005
VIII/F/4	(Indian Ocean)	Mr L. Huby	Tel: 29-93285

European Commission  
 Directorate-General for Development  
 Building: G-12 "Evere Green"  
 rue de la Loi 200  
 B-1049 Brussels



### **Article 255 Lomé IV**

#### **Aid to refugees, returnees, and displaced persons**

##### **Description**

This aid may be granted to ACP States taking in refugees, returnees or displaced persons to meet urgent needs not covered by emergency aid and to implement longer-term projects and action programmes aimed at self-sufficiency and the integration or reintegration of such people.

This type of aid is provided to the people of the ACP countries for operations to help refugees and returnees who have crossed an international frontier (some, though not necessarily all of whom, may be classed as refugees by the Office of the United Nations High Commissioner for Refugees) following a natural or man-made disaster, or to help displaced persons inside their own country of origin who have been driven from their homes by fighting or natural disasters.

(Refugees, returnees and displaced persons are hereinafter referred to as "refugees").

There are two possible types of operation (see Article 255(1) and (2)): operations to meet urgent needs not covered by emergency aid, and, in the longer term, "projects and action programmes aimed at self-sufficiency and ... integration or reintegration".

Operations to meet urgent needs must be replaced as soon as possible by longer-term operations. The former must be of limited duration, while the latter may last as long as most conventional projects.

Whenever possible, preference will be given to long-term operations, and, where urgent needs are concerned, to operations designed to lead to long-term solutions to the problems of the refugees concerned.

The general stress laid on self-sufficiency means that refugees must be directly involved in all operations carried out on their behalf.

It is also important that refugees should not be given priority over the local community. Whenever possible, both refugees and the local community should be involved in the planning, execution and benefits of operations (even certain operations to meet urgent needs, such as the supply of medicines), and local resources should be used as far as possible. Special attention should be given to the vulnerable groups concerned.

Aid to refugees, returnees and especially displaced persons should be combined with funds from other sources (indicative programmes, counterpart funds).

Special care must be taken in respect of the voluntary nature of population movements, particularly where displaced persons are concerned.

Financing criteria for the current year

See above

Legal basis

Article 255 of the fourth Lomé Convention.

Financing available

ECU 100 million, for the duration of the fourth Lomé Convention.

Eligibility/Initiative

Proposals may come from ACP Governments, specialized organizations (Office of the UN High Commissioner for Refugees, International Committee of the Red Cross, NGOs, Commission, etc.) or from other organizations or donors.

Each proposal must be formally approved by the National Authorizing Officer of the ACP State where the operation is to be carried out (generally, the Minister of Finance or of Planning). This formal approval can be obtained after the proposal has been put to the Commission, but there must be no doubt as to the endorsement of the Government when the proposal is submitted.

Each proposal must specify the following as clearly and fully as possible: the population group for which the aid is intended (numbers, characteristics, situation); the operation's objectives; the funds needed to execute the operation. Proposals should be submitted to the Commission delegation in the country concerned.

Type of financing and procedures

While suitable operations proposed by NGOs may be financed under Article 255, in some cases without any financial contribution from the NGOs concerned (except for their own administrative expenditure), these NGOs - which must be established in a Member State or an ACP State - must have adequate experience in aiding refugees and must already be operating in the recipient country. In certain cases (for instance where, for one reason or another, the Commission asks NGOs to carry out operations), NGOs' administrative costs that are directly linked with these projects may also be covered.

An application form for finance for aid to refugees, returnees or displaced persons under Article 255 is available from the Commission and may be obtained from the department responsible (see below).

Department responsible

Unit VIII/G/4  
(Regional cooperation, rehabilitation, microprojects)  
Directorate-General for Development  
European Commission  
Building: G-12 "Evere Green"  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr K.J. Ehbets      Tel: 29-92624

Mr J. L. Houdart      Tel: 29-92802

**Budget Item A-3041**

**Support for international non-governmental organizations working for the establishment of an international criminal jurisdiction**

**Description**

Support for international non-governmental organizations working for the establishment of an international criminal jurisdiction.

**Financing criteria for the current year**

Operations should complement the Commission's own activities in the field.

**Legal basis**

Item A-3041 of the Community budget.

**Financing available**

ECU 300 000.

**Eligibility/Initiative**

Any international NGO working for the establishment of an international criminal jurisdiction.

**Type of financing and procedures**

Interested NGOs may obtain further details from:

**Department responsible**

Unit IA/A/2  
(Human rights and democratization)  
European Commission  
Building: MO-34 6/31  
rue de la Loi 200  
B-1049 Brussels

For the attention of:  
Mrs D. Napoli  
Tel: (32.2) 295 55 01

**Budget Item B3-4110**

**Free movement of workers and measures to benefit migrants, including immigrants from outside the Union**

**Description**

This item is intended *inter alia* to provide financial aid for democratically run bodies and associations representing migrant workers, including those from outside the Union, or operating for their benefit (reception, advisory and information services, conferences and debates on subjects connected with immigration promotion schemes favouring integration and participation of migrant workers in the various levels of social and administrative life in the host countries and promotion of measures in favour of integration).

Projects should be of an innovative nature, offering adding value and visibility at Community level. The Commission will give priority to projects with a knock-on effect, transnational projects and the creation of networks.

**Legal basis**

- Articles 48, 49, 51 and 118 of the Treaty.
- Item B3-4110 of the Community budget.

**Financing available**

ECU 10 115 000, about ECU 5.7 million of it for direct grants.

**Type of financing and procedures**

Requests for application forms for grants or for information on financing procedures should be sent in writing or by fax to the department responsible (see below):

**Department responsible**

Division V/D/4  
(Migration policy and promotion of free movement for workers)  
Directorate-General V, Employment, Social Affairs and Education  
European Commission  
rue de la Loi 200 (J37)  
B-1049 Brussels

For the attention of:

Ms A. Bosscher

Tel: 29-51052

Fax: 29-51899

**Budget Item B3-4114**

**Measures to combat racism, xenophobia and anti-semitism**

**Description**

This appropriation is intended to cover the financing of measures to:

- heighten public awareness and disseminate information on racism, xenophobia and anti-semitism in the European Community;
- highlight the threat to the Community's economic and social cohesion posed by discrimination based on race, colour, religion and national or ethnic origin;
- inform the public about the benefits of integration policies, especially in the fields of education, training, housing and employment;
- encourage reflection and discussion on the measures needed to combat racism, xenophobia and anti-semitism in the Community;
- undertake widescale dissemination of information on national and European-level activities, and measures to combat racism;
- promote the principle of solidarity between all members of society;
- enable victims of racism, xenophobia and anti-semitism to participate in the European integration process.

Funding will also be used to cofinance:

- action against collective amnesia;
- the activities of the European Centre for Research into Racism and Anti-semitism (ECU 400 000);
- the preparation of a Europe-wide strategy for training officers of the police and judiciary to counter discrimination;
- the promotion of innovative schemes by NGOs aimed at diminishing racism;
- publication of the monthly "Migration Newsheet" (in English) and "Migrations Europe" (in French) (ECU 50 000);
- disseminating knowledge on ethnic minorities such as the Roma and Sinti.

This heading is also intended to cover the contribution to the Starting Line Group.

Financing criteria for the current year

See above.

Legal basis

- Council Resolution of 23 July 1996 (OJ No C 237, 15.8.1996).
- Item B3-4114 of the budget.

Financing available

ECU 8 million in commitments. A sum of around ECU 1.5 million has been earmarked for projects proposed by NGOs to combat racism and xenophobia.

**Requests for application forms for grants or for information on financing procedures should be sent in writing or by fax to the department responsible (see below):**

Department responsible

Division V/D/4  
(Migration policy and promotion of free movement for workers)  
Directorate-General V, Employment, Social Affairs and Education  
European Commission  
rue de la Loi 200 (J37)  
B-1049 Brussels

For the attention of:

Mrs A. Bosscher:  
Fax: 29-51899

**Budget Article B7-200****Food aid and food security support operations (including early-warning systems and storage programmes)****NOTE TO THE READER**

Food aid provided by the Commission has undergone substantial changes with a view to increasing the concordance between this instrument and the requirements of food security policy in the countries concerned. To this effect the Council of Ministers adopted Regulation (EEC) No 1292/96 on 27 June 1996.

The division of responsibilities between the Food Aid Unit of DG VIII and ECHO restores food aid's development dimension. Projects financed by the Food Aid Unit must therefore be projects relating to development geared towards food security. Projects of a humanitarian/relief nature may be financed by ECHO.

The General Conditions relating to this budget heading are available from the Food Security and Food Aid Unit.

**Description****Food aid and operations in support of food security**

The purpose of Community food aid and support for food security is to:

- to promote food security geared to alleviating poverty, to help the population of developing countries and regions, at household, local, national and regional levels,
- to raise the standard of nutrition of the recipient population and help it obtain a balanced diet,
- to take account of the concern to ensure the supply of drinking water to the population,
- to promote the availability and accessibility of foodstuffs to the public,
- to contribute towards the balanced economic and social development of the recipient countries in the rural and urban environment, by paying special attention to the respective roles of women and men in the household economy and in the social structure; the ultimate objective of Community aid operations shall be to make the recipients into agents of their own development,
- to support the efforts of the recipient countries to improve their own food production at regional, national, local and family level,
- to reduce their dependence on food aid,



- to encourage them to be independent in food, either by increasing production, or by enhancing and increasing purchasing power,
- to contribute to the initiatives to combat poverty with development as an objective.

The Community's aid shall be integrated as thoroughly as possible into the development policies, particularly those on agriculture and agri-foodstuffs, and the food strategies of the countries concerned. Community aid shall back up the recipient country's policies on poverty, nutrition, reproductive health care, environmental protection and rehabilitation, with special attention to the continuity of programmes, particularly in a post-emergency situation. Whether sold or distributed free of charge, aid must not be liable to disrupt the local market.

#### Early-warning systems and storage programmes

Community aid may help finance the following measures:

- early-warning systems and systems for gathering data on trends in harvests, stocks and markets, the food situation of households and the vulnerability of the population with a view to improving understanding of the food situation in the countries concerned,
- operations aimed at improving storage systems with a view to reducing waste or ensuring sufficient storage capacity for emergencies. Such operations may also include the establishment of the infrastructure, in particular bagging, unloading, disinfection, treatment and storage facilities, needed to handle food products in these countries in support of food-aid operations or operations in support of food security,
- preparatory studies and training schemes in connection with the above activities.

#### Financing criteria for the current year

1. NGOs are encouraged to develop projects compatible with the Commission's strategies for the countries concerned. Contacts in the field between NGOs and the Commission's technical assistance teams are very important.
2. The new food aid and food security policy offers scope for financing "traditional" food aid operations and projects aimed at enhancing food security. The Commission would like to see NGOs make full use of this new flexibility.

#### Legal basis

- Council Regulation (EEC) No 1292/96 of 27 June 1996 on food-aid policy and food-aid management and special operations in support of food security.
- Article B7-200/201 of the Community budget.

Financing available

Commitment appropriations for 1997 amount to about ECU 530 million

Eligibility/Initiative

The countries and organizations eligible for Community funding for food aid and operations in support of food security are listed below:

**1. Countries**- *LLDCs (least developed and landlocked countries)*

Afghanistan, Bangladesh, Benin, Bhutan, Botswana, Burkina Faso, Burundi, Cambodia, Cap Verde, Central African Republic, Chad, Comoros, Djibouti, Ethiopia, Equatorial Guinea, Gambia, Guinea, Guinea-Bissau, Haiti, Kiribati, Laos, Lesotho, Liberia, Madagascar, Malawi, Maldives, Mali, Mauritania, Mozambique, Myanmar, Nepal, Niger, Rwanda, Uganda, Western Samoa, Sao Tomé and Príncipe, Sierra Leone, Solomons, Somalia, Sudan, Tanzania, Togo, Tuvalu, Vanuatu, Yemen, Zaire, Zambia

- *Other low-income countries (per capita GNP <USD 675 in 1992)*

China, Egypt, Eritrea, Ghana, Guyana, Honduras, India, Indonesia, Kenya, Nicaragua, Nigeria, Pakistan, Sri Lanka, Tajikistan, Timor, Vietnam, Zimbabwe

- *Lower-middle-income countries (per capita GNP of USD 676 > < 2696 in 1992)*

Albania, Algeria, Angola, Anguilla, Armenia, Azerbaijan, South Africa, Belize, Bolivia, Cameroon, Chile, Colombia, Congo, Costa Rica, Côte d'Ivoire, Cuba, Dominica, Dominican Republic, Ecuador, El Salvador, Federated States of Micronesia, Fiji, Former Yugoslav Republics, Georgia, Grenada, Guatemala, Iran, Iraq, Jamaica, Jordan, Kazakhstan, Kyrgyzstan, Lebanon, Macao, Marshall Islands, Moldova, Mongolia, Morocco, Namibia, Niue, North Korea, Occupied Territories (Gaza Strip and the West Bank), Panama, Papua-New Guinea, Paraguay, Peru, Philippines, Senegal, St. Helena, St. Vincent and the Grenadines, Swaziland, Syria, Thailand, Tokelau, Tonga, Tunisia, Turkmenistan, Turks and Caicos Islands, Turkey, Uzbekistan, Wallis and Futuna Islands

**2. Organizations**

WFP, ICRC, FIRC, UNHCR, UNRWA, FAO, UNICEF

### **3. Non-governmental organizations**

NGOs wishing to obtain a given quantity of food aid for development operations or funding for operations in support of food security may apply to the Commission's Delegations, sending a copy to the department responsible.

NGOs directly or indirectly eligible for Community financing for implementing operations covered by this Regulation must:

- (a) be set up as independent non-profit-making organizations in a Community Member State according to the legislation in force there;
- (b) have their headquarters in a Community Member State, in the recipient countries or, exceptionally, in the case of international NGOs, in a non-member country. These headquarters must constitute the effective centre of all decisions on the operations cofinanced;
- (c) show that they can successfully carry out food aid operations, in particular by:
  - their administrative and financial management capacity;
  - their technical and logistic ability to carry out the planned operation;
  - the results of operations carried out by the NGO in question, particularly with Community or Member State financing;
  - their experience in the food aid and food security sphere;
  - their presence in the recipient country and their knowledge of it or the developing countries.
- (d) undertake to comply with the conditions laid down by the Commission for the allocation of food aid.

#### **Type of financing and procedures**

Operations financed under Article B7-200 are usually financed in full, including the purchase price and transport costs to a warehouse designated by the NGO. In certain cases, even the expenses incurred by the NGO in preparation, monitoring and supervision may be partially covered.

The forms for proposing the financing of food aid operations to the Commission are available from the department responsible, the NGO Liaison Committee or from EURONAIID and may be used for drafting proposals.

Department responsible

Unit VIII/B/1 (Food security and food aid)  
Directorate-General for Development  
European Commission  
Building: G--1 Astrid, 2/130  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr A Deben    Tel: 29-93224  
                  Fax: 29-93073

**Budget Article B7-202****Community participation in storage programmes and early warning systems initiated by NGOs****NOTE TO THE READER**

Food aid provided by the Commission is currently undergoing substantial changes with a view to increasing the concordance between this instrument and the requirements of food security policy in the countries concerned. A new regulation to this effect is to be discussed by the Council of Ministers and Parliament during 1996.

The division of responsibilities between the Food Aid Unit of DG VIII and ECHO determines food aid's development purposes. Projects financed by the Food Aid Unit must relate to development geared towards food security, while projects of a humanitarian nature may be financed by ECHO.

The Commission is finalizing new General Conditions and forms to use for presenting projects financed from the budget managed by the Food Aid Unit. These will be available from March 1996.

**Description**

In certain cases, grants can be given for storage programmes or early warning systems implemented by NGOs.

Aid for early warning systems is intended to provide better information on the food situation at decentralized level, so as to improve food aid planning and execution, e.g. by better targeting of the most needy sections of the population or more accurate forecasting of harvests. As a general rule, early warning systems should be gradually integrated into the statistical apparatus of the countries concerned. The training of local staff is highly important.

Aid for storage programmes should primarily prevent the deterioration of food stocks and also improve distribution channels and hence market availability.

**Financing criteria for the current year**

As a rule, operations should be small-scale and concentrate on bottlenecks in the storage system, such as:

- construction of small-scale storage installations;
- supply of prefabricated warehouses;
- renovation of warehouses;

- supply of inputs for chemical and biological treatment to conserve foodstuffs.
- marketing aid for food products.

Legal basis

- Council Regulation (EEC) No 2507/88 of 4 August 1988.
- Article B-202 of the budget.

Financing available

The amount available from the 1997 budget for operations of this kind will be determined on the basis of applications submitted by NGOs taking into account the priorities decided for the programming of food aid this year.

Eligibility/Initiative

NGOs directly or indirectly eligible for Community financing for implementing operations covered by this Regulation must:

- (a) be set up as independent non-profit-making organizations in a Community Member State according to the legislation in force there;
- (b) have their headquarters in a Community Member State, in the recipient countries or, exceptionally, in the case of international NGOs, in a non-member country. These headquarters must constitute the effective centre of all decisions on the operations cofinanced;
- (c) show that they can successfully carry out food aid operations, in particular by:
  - their administrative and financial management capacity;
  - their technical and logistic ability to carry out the planned operation;
  - the results of operations carried out by the NGO in question, particularly with Community or Member State financing;
  - their experience in the food aid and food security sphere;
  - their presence in the recipient country and their knowledge of it or the developing countries.
- (d) undertake to comply with the conditions laid down by the Commission for the allocation.

Type of financing and procedures

Operations should normally cost between ECU 50 000 and ECU 400 000. Costs may be covered by up to 100%.

Department responsible

Unit VIII/B/1 (Food security and food aid)  
Directorate-General for Development  
European Commission  
Building: G--1 Astrid, 2/130  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr A Deben   Tel: 29-93224  
                  Fax: 29-93073

**Budget Article B7-212**

**Aid to uprooted people (refugees, displaced persons and returnees) in Asian and Latin American developing countries**

**Description**

**I. Objectives and recipients**

This budget article was introduced in 1984 on the initiative of the European Parliament to promote the self-sufficiency of refugees, displaced persons and returnees. The measures may also help the host population.

The categories referred to above constitute the sole beneficiaries of Community aid under Article B7-212.

The aim is to assist these population groups in a transitional phase following the actual emergency, often prior to a phase of longer-term development.

The prime concern of the European Community is to assist population groups which have been forced to leave their homes by serious threats to their security or inability to meet their essential needs, regardless of their destination (within the same country or outside its borders). This approach enables the Community to extend aid to population groups which are not covered by international legislation, such as displaced persons.

The European Community's objective under this budget article is primarily to help these population groups to become self-sufficient and, if necessary, to meet all their needs during the following phases:

- 1) settlement of refugees in a host country;
- 2) possible re-settlement of refugees in a third country;
- 3) temporary or permanent settlement of displaced persons in other regions within their own country;
- 4) voluntary repatriation of refugees;
- 5) economic integration in the host country of refugees who, for various reasons, do not wish to return to their country of origin;
- 6) resettlement of repatriated or displaced persons in their place of origin, or settlement in other regions of their choice;
- 7) reintegration into civilian life of ex-combatants and their families.

Article B7-212 is the principal Community aid instrument for measures to help refugees and displaced persons in Asian and Latin American developing countries.



Unlike other Community funding, it is not reserved exclusively for NGOs, but is partially open to them.

The other partners of the Commission are international organizations (particularly UNHCR), technical assistance personnel and, possibly, government bodies (in which case activities will be implemented on the basis of joint European and national management to ensure the autonomy of the project).

## **II. Framework**

This budget heading is thus an instrument of Community policy towards target groups, international agencies and the governments of host countries, whether the country of origin or the country of first asylum.

In what is often a sensitive area, the Commission needs to have the authority to take decisions on the operations undertaken and insists that all the projects which it agrees to finance comply with certain conditions. Any change of strategy or activity must therefore be authorized beforehand by the Commission departments responsible.

Subject to these conditions, the Commission meets up to 100% of the costs incurred in the field.

## **III. Activities**

These are transitional measures implemented after the emergency stage by way of preparation for long-term development. They are also designed to improve the living conditions of the recipients pending a lasting solution to their problem of displacement. Wherever possible, the recipients participate in the activities, which may be multi-sectoral or focused on a specific sector, depending on the expertise and experience of the NGO involved (farming, veterinary medicine, health, education, etc.). They involve, in particular:

- the provision of means of production for crop farming, livestock production, fisheries and crafts;
- the improvement or construction of infrastructure (housing, schools, health posts, and possibly hospitals, warehouses, roads, etc.);
- the development of essential health and sanitation services;
- training appropriate to the needs of the population receiving aid and in keeping with the project activities;
- the technical assistance necessary to implement the activities.

In many cases the Commission asks several NGOs with complementary specializations to work together on a project, coordinated, where necessary, by a specialist consultant.

Community aid may cover all types of expenditure required for the implementation of authorized operations. Local human resources and materials should be used wherever possible.

#### **IV. Duration**

This will vary according to the type of project and the local situation. Taking account of the time needed to carry out infrastructure work, and particularly activities laying the basis for development, projects may last from 2 to 3 years, or longer in some cases.

#### **Financing criteria for the current year**

See above

#### **Legal basis**

- A draft Council Regulation, constituting the legal basis for this budget heading, is currently at an advanced stage of examination by the Council and Parliament (OJ No C 237, 12.9.1995, p.19).
- Article B7-212 of the budget.

#### **Financing available**

ECU 59 350 000.

#### **Eligibility/Initiative**

The initiative will as a rule come from the Commission and international bodies and NGOs already working with these population groups. As a general rule, projects will be implemented by NGOs and international bodies, though in some cases they may be implemented by the Commission, the government of the host country or consultants.

#### **Type of financing and procedures**

##### **I. Presentation and approval of projects**

All applications for funding under Article B7-2120 must contain the following information:

- 1) Definition of the context of the project and description of the current situation.
- 2) Objectives which qualify for aid.
- 3) Resources (people, equipment, etc.) for achieving each objective.
- 4) Costs for each objective.
- 5) Methodology and financial and decision-making structures.

## 6) Socioeconomic grounds.

When preparing the dossier it is also useful to draw up a monthly schedule for the first year (which will in any case be required before the first payment), to clarify the costs and timetable of the activities. Note that no expenditure may be covered retroactively.

Projects submitted to the Commission by an NGO must at all costs avoid duplicating the activities of other NGOs (whether or not they are financed by the Commission), international agencies and governmental institutions. NGOs are advised to coordinate their actions as closely as possible with those of other agencies and the local authorities in order to work coherently together.

Proposals must fulfil clearly identified needs among the recipient population. Once they have been submitted it may take several weeks for the departments of the Commission responsible to examine them, particularly if it is necessary to consult the Commission itself.

If the application is consistent with Community policy, technically sound and compatible with the Commission's overall programme, and if budgetary resources permit, a contract will be drawn up between the European Commission and the NGO, accompanied by a technical annex containing a detailed description of the project. The contract and the technical annex are binding; no alteration may be made without the prior consent of the Commission.

## II Funding and implementation

**Any reference to finance must be expressed in ecus.**

Technical and financial reports are presented to the Commission by the NGO every six months in ecus, with forecasts of expenditure to justify every new request for payment, in accordance with a model that will be supplied when the contract is signed.

The project may be carried out directly by the European NGO which signed the contract or by local NGOs, in which case a contract must be drawn up between the European NGO and the local NGO. In all cases NGOs are asked to tell recipients that the humanitarian aid they are receiving comes from the European Community.

Monitoring of projects is carried out by competent Commission officials based in Brussels and the delegations, assisted by consultants, in the regions where the projects are being carried out. Four refugee project coordinators have been appointed in Pakistan, Thailand, Costa Rica and Mexico.

Department responsible

- (a) For Latin American projects:

Unit IB/B/1 (Mexico, Central America and Cuba)  
Directorate-General for External Relations  
Building: Science 14, office 5/56  
European Commission  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr Carlo Scano

Tel: 32 2 299 26 32 - 299 06 90

Fax: 32 3 299 10 32 - 299 02 04

- (b) For Asian projects:

Unit IB/C/4 (Asia technical unit)  
Directorate-General for External Relations  
Building: Science 14, office 3/40  
European Commission  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mrs S. Matt-Gerard

Tel.: 32 3 299 07 63

Fax : 32 2 299 10 63 - 299 02 03

## **Humanitarian assistance (ECHO)**

### Description of activities

Under Article 1 of Council Regulation (EC) No 1257 of 20 June 1996 the Community's humanitarian aid comprises assistance, relief and protection operations on a non-discriminatory basis to help people in third countries, particularly the most vulnerable among them, and as a priority those in developing countries, victims of natural disasters, man-made crises, such as wars and outbreaks of fighting, or exceptional situations or circumstances comparable to natural or man-made disasters. It does so for the time needed to meet the humanitarian requirements resulting from these different situations.

Such aid also comprises operations to prepare for risks or prevent disasters or comparable exceptional circumstances.

### Eligibility/Initiative

- a) Under Chapter B7-21 "Humanitarian aid" the Commission decides on the financing of the operation(s) in non-member countries, the methods to be used, and the organization to carry out the operation concerned.
- b) The financing decision for a humanitarian operation is taken by the Commission, acting on a proposal from ECHO. Once the Council Regulation on humanitarian aid is adopted (probably in May 1996) decisions will be taken by the Commission following consultation of the Committee established under Article 17(1) of that Regulation (where its opinion is required).

### Partners

Aid is implemented by NGOs (provided they satisfy the conditions laid down in Article 7 of Regulation (EC) No 1257/96), international organizations and agencies and, in certain circumstances, by specialized national organizations from the Member States or by the Commission itself. The EC delegations in the recipient countries are kept informed.

### Type of financing and procedures

The Commission may finance all or part of such operations.

Non-governmental organizations eligible for Community funding for implementing humanitarian operations must meet a number of criteria (see Article 7 of Regulation (EC) No 1257/96):

- be non-profit-making autonomous organizations in a Member State of the Community under the laws in force in that Member State;
- have their main headquarters in a Member State of the Community or in the third countries in receipt of Community aid. This headquarters must be the effective decision-making centre for all operations financed under this Regulation. Exceptionally, the headquarters may be in a third donor country.

Account is also taken of the following factors:

- its administrative and financial management capacities;
- its technical and logistical capacity in relation to the planned operation;
- its experience in the field of humanitarian aid;
- the results of previous operations carried out by the organization concerned, and in particular those financed by the Community;
- its readiness to take part, if need be, in the coordination system set up for a humanitarian operation;
- its ability and readiness to work with humanitarian agencies and the basic communities in the third countries concerned;
- its impartiality in the implementation of humanitarian aid;
- where appropriate, its previous experience in the third country involved in the humanitarian operation concerned.

The application for financing should detail the type of disaster, the country or place where it is taking place, the target population, the operations that the organization proposes to carry out and an indication of the date when the operation is scheduled to begin and its estimated lifespan. Where applicable, details of the local partner should be given. The application should be accompanied by an operating budget drawn up on the appropriate forms.

Humanitarian operations are implemented in accordance with the framework partnership contract adopted by the Commission in May 1993.

**Article B7-210      Aid to help the populations of developing countries and others  
hit by disasters or serious crises**

Financing available

ECU 148 million

Legal basis

Article B7-210 of the Community budget.

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

Department responsible: See page 38.

**Article B7-214      Humanitarian aid to the people of central and eastern European  
countries**

Financing available

ECU 98 million.

Legal basis

Article B7-214 of the Community budget

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

Department responsible

See page 38.

**Article B7-215      Humanitarian aid to the people of the independent states of the  
former Soviet Union**

Financing available

ECU 45 million.

Legal basis

Article B7-215 of the Community budget.

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

Department responsible

See page 38.

**Article B7-217      Operations to help refugees, displaced persons and returnees**

Financing available

ECU 17 million.

Legal basis

Article B7-217 of the Community budget.

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

Department responsible

See page 38.

**Article B7-219      Operational support and disaster preparedness**

Financing available

ECU 7 million.

Legal basis

Article B7-219 of the Community budget.

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

Department responsible

See page 38.



### **Article 254 of the Fourth Lomé convention**

#### **Financing available**

ECU 250 million for the duration of the first financial protocol to the Convention. At the end of 1996 the unexpended balance was ECU 7 million. The second financial protocol adopted on 4 November 1995 allocates only ECU 140 million to Article 245 for emergency aid. As a follow-up to the decision taken by the Cannes European Council of 26-27 June 1995, it was agreed that from 1997 ECU 160 million would be allocated to the general budget under budget heading B7-106 "Emergency aid" of Chapter B7-10 "Cooperation with African, Caribbean and Pacific States" to cover the financial years 1997-2001. When drawing up the 1997 budget, the budgetary authority entered a "p.m." against Article B7-106.

#### **Legal basis**

Article 254 of the Fourth Lomé Convention

Council Regulation (EC) No 1257/96 of 20 June 1996 on humanitarian aid.

#### **Department responsible**

See page 38.

### **Article 164 OCT**

#### **Financing available**

ECU 2.5 million. At the end of 1996 the unexpended balance was ECU 290 000. Under the Lomé IV second financial protocol ECU 3 million is earmarked for the period 1997-2001.

#### **Legal basis**

Article 164 of the Council Decision on OCT of 1 November 1991.

Chapter B7-21  
Lomé IV Art.254  
OCT Art. 164

Edition: April 1997

Department responsible

European Community Humanitarian Office (ECHO)  
European Commission  
Building: G--1 "Astrid"  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr A. Navarro, Director

Fax. 32.2/295.68.95

Tel. 32.2/295.45.78

**Budget Article B7-320**

**Cooperation with South Africa (EPRD)**

**Description**

This budget heading is intended to fund financial and technical cooperation with South Africa to support the policies and programme of reforms launched by the South African authorities. The aim of the EPRD is to promote harmonious and sustainable economic and social development and to consolidate the bases on which to build a democratic society and a State where the rule of law prevails and human rights and fundamental freedoms are observed.

In this context the Community must give priority to operations to assist the poorest sections of the South African population. Projects funded from this budget heading may concern one or more of the following:

- support for democratization and upholding of human rights;
- education and training;
- health;
- rural development
- urban development and low-cost housing
- support for and cooperation with the private sector and SMEs in particular;
- strengthening the institutions and the organization of the local communities;
- integration and regional cooperation;
- environmental protection.

**Financing criteria for the current year**

The projects and programmes funded under this budget heading must support the government's strategy, and in particular its growth, employment and redistribution programme.

The European Commission stresses the fact that the government is the Community's partner in development policy discussions and that a multiannual indicative programme for 1997-99 is to be approved this year. The latter will provide guidelines for the programming of cooperation between the EU and South Africa. Projects will be implemented by the government, the private sector and the NGOs. The government accepts that NGOs continue to implement EPRD-funded projects.

The debate between the government and the NGO community is still open and the establishment of a "Transitional National Development Trust" - as a first step towards the future "National Development Agency (NDA) - has provided external donors with a structure through which funds to support NGOs' work may be channelled. The Commission is monitoring development closely and is ready to support NGO projects which are consistent with government policies and have an impact on the sectors referred to above.

Proposals will initially be appraised in South Africa.

Legal basis

Council Regulation (EC) No 2259/96 of 22 November 1996 on development cooperation with South Africa.

Financing available

ECU 127.5 million.

Eligibility/Initiative

National, regional and local authorities, public bodies, NGOs, public or private institutions and operators.

Type of financing and procedures

Partners should contact the departments given below.

Department responsible

- (a) Unit DG VIII/E/4 (Southern Africa)  
Directorate-General for Development  
European Commission  
Building: G-12 "Evere Green"  
rue de la Loi 200 (G-12)  
B-1049 Brussels

For the attention of: Mr J-C. Boidin

Tel. 322 - 29.57196

Fax 322 - 29.69841

- (b) EC Delegation in South Africa  
PO Box 945  
0027 Groenkloof

Pretoria  
South Africa

For the attention of: Mr E. Fouere Tel. 27-12-46 42 19  
Fax 27-12-46 99 23

**Budget Article B7-321**

**Rehabilitation programmes in Southern Africa**

**Description**

This heading is intended for rehabilitation and reconstruction schemes in developing countries, with priority being accorded to Southern Africa's LLDCs, particularly Angola and Mozambique, which have suffered serious damage through war, civil disorder or natural disaster. These operations, of limited duration (about 24 months) are aimed at helping re-establish a working economy and institutional capacities and meet the needs of the people affected as a whole. Such operations must take over from humanitarian aid and pave the way for the resumption of medium-term and long-term development aid.

**Financing criteria for the current year**

The priorities for operations, which depend on the existence of a minimal level of security and a real commitment to a transition process respecting democratic values and fundamental freedoms, are the relaunch of production on a lasting basis, the physical and operational rehabilitation of basic infrastructure (and in particular health and education facilities), including mine clearance, social reintegration, in particular of refugees, displaced persons and demobilized troops, and the restoration of local institutional capacities.

Appraisal takes into account the following factors: the effectiveness and viability of operations, cultural, social, gender and environmental aspects, the institutional development necessary to achieve project goals, experience gained from operations of the same kind.

To obtain Community funding for a project under Article B7-321, partners must themselves make a financial contribution. This contribution, which would normally amount to about 25% of a project's costs and be used along with the Community funding, is another factor taken into account by the Commission.

The linkage between emergency aid, the rehabilitation aid and long-term development, the consistency with earlier operations and the long-term viability (when external aid comes to an end) of projects in which recurring costs are to be taken over by the beneficiaries are other factors considered by the Commission.

**Legal basis**

Council Regulation (EC) No 2258/96 of 22 November 1996 (OJ No L 306, 28.11.1996)



**Budget Article B7-410**

**MEDA - Measures to accompany the reforms to the economic and social structures in the Mediterranean non-member countries**

**Description**

These funds are intended to support social and economic reforms aimed at modernizing industry, tackle unemployment, provide vocational training, support population programmes, support anti-drug programmes, develop cooperation at university level in the Mediterranean region and provide support for democracy and the protection of human rights in the countries of the Mediterranean basin.

**Financing criteria for the current year**

Emphasis will be placed on:

- measures to accompany the reform of economic structures and risk capital (with the aim of promoting the efficiency and growth of SME and creating jobs);
- decentralized cooperation, mainly under the Med Urbs, Med Campus and Med Media programmes;
- regional cooperation (Middle East peace process and initiatives in the framework of Euro-Arab cooperation) and to a lesser extent microprojects;
- environmental improvements, using interest-rate subsidies on EIB loans.

**Legal basis**

Council Regulation (EEC) No 1488/96 (OJ No 1 189, 30.7.1996)

**Financing available**

ECU 836.7 million. (In 1995 ECU 30 million was committed for decentralized cooperation under the Med programme.)

**Eligibility/Initiative**

Invitations to tender will be published in the *Official Journal of the European Communities*.

**Type of financing and procedures**

Under discussion.



Departments responsible

Programming and economic cooperation Unit (DG IB/A.1)  
Directorate-General for External Relations  
European Commission  
Building: Science 14  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr M. Pierini      Tel. 29-60852

**Budget Article B7-420**

**Community operations connected with the Israel/PLO peace agreement**

**Description**

This is intended to cover priority projects and operations in respect of infrastructure, production, urban and rural development, education, health, the environment, services, foreign trade, support for the establishment of the institutions necessary for the smooth functioning of public services and the fostering of democracy and human rights.

The Community provides aid in the form of grants to investment projects, feasibility studies, technical assistance and training initiatives.

**Financing criteria for the current year**

Funding will be granted for projects reflecting the priorities laid down in the Commission's 1996-98 programme for the West Bank and Gaza Strip, namely education, infrastructure and private sector development.

**Legal basis**

- Article B7-420 of the Community budget.
- Council Regulation (EC) No 1734 of 11.7.1994 (OJ No. L 182, 16.7.94)

**Financing available**

ECU 50 million.

**Eligibility/initiative**

Community funding under Article B7-420 is normally granted for investment projects backed by the Palestinian Authority. Funding may exceptionally be granted to NGOs. Palestinian and European NGOs may submit project proposals for consideration by the Commission under this budget heading. The Commission will decide whether to fund the projects proposed.

**Type of financing and procedures**

Funding under Article B7-420 will normally cover 100% of a project's total cost. In the exceptional cases in which an NGO obtains funding for a project, cofinancing arrangements will apply. The Commission will decide whether to fund a given proposal

Department responsible

Mashreq and Israel unit (DG IB/A-2)

Directorate-General for External Relations: Southern Mediterranean, Middle East, Latin America, South and South-East Asia and North-South Cooperation (DG IB)

European Commission

Building: Science 14

rue de la Loi 200

B-1049 Brussels

For the attention of: Mr T. Dupla del Moral  
Mr G. Evans

Tel. 29-92313/90184

Tel. 29-90808/91065

Fax. 29-90204

**Budget Article B7-610**

**Training and promotion of awareness of development issues**

**Description**

Activities to promote greater understanding by the European public of matters related to the development of Third World countries and measures undertaken as part of the cooperation policies pursued by the Community and its Member States.

**Financing criteria for the current year**

1. activities organized by youth organizations and targeted on young people;
2. the cofinancing of audiovisual productions.

In selecting audiovisual productions, DG VIII/5 will be guided by the Member States' cooperation priorities, the topical relevance of the project and the choice of subject matter to which European public opinion is particularly sensitive (i.e. women in development, democracy, culture, fair trade, etc.). Special attention will be accorded to productions dealing with racism and xenophobia.

3. production of teaching aids adapted to the needs of developing countries

The teaching aids proposed must address the developing countries' priorities, serving to link economic growth to human welfare.

Training aids must display a concern for effectiveness geared *inter alia* to access to income, employment, health, a healthy environment and a better quality of life, the reinforcement of administrative and organizational capacities and food self-sufficiency.

The objectives of the aids proposed must be consistent with the broad thrust of Community policy; in particular they must not generate discrimination between men and women.

Studies and research programmes are not eligible.

The aids proposed must lend themselves to a participatory and decentralized approach to education and training (an approach with a lasting impact on development, the key to economic and social growth).

**Legal basis**

Article B7-610 of the Community budget.

Financing available

ECU 4 millions, ECU 2 million of it earmarked for teaching materials (B7-6100 "Training").

Eligibility/Initiative

1. Youth projects: as a general rule, priority will be given to youth organizations active in the field of development education.
2. Audiovisual projects: normally reserved for private or public producers from any Community Member State, whatever the nationality of the director. These productions are designed to be shown on television.

Development NGO projects (including audiovisual projects) are cofinanced under the development education programmes run by Unit B-2 of the Directorate-General for Development. They are therefore not primarily financed under this budget heading (see p. 7).

3. Production of teaching materials

These resources are intended to help finance the creation or improvement by European training institutes of specialized teaching materials for use in developing countries. Grants are also available for training immigrants from developing countries living in Europe but wishing to resettle in their country of origin.

Type of financing and procedures

Up to ECU 50 000 per project.

Application forms may be obtained from:

Departments responsible

**1. and 2. Youth projects and audiovisual productions**

Unit VIII/5  
Directorate-General for Development  
European Commission  
Building: G--1 Astrid 1/335  
rue de la Loi 200  
B-1049 Brussels

For the attention of: Mr D. David Tel.: 29-99852

Mr M. Leysen Tel.: 29-93064/29-93060

**3. Production of teaching materials**

Unit VIII/G/2 (Training)  
Directorate-General for Development  
European Commission  
Building: G-12 "Evere Green" 01/005  
rue de la Loi 200  
B-1049 Brussels

<u>For the attention of:</u>	Mr B. Amat Armengol	Tel.: 29-93211/93212
	Mr P. Bourdeaux	Tel.: 29-92542

**Budget Article B7-611**

**Women in development (ACP and ALA/MED countries)**

**Description**

This budget heading was created to fund strategic activities to integrate women more fully into all Community-financed EDF and ALA/MED projects and programmes. This approach reflects Community policy, which is to recognize women's importance as agents of development and ensure that mainstream development cooperation fully reflects their needs and interests on an equal basis with those of men.

Funds are earmarked for projects to raise consciousness and are not intended to finance operational development projects; it should not be considered as a minor heading covering projects specific to women. Such an approach would risk marginalizing the significance of women in development cooperation.

**Financing criteria for the current year**

This year priority is given to:

- provision of technical assistance by recruiting senior WID (women in development) experts to carry out short missions to analyse ongoing or planned projects in ACP or ALA/MED countries and make recommendations on how women can be better integrated;
- training seminars and workshops on how best to integrate women at each stage of the project cycle for those with decision-making authority on projects;
- research and studies commissioned to see that women's role in development is better taken into account by those with decision-making authority on projects and programmes;
- consciousness-raising and institution-building activities to strengthen WID awareness among partner governments in development cooperation. In the wake of the Fourth World Conference on Women in Beijing held in 1995, support at regional level for follow-up activities in the partner countries in the form a very limited number of projects of particular significance could be considered.

**Legal basis**

- Resolution by the Council and the Member States of 20.12.1995 on integrating gender issues in development cooperation. Council conclusions on Women in Development, 1982-1993.
- ACP: Article 153 of the Lomé Convention
- ALA/MED: Article 5 of Council Regulation (EEC) No 443/92

- Article B7-611 of the Community budget.

Financing available

ECU 2.5 million for the ACP countries and ECU 2.5 million for the ALA/MED countries.

Eligibility/Initiative

The right of initiative here lies with the Commission. Given the strategic importance of the Fourth World Conference on Women (Beijing, 1995), grants may be awarded for a very limited number of follow-up projects of strategic significance at regional level in developing countries.

Type of financing and procedures

Flexible: from cofinancing to whole cost.

Departments responsible

(a) ACP

Unit VIII/A/1 (Sectoral Policies)  
Directorate-General for Development  
European Commission  
Building: G-12 "Evere Green"  
rue de la Loi 200  
B-1049 Brussels

<u>For the attention of:</u>	Mr A. Kastrissianakis	Tel. 29-57380
	Mrs A. Longoni	Tel. 29-66444
	Mrs P. Franciosi	Tel. 29-57927

(b) ALA/MED

Unit IB-D-4 (Horizontal Instruments)  
DG IB - Directorate-General for External Relations:  
Southern Mediterranean, Middle East, Latin America,  
South and East Asia and North-South Cooperation  
Building: Science 14 - 6/51  
rue de la Loi 200  
B-1049 Brussels

<u>For the attention of:</u>	Mr D. Oldekop	Tel. 29-59884
	Ms M. Reid	Tel. 29-63982



**Budget Item B7-6200****Environment in the developing countries**

**Note to the reader:** The details below are provisional and will be revised in the light of the regulation due to be adopted in mid-1997.

**Description**

In the context of protection of the environment and natural resources in the developing countries, the aim is to put into action the principle of sustainable development by helping genuinely integrate the environmental dimension into the development process. The aim is, in particular, to provide financial and technical assistance in developing countries for activities intended to help people in those countries integrate environmental protection and notions of sustainable development into their everyday life. "Sustainable development" is defined as improving the quality of human lives within the limits of the underlying ecosystem's capacity to sustain such development.

**Financing criteria for the current year**

**This involves carrying out seed and/or pilot projects in the field which promote:**

- a) designing and implementing national strategies for sustainable and equitable development, including strategies arising from international conventions;
- b) improving policies and practices for management and conservation of ecosystems, sustainable use of renewable natural resources and for environmentally sensitive use of non-renewable natural resources;
- c) preservation of biodiversity (by conserving the ecosystems and natural habitats needed to maintain the diversity of species and ensure the survival of endangered species and by identifying and evaluating biodiversity resources);
- d) environmental improvements (particularly to the urban environment) by the implementation of plans for the management of waste, waste water and land use;
- e) preserving areas of high environmental impact and/or transregional ecosystems such as marine ecosystems and coastal areas, watersheds, lake and river basins;
- f) use of technologies adapted to the constraints of the environment in the various energy sectors;
- g) improvements to practices in the fields of soil conservation, animal husbandry, forest cover protection and desertification control.
- h) adaptation of production processes in the developing countries and awareness among all economic agents of those environmental constraints likely to have an impact on trade

with developing countries (such as environmental standards, labels, certification systems).

- i) environmental education/ making local people aware of the concept of sustainable development.

**The following initiatives are eligible:**

- pilot projects in the field likely to promote sustainable development, environmental protection and sustainable management of natural resources;
- establishment of guidelines/instruments for promoting sustainable development and the integration of environmental concerns, particularly in the form of plans and programmes;
- analyses of the environmental impact (environmental impact studies) and evaluation of projects, programmes, plans and policies in the developing countries;
- the establishment of national green accounting systems;
- inventory and statistical work;
- the introduction of an environmental component in development cooperation projects.

**Special consideration will be given to:**

- institution-building measures in the developing countries, at both national and regional/local level;
- involvement of local population at the identification, planning and implementation stages;
- regional operations.

It is recommended that training/environmental education operations, studies/statistical work and seminars/conferences in the MED/ALA countries be integrated into a broader programme of projects.

Legal basis

Item B7-6200 of the Community budget.

The Commission has presented to the Council a re-examined proposal for a regulation laying down the objectives of and detailed rules for cooperation projects under this budget item, which is currently under discussion.

Financing available

ECU 15 million as commitment appropriations. Funds are administered by DG IB (MED/ALA countries) and DG VIII (ACP countries) in consultation with DG XI (Environment).

Eligibility/Initiative

**Applications may be presented by:**

- \* NGOs:
  - they must be self-governing, non-profit making organizations or organizations complying with current legislation in a developing country;
  - the majority of their manpower and financial resources must be of Community or developing country origin.

Two or more NGOs may collaborate on the preparation of a dossier. However, the financing application must be submitted by one of them only.

- \* Universities and research centres:
  - these may be public or private and must be established in a Member State of the European Union or in the developing country or countries where the project is to be carried out.
- \* National, regional or local authorities in the developing countries
- \* International organizations
- \* Private operators and industries, including grassroots cooperatives and NGOs.

**Type of financing and procedures**

A guide to financing has been prepared in three languages (FR, EN, ES). It may be obtained from the relevant departments from March 1997.

To be eligible for financing, projects must:

- 1) have clear objectives within the subject areas referred to above (cfr. Financing Criteria);
- 2) be compatible with:
  - the development and environmental policy objectives of the country or countries concerned;
  - the objectives of development cooperation policy of the European Union;
  - the guidelines set for the EU's environmental policy in the context of international cooperation. They must also define the problems to be addressed, the aims, the activities to be carried out, the recipients and the principal parties concerned in the developing countries;
- 3) be presented according to the format and guidelines of the project-cycle management manual (problems to be resolved, objectives of the activities to be carried out, recipients and main agents etc.) and accompanied by a logical framework;



**Budget Item B7-6201****Tropical forests****Description**

This appropriation is intended to cover financing and technical assistance for developing countries' and regional organizations' efforts to promote the conservation and sustainable management of tropical forests with a view to the sustainable development of these countries and regions.

Of particular interest are operations to promote conservation of forests which have a local importance, i.e. protection of catchment areas, prevention of soil erosion, reclamation of degraded areas, and those which affect global phenomena such as climate change and biological diversity.

**Definitions**

- 1) **Tropical forests**: those natural and semi-natural tropical or subtropical forest ecosystems in both dry and humid areas. The areas concerned are those found within the tropics and subtropics between 30°N and 30°S.
- 2) **Conservation**: all actions to preserve and rehabilitate tropical forests, and specifically those actions which are designed to protect or restore the biological diversity of the forest ecosystem in question, including ecological functions, and at the same time secure as far as possible its current and future utility value for mankind and in particular for forest peoples.
- 3) **Sustainable forest management**: the stewardship and use of forest lands in a way, and at a rate, that maintains their biodiversity, productivity, regeneration capacity, vitality and their potential to fulfil, now and in the future, relevant ecological, economic and social functions, at local, national and global levels, and that does not cause damage to other ecosystems.
- 4) **Sustainable development**: the improvement of the quality of life and welfare of the populations concerned within the limits of the capacity of the ecosystems, while maintaining natural assets and biological diversity for the benefit of present and future generations.
- 5) **Forest peoples**: indigenous population groups who inhabit the forest or claim it as their home and any people who live in or near the forest and have traditionally been directly and to a large extent dependent on the forest.

**Financing criteria for the current year**

The priority given to specific operations will be determined according to the needs of each country, as reflected in national and regional development and environmental policies in

relation to forests, and according to Community cooperation priorities. Particular consideration will be given to actions that promote the following:

- a) conservation of primary tropical forests and their biodiversity and regeneration of tropical forests which have been damaged, supported by analysis of the underlying causes of deforestation and taking into account differences between countries and regions and measures to address them;
- b) sustainable management of forests designated for the production of timber and other products, but excluding commercial logging operations in primary tropical forests other than viable small-scale community-based operations which respect the environment and are compatible with appropriate forest management;
- c) the definition of a certification system for timber produced from tropical forests according to sustainable forest management principles which will form part of a broader internationally-harmonized set of certification systems to be introduced for all types of wood and wood product;
- d) information of forest peoples leading to their participation and support in the identification, planning and implementation of operations;
- e) capacity-building with a view to addressing the needs for training schemes for local populations, forest managers and researchers; formulating legislation; increasing political and social support and strengthening organizations and associations active in forest conservation;
- f) an appropriate strategic research policy aimed at supplying the knowledge required for the conservation and sustainable management of forests and implementing research monitoring activities in the framework of projects and programmes;
- g) development of buffer zones to assist the conservation or regeneration of tropical forests, as part of a broader land utilization plan;
- h) development and implementation of forest management plans aimed at conserving tropical forests and promoting sustainable exploitation of timber and other forest products.

Legal basis

- Council Regulation (EC) No 3062/95 on operations to promote tropical forests (OJ L 327 of 30 December 1995).
- Item B7-6201 of the Community budget.

Financing available

ECU 50 million.

Three major regions are covered: Latin America, Africa and Asia. For this reason funds are administered by two Directorate-Generals, IB (External Relations) and VIII (Development), in consultation with DG XI (Environment).

Eligibility/Initiative

- Commission departments (DG VIII, I and XI) and delegations in developing countries;
- governments of developing countries (ACP, Latin America, Asia, overseas countries and territories);
- international institutions;
- national organizations, decentralized services, regional bodies;
- public bodies, local or traditional communities;
- private business or industry, including cooperatives and NGOs which include conservation of tropical forests as one of their stated aims and regular activities.

Type of financing and procedures

Projects eligible for funding under item B7-6201 may exceptionally be financed in their entirety, although cofinancing with Member States or multilateral, regional or other organizations is to be sought through greater coordination. Systematic efforts should be made to seek contributions, particularly in financial form, from partners (countries, local communities, undertakings, individual recipients) as far as their means permit and according to the nature of each operation.

Funding under this budget item will be in the form of grants.

Departments responsible

(a) for the ACP States:

Unit VIII/A/1 (Sustainable development and natural resources)  
 Directorate-General for Development  
 European Commission  
 Building G-12 "Evere Green"  
 rue de la Loi 200  
 B-1049 Brussels

For the attention of: Mr A. Tincani            Tel. 29-59444            Fax 29-66472

Mrs M. Mahonen            Tel. 29-92514

and

(b) for the ALA developing countries:

Unit IB/D/4 - (Environment and tropical forest)  
Directorate-General for External Relations: Southern Mediterranean,  
Middle East, Latin America, South and South-East Asia and North-South Cooperation  
European Commission  
Building: Science 14  
rue de la Loi 200  
B-1049 Brussels

For the attention of: Mr D. Oldekop      Tel. 29-59845

Mr A. De Villepin      Tel. 29-90708

Fax 29-90914



**Budget Item B7-6210****North-South cooperation schemes in the context of the campaign against drug abuse****Description**

This appropriation is intended to cover a Community contribution to the United Nations Fund for Drug Abuse Control, partly to finance its activities in the developing countries, and partly to finance direct action benefiting those countries such as the prevention and reduction of drug abuse and illicit production of drugs, and the control of drug trafficking, the diversion of precursors and money laundering in those countries.

Such action may include the supply of equipment and technical assistance required.

It also covers the support of the anti-drugs programme in the Andean States of Colombia, Peru, Bolivia and Ecuador.

It constitutes part of the funds for implementing the Community's policy on combating drug abuse. Under this heading it contributes to operations falling within this policy together with appropriations entered under Articles B3-440 and B3-441.

A maximum amount of ECU 1 000 000 may cover expenditure on studies, meetings of experts, conferences and congresses, information and publications directly linked to the achievement of the objectives of the action of which they form an integral part, excluding expenditure concerning the management of these actions or general administration (see Commission communication SEC(92) 769 final of 22 April 1992). That amount may be increased only under the procedures provided for in Article 15 or 26 of the Financial Regulation.

**Financing criteria for the current year**

Activities under the Programme in the developing countries aimed at: the prevention and reduction of drug abuse and illicit production of drugs; treatment of addicts; control of trafficking in drugs, psychotropic substances and precursors and money laundering.

**Cooperation may be extended to the following areas:****1. Assistance to help countries evaluate their own drug problems and prepare an integrated response**

This basic activity should be conducted in close collaboration with the UNIDCP or under the direction of the UNEDCP.

**2. Measures to combat drug abuse**

- Epidemiological studies and research projects on the determinants of frequency, distribution and the causes of drug addiction in the partner countries.

- Prevention of drug addiction by information, awareness-raising and education campaigns and social events.
- Measures for the treatment, rehabilitation and social reintegration of drug addicts.

### **3. Reduction of illicit drug production**

- Identification of appropriate national and regional strategies to prevent and reduce illicit production and stop centres of drug production from moving to other areas.
- Development of the rural areas concerned by establishing new agricultural and industrial activities, diversifying and extending sources of revenue, strengthening health, education, physical, social and administrative infrastructures, improving marketing arrangements for substitute crops/products.
- Economic, social and ecological rehabilitation of the areas where production has been eradicated by force.

- 4. Training and equipping staff specializing in enforcement and control aspects:** information, communication, exchanges of know-how and techniques, bilateral and interregional multidisciplinary seminars. These activities should be seen in the wider context of the recommendations of the Chemical Action Task Force's (CATF) Financial Action Task Force (FATF). The Commission is a full member of these groups and therefore supports their recommendations, including their "globalization".

### **Instruments of cooperation at the Community's disposal**

- Budget item B7-6210 may finance operations to combat drug abuse in the partner countries of the South.
- Operations to reduce production generally take the form of multiannual projects designed to introduce new productive activities or multi-purpose integrated development projects. If such projects cannot be covered by item B7-6210 because of the extent of the financing required, they may be financed from item B7-3 (financial and technical cooperation) where the countries of Asia and Latin America are concerned, or from the European Development Fund for the ACP countries (Lomé Convention), provided that they correspond to the recipient country's development requirements. However, item B7-6210 may be used to finance preliminary studies for identifying such projects.

### **Geographical coverage**

All the developing countries in Asia, Latin America and the ACP countries are eligible for the programme of international cooperation to combat drug abuse (item B7-6210). However, the fourth Lomé Convention offers the opportunity to finance operations to combat drug abuse under:

- Art. 154: cooperation in the health sector can apply to programmes to combat narcotics abuse;

- Art. 159: regional cooperation can include aid to the ACP States to encourage them to combat drug trafficking at regional and interregional level.

Preference will be given to the latter instrument to meet any requests from the governments of the ACP States for aid of this nature.

#### Legal basis

- Council Decision of 26 January 1987 on Community participation in the preparatory work and in the International Conference on Drug Abuse and Illicit Trafficking. This decision contains the guidelines for the Programme.
- Item B7-6210 of the Community budget and commentary;
- Proposal for a Council (EC) Regulation (presented on 30 June 1995) (OJ C 242, 19.9.1995, p. 8)

#### Financing available

ECU 8.9 million

#### Eligibility/Initiative

Proposals may be submitted by:

- governments of Mediterranean, Asian and Latin American developing countries and regional cooperation organizations set up by them that work in the field of drug abuse control (Turkey is not eligible for funding under this item in the 1997 budget);
- NGOs from the EU or recipient countries (including ACP States);
- international organizations active in this field and the UNIDCP in particular.

#### Type of financing and procedures

Community aid is available in the following forms:

- either 100% of the total cost of operations proposed by governments or regional cooperation organizations in the developing countries, or by specialized international organizations;
- or cofinancing of projects proposed by NGOs, private sector or semi-public bodies subject to the following conditions:
  - \* the Community will cofinance up to 75% of the total cost of operations for European NGOs and 85% for local NGOs (according to the urgency of the recipient country's needs);
  - \* applications must offer clear and detailed substantiation of the proposed operation (aims, strategy, means, detailed budget, etc.);

- \* financing provided by the Commission cannot be used for permanent operational expenditure;
- \* the partner must accept the financial rules applicable to the EU budget.

Department responsible

Unit IB-D-2  
 Directorate-General for External Relations IB  
 European Commission  
 rue de la Loi 200, Sc 14 - 5/78  
 B-1049 Brussels

For the attention of:

Mr. F. Bataller      Tel. 29-90673      Fax. 29-65079

<u>Geographical units</u>		<u>Tel.</u>	<u>Fax:</u>	<u>Region</u>
IB-B-4	Ms I. Löhner	29-56215	29-91080	S. America
VIII-A-4	Ms V. Wand-Danielson	29-65760	29-92907	ACP
IB-C-4	Ms M. Loddo	29-91072	29-90872	Asia
IB-A-3	Ms L. Baeza	29-61339	29-66610	Mediterranean

**Budget Item B7-6211 /EDF programme**

**Health programmes and the fight against HIV/AIDS in developing countries and/or  
EDF HIV/AIDS programme**

**Description**

This appropriation is intended to cover preventive and other measures in developing countries to stop the spread of HIV (human immuno-deficiency virus/AIDS), and other measures to limit the impact of the epidemic on the population:

It covers, in particular, the costs of:

- primary health care involving relating to STDs/HIV/AIDS-related activities;
- awareness and information campaigns;
- schemes to combat the transmission of the virus sexually, via the blood or perinatally;
- relevant research,
- counselling and psychosocial support for AIDS sufferers and their social environment;
- measures enhancing the Union's ability to mobilize technical resources to help the developing countries;
- grants for organizations and associations working in the AIDS/health sector and for local, regional and national health authorities;
- training and information conducive to the attainment of the above goals.

No more than 10% of this appropriation is to be spent on studies, meetings of experts, conferences and congresses, information and publications directly linked to the achievement of the objectives of the action of which they form an integral part, excluding expenditure concerning the management of these actions or general administration (see Commission communication SEC(92) 769 final of 22 April 1992).

Coordination is by a unit specially set up under the responsibility of the Commission Secretary-General.

**Financing criteria for the current year**

See above

- Item B7-6211 of the Community budget.
- EU Decision of 22 July 1987 to help ACP countries (Programme to control AIDS).
- Proposal for a Council (EC) Regulation on HIV- and Aids-related activities in the developing countries (presented on 11 July 1995) (OJ C 252, 28.9.1995, p. 4).

#### Financing available

- EU budget: ECU 15 million;
- EDF programme to control AIDS in the developing countries: ECU 20 million in regional funds plus at least ECU 30 million under the national indicative programmes.

#### Eligibility/Initiative

Projects may be presented by:

- Commission departments (DG VIII and I) and delegations in developing countries;
- governments of ACP countries;
- NGOs, etc.

The Commission allocates funding and establishes implementation procedures in consultation with the governments concerned and with the organization responsible for implementing the project.

For the EDF, the applicable procedures are in the Lomé Convention (application from the national authorizing officer for projects to be financed under the national indicative programme).

#### Type of financing and procedures

The Commission may finance:

- studies;
- external technical support;
- technical equipment and vehicles;
- additional building work and refurbishment;
- staff training;
- contributions to operating costs (may include salaries);
- evaluation, etc.

In order to speed up implementation and increase efficiency, efforts are made, wherever possible, to organize interinstitutional cooperation between European bodies (research centres, medical institutes, NGOs, etc.) and their counterparts in developing countries for the implementation of operations (purchases, installation of equipment, training, operation, etc.).

Department responsible

Health and family planning unit - AIDS (VIII-G-1)  
Directorate-General for Development  
European Commission  
Building: G-12 "Evere green"  
rue de la Loi 200  
B-1049 Brussels

<u>For the attention of:</u>	Ms D. Dellicour	Tel. 29-93239
	Mr L. Fransen	Tel. 29-63698

Further information is available from:

AIDS Task Force (Technical assistance unit)  
Bâtiment Léopold  
Rue de Genève 10  
B-1140 Brussels

Tel. 705 43 90  
Fax 705 24 46

**Budget Article B7-631**

**Aid for population policies and programmes in the developing countries and follow-up to the conference on population and development**

**Description**

Action to help the developing countries draw up, implement and evaluate population policies and programmes in line with the recommendations in the action plan of the Cairo summit for population and development.

Activities financed must take account of the article's priority objectives, which are to:

- enable women and men to make a free and informed choice about the number and spacing of their children,
- contribute to the creation of a socio-cultural, economic and educational environment conducive to the full exercise of that choice, especially for women;
- help develop or reform health systems in order to improve the accessibility and quality of reproductive health care, thereby appreciably reducing the risks to the health of women and children.

**Financing criteria for the current year**

Activities in the following areas are eligible for financing:

- support for the establishment, development and increasing of access to reproductive health care services and family-planning services as part of policies implemented by governments, international bodies, NGOs and private operators, particularly targeting groups for whom the issue is especially important, such as adolescents, pregnant women and other groups identified at local level;
- help with the application or financing of policies designed to improve the reproductive health of women and girls;
- the improvement of reproductive health care services, encompassing safer pregnancies, perinatal care, family planning, prevention and treatment of sexually transmitted diseases, including AIDS, in terms of infrastructure, equipment, supplies or training;
- support for information, education and awareness campaigns aimed, in particular, at promoting understanding of the wider social benefits of speeding up the demographic transition;
- family-planning policy and services, including information on safe and reliable contraception methods;



- the development of grassroots structures, the voluntary sector, local NGOs and South-South cooperation for the implementation of programmes, the exchange of experience and support for cooperation networks between partners.

Legal basis

- Article B7-631 of the EU budget
- Council common position of 22 November 1996 on the adoption of a Council (EC) Regulation a Council Regulation (EC) on aid for population policies and programmes in the developing countries (OJ C 60, 6.1.1997).

Financing available

ECU 8 million

Eligibility/Initiative

Proposals may be submitted by:

- NGOs of Community Member States or recipient countries;
- international organizations active in the field concerned;
- government bodies in the recipient countries.

In addition to states and countries, aid recipients and cooperation partners may also include decentralized partners, regional organizations, public agencies, traditional or local communities, private business and industry, including cooperatives, NGOs and local grassroots associations.

Type of financing and procedures

The Community's contribution may cover up to 100% of the total cost, although cofinancing is not excluded. The general conditions governing applications for financing and further details can be obtained from the department responsible.

Department responsible

"Horizontal instruments" unit (DG IB-D-4)  
Directorate-General for External Relations (DG IB)  
European Commission  
Building: Science 14  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mr D. Oldekop                      Tel: 29-59845  
Mr M. Daniels                      Tel: 29-53811

**Budget Article B7-641****Rehabilitation and reconstruction measures for the developing countries****Description**

This Article is intended for rehabilitation and reconstruction operations in African, Caribbean and Pacific developing countries, the Mediterranean countries, the countries of Latin America and Asia and the developing countries of the Caucasus and Central Asia which have suffered serious damage through war, civil disorder or natural disaster.

**Financing criteria for the current year**

These are not humanitarian operations: they are intended to help re-establish a working economy and the institutional capacities needed to restore social and political stability to the countries concerned and meet the needs of the people affected as a whole

The priorities for operations under this Regulation are:

- the relaunch of production on a lasting basis;
- the physical and operational rehabilitation of basic infrastructure, including mine clearance;
- social reintegration, in particular of refugees, displaced persons and demobilized troops;
- the restoration of institutional capacities.

Rehabilitation may focus on productive sectors, infrastructure, sections of the population most affected by the fighting or disaster, and institutions. Neither the individual operations nor the programmes of which they are part will normally last more than two years. Priority is given to restoring existing structures and repairing plant, not to new investment.

The Community favours decentralized implementation of rehabilitation operations, in conjunction with local people and local authorities. The viability of projects once external funding is withdrawn will be a determining factor in selection.

**Legal basis**

- Article B7-641 of the EU budget
- Council Regulation(EC) No 2258/96 of 22 November 1996 (OJ L 306, 28.11.1996)

This item first entered the budget in 1994. It is the upshot of a number of Community initiatives in 1993, namely the Special Initiative for Africa (EC Council of 25 May 1993), the Council conclusions on rehabilitation aid of 2 December 1993 and the resolution of the European Parliament of 16 November 1993.



**Budget Article B7-643**

**Decentralized cooperation in the developing countries**

**Description**

The purpose of "decentralized cooperation" is to provide the most direct and flexible support possible to a variety of development initiatives, projects and programmes mounted by non-government bodies in the developing countries: NGOs, local authorities, associations, local communities, etc.

Projects and programmes of this type are normally financed with official cooperation funds (EDF, ALA financing, Mediterranean protocols) and require the prior agreement of the public authorities in the country concerned and the Commission's representative there.

Financing under this heading is meant to act as an incentive for:

- promoting information and awareness about decentralized cooperation among all the parties potentially concerned;
- mobilizing and strengthening the development capabilities of these parties;
- paving the way for larger-scale ODA-financed operations.

**Financing criteria for the current year**

Priority will be given to:

- initiatives aimed at forging or reinforcing networks of bodies in the South and partnerships between North and South;
- training schemes designed to make these agents of decentralized cooperation better able to formulate and carry out development projects;
- pilot projects, but only exceptionally in the case of innovative projects where the substance and proposed form of participatory implementation has demonstration value.

**Legal basis**

- Article B7-643 of the Community budget.
- Proposal for a Council (EC) Regulation (presented on 11 July 1995) (OJ C 250, 26.9.1995, p. 13)

**Financing available**

ECU 5 million

Eligibility/Initiative

These funds are available to a wide range of potential project promoters, above all NGOs from the developing countries and the European Union, local authorities and related bodies, and economic and social associations.

Type of financing and procedures

Cofinancing is normally the rule. Exceptionally, however, some projects may be fully financed.

Department responsible

Unit VIII/B/2 (Decentralized cooperation and cofinancing with NGOs)  
Directorate-General for Development  
European Commission  
Building: G--1 Astrid 1/18  
rue de la Loi 200  
B-1040 Brussels

For the attention of:

Mr B. Ryelandt                      Tel. 29-99861

Mrs C. Mandouze                    Tel. 29-59530

Fax: 29-92847

**Budget Article B7-702****Human rights and democracy in the developing countries (except Latin America and the Mediterranean)****Description**

- Supporting the transition to democracy: practical help for preparing and holding elections (electoral codes, drawing up electoral rolls, etc.), poll observer missions.
- Strengthening the rule of law in the developing countries: support for newly elected national parliaments, bolstering the independence of the judiciary, improving prison systems, etc.
- Strengthening the fabric of civil society: support for local human rights associations, boosting participation of grassroots associations in various development fields, educational and equal opportunities campaigns, aid for setting up or consolidating free and independent media (press, radio, TV, etc.).
- Supporting vulnerable groups: minorities, indigenous peoples, prisoners (especially political), children, torture victims, etc.

The use of these funds will be closely coordinated with the Community's development policies, and will be made available for projects throughout the developing world, with the exception of Latin America and the Mediterranean, which are covered by other budget items.

**Financing criteria for the current year**

- Strengthening the rule of law, especially in countries that have made the transition to democracy (support for parliaments, independent judiciaries and drafting of constitutions).
- Greater openness and accountability in the conduct of public affairs: decentralization, effective supervisory bodies, tax reform, etc.
- In the context of strengthening civil society:
  - aid for campaigns to promote human rights and democracy;
  - aid for all forms of the media as vehicles for spreading and exchanging ideas;
  - aid for the defence and promotion of the rights of certain groups, i.e. children and indigenous peoples;
  - aid for the training of negotiators with the aim of preventing conflicts and finding practical solutions;
  - human rights observer missions.

Legal basis

Article B7-702 of the Community budget.

Financing available

ECU 17 million

Eligibility/Initiative

- human rights organizations: Europe-based organizations may apply, but priority will be given to local bodies;
- groups linked to development programmes that help create and strengthen the fabric of democracy;
- the press;
- government bodies, in an effort to consolidate the rule of law (courts, parliaments, electoral operations).

Type of financing and procedures

Flexible - from cofinancing to whole cost. Application forms can be obtained from the unit below.

Department responsible

DG VIII (Development), liaising with DG I (External Relations) for certain countries.

Unit VIII/3 (Relations with the institutions)

Directorate-General for Development

European Commission

Building: G-12 "Evere Green"

rue de la Loi 200

B-1049 Brussels

For the attention of:

Mrs F. Mosca            Tel. 29-93243/93244

Mr J. Zarzoso            Tel. 29-62490/92983

**Budget Article B7-703**

**Democratization process in Latin America**

**Description**

Financing of special measures to help consolidate democracy, the genuine rule of law and human rights in Latin America, including Cuba.

Projects for Caribbean countries are covered by another budget heading.

**Financing criteria for the current year**

Activities are programmed around the needs and circumstances of each country, using the main multiannual sectoral guidelines. They should contribute to:

- **governance:** strengthening the rule of law, separation of powers, sound public administration and decentralization. More specifically, this will involve:
  - support for electoral processes, multiparty systems, parliaments and other democratically elected institutions;
  - support for an independent judiciary and a system of legal defence;
  - support for legislative and constitutional reforms;
  - improvement of prison systems;
  - support for human rights prosecutors;
  - human rights training for the armed forces and police.
- **stronger, more developed and responsible civil society:** support for regional and local awareness campaigns, training and efforts to promote and defend human rights on the basis of civic rights and responsibilities under the rule of law:
  - support for a pluralism, particularly in respect of education, freedom of expression and union freedom;
  - help for victims of violence and armed conflict.
- **other areas:** second and third generation human rights support measures.

**Legal basis**

Article B7-703 of the budget

**Financing available**

ECU 12 625 000.



Eligibility/Initiative

- **local** governmental or non-governmental human rights organizations that are nationally or regionally based: **in exceptional cases** European organizations may be eligible;
- voluntary associations linked to development programmes which strive to promote and strengthen democracy;
- governmental institutions that trying to strengthen the rule of law (courts, parliaments, electoral commissions, universities, human rights prosecution offices).

Type of financing and procedures

- 1) Flexible, generally 100% grant financing.
- 2) Projects may not normally last more than 24 months.
- 3) Financing is not normally available for activities designed wholly or mainly to set up new bodies, or to cover running costs, the purchase or construction of buildings, conferences or seminars with no follow-up, individual grants, retrospective financing of expenditure incurred before the financing contract was signed, etc.

An application form can be obtained from the department responsible given below.

Department responsible

Relations with Latin America

Unit B1 - Directorate for Latin America  
Directorate-General for External Relations (DG I)  
European Commission  
Building Science 14, 5/47  
200 rue de la Loi  
B-1049 Brussels

For the attention of:

Human rights unit

Ms C. Ortiz Tel. 29-90851

Fax 29-91032

**Budget Item B7-7040**

**Subsidies for activities by human rights organizations**

**Description**

This appropriation is intended to cover the grant of aid to NGOs pursuing humanitarian aims and promoting human rights.

It is available for operations both inside and outside the Community.

**Financing criteria for the current year**

- promote Community and international initiatives aimed at abolishing the death penalty in all countries by the year 2000;
- train people responsible for the respect of human rights (judges, lawyers, civil servants, those responsible for the training of police and security forces, teachers etc.);
- reinforce respect for the rights of the child;
- promote and protect women's rights;
- reinforce respect for the rights of ethnic minorities such as gypsies;
- reinforce respect of indigenous peoples' human rights at a world-wide level;
- promote training with organisations specialised in the defence of human rights;
- support for the observation of prisons at an international level;
- promote local NGOs working for the defence and promotion of human rights in Turkey;
- promote NGOs and associations working in favour of peace, reconciliation and promoting confidence-building measures in regions of the EU affected by violence.

Priority will be given to aid projects with a direct practical impact.

Recipients of this aid should indicate (in their publications or any other documentation) that their projects are supported by the EC.

**Legal basis**

- 1) Treaty on European Union: Title I, Article F.2 and Title V, Article J.1(2), third and fifth indents, Article 130u(2) of the EC Treaty.
- 2) Declaration on human rights of 28 and 29 June 1991 (Luxembourg European Council).

- 3) Council resolution of 28 November 1991 on human rights and democracy in the developing countries.

Financing available

ECU 7 million.

Eligibility/Initiative

Any duly recognized NGO, be it an institution or a non profit-making organization.

Type of financing and procedures

- a) The aid is to be used for expenditure directly related to a given project and may not be used to cover general administrative expenses or overheads. Overheads may not normally exceed a given percentage of the total budget (see application form for details).
- b) It is meant as a contribution and should not cover the total cost of the project.
- c) Financing is not accorded retrospectively nor for expenses incurred prior to formal notification of award of the grant by the Commission.

Selection criteria (in accordance with Commission principles on human rights and democracy)

All proposals will be assessed in terms of their potential to achieve the objectives stated and their probable impact. A points system is used to take account of the following criteria:

- the project's consistency with the overall objectives of the Union and the budget chapter and heading concerned;
- attainment of the project's objectives;
- cost-effectiveness;
- nature of the organization;
- impact and knock-on effects of the project;
- the profile given to the EC contribution.

Priority is accorded to projects offering practical and direct aid. Projects concerning research and academic seminars of particular merit are taken into consideration as far as possible.

Essential information on projects will be sought from those Commission departments with special responsibility for the relevant geographic and thematic areas. Projects may undergo an external audit to guarantee recognition of the organizations funded by experts in the field.

Evaluation and selection are ongoing procedures. About six months should be allowed for the Commission decision to come through.

Application forms are available from the department below.

Department responsible

Unit IA/A/2  
(Human rights and democratization)  
European Commission  
Building: MO34 6/31  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mrs D. Napoli      Tel: (32.2) 295 55 01

**Budget Article B7-707**

**Support for rehabilitation centres for torture victims and for organizations offering help to victims of human rights abuses**

**Description**

- Aid for rehabilitation of torture victims
- Practical help for victims of human rights abuses

There are no geographical restrictions on projects.

**Financing criteria for the current year**

- Rehabilitation services for torture victims, and in particular child victims of human rights abuses,
- training health professionals to treat victims,
- legal advice and assistance for victims,
- measures to secure compensation and legal redress,
- torture prevention.

**Legal basis**

- Treaty on European Union: Article F(2) and Article J.1(2), third and fifth indents; Article 130u(2) of the EC Treaty.
- Declaration on human rights of 28 and 29 June 1991 (Luxembourg European Council).
- Council resolution of 28 November 1991 on human rights and democracy in the developing countries.

**Financing available**

ECU 6 million

**Eligibility/Initiative**

Any duly recognized NGO, be it an institution or a non profit-making organization.

Type of financing and procedures

- (a) The aid is to be used for expenditure directly related to a given project and may not be used to cover general administrative expenses or overheads. Administrative costs may not normally exceed 10% of the total budget.
- (b) It is meant as a contribution and should not cover the total cost of the project.
- (c) Financing is not accorded retrospectively nor for expenses incurred prior to formal notification of award of the grant by the Commission;

Department responsible

Unit IA/A/2  
(Human rights and democratization)  
European Commission  
Building: MO34 6/31  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mrs D. Napoli

Tel: (32.2) 295 55 01

**Budget Article B7-708**

**Support for the activities of international criminal tribunals and for the setting up of a Standing International Criminal Tribunal**

**Description**

Support for the activities of international criminal tribunals and for the setting up of a Standing International Criminal Tribunal.

The specific objectives and priorities of this budget heading are:

- to provide international criminal tribunals with specialized technical assistance;
- to fund preparations for the setting up of a Standing International Criminal Tribunal.

**Financing criteria for the current year**

See above.

**Legal basis**

- 1) Treaty on European Union: Title I, Article F.2 and Title V, Article J.1(2), third and fifth indents; Article 130u(2) of the EC Treaty.
- 2) Declaration on human rights of 28 and 29 June 1991 (Luxembourg European Council).
- 3) Council resolution of 28 November 1991 on human rights and democracy in the developing countries.

**Eligibility/Initiative**

Any duly recognized NGO, be it an institution or a non profit-making organization.

Proposals must be approved by the tribunal/tribunals before they can be considered by the Commission ("friend of the court" status).

**Financing available**

ECU 3 million.

Type of financing and procedures

- a) The aid is to be used for expenditure directly related to a given project and may not be used to cover general administrative expenses or overheads. Overheads may not normally exceed a given percentage of the total budget (see application form for details).
- b) It is meant as a contribution and should not cover the total cost of the project.
- c) Financing is not accorded retrospectively nor for expenses incurred prior to formal notification of award of the grant by the Commission.

Selection criteria (in accordance with Commission principles on human rights and democracy)

All proposals will be assessed in terms of their potential to achieve the objectives stated and their probable impact. A points system is used to take account of the following criteria:

- the project's consistency with the overall objectives of the Union and the budget chapter and heading concerned;
- attainment of the project's objectives;
- cost-effectiveness;
- nature of the organization;
- impact and knock-on effects of the project;
- the profile given to the EC contribution.

Priority is accorded to projects offering practical and direct aid. Projects concerning research and academic seminars of particular merit are taken into consideration as far as possible.

Essential information on projects will be sought from those Commission departments with special responsibility for the relevant geographic and thematic areas. Projects may undergo an external audit to guarantee recognition of the organizations funded by experts in the field.

Evaluation and selection are ongoing procedures. About six months should be allowed for the Commission decision to come through.

Application forms are available from the department below.

Department responsible

Unit IA/A/2  
(Human rights and democratization)  
European Commission



Building: MO34 6/31  
rue de la Loi 200  
B-1049 Brussels

For the attention of:

Mrs D. Napoli      Tel: (32.2) 295 55 01

**Budget Article B7-500 and Item B7-7000 (Democracy)**

**Aid for economic restructuring of the countries of central and eastern Europe  
(B7-500) (Phare)**

and

**Programme for democracy in the countries of central and eastern Europe (B7-7000)**

**Description**

Financial assistance for the central and eastern European countries listed in Regulation (EEC) No 3906/89 with the aim of supporting their economic development programmes, including operations connected with their association and economic and trade cooperation agreements with the Community.

Phare does not focus solely on economic issues and government cooperation, however. NGO development aid mechanisms have therefore been introduced. By stimulating the NGO sector the aim is to reinforce the foundations of civil society and encourage civilian initiatives, promote democratic values, tolerance and mutual assistance and step up aid to the poorest sectors of the population.

The programmes specifically aimed at NGOs are:

- Three programmes for all Phare recipient countries based on partnerships between NGOs in Eastern Europe and the EU. One important element in these programmes is the transfer of expertise and experience between partner NGOs.

The programmes are:

- 1) *LIEN* (link inter-European NGOs). This is designed to provide support via NGOs to social assistance operations for urban or rural population groups at risk with little if any access to other forms of aid (women, the handicapped, the elderly, the sick, the unemployed, etc.). The programme replaces the cofinancing programmes for NGOs previously covered by budget items B7-5001, B7-601 and Phare "Women".
- 2) *Partnership and institution building*: The aim of this programme is to develop sustainable grassroots initiatives and reinforce the resources of private or public non-profit-making organizations, particularly those involved in the economic field.
- 3) *Democracy*. This is financed from its own budget heading (B7-520) voted through by Parliament but run by Phare. *Democracy* supports projects aimed at promoting respect for democratic principles and procedures in government, parliamentary and administrative bodies and various groups in society (professional bodies, associations, media, etc.).

- Six programmes concerning the *development of civil society* in Poland, the Czech Republic, the Slovak Republic, Romania, Lithuania and Bulgaria respectively, open exclusively to local NGOs.

These concentrate on developing the role of NGOs in society by improving their operating conditions (legal framework, services, information) and resources (staff training) and by financing projects in various fields (environment, health and social assistance, human rights and minorities).

#### Financing criteria for the current year

Potential partnership projects must be based on active collaboration between NGOs at both the preparation and implementation stages. The emphasis should be on training and the transfer of resources between NGOs rather than the supply of equipment and infrastructure. Projects of a partisan nature are excluded.

Partnerships between NGOs from different Eastern European countries are now eligible provided that the applicant organizations can prove adequate experience and resources. Brochures for the three constituent programmes from the addresses given below. They give details of the financing procedures, eligibility and selection criteria for each and include an application form. They are available in English, French and German.

They can also be obtained at the Commission's Internet address:  
<http://europa.cec.lu/en/comm/dg1a/phare.html>

#### Legal basis

- Council Regulation No 3906/89
- Article B7-500 (Phare) of the Community budget for most of the programmes to support NGOs
- Item B7-7000 for the Phare Democracy programme.

#### Financing available

*LIEN*: ECU 10 million for 1996, ECU 10 million for 1997.

*Democracy*: ECU 11 million for 1996, ECU 10 million for 1997.

*Partnership and institution building*: ECU 10 million in 1995, ECU 10 million for 1997.

*Development of civil society:*

Poland: ECU 2 million in the 1994 budget  
Czech Republic: ECU 2 million in the 1994 budget  
Romania: ECU 5 million in the 1996 budget  
Bulgaria: ECU 1.5 million in the 1996 budget  
Slovakia: ECU 500 000 in the 1995 budget  
Lithuania: ECU 500 000 in the 1996 budget

Eligibility/Initiative

The right of initiative in respect of aid to NGOs lies with:

- European Parliament (*Democracy* programme)
- Commission departments (Phare multi-state budget: *LIEN* and *Partnership and institution building* programmes)
- recipient country governments by agreement with the Commission (*Development of civil society* programmes)

Type of financing and procedures

EU assistance for all the above programmes takes the form of cofinancing of projects for a limited duration (one to three years, as appropriate).

The upper limit on the EU's contribution to the total budget for a project is:

80% for projects presented under *LIEN* and *Democracy*

70% for projects presented under *Partnership and institution building*

90% in principle for projects presented under *Development of civil society*

**NB:** in *Development of civil society* programmes, cofinancing of projects accounts for only a part of the funds allocated. The rest is to be used for structural measures (legal assistance, development of services and information systems, NGO training initiatives).

The procedures vary somewhat from programme to programme. For partnership programmes, the Commission launches invitations to tender (usually two a year). The deadlines for submitting applications may also vary (for more information contact one of the addresses given below). Special procedures exist in relation to *Development of civil society* programmes which involve the EU delegations and local foundations.

The Commission relies on specialized technical assistance to implement all the above programmes. The technical assistance unit is responsible for evaluating tender dossiers and monitoring cofinanced projects.

Department concerned

Relations with Central and Eastern European countries (DG IA-B-5)  
Directorate-General for External Relations: Europe and the New Independent States  
European Commission  
Building Science 29, 2/41  
200 rue de la Loi  
B-1049 Brussels

For the attention of:

(a) *Lien, Democracy and development of civil society:*

Mr Jean-Marc Riegel (tel. 299 07 99) or Mr John Penny (tel. 299 20 19)

(b) *Partnership and institution building:*

Ms Fiona Shera (tel. 299 02 26)

For information about these programmes, contact the relevant technical assistance unit or EU delegation:

*LIEN*: CEV/EEP, rue de l'Industrie 42/10, B-1040 Brussels, tel.: (+32 2) 511 75 01,  
fax: (+32 2) 514 59 89

*Democracy*: European Human Rights Foundation, rue Michel-Ange 70, B-1040,  
Brussels, tel.: (-32 2) 736 84 05; fax: (+32 2) 734 68 31; E-mail: ehfrf@gn.apc.org

*Partnership and institution building*: Bonifica, ave de Tervuren 32 - Bte 29, B-1040  
Brussels, tel.: (+32 2) 734 27 44; fax: 735 98 63. Contact: Claudine Elisée

*Development of civil society*: contact the EU delegation to the country concerned.

Brochures are available on the *LIEN*, *Democracy*, and *Partnership and institution building* programmes. These contain detailed information on procedures and eligibility criteria and the application forms. They may be obtained from the technical assistance units or from the Phare Information Service, AN88 1/26, European Commission, rue de la Loi 200, B-1049 Brussels, tel. (+32 2) 299 14 00 / 299 13 56 / 299 16 00, fax: 299 17 77.

Details of the Commission's structure and the names of department heads are given in a publication which exists in all the official languages of the Community. It can be obtained from the addresses below at a price of ECU 7.

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