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✓ REP. MITZI JOHNSON
✓ SEN. RICHARD SEARS
✓ REP. DAVID SHARPE



STATE OF VERMONT
GENERAL ASSEMBLY
JOINT FISCAL COMMITTEE

Agenda

Tuesday, July 23, 2013

Ethan Allen Room, State House

- 9:30 a.m. A. Call to order and approve minutes of previous meetings:
1. November 15, 2012 [approved]
 2. February 6, 2013 [approved]
- 9:35 a.m. B. Agency of Natural Resources Request: [10 V.S.A. § 1283(b)] [doc] [approved with the understanding that action to reclaim the costs from the property owner continue.]
Approval of Expenditure from the Environmental Contingency Fund (\$1,207,000.00) for the Fillipo Dry Cleaners site in Rutland
Alyssa Schuren, Deputy Commissioner, and George Desch, Director of the Waste Management Division, Department of Environmental Conservation
- 9:45 a.m. C. Administration's Fiscal Updates:
1. Unencumbered Balances [Sec. 55(a)(2) of Act 1 of 2013] [doc]
Dave Cameron, Administrative Services Director – Department of Financial Regulation
 - 9:50 a.m. 2. FY2013 Preliminary Closeout [doc]
James Reardon, Commissioner, Department of Finance & Management
 - 10:05 a.m. 3. Vermont Veteran's Home Update
Jeb Spaulding, Secretary, Agency of Admin., and Commissioner Reardon
 - 10:25 a.m. 4. Tropical Storm Irene – Commissioner Reardon and Aimee Pope, Fiscal Analyst
 - a. Payments to Municipalities [Sec. 77a(b) of Act 75 of 2012] [doc]
 - b. Agency Reporting and Oversight [Sec. E.100.1 of Act 50 of 2013] [doc]
 - c. Update on 2013 Flooding – Joe Flynn, Vermont Emergency Management [doc]
 - 10:45 a.m. 5. Waterbury Complex Update [2 docs]
Michael Obuchowski, Commissioner, and Mike Stevens, Special Project Administrator, Department of Buildings and General Services
 - 10:55 a.m. 6. LIHEAP Update [doc]
Leo Clark, Chief Fiscal Officer, Dept. for Children & Families
- B. Issue returned from earlier – Matt Chapman, Legal Counsel, DEC
- 11:00 a.m. D. Grants:
1. Office of Child Support – Web Portal Fee [JFO#2625] [22 V.S.A. § 953(c)(2)]
Nathan Lavery, Fiscal Analyst, Joint Fiscal Office [approved]
Roberta Garrand, Child Support Administration, Dept. for Children & Families,
Jeff Cohen, OCS Director, and Robert Swartz from Vermont Information Consortium,
Harry Bell, Directory of Web Services, Dept. of Information & Innovation

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2. JFO #2631 – Approval of FEMA Grant. **[approved]**
Commissioner Reardon
3. Exchange [32 V.S.A. Sec. 5(a)]
Mark Larson, Commissioner, Dept. of VT Health Access [*doc*]
 - a. JFO #2632 - Approval of Health Benefits Exchange grant and positions **[approved]**
 - b. JFO #2633 - Approval of 2 Limited Service Positions associated with JFO#2582 (Health Benefits Exchange) **[approved]**

11:35 a.m. E. Developmental Services Estimate [Sec. E.333(a)(1) of Act 50 of 2013] [*doc*]
Stephanie Barrett and Nolan Langweil, Joint Fiscal Office, and Emily Byrne, Dept. of Finance & Management, and Bill Kelly, Dept. of Aging & Independent Living

11:50 a.m. G. Revenue Update – Tom Kavet, Legislature’s Economist [*doc*]

12:15 p.m. H. Fiscal Office Updates – Fiscal Officer’s Report [*doc*]

1. Implications to the Education Fund and others from the FY2013 waterfall distributions [32 V.S.A. § 308c]
Stephen Klein, Chief Fiscal Officer and Stephanie Barrett, Associate Fiscal Officer
2. Draft Policy on JFC Review of Web Portal Charges [22 V.S.A. § 953(c)(2)] [*doc*]
Nathan Lavery, Fiscal Analyst and Klein
3. Memorandum of Understanding (MOU) between the Tax Dept. and JFO [Sec. 6 of Act 73 of 2013] – Klein and Sara Teachout, Senior Fiscal Analyst
4. Statutory Purposes for Tax Expenditures [Sec. 5 of Act 73 of 2013] [*doc*]
Sara Teachout

12:35 p.m. Adjourn

*Emergency Board: 1:00 p.m. in the 5th floor Pavilion Office Bldg., 109 State Street

Reports

General Government

- I. Quarterly report on excess receipts and additional memo response from JFO. [32 V.S.A. § 511]
[Administration] [*received - 4 quarters*]
- II. Small Grants Quarterly Report [32 V.S.A. § 5(a)(3)] [Joint Fiscal Office] [*received - 2nd, 3rd & 4th qtrs.*]
- III. Quarterly progress report reflecting the outcomes and measures as applied to the projects funded under this section [Sec. 49(i) of Act 40 of 2011] [VT Telecommunications Authority] [*received*]
- IV. Burlington Tax Increment Financing (TIF) Annual Report [Sec. 16(b)(3) of Act 45 of 2011]
[City of Burlington] [*received*]
- V. Quarterly report on Measures and Milestones of Challenges for Change. [Sec. H4(a) of Act 146 of 2010]
[Agency of Administration] [refer to memo – 11/2012 JFC meeting]

Human Services

- I. Quarterly progress report on joint prescriptions agreements. [33 V.S.A. § 1998(c)(6)] [Agency of Human Services and Departments of Human Resources and Vermont Health Access] [*received*]
- II. Vermont State Hospital; Secure Residential Recovery Program quarterly update
[Sec. 31(f)(3) of Act 43 of 2009] [Department of Mental Health] [refer to memo – 7/2012 JFC meeting]
- III. Report of unexpended funds transfer to correctional services out-of-state beds.
[Sec. 90 of Act 1 of 2013] [Agency of Administration] [*received*]

Natural Resources

Annual report of the Agency of Natural Resources of costs and expenditures for proceedings of the Federal Energy Regulatory Commission [30 V.S.A. § 20(a)(2)(C)] [ANR] [*received*]

Protection

Quarterly report of costs and expenditures for proceedings of the Federal Energy Regulatory Commission [30 V.S.A. § 20(b)(9)] [Department and Board – Public Service] [*received*]

Joint Transportation Oversight Committee Reports

- I. Quarterly report on state match for Tropical Storm Irene or spring flooding; FEMA payment to municipalities
[Sec. 77a(b) of Act 75 of 2012] [See Agenda Item 4a.] [*received - 3rd & 4th quarters*]
- II. Purchase of rail bridge inspection vehicle [Sec. 13(d) of Act 153 of 2012] [*received*]





STATE OF VERMONT
LEGISLATIVE JOINT FISCAL COMMITTEE

Tuesday, July 23, 2013

Minutes subject to approval

Members present: Representatives Branagan, Heath, Johnson, and Sharpe, and Senators Ancel, Ashe, Campbell, Kitchel, Sears, and Snelling.

Other Attendees: Administration, Joint Fiscal Office, and Legislative Council staff, and various media, lobbyists, advocacy groups, and members of the public.

The Chair, Representative Heath, called the meeting to order at 9:30 a.m. Senator Kitchel made a motion to accept the minutes of November 15, 2012 and February 6, 2013, with a correction to the November minutes, and Senator Snelling seconded the motion. The Committee adopted both sets of minutes with corrections.

A. Agency of Natural Resources (ANR) Request: [10 V.S.A. § 1283(b)] - Approval of Expenditure from the Environmental Contingency Fund (ECF) (\$1,207,000.00) for the Fillipo Dry Cleaners site in Rutland

Alyssa Schuren, Deputy Commissioner, and George Desch, Director of the Waste Management Division, Department of Environmental Conservation, distributed a handout and explained that the ECF is funded through a tax on the disposal of hazardous waste from businesses. She stated the current balance of the fund was \$2,347,010.00, receipts for 2012 were \$362,620.00, and expenditures were \$262,685.00. Ms. Schuren explained that the agency was requesting to draw down \$1,207,000.00 from the ECF to remediate the Fillipo Dry Cleaners site because of an imminent threat to a residential neighborhood's drinking water.

Mr. Desch responded to Senator Sears that the Fillipo Dry Cleaners site had not been filed as a Super Fund site because there was a responsible party for the site that the agency received a favorable decision against from the Environmental Court to recoup costs. He added that the responsible party did not have the resources to pay the costs of the remediation but the agency is able to seek assistance from the Attorney General's Office to recoup costs through other potential sources of funding, such as insurance. Representative Heath asked if the State would own the property after the site cleanup or would the State have the ability to demand the owner sell the property to return some of the costs to the State associated with the remediation. Mr. Desch replied that the State has a lien on the property through the decision of the Environmental Court and would be able to recoup some of the costs if the property was sold by the owner. Senator Kitchel asked for clarification on whether the option of declaring the site a Super Fund site was no longer an option. Mr. Desch explained that was still an option but with all the demand on the federal government for Super Fund declarations and funding, the response would not be fast enough to contain the water contamination issue.

Senator Campbell asked if the current owner had assets sufficient to repay the State for the cleanup. Ms. Schuren stated that the owner did have many assets. Representative Heath requested that the Department continue to keep the Committee apprised through written form [memorandums]. Senator Ashe queried what the law was in regard to compelling the owner to use its current assets to pay for cleanup, and at what point was the State bound to remediate for the owner. Ms. Schuren responded that the current owner of the dry cleaners is not the one who created the problem which adds complexity to the issue. Senator Ashe commented that some of the statutory language around the fund's use was a little vague, and asked if it was adequate for the Department to recoup costs or enforce remediation. The Chair suggested that the Department review the statutory language around the fund and enforcement ability of the agency and return to the Legislature if it had proposed changes to the language. Senator Campbell asked that a copy of the decision on the case of the Fillipo Dry Cleaners be sent to him.

Senator Sears showed concern for the State's paying for the remediation of the site and the new owner possibly making a profit from that investment. Representative Ancel asked if the Department could discuss with the Attorney General's Office whether the State should consider an appeal. Senator Campbell echoed the same sentiment and that if the decision stood as it did now, it could set a precedent for other remediation sites. Representative Ancel suggested that the Department seek advice from the Attorney General's Office on whether an appeal could go forward while the Department continues to remediate the site. Furthermore, what would the consequences be to the State from future cases if the decision stood?

Senator Campbell reiterated his concerns for the decision of Fillipo Dry Cleaners, and strongly recommended that the Department file an appeal before the window of time set forth by the court closed. He added that unless there was a special reason for the court's ruling, the case if allowed to stand could set precedence for all other remediation cases in Vermont. The Chair directed the Department to discuss the case further with its legal counsel and return to the Committee with any additional information later in the meeting.

The Chair, later in the meeting, returned to the Department of Environmental Conservation's testimony on agenda item B. Approval of Expenditure from the Environmental Contingency Fund (\$1,207,000.00) for the Fillipo Dry Cleaners site in Rutland. Senator Campbell stated that after reviewing further information from the Department, he concedes that they are proceeding accordingly. Matt Chapman, General Counsel, Department of Environmental Conservation, stated the Department will pursue other avenues of recovery for the remediation costs. He added that the Department brought an action against the original property owner and was successful with that filing. Therefore, that owner was in contempt for not complying with the court order and was still obligated for the costs. He confirmed that the Department was able to remediate the land while pursuing other avenues to collect the costs. Senator Campbell moved to approve the expenditure with the caveat that the Department continue its efforts to regain the costs of the remediation. The Committee approved the motion with the dissenting vote of Senator Sears.

B. Administration's Fiscal Updates – 1. Unencumbered Balances [Sec. 55(a)(2) of Act 1 of 2013]

Dave Cameron, Administrative Services Director, Department of Financial Regulation, distributed a memo and announced that there was \$20.7 million in Insurance and Securities Regulatory Fund receipts available to the General Fund. Senator Ashe asked what the target had been for the FY 2014 budget. Mr. Cameron responded \$17 million. The Committee thanked Mr. Cameron for the welcome news. Senator Ashe inquired where the additional revenues would be transferred. Senator Kitchel explained that the excess revenues would accrue to the General Fund and be deposited into the Reserve or Rainy Day Fund.

2. FY2013 Preliminary Closeout

James Reardon, Commissioner, Department of Finance & Management, distributed information, and stated that the General Fund closed out at \$26.1 million more in FY 2013 than estimated, which was mostly due to larger personal income tax receipts than anticipated. He then summarized the contents of the handout. Senator Ashe asked at what point unclaimed property became a direct application or a receipt for the State. Jeb Spaulding, Secretary, Agency of Administration, replied that an individual never loses the right to claim property, but there was an estimated 40% of people that never claimed their property. The State had an annual process to account for the excess funds through a true up to balance its books. Senator Ashe further queried whether there was an unfunded liability that always hung upon the State if a person claimed property. Secretary Spaulding agreed there was a State liability for unclaimed property. Commissioner Reardon stated the full report, including the State's liability, resided in the Comprehensive Annual Financial Report (CAFR).

Commissioner Reardon continued his summary of the handout. Representative Sharpe inquired when the Supplemental Property Tax Refund (SPTR) would be directly transferred to the Education Fund. Commissioner Reardon replied that it would be governed by action in the FY 2015 legislative session. The Legislature has to make the determination of the use of the funds. Representative Heath explained that legislation required that in FY 2014, 25% of the SPTR would transfer to the Education Fund. Mr. Klein read the statutory language on how all the funds are distributed.

Commissioner Reardon added that there was \$2.6 million in unspent General Fund dollars from Choices for Care that would need to be reallocated through the FY 2014 BAA process. He suggested the Legislature utilize the additional funds for one-time costs. Representative Heath commented that the Legislature allowed for the Joint Fiscal Committee to receive Sequestration budget gap needs, and she anticipated there would be some from the Department of Aging, Disabilities, and Independent Living at the September meeting.

3. Vermont Veterans' Home Update

Jeb Spaulding, Secretary, Agency of Administration, gave an update on the Vermont Veterans' Home (VVH). He explained that the VVH continued to have an operating deficit and that was not expected to change in the next year. Compounding the issue is the uncertainty of whether the VVH would receive recertification from CMS because of failed federal inspections. The repercussion of not being recertified was an annual cost of \$12 million.

Secretary Spaulding announced that the independent study of VVH requested by the Legislature, due in November, should be completed in a couple of weeks. Possible recommendations included in the report were changes in management, and thoughts on increasing the census. A new Deputy Director hired recently at the VVH had begun some positive momentum with the issues between the staff and management.

Commissioner Reardon explained the VVH had a \$21.5 million budget. Of that amount, \$1.3 million was inserted into the FY 2014 budget for anticipated deficit allowance, and the VVH may request \$2 million more in the FY 2014 BAA. If the VVH lost its recertification {9/26}, the State would need approximately \$7.1 million of General Funds, plus the current deficit of \$2 million, to fill the budget gap of the VVH. The total amount to fill the federal funding gap would be an estimated \$11.4 million annually.

Secretary Spaulding stated that a contingency plan was being created for clients by the Department of Disabilities, Aging and Independent Living if recertification did not occur. He added that the independent study report had recommendations to create statutory changes to the VVH due to inconsistencies. Senator Ashe asked who was eligible to be a resident at the VVH. Secretary Spaulding replied that the person should either be a veteran or related to one. Senator Campbell queried if the average pay to staff was higher than other nursing home facilities in the State. Secretary Spaulding stated the staff pay and benefits were higher than the average nursing home, and unlike all the other facilities of this type, there were no part-time employees. He added that except for the Deputy Administrator and the Administrator, all staff are classified State employees. Senator Sears showed concern for 240 jobs at the VVH if recertification did not occur. Representative Heath requested that the Administration deliver the report of the independent study to the Committee as soon as it was available. Secretary Spaulding offered an early presentation of the report to the Committee and others in August. Representative Heath stated the Committee would consider calling a special committee meeting in August. She suggested that the Administration talk with the Senate Pro Tempore and Speaker of the House to decide what other legislators should be included in the presentation.

4. Tropical Storm Irene – a. Payments to Municipalities [Sec. 77a(b) of Act 75 of 2012]

Commissioner Reardon and Aimee Pope, Fiscal Analyst, Department of Finance & Management, distributed a revised cover sheet to the report and summarized its contents.

b. Agency Reporting and Oversight [Sec. E.100.1 of Act 50 of 2013]

Commissioner Reardon summarized the report. He stated that the majority of the project worksheets had been completed, and currently there were sufficient funds in the Emergency Relief Assistance Fund (ERAF). Senator Ashe inquired whether an audit of the FEMA funds awarded to date had been performed. Commissioner Reardon replied that the FY 2012 statewide audit had been done. Representative Heath commented that some federal auditors have returned several years later even after audits. Commissioner Reardon agreed that the State was always subject to federal audits after receiving FEMA funding. Senator Ashe asked the Administration for a summary of audit findings on State funds for all the flooding incidents. Commissioner Reardon agreed to provide the summary. Senator Sears asked if communities other than Bennington were appealing decisions on

FEMA funding and whether the State was assisting in those appeals. Secretary Spaulding deferred to the next witness on the agenda, Mr. Flynn.

c. Update on 2013 Flooding

Joe Flynn, Director of Vermont Emergency Management, Department of Public Safety, responded to Senator Sears' earlier question that the office was working hard to assist municipalities with their appeals. In some cases, appeals were not appealable, and, therefore the Department was working on informing them of their standing. Mr. Flynn distributed a handout on the 2013 flooding, and explained that there was still an outstanding bill for Agency of Transportation's storm assistance to Massachusetts for \$238,000.00. There was another outstanding bill for Vermont State Police storm assistance to New Jersey for \$59,000.00.

Mr. Flynn reviewed the contents of the handout of the 2013 Flooding in Vermont with the Committee. [Note: CD did not record] Representative Sharpe asked if anyone, including a business could apply for FEMA assistance. Mr. Flynn answered yes, as long as the municipality was the actual applicant for the business or person.

C. Waterbury Complex Update

Michael Obuchowski, Commissioner, and Mike Stevens, Special Project Administrator, Department of Buildings and General Services (BGS), distributed two handouts and summarized the contents. BGS expects to clarify federal funds before September. Representative Heath requested that the Commissioner provide an update at the Committee's September meeting.

D. Low Income Heating & Energy Assistance Program (LIHEAP) Update

Leo Clark, Chief Fiscal Officer, Department for Children & Families, distributed a handout and stated that the federal funding to LIHEAP was down to 2008 levels. Representative Ancel asked if the Department had reliable projections for the costs of oil and gas this upcoming heating season. Mr. Klein noted that the Administration was working on reaching a deal with the fuel suppliers on a discounted amount. Senator Ashe queried how the 20% discount from utilities through the Department of Public Service would factor into the price equation. Mr. Clark stated he would get that information. Representative Heath requested an update in September on where the Department was in terms of the reduction in the cost of fuel for the program.

E.. Grants – 1. Office of Child Support – Web Portal Fee [JFO#2625] [22 V.S.A. § 953(c)(2)]

Roberta Garrand, Child Support Administration, Department for Children & Families, Jeff Cohen, OCS Director, Robert Swartz from Vermont Information Consortium, and Harry Bell, Directory of Web Services, Department of Information & Innovation, summarized the grant request. After a brief Committee discussion on the fee request, Representative Heath moved the grant request and Senator Kitchel seconded it. The Committee approved the request with two dissenting votes from Representatives Ancel and Branagan.

2. JFO #2631 – Approval of FEMA Grant.

Commissioner Reardon explained the grant request was to receive federal assistance from damage to three counties during the 2013 Spring Flooding. Senator Kitchel moved to approve the grant and Senator Sears seconded. The Committee approved the grant.

3. Exchange [32 V.S.A. § 5(a)] - JFO #2632 - Approval of Health Benefits Exchange grant and positions, and JFO #2633 - Approval of 2 Limited Service Positions associated with JFO#2582 (Health Benefits Exchange)

Mark Larson, Commissioner, Department of Vermont Health Access, distributed a handout, and summarized the grant request. Representative Sharpe asked if the grant was built on models similar to other states. Mr. Larson replied that there were some limitations because of timing but the different states were learning from each other. Senator Campbell showed concerns for the creation of limited service positions and then not phasing out those positions once their contracts expired. Representative Ancel inquired if the grant had an impact on the projected \$18 million budget of health care. Mr. Larson replied that he was not expecting a difference due to the addition of the positions. Senator Ashe queried on what the implications would be if the Committee did not approve the grant. Mr. Larson explained that the Department could have a timing issue for the next steps in the Exchange. Senator Sears moved to approve both grants and Representative Branagan seconded the motion. Concerns were raised by Senator Kitchel and Senator Campbell on the costs associated with the Exchange. Representative Ancel stated she was uncomfortable because of how the funding traveled through the grant process. Representative Johnson commented that the new health system had enabled the replacement of antiquated computer systems that the State had ignored over the years, and she understood some big fluctuations in costs associated with those repairs. Senator Ashe asked if there were State funds associated with the grants. Mr. Larson replied that those matching State funds had already been appropriated. The Chair reminded the Committee of the motion on the floor for approval of the two grants. The Committee approved the grants with a dissenting vote from Senator Snelling.

The Chair suggested some modifications to the agenda, and the Committee decided to push some of the contents of the agenda into an August 8 special meeting that included an update on the Vermont Veterans' Home.

F. Developmental Services Estimate [Sec. E.333(a)(1) of Act 50 of 2013]

Stephanie Barrett, Associate Fiscal Officer, Nolan Langweil, Senior Fiscal Analyst, Joint Fiscal Office, Emily Byrne, Fiscal Analyst, Department of Finance & Management, and Bill Kelly, Department of Aging, Disabilities, and Independent Living, distributed a handout and Ms. Barrett summarized the Developmental Services FY 2014 budget savings target. Ms. Barrett explained that based on the annualized projection of approved equity and public safety requests made in FY 2013, the projected savings target of \$2.5 million included in the FY 2014 budget is updated to \$2.23 million.

G. Revenue Update

Tom Kavet, Legislature's Economist, distributed the July 2013 Economic Review and Revenue Forecast Update and summarized its contents. Senator Sears asked if there was an estimate of what Vermont was losing in Internet taxes. Ms. Teachout stated it was about \$40 million annually. Congressional action would allow the recovery of a portion of this lost revenue. Senator Sears asked how much that loss was growing each year. Ms. Teachout agreed to get that estimate. Mr. Kavet added that the JFO and he were working with the Department of Taxes to break out Sales and Use Tax by type of store to show the store types that were more vulnerable to Internet sales than other businesses. Representative Branagan asked to have Mr. Kavet estimate what the reserve should be to

address any sudden drops in revenue. Mr. Kavet stated he could give different scenarios to choose from depending on how much of a buffer the Legislature felt appropriate.

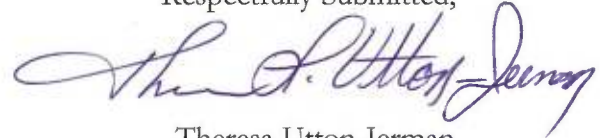
H. Fiscal Office Updates – Fiscal Officer’s Report - Memorandum of Understanding (MOU) between the Tax Dept. and JFO [Sec. 6 of Act 73 of 2013]

Mr. Klein and Sara Teachout, Senior Fiscal Analyst, Joint Fiscal Office, distributed his fiscal officers’ report, and explained the MOU was a work in progress. He added that there was mutual consideration to move ahead with all the components except the sharing of the data, which may be postponed until after October 5 in order to gather information from outside vendors that could securely house and limit the transfer of the data between the two offices. Ms Teachout stated that the Department of Taxes had requested that an outside vendor develop an approach to provide secure information to the JFO. Mr. Klein commented that blurring the data made the integrity of the data questionable. Ms. Teachout stated that the Department of Taxes would like JFO to use an income tax model that may be expensive to complete. Mr. Klein added that the fiscal office in Connecticut has a contract with a vendor for a model, and it is something the JFO is exploring.

The Chair confirmed that the Committee would next meet at 2:00 p.m. on August 8 for a Special Committee meeting.

The Committee adjourned at 12:50 p.m.

Respectfully Submitted,



Theresa Utton-Jerman
Legislative Joint Fiscal Office

Legislative Joint Fiscal Committee
Statutory Language for July 23, 2013 Meeting

B. Approval of Expenditure from the Environmental Contingency Fund

[10 V.S.A. § 1283(b)]

§ 1283. Contingency fund

(b) Disbursements under this subsection may be made for emergency purposes or to respond to other than emergency situations; provided, however, that disbursements in response to an individual situation which is not an emergency situation shall not exceed \$100,000.00 for costs attributable to each of the subdivisions of this subsection, unless the secretary has received the approval of the general assembly, or the joint fiscal committee, in case the general assembly is not in session. Furthermore, the balance in the fund shall not be drawn below the amount of \$100,000.00, except in emergency situations. If the balance of the fund becomes insufficient to allow a proper response to one or more emergencies that have occurred, the secretary shall appear before the emergency board, as soon as possible, and shall request that necessary funds be provided. Within these limitations, disbursements from the fund may be made:

(1) to initiate spill control procedures, removal actions and remedial actions to clean up spills of hazardous materials where the discharging party is unknown, cannot be contacted, is unwilling to take action or does not take timely action that the secretary considers necessary to mitigate the effects of the spill;

(2) to investigate an actual or threatened release to the environment of any pollutant or contaminant which may present an imminent and substantial danger to the public health and welfare or to the environment. The secretary may use this fund for those investigations necessary to:

(A) determine the magnitude and extent of the existing and potential public exposure and risk and environmental damage;

(B) determine appropriate remedial action to prevent or minimize the impact of such releases;
or

(C) to prescribe other environmentally sound measures to protect the long-range public health and welfare or to ensure environmental protection, or to prescribe additional investigations to determine same;

(3) to take appropriate removal action to prevent or minimize the immediate impact of such releases to the public health and the environment;

(4) to take appropriate remedial action;

(5) to reimburse private persons or municipalities for expenditures made to provide alternative water supplies or to take other emergency measures deemed necessary by the secretary, in consultation with the commissioner of the department of health, to protect the public health from hazardous material. Reimbursement under this subdivision shall be pursuant to criteria adopted by rule of the secretary and by rule of the commissioner establishing, among other provisions, requirements that alternative sources of reimbursement are pursued in a diligent manner;

(6) to pay administrative and field supervision costs incurred by the secretary or by a municipality at the direction of the secretary in carrying out the provisions of this subchapter. Annual disbursements, for these costs, to the department of environmental conservation under this subdivision shall not exceed 2.0 percent of annual revenues;

(7) to pay costs of management oversight provided by the state for investigation and cleanup efforts conducted by voluntary responsible parties where those responsible parties have contributed monies to the fund pursuant to a written agreement under subsection (f) of this section;

(8) to pay costs of emergency response operations and equipment in the spill response program;

(9) to pay costs of required capital contributions and operation and maintenance when the remedial or response action was taken pursuant to 42 U.S.C. § 9601 et seq.

C.1. Unencumbered Balances – Dept. of Financial Regulation [Sec. 55(a)(2) of Act 1 of 2013]

All or a portion of the unencumbered balances in the Insurance Regulatory and Supervision Fund (Fund Number 21075), the Captive Insurance Regulatory and Supervision Fund (Fund Number 21085), and the Securities Regulatory and Supervision Fund (Fund Number 21080), expected to be approximately \$17,027,770.93 shall be transferred to the General Fund, provided that on or before July 1, 2013, the Commissioner of Financial Regulation certifies to the Joint Fiscal Committee that the transfer of such balances, or any smaller portion deemed proper by the Commissioner, will not impair the ability of the Department in fiscal year 2014 to provide thorough, competent, fair, and effective regulatory services, or maintain accreditation by the National Association of Insurance Commissioners; and that the Joint Fiscal Committee does not reject such certification.***

C.4.a. State Match for Tropical Storm Irene or 2011 Spring Flooding FEMA grants; FEMA Payments to Municipalities [Sec. 77a(b) of Act 75 of 2012]

(b) Payments from the emergency relief and assistance fund to municipalities to meet match requirements for FEMA public assistance grants for Tropical Storm Irene or spring 2011 flooding federal disaster relief shall be reported to the joint fiscal committee and the joint transportation oversight committee for the preceding state fiscal year quarters, cumulatively, by April 15, 2012, July 15, 2012, October 15, 2012, and January 15, 2013, and quarterly on those dates thereafter, until such payments have been completed.

C.4.b. Agency Reporting and Oversight [Sec. E.100.1 of Act 50 of 2013]

Sec. E.100.1 FEDERAL EMERGENCY MANAGEMENT AGENCY REPORTING AND OVERSIGHT

(a) The Secretary of Administration shall report to the Joint Fiscal Committee at each of its scheduled meetings in fiscal year 2014 on funding received from the Federal Emergency Management Agency (FEMA) Public Assistance Program and associated emergency relief and assistance funds match for the damages due to Tropical Storm Irene. The report shall include:

- (1) a projection of the total funding needs for the FEMA Public Assistance Program and to the extent possible, details about the projected funding by state agency or municipality;
- (2) spending authority (appropriated and excess receipts) granted to date for the FEMA Public Assistance Program and the associated emergency relief and assistance funds match;
- (3) information on any audit findings that may result in financial impacts to the State; and

(4) actual expenditures to date made from the spending authority granted and to the extent possible, details about the expended funds by state agency or municipality.

D. 1. Grant Approvals: [32 V.S.A. Sec. 5(a)]

Exchange Funding: Approval of Level II Grant Amendment and Level 1c Grant.

Acceptance of grants and procedures.

(a) No original of any grant, gift, loan, or any sum of money or thing of value may be accepted by any agency, department, commission, board, or other part of state government except as follows:

(1) All such items must be submitted to the governor who shall send a copy of the approval or rejection to the joint fiscal committee through the joint fiscal office together with the following information with respect to said items:

*** (2) The governor's approval shall be final unless within 30 days of receipt of such information a member of the joint fiscal committee requests such grant be placed on the agenda of the joint fiscal committee, or, when the general assembly is in session, be held for legislative approval. In the event of such request, the grant shall not be accepted until approved by the joint fiscal committee or the legislature. The 30-day period may be reduced where expedited consideration is warranted in accordance with adopted joint fiscal committee policies. During the legislative session the joint fiscal committee shall file a notice with the house and senate clerks for publication in the respective calendars of any grant approval requests that are submitted by the administration.

D.2. Office of Child Support – Web Portal Fee [22 V.S.A. Sec. 953(c)(2)]

§ 953. Vermont web portal board; duties

(c) Any charges created or changed by the board shall be approved as follows:

(1) All such charges shall be submitted to the governor who shall send a copy of the approval or rejection to the joint fiscal committee through the joint fiscal office together with the following information with respect to those items:

(A) the costs, direct and indirect, for the present and future years related to the charge;

(B) the department or program which will utilize the charge;

(C) a brief statement of purpose;

(D) the impact on existing programs if the charge is not accepted.

(2) The governor's approval shall be final unless within 30 days of receipt of the information a member of the joint fiscal committee requests the charge be placed on the agenda of the joint fiscal committee or, when the general assembly is in session, be held for legislative approval. In the event of such request, the charge shall not be accepted until approved by the joint fiscal committee or the legislature. During the legislative session, the joint fiscal committee shall file a notice with the house clerk and senate secretary for publication in the respective calendars of any charge approval requests that are submitted by the administration. Beginning on July 1, 2012, and every three years thereafter, all web portal fees shall be included in the annual consolidated executive branch fee report pursuant to 32 V.S.A. § 605.

E. Developmental Services Estimate [Sec. E.333(a)(1) of Act 50 of 2013]

(a) The Department of Disabilities, Aging, and Independent Living, the Agency of Human Services, the Department of Finance and Management, and the Joint Fiscal Office shall:

- (1) After review of preliminary fiscal year 2013 close out of the developmental services appropriation unit, present an estimate to the Joint Fiscal Committee at its July 2013 meeting regarding the amount, if any, of the fiscal year 2014 Developmental Services program budget that needs to be addressed through administrative or operational changes in order to manage the service needs within the appropriated funds;
- (2) Review the methodology for forecasting both the caseload and utilization for developmental disabilities programs and shall report any recommendations for changing this methodology to the Joint Fiscal Committee at its September 2013 meeting;
- (3) Recommend a consensus estimate for the fiscal year 2015 developmental services caseload, utilization, and budget to the Emergency Board at its January 2014 meeting.

H.1. Implications to the Education Fund and others from the FY2013 waterfall distributions [32 V.S.A. § 308c]

§ 308c. General fund and transportation fund balance reserves

(a) There is hereby created within the general fund a general fund balance reserve, also known as the "rainy day reserve." After satisfying the requirements of section 308 of this title, and after other reserve requirements have been met, any remaining unreserved and undesignated end of fiscal year general fund surplus shall be reserved in the general fund balance reserve. The general fund balance reserve shall not exceed five percent of the appropriations from the general fund for the prior fiscal year without legislative authorization. Monies from this reserve shall be available for appropriation by the general assembly.

Subdivision (a)(1) repealed effective June 30, 2014.

(1) The emergency board shall, at the end of fiscal year 2013, determine at its July meeting the amount of available general funds that is greater than the amount of forecasted available general funds most recently adopted by the board for fiscal year 2013.

Subdivision (a)(2) repealed effective June 30, 2014.

(2) Of the amount added to the general fund balance in fiscal year 2013, to the extent available, one-half of the amount identified in subdivision (1) of this subsection is hereby appropriated in the fiscal year just concluded for deposit in the supplemental property tax relief fund established by section 6075 of this title. If the amount added to the general fund balance reserve is insufficient to support both the appropriation in this subdivision and the appropriation in subdivision (3) of this subsection, the appropriation in this subdivision shall take precedence.

Subdivision (a)(3) repealed effective June 30, 2014.

(3) Of the amount added to the general fund balance reserve, to the extent available, one-quarter of the amount identified in subdivision (1) of this subsection is hereby appropriated in the fiscal year just concluded to the secretary of administration to be used only upon emergency board action to transfer these funds to appropriations to offset reduced federal funding.

(b) There is hereby created within the transportation fund a transportation fund balance reserve. After satisfying the requirements of section 308a of this title, and after other reserve requirements have been met, any remaining unreserved and undesignated end of fiscal year transportation fund surplus shall be reserved in the transportation fund balance reserve. Monies from this reserve shall be available for appropriation by the general assembly.

(c) In any fiscal year, if the general assembly determines there are insufficient revenues to fund expenditures for the operation of state government at a level the general assembly finds prudent and required, it may specifically appropriate the use of the general fund and transportation fund balance

reserves to compensate for a reduction of revenues or fund such unforeseen or emergency needs as the general assembly may determine.

(d) Determination of the amounts of the general fund and transportation fund balance reserves shall be made by the commissioner of finance and management and reported, along with the amounts appropriated pursuant to subsection (a) of this section, to the legislative joint fiscal committee at its first meeting following September 1 of each year.

Draft Policy on JFC Review of Web Portal Charges [22 V.S.A. § 953(c)(2)]

See D2 above

H.3. Memorandum of Understand between the Tax Department and Joint Fiscal Office [32 V.S.A. § 3102(I) adding Sec. 6 and Sec. 6a of Act 73 of 2013]

Sec. 6. 32 V.S.A. § 3102(I) is added to read

(1) The Commissioner of the Department of Taxes and the Chief Fiscal Officer of the Joint Fiscal Office shall enter into a memorandum of understanding in order to provide the Joint Fiscal Office with state returns and return information necessary for the Joint Fiscal Office or its agents to perform its duties, including conducting its own statistical studies, forecasts, and fiscal analysis.

(2) The memorandum of understanding shall provide for:

(A) mechanisms to prevent the identification of individual taxpayers, including the redaction of any information that identifies a particular taxpayer;

(B) protocols for handling and transmitting returns and return information;

(C) the designation of specific employees of the Joint Fiscal Office with access to the information provided by the Department of Taxes;

(D) the incorporation of penalties for unauthorized disclosures under subsections (a) and (h) of this section.

Sec. 6a. TAX DATA

The Commissioner of Taxes and the Chief Fiscal Officer of the Joint Fiscal Officer shall enter into a memorandum of understanding under Sec. 6 of this act no later than August 1, 2013.

H.4. Statutory Purposes for Tax Expenditures [Sec. 5 of Act 73 of 2013]

Study committee on certain property tax exemptions

(a) Creation of committee. There is created a Property Tax Exemption Study Committee to study issues related to properties that fall within the public, pious, and charitable property tax exemption in 32 V.S.A. § 3802(4). The Committee shall study and make recommendations related to the definition, listing, valuation, and tax treatment of properties within this exemption.

(b) Membership. The Property Tax Exemption Study Committee shall be composed of seven members. Four members of the Committee shall be members of the General Assembly. The Committee on Committees of the Senate shall appoint two members of the Senate, not from the same political party, and the Speaker of the House shall appoint two members of the House, not from the same political party. The Chair and Vice Chair of the Committee shall be legislative members selected by all members of the Committee. Three members of the Committee shall be as follows:

(2) For purposes of its study of these issues, the Committee shall have the assistance of the Joint Fiscal Office, the Office of Legislative Council, and the Department of Taxes.

(d) Report. By January 15, 2014, the Committee shall report to the Senate Committee on Finance and the House Committee on Ways and Means its findings and any recommendations for legislative action.

(e) Number of meetings; term of Committee. The Committee may meet no more than six times, and shall cease to exist on January 16, 2014.

Other Reports/Information:

General Government

I. Quarterly Report on excess receipts [32 V.S.A. § 511]

If any receipts including federal receipts exceed the appropriated amounts, the receipts may be allocated and expended on the approval of the commissioner of finance and management. If, however, the expenditure of those receipts will establish or increase the scope of the program, which establishment or increase will at any time commit the state to the expenditure of state funds, they may only be expended upon the approval of the legislature. Excess federal receipts, whenever possible, shall be utilized to reduce the expenditure of state funds. The commissioner of finance and management shall report to the joint fiscal committee quarterly with a cumulative list and explanation of the allocation and expenditure of such excess receipts.

II. Small Grants Quarterly Report [32 V.S.A. § 5(a)(3)]

(3) This section shall not apply to the acceptance of grants, gifts, donations, loans, or other things of value with a value of \$5,000.00 or less, or to the acceptance by the department of forests, parks and recreation of grants, gifts, donations, loans, or other things of value with a value of \$15,000.00 or less, provided that such acceptance will not incur additional expense to the state or create an ongoing requirement for funds, services, or facilities. The secretary of administration and joint fiscal office shall be promptly notified of the source, value and purpose of any items received under this subdivision. The joint fiscal office shall report all such items to the joint fiscal committee quarterly.

III. Progress Report reflecting the outcomes and measures as applied to the projects funded under this section. [Act 40, Sec. 49(i) of 2011]

(i) The VTA shall ensure that any investments made or grants awarded under this section are in furtherance of the goals stated in 30 V.S.A. § 8060(b) and shall use the telecommunications measures established pursuant to No. 146 of the Acts of the 2009 Adj. Sess. (2010) (an act relating to implementation of challenges for change) to track the progress made in attaining those goals through such investments and grants. Beginning October 1, 2011, and for the next succeeding two years, on a quarterly basis, the VTA shall submit to the house committees on commerce and economic development and on corrections and institutions, the senate committees on economic development, housing and general affairs and on finance, and the joint fiscal committee a progress report reflecting the outcomes and measures as applied to the projects funded under this section. This report shall include location-specific information on the progress of deployment of telecommunications technology that does not require the utilization of towers.

IV. Burlington Tax Increment Financing annual report [Sec. 16(b)(3) of Act 45 of 2011]

(b) The terms of the formula approved by the joint fiscal committee are as follows:

(3) The city of Burlington will prepare a report annually, beginning July 1, 2010, for both the joint fiscal committee and the department of taxes, which will contain:

(A) the calculation set out in subdivision (2) of this subsection;

(B) a listing of each parcel within the Waterfront TIF District and the 1996 original taxable value, 2010 extended base value, and the most recent values for all homestead and nonresidential property;

(C) a history of all of the TIF revenue and debt service payments; and

(D) details of new debt authorized, including repayment schedules.

V. Challenges for Change – Quarterly Report and Implementation [Act 146, Sec. H4(a) of 2010]

(a) On a quarterly basis, beginning with July 1, 2010, the administration shall report to the chairs of the house and senate committees of jurisdiction, the joint legislative government accountability committee, and the joint fiscal committee. Each report shall include a statement of the measures and milestones summarized by the government accountability committee for that Challenge, a brief summary of milestones met and progress made in that Challenge, and the data collected to measure that progress.

Reports shall also include any modifications or additions proposed for the plan of implementation, and how these modifications or additions are designed to achieve the outcomes for that Challenge.

Human Services

I. Quarterly progress report on securing participation in joint purchasing agreements for pharmacy best practices and cost control program. [33 V.S.A. § 1998(c)(6)]

(c)(1) The commissioner may implement the pharmacy best practices and cost control program for any other health benefit plan within or outside this state that agrees to participate in the program.

(6)The commissioners and the secretary shall report quarterly to the health access oversight committee and the joint fiscal committee on their progress in securing Vermont's participation in such joint purchasing agreements.

II. Quarterly Report on the progress for completion of the Vermont State Hospital facility and development of a Secure Residential Recovery Program [Act 43, Sec. 31(f)(3) of 2009]

(f) The agency of human services shall submit the response of CMS, if any, or the fact that CMS has not responded to the request, to the senate committee on institutions and the house committee on corrections and institutions, the senate and house committees on appropriations, the senate committee on health and welfare, the house committee on human services, the joint fiscal committee, and the mental health oversight committee.

(3) Outside the legislative session, the department of mental health shall provide quarterly updates to the joint fiscal committee and the mental health oversight committee on the progress toward completing the facility and developing the residential recovery program.

III. Report of unexpended funds transfer to correctional services out-of-state beds. [Sec. 90 of Act 1 of 2013]

(b) In fiscal year 2013, the secretary of administration may, upon recommendation of the secretary of human services, transfer unexpended funds between the respective appropriations for correctional services and for correctional services – out-of-state beds. At least three days prior to any such transfer being made, the secretary of administration shall report the intended transfer to the joint fiscal office and shall report any completed transfers to the joint fiscal committee at its next scheduled meeting.

Natural Resources

Annual report of the Agency of Natural Resources of costs and expenditures for proceedings of the Federal Energy Regulatory Commission [30 V.S.A. § 20(a)(2)(C)

(a)(1) The board or department may authorize or retain legal counsel, official stenographers, expert witnesses, advisors, temporary employees, and other research services:

(2) The agency of natural resources may authorize or retain legal counsel, official stenographers, expert witnesses, advisors, temporary employees, other research, scientific or engineering services to:

(C) assist the board or department in any proceedings described in subdivisions (b)(9) (Federal Energy Regulatory Commission) and (11) (Nuclear Regulatory Commission) of this section. Allocation of agency of natural resources costs under this subdivision (C) shall be in the same manner as provided under subdivisions (b)(9) and (11) of this section. The agency of natural resources shall report annually to the joint fiscal committee all costs incurred and expenditures charged under the authority of this subsection with respect to proceedings under subdivision (b)(9) of this section and the purpose for which such costs were incurred and expenditures made.

Protection

Quarterly Report of costs and expenditures for proceedings of the Federal Energy Regulatory Commission [30 V.S.A. § 20(b)(9)]

(b) Proceedings, including appeals therefrom, for which additional personnel may be retained are:

(9) proceedings at the Federal Energy Regulatory Commission which involve Vermont utilities or which may affect the interests of the state of Vermont. Costs under this subdivision shall be charged to the involved electric or natural gas companies pursuant to section 21(a) of this title. In cases where the proceeding is generic in nature the costs shall be allocated to electric or natural gas companies in proportion to the benefits sought for the customers of such companies from such advocacy. The public service board and the department of public service shall report quarterly to the joint fiscal committee all costs incurred and expenditures charged under the authority of this subsection, and the purpose for which such costs were incurred and expenditures made;

Joint Transportation Oversight Committee Reports

I. Agenda Item 4b.

II. Purchase of rail bridge inspection vehicle [Sec. 13(d) of Act 153 of 2012

(d) The agency shall promptly report any action taken under the authority granted in subsection (b) or (c) of this section to the joint fiscal office and to the house and senate committees on transportation when the general assembly is in session and, when the general assembly is not in session, to the joint transportation oversight committee.

B.

STATE of VERMONT
AGENCY of NATURAL RESOURCES
DEPARTMENT of ENVIRONMENTAL CONSERVATION
~ Commissioners Office ~

MEMORANDUM

Tel: (802) 828-1556

TO: Jeb Spaulding, Secretary, Agency of Administration

THRU: Jim Reardon, Commissioner, Department of Finance & Management
Jason Aronowitz, Budget Analyst, Department of Finance & Management

FROM: David K. Mears, Commissioner, Department of Environmental Conservation

DATE: April 16, 2013

SUBJECT: Dept. of Environmental Conservation Request for Authorization to Conduct
Emergency Remedial Actions

Attached is request for authorization to conduct emergency remedial actions at the former Fillipo Dry Cleaners as detailed in the attached memorandum from our Waste Management and Prevention Division. On rare occasions we are faced with site clean-up work exceeding the statutory limits as outlined in 10 V.S.A. 1283(b), and Fillipo Dry Cleaners in Rutland, Vermont unfortunately is projected to do so.

Should you have any questions on this request, or require additional information please do not hesitate to contact us.

Thank you.

Attachment

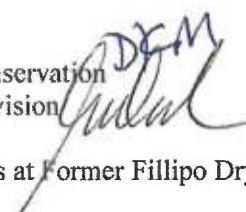
Cc: George Desch, Waste Management & Prevention Division Director
Matt Chapman, DEC General Counsel
Joanna Pallito, DEC Financial Director

APR 18 2013

State of Vermont
Department of Environmental Conservation
Waste Management and Prevention Division
1 National Life Drive-Davis 1
Montpelier, VT 05620-3704

MEMORANDUM

To: Deb Markowitz, Secretary, Agency of Natural Resources
Thru: David Mears, Commissioner, Department of Environmental Conservation
From: George Desch, Director, Waste Management and Prevention Division
Date: March 20, 2013
Subject: Request for authorization to conduct emergency remedial actions at Former Fillipo Dry Cleaners, 84 Woodstock Avenue, Rutland, Vermont

**Background**

The Environmental Contingency Fund (ECF) is a fund administered by the Agency of Natural Resources (ANR) to respond to releases of hazardous materials that pose a threat to human health or the environment. The ECF has certain limited purposes established by statute for which funds can be spent. See 10 V.S.A. 1283(b). The ECF limits the authority of the ANR to spend funds greater than \$100,000.00 per work activity authorized by law.¹ Requests greater than \$100,000.00 must be included in the ANR budget or authorized by the joint fiscal committee when the legislature is not in session.

The Fillipo dry cleaner site (Site) is a dry cleaner located in Rutland, Vermont. The facility used tetrachloroethylene (PCE) within the drycleaning process at the facility. PCE is a likely human carcinogen and the ANR regulates PCE to a groundwater standard of 5 ug/L and a soil screening value of 0.8 mg/kg. PERC has been found at the Site in concentrations of 22,000 ug/L in groundwater and 380 mg/kg in soils.

A cleanup plan was approved for the Site, however the Site owner failed to implement cleanup plan resulting in an emergency order from Vermont Superior Court-Environmental Division ordering performance of this work and a following final order fining the property owner for failure to implement corrective action and authorizing a State lien on the subject property in order to secure refundable costs of implementing cleanup plan.

Over the winter, the WMPD confirmed that the groundwater contamination poses a real and imminent threat to off-site residential properties. Concentrations of PCE have increased by an order of magnitude at down gradient well locations since the original corrective action plan was drafted.

Cleanup activities and request for authorization to spend funds

The proposed cleanup includes two significant response activities: (1) physical removal of contaminated soils and (2) installation of groundwater treatment system referred to as a permeable reactive barrier (PRB).

The installation of the PRB is critical, as its installation will serve to stem the further migration of PERC contaminated groundwater from extending towards residential properties located "downstream" from the Site.

¹ 10 V.S.A. § 1283(b) allows the ANR to spend in excess of \$100,000.00 in emergency situations. While the situation presented by releases from the Fillipo dry cleaner represent a risk to human health that warrants a prompt response by the ANR it does not constitute an emergency that would remove this funding from legislative review.

Further migration of the plume will result in additional off-site adverse impacts to groundwater quality and may present significant indoor air hazard risks to residential properties.

PRB costs are estimated between \$257,000.00 and \$530,000.00 with a construction schedule for the PRB in Summer 2013. Source area excavation of contaminated soils is estimated between \$527,000.00-\$677,000.00. The ECF has sufficient funds to pay for this remedial work and this request for an authorization of spending authority to perform the above referenced tasks.

Costs Anticipated/Schedule

In accordance with 10 V.S.A. Section 1283. Contingency Fund:

Action	Cost	Date
1283 (b)(2) Investigation (assessment)	\$29,000 (incurred)	December 2012
1283 (b)(2) Investigation (assessment)	\$20,000	May 2013
1283 (b) (1) Remedial Action (PRB)	\$257K- \$530K	July 2013
1283 (b) (3) Removal Action (Soil Removal)	\$527K-\$677K	September 2013 or 2014

Requested Language to the Appropriations Bill

Sec. XXX. AUTHORIZATION FOR EXPENDITURES AT THE FORMER FILLIPO DRY CLEANER SITE

(a) Pursuant to 10 V.S.A. § 1283(b), the following expenditures are authorized at the Fillipo Dry Cleaner Site located at 84

Woodstock Avenue, Rutland, Vermont:

(1) \$ 530,000.00 of total expenditures to conduct remedial actions at the site; and

(2) \$ 677,000.00 of total expenditures to conduct removal actions at the site.

State of Vermont
Department of Financial Regulation
89 Main Street
Montpelier, VT 05620-3101
www.dfr.vermont.gov

For consumer assistance
[All Insurance] 800-964-1784
[Securities] 877-550-3907
[Banking] 888-568-4547

July 19, 2013

Honorable Martha Heath
Joint Fiscal Committee
1 Baldwin Street
Montpelier, VT 05602

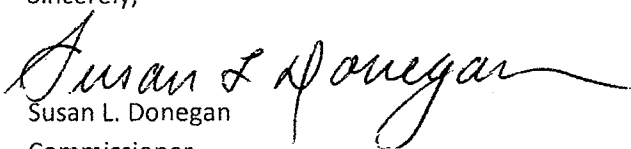
Dear Representative Heath:

Below are the final figures for Fiscal Year 2013 receipts available to the General Fund from the Insurance and Securities Regulatory Funds.

Pursuant to Section 55(a)(2) of Act No. 1 of 2013, I hereby certify that the transfer of the below amounts will not impair the ability of this Department in Fiscal Year 2014 to provide thorough, competent, fair, and effective regulation of insurance companies, banking and other financial services companies, and securities companies or impair the ability of the Department to maintain accreditation by the National Association of Insurance Commissioners.

Fund	Amount
Insurance Regulatory and Supervision Fund	\$15,901,411
Securities Regulatory and Supervision Fund	\$4,818,258
Total	\$20,719,669

Sincerely,


Susan L. Donegan
Commissioner



State of Vermont
 Department of Finance & Management
 109 State Street, Pavilion Building
 Montpelier, VT 05620-0401

Agency of Administration

[phone] 802-828-2376
 [fax] 802-828-2428

FINAL RESULTS (assuming E-Board Action 7/23/13)

Joint Fiscal Committee - July 23, 2013

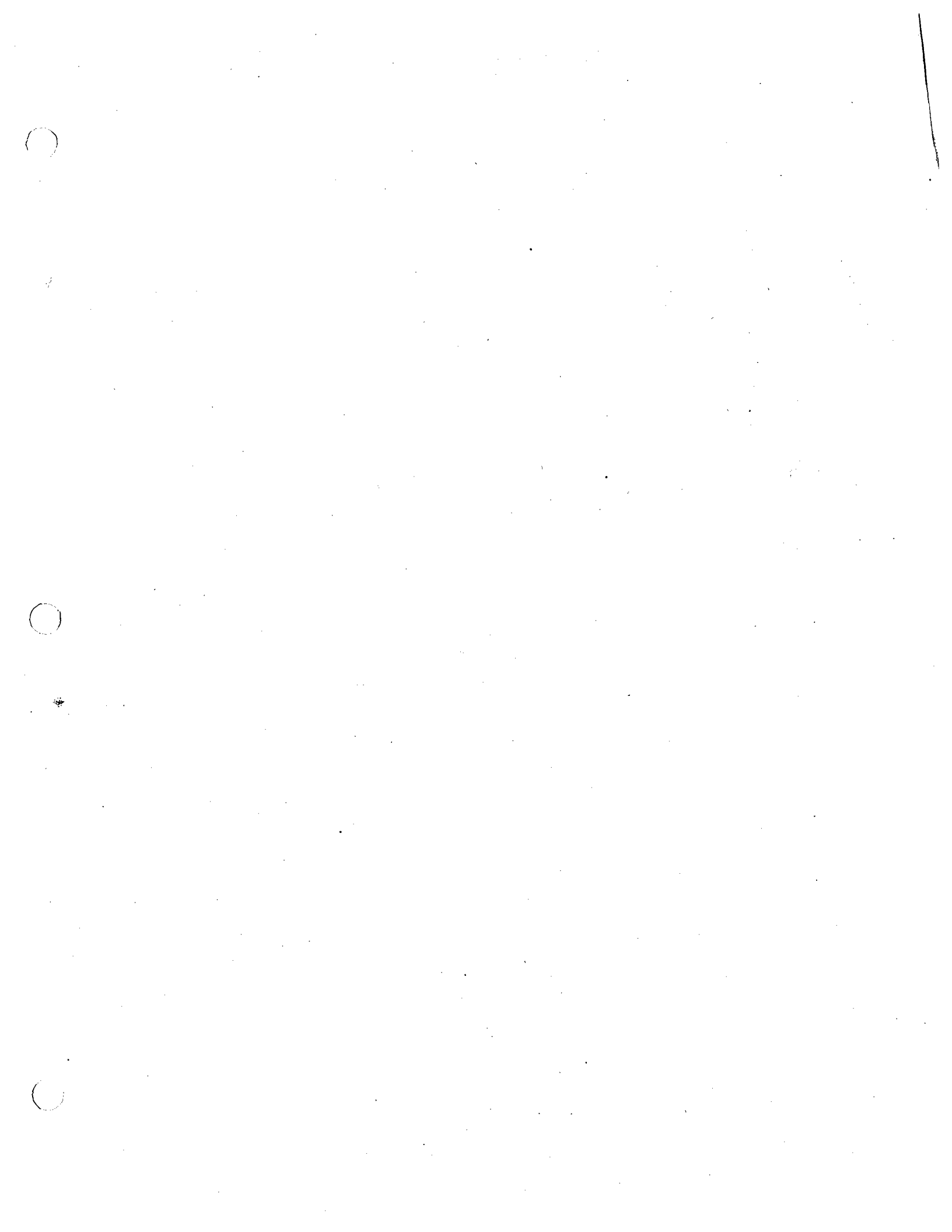
Jim Reardon, Commissioner of Finance & Management

FY 2013 General Fund Revenue vs. Target				
Major Fund (\$ millions)	FY 2013 Final	FY 2013 Target (Jan. 23, 2013)	FY 2013 Final vs. Target	
			Amount	Percent
General Fund	\$1,288.58	\$1,262.50	+\$26.10	+2.07%
Other Changes:				
	Appropriated to Supplemental Property Tax Relief Fund		-13.05	32 VSA § 308c (a)(2)
	Appropriated to Sec. Admin → For Federal Cuts		-\$6.52	32 VSA § 308c (a)(3)
	Change to Direct Apps & Reversions		+\$4.77	DFR increase +\$3.69 m; Tax Modernization +\$0.25 m; Unclaimed Property +\$0.83m
	Misc. Adj. to VEDA w/o and Property Transfer Tax		-\$0.19	Minor changes to balance to actual close-out numbers
	Unrealized Savings Ben. Rate Holiday		-\$0.22	Technical correction will be required in next session.
	\$ Transferred to GF Balance Reserve		-\$10.89	
	Unreserved & Undesignated GF Balance		\$0.00	

FY 2013 Transportation & Education Fund Revenue vs. Target				
Major Fund (\$ millions)	FY 2013 Final	FY 2013 Target (Jan. 23, 2013)	FY 2013 Final vs. Target	
			Amount	Percent
Transportation Fund	\$ 228.19	\$ 229.10	-\$0.91	-0.40%
TIB (combined)	\$ 22.97	\$ 23.20	-\$0.23	-0.99%
Education Fund	\$ 166.46	\$ 166.80	-\$0.34	-0.20%

FY 2013 Close-out – General Fund Direct Applications/Reversions			
General Fund (\$ millions)	Preliminary FY 2013 Closeout	Assumed FY 2012 BAA	Amount +Change-
Direct Applications & Reversions	\$50.11	\$54.88	+\$4.77

FY 2013 General Fund Reserves & Designated Items		
General Fund (\$ millions)	FY 2013 Closeout	Explanation
To Sec Admin.	\$ 6.525	Designated for Federal cuts, subject to future E-Board action.
To Supplemental Property Tax Relief Fund	\$13.05	Per 32 VSA § 6075(b) – In FY 2014, 50% of FY 2014 consensus revenue increase, adjusted for tax impact in 13 session (+\$4m-1.5m = \$2.5m) shall be transferred & appropriated to EF - \$1.25 m to EF.
GF Balance Reserve	\$11.93	\$1.04m assumed “as Passed” balance + \$10.89 net new close-out activity.
Budget Stabilization Reserve	\$62.50	At full statutory 5% level.
HS Caseload Reserve	\$0.00	Prior year balance (\$18.51m) unreserved and used during FY 2013; no balance remaining.



State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 056209-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

To: Members, Joint Fiscal and Joint Transportation Oversight Committees
From: Jeb Spaulding, Secretary of Administration
Date: July 9, 2013
Subject: Report of payments required by Section 77a(b) of Act No. 75 of 2012 Legislative Session

Attached is the report required in Sec. 77a(b) of Act No. 75 of 2012 which states:

STATE MATCH FOR TROPICAL STORM IRENE OR SPRING FLOODING; FEMA PAYMENTS TO MUNICIPALITIES

Payments from the emergency relief and assistance fund to municipalities to meet match requirements for FEMA public assistance grants for Tropical Storm Irene or spring 2011 flooding federal disaster relief shall be reported to the joint fiscal committee and the joint transportation oversight committee for the preceding state fiscal quarters, cumulatively, by April 15, July 15, October 15, and January 15, 2013, and quarterly on those dates thereafter, until such payments have been completed.

CC: Neil Schickner, Joint Fiscal Office, Brian Searles, Agency of Transportation



Sec 77a of Act 75 of the 2012 Session Expenditures through 06/30/2013

<u>Event</u>	<u>Event Name</u>	<u>Fund #</u>	<u>Fund Name</u>	<u>Expended:</u>
1995	April Event	21555	ERAF - Emergency Relief and Assistance	\$674,007.54
4001	May Event	21555	ERAF - Emergency Relief and Assistance	\$1,291,145.90
4022	Irene Event	21555	ERAF - Emergency Relief and Assistance	\$3,203,611.06
4043	May 20, 2011 Event	21555	ERAF - Emergency Relief and Assistance	\$142,838.97
ERAF Total:				<u>\$5,311,603.47</u>

Revised 7/22/2013



Sec. 77a of Act 75 of the 2012 Session

1995 - April Event

Fund Name	VendorNameStars	Payment Amount
Emergency Relief & Assistance Fund	ALBURGH TOWN TREASURER	8,808.05
Emergency Relief & Assistance Fund	BARTON TOWN TREASURER	3,878.63
Emergency Relief & Assistance Fund	BELVIDERE TOWN TREASURER	1,058.88
Emergency Relief & Assistance Fund	BOLTON TOWN TREASURER	2,060.18
Emergency Relief & Assistance Fund	BRIGHTON TOWN TREASURER	3,505.66
Emergency Relief & Assistance Fund	BROWNINGTON TOWN TREASURER	19,540.16
Emergency Relief & Assistance Fund	BURLINGTON CITY TREASURER	21,630.22
Emergency Relief & Assistance Fund	CAMBRIDGE TOWN TREASURER	5,000.84
Emergency Relief & Assistance Fund	CHARLESTON TOWN TREASURER	4,710.96
Emergency Relief & Assistance Fund	COLCHESTER TOWN TREASURER	3,943.54
Emergency Relief & Assistance Fund	CONCORD TOWN TREASURER	6,731.29
Emergency Relief & Assistance Fund	COVENTRY TOWN TREASURER	5,472.14
Emergency Relief & Assistance Fund	CRAFTSBURY TOWN TREASURER	4,361.88
Emergency Relief & Assistance Fund	DERBY TOWN TREASURER	6,902.51
Emergency Relief & Assistance Fund	DUXBURY TOWN TREASURER	135,179.18
Emergency Relief & Assistance Fund	EAST HAVEN TOWN TREASURER	44,802.35
Emergency Relief & Assistance Fund	EDEN TOWN TREASURER	11,048.81
Emergency Relief & Assistance Fund	ENOSBURG TOWN TREASURER	3,087.24
Emergency Relief & Assistance Fund	ESSEX TOWN TREASURER	14,133.83
Emergency Relief & Assistance Fund	FERRISBURGH TOWN TREASURER	17,909.25
Emergency Relief & Assistance Fund	GEORGIA TOWN TREASURER	7,179.31
Emergency Relief & Assistance Fund	GLOVER TOWN TREASURER	771.96
Emergency Relief & Assistance Fund	GOSHEN TOWN TREASURER	3,511.73
Emergency Relief & Assistance Fund	GRAND ISLE TOWN TREASURER	17,539.66
Emergency Relief & Assistance Fund	GREENSBORO TOWN TREASURER	2,364.73
Emergency Relief & Assistance Fund	HIGHGATE TOWN TREASURER	3,936.83
Emergency Relief & Assistance Fund	HUNTINGTON TOWN TREASURER	30,250.44
Emergency Relief & Assistance Fund	HYDE PARK TOWN TREASURER	16,542.21
Emergency Relief & Assistance Fund	IRASBURG TOWN TREASURER	3,684.42
Emergency Relief & Assistance Fund	ISLE LAMOTTE TOWN TREASURER	52,365.96
Emergency Relief & Assistance Fund	JAY TOWN TREASURER	5,360.76
Emergency Relief & Assistance Fund	JERICO TOWN TREASURER	18,157.13
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	7,678.32
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	6,118.88
Emergency Relief & Assistance Fund	LOWELL TOWN TREASURER	446.49
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	2,095.80
Emergency Relief & Assistance Fund	MILTON TOWN TREASURER	3,335.12
Emergency Relief & Assistance Fund	MONKTON TOWN TREASURER	6,972.38
Emergency Relief & Assistance Fund	MORRISTOWN TOWN TREASURER	29,981.55
Emergency Relief & Assistance Fund	NEWPORT TOWN TREASURER	1,411.63
Emergency Relief & Assistance Fund	NORTH HERO TOWN TREASURER	11,171.18
Emergency Relief & Assistance Fund	NORTON TOWN TREASURER	318.50
Emergency Relief & Assistance Fund	ORWELL TOWN TREASURER	3,030.53
Emergency Relief & Assistance Fund	PANTON TOWN TREASURER	4,750.24
Emergency Relief & Assistance Fund	RICHMOND TOWN TREASURER	6,149.44
Emergency Relief & Assistance Fund	SHELBURNE TOWN TREASURER	811.88
Emergency Relief & Assistance Fund	SHOREHAM TOWN TREASURER	1,828.95
Emergency Relief & Assistance Fund	SOUTH BURLINGTON CITY TREASURER	1,078.92
Emergency Relief & Assistance Fund	SOUTH HERO TOWN TREASURER	4,458.46

Sec. 77a of Act 75 of the 2012 Session

Sec. 77a of Act 75 of the 2012 Session

4001- May 2011 Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	BARNET TOWN TREASURER	144,245.51
Emergency Relief & Assistance Fund	BARRE CITY TREASURER	44,396.34
Emergency Relief & Assistance Fund	BARRE TOWN TREASURER	45,497.00
Emergency Relief & Assistance Fund	BERLIN TOWN TREASURER	115,225.59
Emergency Relief & Assistance Fund	BRAINTREE TOWN TREASURER	3,142.18
Emergency Relief & Assistance Fund	CABOT TOWN TREASURER	36,144.30
Emergency Relief & Assistance Fund	CONCORD TOWN TREASURER	115,856.29
Emergency Relief & Assistance Fund	DANVILLE TOWN TREASURER	71,777.68
Emergency Relief & Assistance Fund	EAST HAVEN TOWN TREASURER	7,295.02
Emergency Relief & Assistance Fund	EAST MONTPELIER TOWN TREASURER	38,569.94
Emergency Relief & Assistance Fund	GRANBY TOWN TREASURER	16,322.43
Emergency Relief & Assistance Fund	GROTON TOWN TREASURER	9,021.52
Emergency Relief & Assistance Fund	GUILDHALL TOWN TREASURER	7,853.40
Emergency Relief & Assistance Fund	KIRBY TOWN TREASURER	58,894.05
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	69,623.17
Emergency Relief & Assistance Fund	LYNDON TOWN TREASURER	12,557.03
Emergency Relief & Assistance Fund	MARSHFIELD TOWN TREASURER	78,051.50
Emergency Relief & Assistance Fund	MIDDLESEX TOWN TREASURER	7,277.60
Emergency Relief & Assistance Fund	MONTPELIER CITY TREASURER	48,456.61
Emergency Relief & Assistance Fund	MORETOWN TOWN TREASURER	3,782.02
Emergency Relief & Assistance Fund	NORTHFIELD TOWN TREASURER	33,009.88
Emergency Relief & Assistance Fund	NORTHFIELD VILLAGE TREASURER	1,411.11
Emergency Relief & Assistance Fund	ORANGE TOWN TREASURER	6,717.84
Emergency Relief & Assistance Fund	PEACHAM TOWN TREASURER	63,724.04
Emergency Relief & Assistance Fund	PLAINFIELD TOWN TREASURER	61,931.25
Emergency Relief & Assistance Fund	RANDOLPH TOWN TREASURER	1,257.89
Emergency Relief & Assistance Fund	ROXBURY TOWN TREASURER	2,592.48
Emergency Relief & Assistance Fund	ST JOHNSBURY TOWN TREASURER	69,278.49
Emergency Relief & Assistance Fund	VICTORY TOWN TREASURER	5,212.36
Emergency Relief & Assistance Fund	WALDEN TOWN TREASURER	1,333.18
Emergency Relief & Assistance Fund	WATERFORD TOWN TREASURER	90,496.34
Emergency Relief & Assistance Fund	WILLIAMSTOWN TOWN TREASURER	20,191.86
		\$ 1,291,145.90

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4022- Irene Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	ADDISON TOWN TREASURER	897.02
Emergency Relief & Assistance Fund	ALBANY TOWN TREASURER	301.84
Emergency Relief & Assistance Fund	ANDOVER TOWN TREASURER	11,975.49
Emergency Relief & Assistance Fund	ARLINGTON TOWN TREASURER	1,266.63
Emergency Relief & Assistance Fund	ATHENS TOWN TREASURER	7,294.33
Emergency Relief & Assistance Fund	BALTIMORE TOWN TREASURER	851.95
Emergency Relief & Assistance Fund	BARNARD TOWN TREASURER	20,235.11
Emergency Relief & Assistance Fund	BARNET TOWN TREASURER	32,733.04
Emergency Relief & Assistance Fund	BARRE CITY TREASURER	573.81
Emergency Relief & Assistance Fund	BARRE TOWN TREASURER	2,901.65
Emergency Relief & Assistance Fund	BARTON TOWN TREASURER	350.00
Emergency Relief & Assistance Fund	BARTON VILLAGE TREASURER	192.07
Emergency Relief & Assistance Fund	BELLOWS FALLS VILLAGE TREASURER	405.20
Emergency Relief & Assistance Fund	BENNINGTON TOWN TREASURER	48,768.48
Emergency Relief & Assistance Fund	BENSON TOWN TREASURER	1,567.82
Emergency Relief & Assistance Fund	BERLIN TOWN TREASURER	24,873.49
Emergency Relief & Assistance Fund	BETHEL TOWN TREASURER	35,624.40
Emergency Relief & Assistance Fund	BOLTON TOWN TREASURER	2,037.70
Emergency Relief & Assistance Fund	BRAINTREE TOWN TREASURER	32,431.49
Emergency Relief & Assistance Fund	BRANDON TOWN TREASURER	4,906.79
Emergency Relief & Assistance Fund	BRATTLEBORO TOWN TREASURER	41,414.30
Emergency Relief & Assistance Fund	BRIDGEWATER TOWN TREASURER	63,360.91
Emergency Relief & Assistance Fund	BRIDPORT TOWN TREASURER	21,267.65
Emergency Relief & Assistance Fund	BRIGHTON TOWN TREASURER	1,547.24
Emergency Relief & Assistance Fund	BRISTOL TOWN TREASURER	2,217.15
Emergency Relief & Assistance Fund	BROOKFIELD TOWN TREASURER	185.86
Emergency Relief & Assistance Fund	BROOKLINE TOWN TREASURER	2,144.06
Emergency Relief & Assistance Fund	BROWNINGTON TOWN TREASURER	172.28
Emergency Relief & Assistance Fund	BURLINGTON CITY TREASURER	825.05
Emergency Relief & Assistance Fund	CAMBRIDGE TOWN TREASURER	1,247.27
Emergency Relief & Assistance Fund	CASTLETON TOWN TREASURER	6,403.44
Emergency Relief & Assistance Fund	CAVENDISH TOWN TREASURER	181,737.22
Emergency Relief & Assistance Fund	CHARLESTON TOWN TREASURER	6,559.74
Emergency Relief & Assistance Fund	CHELSEA TOWN TREASURER	(490.50)
Emergency Relief & Assistance Fund	CHELSEA TOWN TREASURER	817.52
Emergency Relief & Assistance Fund	CHESTER TOWN TREASURER	54,587.36
Emergency Relief & Assistance Fund	CHITTENDEN TOWN TREASURER	9,488.91
Emergency Relief & Assistance Fund	CLARENDON TOWN TREASURER	174.26
Emergency Relief & Assistance Fund	CORINTH TOWN TREASURER	2,969.76
Emergency Relief & Assistance Fund	COVENTRY TOWN TREASURER	334.60
Emergency Relief & Assistance Fund	DANBY TOWN TREASURER	2,625.79
Emergency Relief & Assistance Fund	DANVILLE TOWN TREASURER	3,377.13
Emergency Relief & Assistance Fund	DORSET TOWN TREASURER	735.60
Emergency Relief & Assistance Fund	DOVER TOWN TREASURER	24,341.48
Emergency Relief & Assistance Fund	DUMMERSTON TOWN TREASURER	2,903.67
Emergency Relief & Assistance Fund	DUXBURY TOWN TREASURER	156,771.77
Emergency Relief & Assistance Fund	EAST MONTPELIER TOWN TREASURER	1,785.26
Emergency Relief & Assistance Fund	ELMORE TOWN TREASURER	2,573.21
Emergency Relief & Assistance Fund	ENOSBURG TOWN TREASURER	174.52

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4022- Irene Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	FAIR HAVEN TOWN TREASURER	103.19
Emergency Relief & Assistance Fund	FAYSTON TOWN TREASURER	625.78
Emergency Relief & Assistance Fund	GOSHEN TOWN TREASURER	2,140.12
Emergency Relief & Assistance Fund	GRAFTON TOWN TREASURER	199,373.18
Emergency Relief & Assistance Fund	GRANVILLE TOWN TREASURER	2,501.33
Emergency Relief & Assistance Fund	GREENSBORO TOWN TREASURER	1,952.32
Emergency Relief & Assistance Fund	GROTON TOWN TREASURER	4,276.14
Emergency Relief & Assistance Fund	GUILFORD TOWN TREASURER	816.60
Emergency Relief & Assistance Fund	HALIFAX TOWN TREASURER	14,328.28
Emergency Relief & Assistance Fund	HANCOCK TOWN TREASURER	69,064.96
Emergency Relief & Assistance Fund	HARDWICK TOWN TREASURER	502.85
Emergency Relief & Assistance Fund	HARTFORD TOWN TREASURER	57,487.24
Emergency Relief & Assistance Fund	HARTLAND TOWN TREASURER	2,782.99
Emergency Relief & Assistance Fund	HOLLAND TOWN TREASURER	747.75
Emergency Relief & Assistance Fund	HUBBARDTON TOWN TREASURER	2,743.70
Emergency Relief & Assistance Fund	HUNTINGTON TOWN TREASURER	1,020.14
Emergency Relief & Assistance Fund	IRA TOWN TREASURER	2,768.92
Emergency Relief & Assistance Fund	IRASBURG TOWN TREASURER	894.25
Emergency Relief & Assistance Fund	JAMAICA TOWN TREASURER	29,359.03
Emergency Relief & Assistance Fund	JAY TOWN TREASURER	1,810.79
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	359.53
Emergency Relief & Assistance Fund	JOHNSON VILLAGE TREASURER	730.60
Emergency Relief & Assistance Fund	KILLINGTON TOWN TREASURER	16,933.98
Emergency Relief & Assistance Fund	KIRBY TOWN TREASURER	42,115.72
Emergency Relief & Assistance Fund	LANDGROVE TOWN TREASURER	227.41
Emergency Relief & Assistance Fund	LEICESTER TOWN TREASURER	301.95
Emergency Relief & Assistance Fund	LINCOLN TOWN TREASURER	5,508.97
Emergency Relief & Assistance Fund	LONDONDERRY TOWN TREASURER	10,830.12
Emergency Relief & Assistance Fund	LOWELL TOWN TREASURER	149.99
Emergency Relief & Assistance Fund	LUDLOW TOWN TREASURER	105,328.84
Emergency Relief & Assistance Fund	LUDLOW VILLAGE TREASURER	3,394.51
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	61,293.65
Emergency Relief & Assistance Fund	LYNDON TOWN TREASURER	1,886.83
Emergency Relief & Assistance Fund	MANCHESTER TOWN TREASURER	9,953.23
Emergency Relief & Assistance Fund	MARLBORO TOWN TREASURER	8,448.90
Emergency Relief & Assistance Fund	MARSHFIELD TOWN TREASURER	18,425.98
Emergency Relief & Assistance Fund	MENDON TOWN TREASURER	17,212.52
Emergency Relief & Assistance Fund	MIDDLEBURY TOWN TREASURER	3,024.21
Emergency Relief & Assistance Fund	MIDDLESEX TOWN TREASURER	1,590.66
Emergency Relief & Assistance Fund	MIDDLETOWN SPRINGS TOWN TREASURER	3,831.69
Emergency Relief & Assistance Fund	MILTON TOWN TREASURER	2,580.00
Emergency Relief & Assistance Fund	MONTGOMERY TOWN TREASURER	3,824.48
Emergency Relief & Assistance Fund	MONTPELIER CITY TREASURER	515.30
Emergency Relief & Assistance Fund	MORETOWN TOWN TREASURER	40,450.96
Emergency Relief & Assistance Fund	MORGAN TOWN TREASURER	87.20
Emergency Relief & Assistance Fund	MORRISTOWN TOWN TREASURER	996.01
Emergency Relief & Assistance Fund	MOUNT HOLLY TOWN TREASURER	14,445.23
Emergency Relief & Assistance Fund	NEWBURY TOWN TREASURER	2,726.38
Emergency Relief & Assistance Fund	NEWFANE TOWN TREASURER	48,901.99

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4022- Irene Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	NEWPORT TOWN TREASURER	910.66
Emergency Relief & Assistance Fund	NORTH BENNINGTON VILLAGE TREASURER	1,378.05
Emergency Relief & Assistance Fund	NORTHFIELD TOWN TREASURER	21,003.99
Emergency Relief & Assistance Fund	NORTHFIELD VILLAGE TREASURER	712.41
Emergency Relief & Assistance Fund	NORWICH TOWN TREASURER	28,044.96
Emergency Relief & Assistance Fund	ORANGE TOWN TREASURER	395.86
Emergency Relief & Assistance Fund	ORWELL TOWN TREASURER	3,109.68
Emergency Relief & Assistance Fund	PAWLET TOWN TREASURER	859.09
Emergency Relief & Assistance Fund	PEACHAM TOWN TREASURER	24,768.80
Emergency Relief & Assistance Fund	PERU TOWN TREASURER	456.51
Emergency Relief & Assistance Fund	PITTSFIELD TOWN TREASURER	14,497.64
Emergency Relief & Assistance Fund	PITTSFORD TOWN TREASURER	3,596.22
Emergency Relief & Assistance Fund	PLAINFIELD TOWN TREASURER	1,274.47
Emergency Relief & Assistance Fund	PLYMOUTH TOWN TREASURER	17,015.78
Emergency Relief & Assistance Fund	POMFRET TOWN TREASURER	28,285.78
Emergency Relief & Assistance Fund	POULTNEY TOWN TREASURER	227.60
Emergency Relief & Assistance Fund	POULTNEY VILLAGE TREASURER	80.79
Emergency Relief & Assistance Fund	POWNAI TOWN TREASURER	190.12
Emergency Relief & Assistance Fund	PROCTOR TOWN TREASURER	846.12
Emergency Relief & Assistance Fund	PUTNEY TOWN TREASURER	3,007.94
Emergency Relief & Assistance Fund	RANDOLPH TOWN TREASURER	31,902.07
Emergency Relief & Assistance Fund	READING TOWN TREASURER	32,518.40
Emergency Relief & Assistance Fund	READSBORO TOWN TREASURER	6,709.53
Emergency Relief & Assistance Fund	RICHFORD TOWN TREASURER	2,353.31
Emergency Relief & Assistance Fund	RICHMOND TOWN TREASURER	2,779.39
Emergency Relief & Assistance Fund	RIPTON TOWN TREASURER	6,263.36
Emergency Relief & Assistance Fund	ROCHESTER TOWN TREASURER	97,170.06
Emergency Relief & Assistance Fund	ROCKINGHAM TOWN TREASURER	19,292.21
Emergency Relief & Assistance Fund	ROXBURY TOWN TREASURER	164,308.93
Emergency Relief & Assistance Fund	ROYALTON TOWN TREASURER	12,856.63
Emergency Relief & Assistance Fund	RUPERT TOWN TREASURER	228.86
Emergency Relief & Assistance Fund	RUTLAND CITY TREASURER	44,856.27
Emergency Relief & Assistance Fund	RUTLAND TOWN TREASURER	1,051.19
Emergency Relief & Assistance Fund	RYEGATE TOWN TREASURER	3,231.54
Emergency Relief & Assistance Fund	SEARSBURG TOWN TREASURER	1,674.23
Emergency Relief & Assistance Fund	SHAFTSBURY TOWN TREASURER	2,439.19
Emergency Relief & Assistance Fund	SHARON TOWN TREASURER	11,356.43
Emergency Relief & Assistance Fund	SHEFFIELD TOWN TREASURER	10,264.52
Emergency Relief & Assistance Fund	SHOREHAM TOWN TREASURER	2,367.56
Emergency Relief & Assistance Fund	SHREWSBURY TOWN TREASURER	8,066.76
Emergency Relief & Assistance Fund	SOMERSET TOWN TREASURER	2,217.95
Emergency Relief & Assistance Fund	SOUTH BURLINGTON CITY TREASURER	335.17
Emergency Relief & Assistance Fund	SPRINGFIELD TOWN TREASURER	5,044.35
Emergency Relief & Assistance Fund	ST JOHNSBURY TOWN TREASURER	1,344.53
Emergency Relief & Assistance Fund	STAMFORD TOWN TREASURER	4,204.25
Emergency Relief & Assistance Fund	STANNARD TOWN TREASURER	16,628.88
Emergency Relief & Assistance Fund	STARKSBORO TOWN TREASURER	206.86
Emergency Relief & Assistance Fund	STOCKBRIDGE TOWN TREASURER	359,303.99
Emergency Relief & Assistance Fund	STOWE TOWN TREASURER	7,190.79

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4022- Irene Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	STRAFFORD TOWN TREASURER	73,069.89
Emergency Relief & Assistance Fund	STRATTON TOWN TREASURER	7,647.46
Emergency Relief & Assistance Fund	SUDBURY TOWN TREASURER	2,939.02
Emergency Relief & Assistance Fund	SUNDERLAND TOWN TREASURER	3,778.96
Emergency Relief & Assistance Fund	SUTTON TOWN TREASURER	1,502.24
Emergency Relief & Assistance Fund	THETFORD TOWN TREASURER	1,266.63
Emergency Relief & Assistance Fund	TINMOUTH TOWN TREASURER	932.80
Emergency Relief & Assistance Fund	TOPSHAM TOWN TREASURER	4,214.52
Emergency Relief & Assistance Fund	TOWNSHEND TOWN TREASURER	17,859.38
Emergency Relief & Assistance Fund	TUNBRIDGE TOWN TREASURER	6,894.33
Emergency Relief & Assistance Fund	VERNON TOWN TREASURER	568.67
Emergency Relief & Assistance Fund	VERSHIRE TOWN TREASURER	3,401.43
Emergency Relief & Assistance Fund	WAITSFIELD TOWN TREASURER	4,456.18
Emergency Relief & Assistance Fund	WALDEN TOWN TREASURER	2,466.09
Emergency Relief & Assistance Fund	WALLINGFORD TOWN TREASURER	4,968.54
Emergency Relief & Assistance Fund	WARDSBORO TOWN TREASURER	17,231.79
Emergency Relief & Assistance Fund	WARREN TOWN TREASURER	11,334.93
Emergency Relief & Assistance Fund	WASHINGTON TOWN TREASURER	2,912.08
Emergency Relief & Assistance Fund	WATERBURY TOWN TREASURER	16,183.70
Emergency Relief & Assistance Fund	WATERBURY VILLAGE TREASURER	5,411.00
Emergency Relief & Assistance Fund	WEATHERSFIELD TOWN TREASURER	8,180.67
Emergency Relief & Assistance Fund	WELLS TOWN TREASURER	668.83
Emergency Relief & Assistance Fund	WEST FAIRLEE TOWN TREASURER	7,729.89
Emergency Relief & Assistance Fund	WEST HAVEN TOWN TREASURER	4,276.92
Emergency Relief & Assistance Fund	WEST RUTLAND TOWN TREASURER	1,065.39
Emergency Relief & Assistance Fund	WEST WINDSOR TOWN TREASURER	37,124.96
Emergency Relief & Assistance Fund	WESTFIELD TOWN TREASURER	892.51
Emergency Relief & Assistance Fund	WESTMINSTER TOWN TREASURER	31,650.48
Emergency Relief & Assistance Fund	WESTON TOWN TREASURER	21,175.26
Emergency Relief & Assistance Fund	WHEELOCK TOWN TREASURER	17,048.17
Emergency Relief & Assistance Fund	WHITING TOWN TREASURER	10,141.39
Emergency Relief & Assistance Fund	WHITINGHAM TOWN TREASURER	30,456.03
Emergency Relief & Assistance Fund	WILLIAMSTOWN TOWN TREASURER	431.19
Emergency Relief & Assistance Fund	WILLISTON TOWN TREASURER	211.32
Emergency Relief & Assistance Fund	WILMINGTON TOWN TREASURER	23,578.95
Emergency Relief & Assistance Fund	WINDHAM TOWN TREASURER	8,755.34
Emergency Relief & Assistance Fund	WINDSOR TOWN TREASURER	4,161.70
Emergency Relief & Assistance Fund	WINHALL TOWN TREASURER	10,241.97
Emergency Relief & Assistance Fund	WOLCOTT TOWN TREASURER	983.83
Emergency Relief & Assistance Fund	WOODBURY TOWN TREASURER	16,622.82
Emergency Relief & Assistance Fund	WOODFORD TOWN TREASURER	8,488.59
Emergency Relief & Assistance Fund	WOODSTOCK TOWN TREASURER	111,159.82
Emergency Relief & Assistance Fund	WOODSTOCK VILLAGE TREASURER	5,222.83
Emergency Relief & Assistance Fund	WORCESTER TOWN TREASURER	1,655.66
		\$ 3,203,611.06

Sec. 77a of Act 75 of the 2012 Session

4043 - May 20, 2011 Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	FAIRFIELD TOWN TREASURER	41,342.25
Emergency Relief & Assistance Fund	FAYSTON TOWN TREASURER	5,595.19
Emergency Relief & Assistance Fund	FLETCHER TOWN TREASURER	39,718.72
Emergency Relief & Assistance Fund	WAITSFIELD TOWN TREASURER	37,179.52
Emergency Relief & Assistance Fund	WESTMINSTER TOWN TREASURER	19,003.29
		\$ 142,838.97

State of Vermont
Agency of Administration
Office of the Secretary
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Jeb Spaulding, Secretary

MEMORANDUM

To: Members, Joint Fiscal and Joint Transportation Oversight Committees
From: Jeb Spaulding, Secretary of Administration
Date: April 11, 2013
Subject: Report of payments required by Section 77a(b) of Act No. 75 of 2012 Legislative Session

Attached is the report required in Sec. 77a(b) of Act No. 75 of 2012 which states:

STATE MATCH FOR TROPICAL STORM IRENE OR SPRING FLOODING; FEMA PAYMENTS TO MUNICIPALITIES

Payments from the emergency relief and assistance fund to municipalities to meet match requirements for FEMA public assistance grants for Tropical Storm Irene or spring 2011 flooding federal disaster relief shall be reported to the joint fiscal committee and the joint transportation oversight committee for the preceding state fiscal quarters, cumulatively, by April 15, July 15, October 15, and January 15, 2013, and quarterly on those dates thereafter, until such payments have been completed.

CC: Neil Schickner, Joint Fiscal Office, Brian Searles, Agency of Transportation



Sec 77a of Act 75 of the 2012 Session Expenditures through 03/31/2013

<u>Event</u>	<u>Event Name</u>	<u>Fund #</u>	<u>Fund Name</u>	<u>Expended:</u>
1995	April Event	21555	ERAF - Emergency Relief and Assistance	\$667,518.73
4001	May Event	21555	ERAF - Emergency Relief and Assistance	\$1,269,719.08
4022	Irene Event	21555	ERAF - Emergency Relief and Assistance	\$2,766,357.22
4043	May 20, 2011 Event	21555	ERAF - Emergency Relief and Assistance	\$142,873.65
ERAF Total:				<u>\$4,846,468.68</u>

Sec. 77a of Act 75 of the 2012 Session

1995 - April Event

Fund Name	VendorNameStars	Payment Amount
Emergency Relief & Assistance Fund	ALBURGH TOWN TREASURER	8,808.05
Emergency Relief & Assistance Fund	BARTON TOWN TREASURER	3,878.63
Emergency Relief & Assistance Fund	BELVIDERE TOWN TREASURER	1,058.88
Emergency Relief & Assistance Fund	BOLTON TOWN TREASURER	2,060.18
Emergency Relief & Assistance Fund	BRIGHTON TOWN TREASURER	3,505.66
Emergency Relief & Assistance Fund	BROWNINGTON TOWN TREASURER	19,540.16
Emergency Relief & Assistance Fund	BURLINGTON CITY TREASURER	21,630.22
Emergency Relief & Assistance Fund	CAMBRIDGE TOWN TREASURER	5,000.84
Emergency Relief & Assistance Fund	CHARLESTON TOWN TREASURER	4,710.96
Emergency Relief & Assistance Fund	COLCHESTER TOWN TREASURER	3,943.54
Emergency Relief & Assistance Fund	CONCORD TOWN TREASURER	6,731.29
Emergency Relief & Assistance Fund	COVENTRY TOWN TREASURER	5,472.14
Emergency Relief & Assistance Fund	CRAFTSBURY TOWN TREASURER	4,361.88
Emergency Relief & Assistance Fund	DERBY TOWN TREASURER	6,902.51
Emergency Relief & Assistance Fund	DUXBURY TOWN TREASURER	127,190.37
Emergency Relief & Assistance Fund	EAST HAVEN TOWN TREASURER	44,802.35
Emergency Relief & Assistance Fund	EDEN TOWN TREASURER	11,048.81
Emergency Relief & Assistance Fund	ENOSBURG TOWN TREASURER	3,087.24
Emergency Relief & Assistance Fund	ESSEX TOWN TREASURER	14,133.83
Emergency Relief & Assistance Fund	FERRISBURGH TOWN TREASURER	17,909.25
Emergency Relief & Assistance Fund	GEORGIA TOWN TREASURER	7,179.31
Emergency Relief & Assistance Fund	GLOVER TOWN TREASURER	771.96
Emergency Relief & Assistance Fund	GOSHEN TOWN TREASURER	3,511.73
Emergency Relief & Assistance Fund	GRAND ISLE TOWN TREASURER	17,539.66
Emergency Relief & Assistance Fund	GREENSBORO TOWN TREASURER	2,364.73
Emergency Relief & Assistance Fund	HIGHGATE TOWN TREASURER	3,936.83
Emergency Relief & Assistance Fund	HUNTINGTON TOWN TREASURER	30,250.44
Emergency Relief & Assistance Fund	HYDE PARK TOWN TREASURER	16,542.21
Emergency Relief & Assistance Fund	IRASBURG TOWN TREASURER	3,684.42
Emergency Relief & Assistance Fund	ISLE LAMOTTE TOWN TREASURER	52,365.96
Emergency Relief & Assistance Fund	JAY TOWN TREASURER	5,360.76
Emergency Relief & Assistance Fund	JERICHO TOWN TREASURER	18,157.13
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	7,678.32
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	6,118.88
Emergency Relief & Assistance Fund	LOWELL TOWN TREASURER	446.49
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	2,095.80
Emergency Relief & Assistance Fund	MILTON TOWN TREASURER	3,335.12
Emergency Relief & Assistance Fund	MONKTON TOWN TREASURER	6,972.38
Emergency Relief & Assistance Fund	MORRISTOWN TOWN TREASURER	29,981.55
Emergency Relief & Assistance Fund	NEWPORT TOWN TREASURER	1,411.63
Emergency Relief & Assistance Fund	NORTH HERO TOWN TREASURER	11,171.18
Emergency Relief & Assistance Fund	NORTON TOWN TREASURER	318.50
Emergency Relief & Assistance Fund	ORWELL TOWN TREASURER	3,030.53
Emergency Relief & Assistance Fund	PANTON TOWN TREASURER	4,750.24
Emergency Relief & Assistance Fund	RICHMOND TOWN TREASURER	6,149.44
Emergency Relief & Assistance Fund	SHELBURNE TOWN TREASURER	811.88
Emergency Relief & Assistance Fund	SHOREHAM TOWN TREASURER	1,828.95
Emergency Relief & Assistance Fund	SOUTH BURLINGTON CITY TREASURER	1,078.92
Emergency Relief & Assistance Fund	SOUTH HERO TOWN TREASURER	4,458.46

**Sec. 77a of Act 75 of the 2012 Session
1995 - April Event**

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	ST ALBANS TOWN TREASURER	22,893.03
Emergency Relief & Assistance Fund	STARSBORO TOWN TREASURER	11,940.71
Emergency Relief & Assistance Fund	STOWE TOWN TREASURER	9,928.26
Emergency Relief & Assistance Fund	SWANTON TOWN TREASURER	8,846.25
Emergency Relief & Assistance Fund	UNDERHILL TOWN TREASURER	21,743.53
Emergency Relief & Assistance Fund	VERGENNES CITY TREASURER	1,959.92
Emergency Relief & Assistance Fund	WATERBURY TOWN TREASURER	1,510.16
Emergency Relief & Assistance Fund	WESTFIELD TOWN TREASURER	1,857.81
Emergency Relief & Assistance Fund	WESTFORD TOWN TREASURER	938.50
Emergency Relief & Assistance Fund	WILLISTON TOWN TREASURER	6,493.73
Emergency Relief & Assistance Fund	WOLCOTT TOWN TREASURER	10,326.63
		667,518.73

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4001- May 2011 Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	BARNET TOWN TREASURER	144,245.51
Emergency Relief & Assistance Fund	BARRE CITY TREASURER	44,396.34
Emergency Relief & Assistance Fund	BARRE TOWN TREASURER	45,497.00
Emergency Relief & Assistance Fund	BERLIN TOWN TREASURER	115,225.59
Emergency Relief & Assistance Fund	BRAINTREE TOWN TREASURER	3,142.18
Emergency Relief & Assistance Fund	CABOT TOWN TREASURER	36,144.30
Emergency Relief & Assistance Fund	CONCORD TOWN TREASURER	115,856.29
Emergency Relief & Assistance Fund	DANVILLE TOWN TREASURER	71,777.68
Emergency Relief & Assistance Fund	EAST HAVEN TOWN TREASURER	7,295.02
Emergency Relief & Assistance Fund	EAST MONTPELIER TOWN TREASURER	38,569.94
Emergency Relief & Assistance Fund	GRANBY TOWN TREASURER	16,322.43
Emergency Relief & Assistance Fund	GROTON TOWN TREASURER	9,021.52
Emergency Relief & Assistance Fund	GUILDHALL TOWN TREASURER	7,853.40
Emergency Relief & Assistance Fund	KIRBY TOWN TREASURER	58,894.05
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	69,623.17
Emergency Relief & Assistance Fund	LYNDON TOWN TREASURER	12,557.03
Emergency Relief & Assistance Fund	MARSHFIELD TOWN TREASURER	78,051.50
Emergency Relief & Assistance Fund	MIDDLESEX TOWN TREASURER	7,277.60
Emergency Relief & Assistance Fund	MONTPELIER CITY TREASURER	48,456.61
Emergency Relief & Assistance Fund	MORETOWN TOWN TREASURER	3,782.02
Emergency Relief & Assistance Fund	NORTHFIELD TOWN TREASURER	33,009.88
Emergency Relief & Assistance Fund	NORTHFIELD VILLAGE TREASURER	1,411.11
Emergency Relief & Assistance Fund	ORANGE TOWN TREASURER	6,717.84
Emergency Relief & Assistance Fund	PEACHAM TOWN TREASURER	63,724.04
Emergency Relief & Assistance Fund	PLAINFIELD TOWN TREASURER	61,931.25
Emergency Relief & Assistance Fund	RANDOLPH TOWN TREASURER	1,257.89
Emergency Relief & Assistance Fund	ROXBURY TOWN TREASURER	2,592.48
Emergency Relief & Assistance Fund	ST JOHNSBURY TOWN TREASURER	69,278.49
Emergency Relief & Assistance Fund	VICTORY TOWN TREASURER	5,212.36
Emergency Relief & Assistance Fund	WALDEN TOWN TREASURER	1,333.18
Emergency Relief & Assistance Fund	WATERFORD TOWN TREASURER	69,069.52
Emergency Relief & Assistance Fund	WILLIAMSTOWN TOWN TREASURER	20,191.86
		\$ 1,269,719.08

Sec. 77a of Act 75 of the 2012 Session

4022- Irene Event

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Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	ADDISON TOWN TREASURER	897.02
Emergency Relief & Assistance Fund	ALBANY TOWN TREASURER	301.84
Emergency Relief & Assistance Fund	ANDOVER TOWN TREASURER	11,975.49
Emergency Relief & Assistance Fund	ARLINGTON TOWN TREASURER	1,266.63
Emergency Relief & Assistance Fund	ATHENS TOWN TREASURER	7,294.33
Emergency Relief & Assistance Fund	BARNARD TOWN TREASURER	20,235.11
Emergency Relief & Assistance Fund	BARNET TOWN TREASURER	32,733.04
Emergency Relief & Assistance Fund	BARRE CITY TREASURER	573.81
Emergency Relief & Assistance Fund	BARRE TOWN TREASURER	2,901.65
Emergency Relief & Assistance Fund	BARTON TOWN TREASURER	350.00
Emergency Relief & Assistance Fund	BARTON VILLAGE TREASURER	192.07
Emergency Relief & Assistance Fund	BELLOWS FALLS VILLAGE TREASURER	405.20
Emergency Relief & Assistance Fund	BENNINGTON TOWN TREASURER	48,768.48
Emergency Relief & Assistance Fund	BENSON TOWN TREASURER	1,567.82
Emergency Relief & Assistance Fund	BERLIN TOWN TREASURER	24,873.49
Emergency Relief & Assistance Fund	BETHEL TOWN TREASURER	35,624.40
Emergency Relief & Assistance Fund	BOLTON TOWN TREASURER	2,037.70
Emergency Relief & Assistance Fund	BRAINTREE TOWN TREASURER	32,431.49
Emergency Relief & Assistance Fund	BRANDON TOWN TREASURER	4,906.79
Emergency Relief & Assistance Fund	BRATTLEBORO TOWN TREASURER	34,392.11
Emergency Relief & Assistance Fund	BRIDGEWATER TOWN TREASURER	63,360.91
Emergency Relief & Assistance Fund	BRIDPORT TOWN TREASURER	21,267.65
Emergency Relief & Assistance Fund	BRIGHTON TOWN TREASURER	1,547.24
Emergency Relief & Assistance Fund	BRISTOL TOWN TREASURER	2,217.15
Emergency Relief & Assistance Fund	BROOKFIELD TOWN TREASURER	185.86
Emergency Relief & Assistance Fund	BROOKLINE TOWN TREASURER	2,144.06
Emergency Relief & Assistance Fund	BROWNINGTON TOWN TREASURER	172.28
Emergency Relief & Assistance Fund	BURLINGTON CITY TREASURER	825.05
Emergency Relief & Assistance Fund	CAMBRIDGE TOWN TREASURER	1,247.27
Emergency Relief & Assistance Fund	CASTLETON TOWN TREASURER	5,604.97
Emergency Relief & Assistance Fund	CAVENDISH TOWN TREASURER	181,737.22
Emergency Relief & Assistance Fund	CHARLESTON TOWN TREASURER	6,559.74
Emergency Relief & Assistance Fund	CHELSEA TOWN TREASURER	817.52
Emergency Relief & Assistance Fund	CHELSEA TOWN TREASURER	(490.50)
Emergency Relief & Assistance Fund	CHESTER TOWN TREASURER	54,587.36
Emergency Relief & Assistance Fund	CHITTENDEN TOWN TREASURER	70.41
Emergency Relief & Assistance Fund	CLARENDON TOWN TREASURER	174.26
Emergency Relief & Assistance Fund	CORINTH TOWN TREASURER	2,969.76
Emergency Relief & Assistance Fund	COVENTRY TOWN TREASURER	334.60
Emergency Relief & Assistance Fund	DANBY TOWN TREASURER	2,625.79
Emergency Relief & Assistance Fund	DANVILLE TOWN TREASURER	3,377.13
Emergency Relief & Assistance Fund	DORSET TOWN TREASURER	735.60
Emergency Relief & Assistance Fund	DOVER TOWN TREASURER	24,341.48
Emergency Relief & Assistance Fund	DUMMERSTON TOWN TREASURER	2,891.67
Emergency Relief & Assistance Fund	DUXBURY TOWN TREASURER	34,076.43
Emergency Relief & Assistance Fund	EAST MONTPELIER TOWN TREASURER	1,785.26
Emergency Relief & Assistance Fund	ELMORE TOWN TREASURER	2,573.21
Emergency Relief & Assistance Fund	ENOSBURG TOWN TREASURER	174.52
Emergency Relief & Assistance Fund	FAIR HAVEN TOWN TREASURER	103.19

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	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	FAYSTON TOWN TREASURER	625.78
Emergency Relief & Assistance Fund	GOSHEN TOWN TREASURER	2,140.12
Emergency Relief & Assistance Fund	GRAFTON TOWN TREASURER	199,373.18
Emergency Relief & Assistance Fund	GRANVILLE TOWN TREASURER	2,501.33
Emergency Relief & Assistance Fund	GREENSBORO TOWN TREASURER	1,952.32
Emergency Relief & Assistance Fund	GROTON TOWN TREASURER	4,276.14
Emergency Relief & Assistance Fund	GUILFORD TOWN TREASURER	816.60
Emergency Relief & Assistance Fund	HALIFAX TOWN TREASURER	14,328.28
Emergency Relief & Assistance Fund	HANCOCK TOWN TREASURER	69,064.96
Emergency Relief & Assistance Fund	HARDWICK TOWN TREASURER	502.85
Emergency Relief & Assistance Fund	HARTFORD TOWN TREASURER	57,487.24
Emergency Relief & Assistance Fund	HARTLAND TOWN TREASURER	2,782.99
Emergency Relief & Assistance Fund	HOLLAND TOWN TREASURER	747.75
Emergency Relief & Assistance Fund	HUBBARDTON TOWN TREASURER	2,743.70
Emergency Relief & Assistance Fund	HUNTINGTON TOWN TREASURER	1,020.14
Emergency Relief & Assistance Fund	IRA TOWN TREASURER	2,768.92
Emergency Relief & Assistance Fund	IRASBURG TOWN TREASURER	894.25
Emergency Relief & Assistance Fund	JAMAICA TOWN TREASURER	29,359.03
Emergency Relief & Assistance Fund	JAY TOWN TREASURER	1,810.79
Emergency Relief & Assistance Fund	JOHNSON TOWN TREASURER	359.53
Emergency Relief & Assistance Fund	JOHNSON VILLAGE TREASURER	730.60
Emergency Relief & Assistance Fund	KILLINGTON TOWN TREASURER	16,933.98
Emergency Relief & Assistance Fund	LANDGROVE TOWN TREASURER	227.41
Emergency Relief & Assistance Fund	LEICESTER TOWN TREASURER	301.95
Emergency Relief & Assistance Fund	LINCOLN TOWN TREASURER	5,508.97
Emergency Relief & Assistance Fund	LONDONDERRY TOWN TREASURER	10,830.12
Emergency Relief & Assistance Fund	LOWELL TOWN TREASURER	149.99
Emergency Relief & Assistance Fund	LUDLOW TOWN TREASURER	105,328.84
Emergency Relief & Assistance Fund	LUDLOW VILLAGE TREASURER	3,394.51
Emergency Relief & Assistance Fund	LUNENBURG TOWN TREASURER	61,293.65
Emergency Relief & Assistance Fund	LYNDON TOWN TREASURER	1,886.83
Emergency Relief & Assistance Fund	MANCHESTER TOWN TREASURER	9,953.23
Emergency Relief & Assistance Fund	MARLBORO TOWN TREASURER	8,448.90
Emergency Relief & Assistance Fund	MARSHFIELD TOWN TREASURER	18,425.98
Emergency Relief & Assistance Fund	MENDON TOWN TREASURER	17,212.52
Emergency Relief & Assistance Fund	MIDDLEBURY TOWN TREASURER	3,024.21
Emergency Relief & Assistance Fund	MIDDLESEX TOWN TREASURER	1,590.66
Emergency Relief & Assistance Fund	MIDDLETOWN SPRINGS TOWN TREASURER	1,956.14
Emergency Relief & Assistance Fund	MILTON TOWN TREASURER	2,580.00
Emergency Relief & Assistance Fund	MONTGOMERY TOWN TREASURER	3,824.48
Emergency Relief & Assistance Fund	MONTPELIER CITY TREASURER	515.30
Emergency Relief & Assistance Fund	MORETOWN TOWN TREASURER	31,348.00
Emergency Relief & Assistance Fund	MORGAN TOWN TREASURER	87.20
Emergency Relief & Assistance Fund	MORRISTOWN TOWN TREASURER	996.01
Emergency Relief & Assistance Fund	MOUNT HOLLY TOWN TREASURER	14,445.23
Emergency Relief & Assistance Fund	NEWBURY TOWN TREASURER	2,726.38
Emergency Relief & Assistance Fund	NEWFANE TOWN TREASURER	48,901.99
Emergency Relief & Assistance Fund	NEWPORT TOWN TREASURER	910.66
Emergency Relief & Assistance Fund	NORTH BENNINGTON VILLAGE TREASURER	1,378.05
Emergency Relief & Assistance Fund	NORTHFIELD TOWN TREASURER	21,003.99

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4022- Irene Event

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Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	NORTHFIELD VILLAGE TREASURER	712.41
Emergency Relief & Assistance Fund	NORWICH TOWN TREASURER	7,149.04
Emergency Relief & Assistance Fund	ORANGE TOWN TREASURER	395.86
Emergency Relief & Assistance Fund	ORWELL TOWN TREASURER	1,710.99
Emergency Relief & Assistance Fund	PAWLET TOWN TREASURER	859.09
Emergency Relief & Assistance Fund	PEACHAM TOWN TREASURER	24,768.80
Emergency Relief & Assistance Fund	PERU TOWN TREASURER	456.51
Emergency Relief & Assistance Fund	PITTSFIELD TOWN TREASURER	14,497.64
Emergency Relief & Assistance Fund	PITTSFORD TOWN TREASURER	3,596.22
Emergency Relief & Assistance Fund	PLAINFIELD TOWN TREASURER	1,274.47
Emergency Relief & Assistance Fund	PLYMOUTH TOWN TREASURER	17,015.78
Emergency Relief & Assistance Fund	POMFRET TOWN TREASURER	28,285.78
Emergency Relief & Assistance Fund	POULTNEY TOWN TREASURER	227.60
Emergency Relief & Assistance Fund	POULTNEY VILLAGE TREASURER	80.79
Emergency Relief & Assistance Fund	POWNAL TOWN TREASURER	190.12
Emergency Relief & Assistance Fund	PROCTOR TOWN TREASURER	846.12
Emergency Relief & Assistance Fund	PUTNEY TOWN TREASURER	3,007.94
Emergency Relief & Assistance Fund	RANDOLPH TOWN TREASURER	28,460.42
Emergency Relief & Assistance Fund	READING TOWN TREASURER	32,518.40
Emergency Relief & Assistance Fund	READSBORO TOWN TREASURER	6,709.53
Emergency Relief & Assistance Fund	RICHFORD TOWN TREASURER	2,353.31
Emergency Relief & Assistance Fund	RICHMOND TOWN TREASURER	2,779.39
Emergency Relief & Assistance Fund	RIPTON TOWN TREASURER	6,263.36
Emergency Relief & Assistance Fund	ROCHESTER TOWN TREASURER	47,170.06
Emergency Relief & Assistance Fund	ROCKINGHAM TOWN TREASURER	6,639.11
Emergency Relief & Assistance Fund	ROXBURY TOWN TREASURER	38,035.42
Emergency Relief & Assistance Fund	ROYALTON TOWN TREASURER	12,856.63
Emergency Relief & Assistance Fund	RUPERT TOWN TREASURER	228.86
Emergency Relief & Assistance Fund	RUTLAND CITY TREASURER	44,856.27
Emergency Relief & Assistance Fund	RUTLAND TOWN TREASURER	1,051.19
Emergency Relief & Assistance Fund	RYEGATE TOWN TREASURER	3,231.54
Emergency Relief & Assistance Fund	SEARSBURG TOWN TREASURER	1,674.23
Emergency Relief & Assistance Fund	SHAFTSBURY TOWN TREASURER	2,439.19
Emergency Relief & Assistance Fund	SHARON TOWN TREASURER	11,356.43
Emergency Relief & Assistance Fund	SHEFFIELD TOWN TREASURER	10,264.52
Emergency Relief & Assistance Fund	SHOREHAM TOWN TREASURER	2,367.56
Emergency Relief & Assistance Fund	SHREWSBURY TOWN TREASURER	8,066.76
Emergency Relief & Assistance Fund	SOMERSET TOWN TREASURER	2,217.95
Emergency Relief & Assistance Fund	SOUTH BURLINGTON CITY TREASURER	335.17
Emergency Relief & Assistance Fund	SPRINGFIELD TOWN TREASURER	5,044.35
Emergency Relief & Assistance Fund	ST JOHNSBURY TOWN TREASURER	1,344.53
Emergency Relief & Assistance Fund	STAMFORD TOWN TREASURER	4,204.25
Emergency Relief & Assistance Fund	STANNARD TOWN TREASURER	16,628.88
Emergency Relief & Assistance Fund	STARSBORO TOWN TREASURER	206.86
Emergency Relief & Assistance Fund	STOCKBRIDGE TOWN TREASURER	359,303.99
Emergency Relief & Assistance Fund	STOWE TOWN TREASURER	7,190.79
Emergency Relief & Assistance Fund	STRAFFORD TOWN TREASURER	73,069.89
Emergency Relief & Assistance Fund	STRATTON TOWN TREASURER	7,647.46
Emergency Relief & Assistance Fund	SUDBURY TOWN TREASURER	2,939.02

Sec. 77a of Act 75 of the 2012 Session

4022- Irene Event

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Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	SUNDERLAND TOWN TREASURER	3,778.96
Emergency Relief & Assistance Fund	SUTTON TOWN TREASURER	1,502.24
Emergency Relief & Assistance Fund	THETFORD TOWN TREASURER	1,266.63
Emergency Relief & Assistance Fund	TINMOUTH TOWN TREASURER	932.80
Emergency Relief & Assistance Fund	TOPSHAM TOWN TREASURER	4,214.52
Emergency Relief & Assistance Fund	TOWNSHEND TOWN TREASURER	17,859.38
Emergency Relief & Assistance Fund	VERNON TOWN TREASURER	568.67
Emergency Relief & Assistance Fund	VERSHIRE TOWN TREASURER	3,401.43
Emergency Relief & Assistance Fund	WAITSFIELD TOWN TREASURER	4,456.18
Emergency Relief & Assistance Fund	WALDEN TOWN TREASURER	2,466.09
Emergency Relief & Assistance Fund	WALLINGFORD TOWN TREASURER	4,968.54
Emergency Relief & Assistance Fund	WARDSBORO TOWN TREASURER	17,231.79
Emergency Relief & Assistance Fund	WARREN TOWN TREASURER	11,334.93
Emergency Relief & Assistance Fund	WASHINGTON TOWN TREASURER	2,912.08
Emergency Relief & Assistance Fund	WATERBURY TOWN TREASURER	12,957.77
Emergency Relief & Assistance Fund	WATERBURY VILLAGE TREASURER	3,418.37
Emergency Relief & Assistance Fund	WEATHERSFIELD TOWN TREASURER	8,180.67
Emergency Relief & Assistance Fund	WELLS TOWN TREASURER	668.83
Emergency Relief & Assistance Fund	WEST FAIRLEE TOWN TREASURER	7,729.89
Emergency Relief & Assistance Fund	WEST HAVEN TOWN TREASURER	4,276.92
Emergency Relief & Assistance Fund	WEST RUTLAND TOWN TREASURER	1,065.39
Emergency Relief & Assistance Fund	WEST WINDSOR TOWN TREASURER	22,399.78
Emergency Relief & Assistance Fund	WESTFIELD TOWN TREASURER	892.51
Emergency Relief & Assistance Fund	WESTMINSTER TOWN TREASURER	31,650.48
Emergency Relief & Assistance Fund	WESTON TOWN TREASURER	21,175.26
Emergency Relief & Assistance Fund	WHEELOK TOWN TREASURER	17,048.17
Emergency Relief & Assistance Fund	WHITING TOWN TREASURER	10,141.39
Emergency Relief & Assistance Fund	WHITINGHAM TOWN TREASURER	30,456.03
Emergency Relief & Assistance Fund	WILLIAMSTOWN TOWN TREASURER	431.19
Emergency Relief & Assistance Fund	WILLISTON TOWN TREASURER	211.32
Emergency Relief & Assistance Fund	WILMINGTON TOWN TREASURER	23,578.95
Emergency Relief & Assistance Fund	WINDHAM TOWN TREASURER	8,755.34
Emergency Relief & Assistance Fund	WINDSOR TOWN TREASURER	4,161.70
Emergency Relief & Assistance Fund	WINHALL TOWN TREASURER	10,241.97
Emergency Relief & Assistance Fund	WOLCOTT TOWN TREASURER	983.83
Emergency Relief & Assistance Fund	WOODBURY TOWN TREASURER	16,622.82
Emergency Relief & Assistance Fund	WOODFORD TOWN TREASURER	8,488.59
Emergency Relief & Assistance Fund	WOODSTOCK TOWN TREASURER	111,159.82
Emergency Relief & Assistance Fund	WOODSTOCK VILLAGE TREASURER	3,362.61
Emergency Relief & Assistance Fund	WORCESTER TOWN TREASURER	1,655.66
		\$ 2,766,357.22

Sec. 77a of Act 75 of the 2012 Session
4043- May 20, 2011 Event

Fund Name	Vendor Name	Payment Amount
Emergency Relief & Assistance Fund	FAIRFIELD TOWN TREASURER	41,342.25
Emergency Relief & Assistance Fund	FAYSTON TOWN TREASURER	5,629.87
Emergency Relief & Assistance Fund	FLETCHER TOWN TREASURER	39,718.72
Emergency Relief & Assistance Fund	WAITSFIELD TOWN TREASURER	37,179.52
Emergency Relief & Assistance Fund	WESTMINSTER TOWN TREASURER	19,003.29
		\$ 142,873.65

State of Vermont
Agency of Administration
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Jeb Spaulding, Secretary

MEMORANDUM

To: Members, Joint Fiscal Committees
From: Jeb Spaulding, Secretary of Administration
Date: July 15, 2013
Subject: FEMA Reporting and Oversight per Act 50 of 2013 Legislative Session

Attached are the reports required in Sec. E. 100.1 (a) of Act 50 of 2013 Legislative Session. Please find below the narrative from Act 50 followed by an explanation of the report attached.

FEDERAL EMERGENCY MANAGEMENT AGENCY REPORTING AND OVERSIGHT

(a) The secretary of administration shall report to the Joint Fiscal Committee at each of its scheduled meetings in fiscal year 2014 on funding received from the Federal Emergency Management Agency (FEMA) Public Assistance Program and associated emergency relief and assistance funds match for the damages due to Tropical Storm Irene.

(1) a projection of the total funding needs for the FEMA Public Assistance Program and to the extent possible, details about the projected funding by state agency or municipality;

FEMA has projected, to date, approximately \$187.2 million in federal FEMA Public Assistance (PA) funds and has obligated \$158.4 million of the PA funds. The majority of the project worksheets have been completed by FEMA.

(2) spending authority (appropriated and excess receipts) granted to date for the FEMA Public Assistance Program and the associated emergency relief and assistance funds match;

FEMA Public Assistance program through FY '13	\$110,852,457.11
FEMA Public Assistance program for FY '14	\$22,500,000.00
Associated emergency relief and assistance funds match through FY '13	4,325,190.99
Associated emergency relief and assistance funds match for FY '14	<u>\$1,485,250.00</u>
Total spending authority	\$139,162,898.10

(3) information on any audit findings that may result in financial impacts to the State; and

No audit findings have resulted in a financial impact to the State.



(4) actual expenditures to date made from the spending authority granted and to the extent possible, details about the expended funds by state agency or municipality.

The expenditures through June 30, 2013 from the spending authority by state agency or municipality detail are attached starting on page two of the report. A summary is below:

FEMA Public Assistance program expenditure	\$110,852,457.11
Total to date the associated emergency relief and assistance funds match	<u>\$4,325,190.99</u>
Total to date the spending authority is	\$115,177,649.10

CC: Brian Searles, Agency of Transportation



Sec 100.1 of Act 50 of the 2013 Session

Reporting and Oversight through 06/30/2013

Spending Authority (appropriated and excess receipts) granted through 06/30/2013 for FEMA Public Assistance Program and the associated emergency relief and assistance funds match.

Projected FEMA Funds \$187,237,902.58

<u>Year</u>		<u>Fund #</u>	<u>Fund Name</u>	<u>Total</u>
2012	Appropriated	21555	ERAF - Emergency Relief and Assistance	\$1,371,577.53
2012	Expended for Irene	21555	ERAF - Emergency Relief and Assistance	\$1,371,577.53
2012	Appropriated	20150	FEMA funds	\$63,864,323.44
2012	Expended for Irene	20150	FEMA funds	\$63,864,323.44
2013	Appropriated	21555	ERAF - Emergency Relief and Assistance	\$2,953,613.46
2013	Expended for Irene	21555	ERAF - Emergency Relief and Assistance	\$2,953,613.46
2013	Appropriated	20150	FEMA funds	\$46,988,133.67
2013	Expended for Irene	20150	FEMA funds	\$46,988,133.67
2014	Appropriated	21555	ERAF - Emergency Relief and Assistance	\$1,485,250.00
2014	Expended for Irene	21555	ERAF - Emergency Relief and Assistance	
2014	Appropriated	20150	FEMA funds	\$22,500,000.00
2014	Expended for Irene	20150	FEMA funds	
	Total Appropriated	21555	ERAF - Emergency Relief and Assistance	\$5,810,440.99
	Total Expended for Irene	21555	ERAF - Emergency Relief and Assistance	\$4,325,190.99
	Total Appropriated	20150	FEMA funds	\$133,352,457.11
	Total Expended for Irene	20150	FEMA funds	\$110,852,457.11

FEMA REPORTING AND OVERSIGHT - Paid as of June 30, 2013

Applicant	FEMA PA Paid to Applicant	ERAF Paid to Applicant	Total Paid as of 6/30/2013
ADDISON TOWN TREASURER	16,146.41	897.02	17,043.43
AGENCY OF COMMERCE &	14,268.89	0.00	14,268.89
AGENCY OF TRANSPORTATION	21,154,325.24	1,091,938.48	22,246,263.72
ALBANY TOWN TREASURER	518,263.26	301.84	518,565.10
ANDOVER TOWN TREASURER	266,527.19	11,975.49	278,502.68
ARLINGTON TOWN TREASURER	179,805.99	1,266.63	181,072.62
ATHENS TOWN TREASURER	131,297.82	7,294.33	138,592.15
BALTIMORE TOWN TREASURER	15,335.02	851.95	16,186.97
BARNARD TOWN TREASURER	1,148,661.64	20,235.11	1,168,896.75
BARNET TOWN TREASURER	78,769.61	32,733.04	111,502.65
BARRE CITY TREASURER	76,769.48	573.81	77,343.29
BARRE TOWN TREASURER	52,229.82	2,901.65	55,131.47
BARTON TOWN TREASURER	6,300.00	350.00	6,650.00
BARTON VILLAGE TREASURER	16,015.24	192.07	16,207.31
BELLOWS FALLS VILLAGE TREASURER	7,293.60	405.20	7,698.80
BENNINGTON TOWN TREASURER	1,757,484.84	48,768.48	1,806,253.32
BENSON TOWN TREASURER	145,415.92	1,567.82	146,983.74
BERLIN TOWN TREASURER	447,722.85	24,873.49	472,596.34
BETHEL TOWN SCHOOL DISTRICT	87,347.41	0.00	87,347.41
BETHEL TOWN TREASURER	3,251,843.35	35,624.40	3,287,467.75
BOLTON TOWN TREASURER	105,950.38	2,037.70	107,988.08
BRADFORD ID SCHOOL DISTRICT	3,420.00	0.00	3,420.00
BRAINTREE TOWN TREASURER	1,712,269.07	32,431.49	1,744,700.56
BRANDON FIRE DIST # 1	53,977.21	0.00	53,977.21
BRANDON TOWN TREASURER	195,482.39	4,906.79	200,389.18
BRATTLEBORO HOUSING AUTHORITY	469,480.97	0.00	469,480.97
BRATTLEBORO TOWN TREASURER	863,450.17	41,414.30	904,864.47
BRIDGEWATER TOWN TREASURER	1,830,675.01	63,360.91	1,894,035.92
BRIDGEWATER VOLUNTEER FIRE DEPARTMENT	11,496.86	0.00	11,496.86
BRIDPORT TOWN TREASURER	382,817.68	21,267.65	404,085.33
BRIGHTON TOWN TREASURER	27,850.36	1,547.24	29,397.60
BRISTOL TOWN TREASURER	39,908.65	2,217.15	42,125.80
BROOKFIELD TOWN TREASURER	62,556.43	185.86	62,742.29
BROOKLINE TOWN TREASURER	38,592.80	2,144.06	40,736.86
BROWNINGTON TOWN TREASURER	645,039.60	172.28	645,211.88
BUILDINGS & GENERAL SERVICES-FEE FOR	870,548.47	0.00	870,548.47
BUILDINGS & GENERAL SERVICES-FLEET MGT	3,901.71	0.00	3,901.71
BUILDINGS & GENERAL SVCS-PURCHASING CARD	1,220.51	0.00	1,220.51
BURLINGTON CITY TREASURER	14,850.86	825.05	15,675.91
CAMBRIDGE TOWN TREASURER	22,450.69	1,247.27	23,697.96
CASTLETON TOWN TREASURER	115,261.89	6,403.44	121,665.33
CAVENDISH FIRE DISTRICT # 1	8,532.00	0.00	8,532.00
CAVENDISH FIRE DISTRICT #2	2,835.34	0.00	2,835.34
CAVENDISH TOWN TREASURER	2,847,855.22	181,737.22	3,029,592.44
CHAMPION FIRE COMPANY # 5	11,163.79	0.00	11,163.79
CHARLESTON TOWN TREASURER	168,654.97	6,559.74	175,214.71
CHELSEA TOWN TREASURER	5,886.15	327.02	6,213.17
CHESTER TOWN TREASURER	1,155,592.41	54,587.36	1,210,179.77
CHESTER-ANDOVER ELEM U S D #29	9,083.21	0.00	9,083.21
CHITTENDEN COUNTY TRANSPORTATION AUTH	529,243.08	0.00	529,243.08
CHITTENDEN TOWN TREASURER	170,800.35	9,488.91	180,289.26
CHITTENDEN VOLUNTEER FIRE DEPARTMENT	1,979.79	0.00	1,979.79
CLARENDON TOWN TREASURER	3,136.68	174.26	3,310.94
COLD BROOK FIRE DISTRICT # 1	9,365.81	0.00	9,365.81
CORINTH TOWN TREASURER	53,455.94	2,969.76	56,425.70
COVENTRY TOWN TREASURER	17,828.33	334.60	18,162.93
CRAFTSBURY TOWN TREASURER	16,264.71	0.00	16,264.71
DANBY TOWN TREASURER	122,697.59	2,625.79	125,323.38
DANBY-MT TABOR FIRE COMPANY	20,972.13	0.00	20,972.13

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DANBY-MT TABOR FIRE DISTRICT #1	4,790.75	0.00	4,790.75
DANVILLE TOWN TREASURER	60,788.32	3,377.13	64,165.45
DEPARTMENT OF PUBLIC SAFETY	1,140,867.73	0.00	1,140,867.73
DORSET TOWN TREASURER	13,240.75	735.60	13,976.35
DOVER TOWN SCHOOL DISTRICT	3,973.99	0.00	3,973.99
DOVER TOWN TREASURER	452,907.60	24,341.48	477,249.08
DUMMERSTON TOWN TREASURER	52,266.11	2,903.67	55,169.78
DUXBURY TOWN TREASURER	629,594.91	156,771.77	786,366.68
EAST MONTPELIER TOWN TREASURER	32,134.75	1,785.26	33,920.01
ELMORE TOWN TREASURER	46,317.87	2,573.21	48,891.08
ENOSBURG TOWN TREASURER	3,141.38	174.52	3,315.90
FAIR HAVEN TOWN TREASURER	1,857.41	103.19	1,960.60
FAYSTON TOWN TREASURER	11,264.10	625.78	11,889.88
FISH & WILDLIFE, DEPARTMENT OF	598,991.32	0.00	598,991.32
GOSHEN TOWN TREASURER	38,522.14	2,140.12	40,662.26
GRAFTON TOWN TREASURER	2,869,377.65	199,373.18	3,068,750.83
GRANVILLE TOWN TREASURER	525,816.56	2,501.33	528,317.89
GRANVILLE VOLUNTEER FIRE DEPT. INC	3,336.76	0.00	3,336.76
GREENSBORO TOWN TREASURER	35,141.73	1,952.32	37,094.05
GROTON TOWN TREASURER	128,587.91	4,276.14	132,864.05
GUILFORD TOWN TREASURER	226,873.99	816.60	227,690.59
HALIFAX TOWN TREASURER	3,396,074.35	14,328.28	3,410,402.63
HANCOCK TOWN TREASURER	1,379,060.39	69,064.96	1,448,125.35
HARDWICK ELECTRIC DEPT	14,421.21	0.00	14,421.21
HARDWICK TOWN TREASURER	9,051.30	502.85	9,554.15
HARTFORD TOWN TREASURER	1,633,481.82	57,487.24	1,690,969.06
HARTLAND TOWN TREASURER	127,459.88	2,782.99	130,242.87
HOLLAND TOWN TREASURER	13,459.51	747.75	14,207.26
HOUSING FOUNDATION INC	125,054.37	0.00	125,054.37
HUBBARDTON TOWN TREASURER	90,023.58	2,743.70	92,767.28
HUNTINGTON TOWN TREASURER	134,201.52	1,020.14	135,221.66
INFORMATION & INNOVATION-CIT-TELECOMM	638.78	0.00	638.78
IRA TOWN TREASURER	49,840.54	2,768.92	52,609.46
IRASBURG TOWN TREASURER	45,878.64	894.25	46,772.89
JACKSONVILLE VILLAGE ELECTRIC CO	29,904.22	0.00	29,904.22
JAMAICA TOWN TREASURER	2,548,281.26	29,359.03	2,577,640.29
JAY TOWN TREASURER	79,460.70	1,810.79	81,271.49
JERICO FIRE DISTRICT #1	10,284.28	0.00	10,284.28
JOHNSON TOWN TREASURER	6,471.59	359.53	6,831.12
JOHNSON VILLAGE TREASURER	13,150.82	730.60	13,881.42
KILLINGTON TOWN TREASURER	1,539,566.18	16,933.98	1,556,500.16
KIRBY TOWN TREASURER	5,863.50	42,115.72	47,979.22
LANDGROVE TOWN TREASURER	4,093.20	227.41	4,320.61
LANDMARK COLLEGE	148,219.94	0.00	148,219.94
LEICESTER TOWN TREASURER	5,435.13	301.95	5,737.08
LINCOLN TOWN TREASURER	164,124.06	5,508.97	169,633.03
LONDONDERRY TOWN TREASURER	194,942.11	10,830.12	205,772.23
LOWELL TOWN TREASURER	28,414.59	149.99	28,564.58
LUDLOW TOWN TREASURER	1,951,207.38	105,328.84	2,056,536.22
LUDLOW VILLAGE TREASURER	213,110.43	3,394.51	216,504.94
LUNENBURG TOWN TREASURER	24,346.84	61,293.65	85,640.49
LYNDON TOWN TREASURER	33,962.82	1,886.83	35,849.65
MAD RIVER SOLID WASTE ALLIANCE	25,983.00	0.00	25,983.00
MANCHESTER TOWN TREASURER	179,158.13	9,953.23	189,111.36
MARLBORO TOWN TREASURER	809,266.65	8,448.90	817,715.55
MARSHFIELD TOWN TREASURER	57,489.12	18,425.98	75,915.10
MENDON TOWN TREASURER	939,805.89	17,212.52	957,018.41
MENTAL HEALTH, DEPARTMENT OF	11,979.00	0.00	11,979.00
MIDDLEBURY TOWN TREASURER	64,822.69	3,024.21	67,846.90

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MIDDLESEX TOWN TREASURER	28,632.00	1,590.66	30,222.66
MIDDLETOWN SPRINGS TOWN TREASURER	68,970.38	3,831.69	72,802.07
MILITARY DEPARTMENT	144,962.01	0.00	144,962.01
MILTON TOWN TREASURER	46,440.00	2,580.00	49,020.00
MONTGOMERY TOWN TREASURER	68,840.44	3,824.48	72,664.92
MONTPELIER CITY SCHOOL DIST	10,469.71	0.00	10,469.71
MONTPELIER CITY TREASURER	9,275.36	515.30	9,790.66
MORETOWN TOWN SCHOOL DISTRICT	900.00	0.00	900.00
MORETOWN TOWN TREASURER	1,794,918.42	40,450.96	1,835,369.38
MORGAN TOWN TREASURER	1,569.60	87.20	1,656.80
MORRISTOWN TOWN TREASURER	17,927.99	996.01	18,924.00
MOUNT HOLLY TOWN TREASURER	330,745.70	14,445.23	345,190.93
MOUNT TABOR TOWN TREASURER	7,588.44	0.00	7,588.44
NEWBURY TOWN TREASURER	49,074.88	2,726.38	51,801.26
NEWFANE TOWN TREASURER	1,984,520.40	48,901.99	2,033,422.39
NEWPORT TOWN TREASURER	16,391.73	910.66	17,302.39
NEW ENGLAND KURN HATTIN HOMES	14,163.75	0.00	14,163.75
NORTH BENNINGTON VILLAGE TREASURER	24,804.91	1,378.05	26,182.96
NORTH TROY VILLAGE TREASURER	1,459.53	0.00	1,459.53
NORTHFIELD TOWN TREASURER	498,729.05	21,003.99	519,733.04
NORTHFIELD VILLAGE TREASURER	75,456.04	712.41	76,168.45
NORWICH TOWN TREASURER	504,808.90	28,044.96	532,853.86
ORANGE COUNTY SHERIFF'S DEPT	3,055.67	0.00	3,055.67
ORANGE TOWN TREASURER	7,125.58	395.86	7,521.44
ORANGE WINDSOR SUPERVISORY UNION	49,622.63	3,784.05	53,406.68
ORWELL TOWN TREASURER	55,974.38	3,109.68	59,084.06
PAWLET TOWN TREASURER	218,441.29	859.09	219,300.38
PAWLET VOLUNTEER FIRE DEPT	2,459.52	0.00	2,459.52
PEACHAM TOWN TREASURER	44,127.65	24,768.80	68,896.45
PERU TOWN TREASURER	276,913.45	456.51	277,369.96
PITTSFIELD TOWN TREASURER	956,264.44	14,497.64	970,762.08
PITTSFORD TOWN TREASURER	62,178.08	3,596.22	65,774.30
PLAINFIELD TOWN TREASURER	22,940.42	1,274.47	24,214.89
PLYMOUTH TOWN TREASURER	908,883.42	17,015.78	925,899.20
POMFRET TOWN TREASURER	766,905.06	28,285.78	795,190.84
POULTNEY TOWN TREASURER	121,653.36	227.60	121,880.96
POULTNEY VILLAGE TREASURER	1,454.30	80.79	1,535.09
POWNAI TOWN TREASURER	44,054.50	190.12	44,244.62
PROCTOR TOWN TREASURER	41,335.08	846.12	42,181.20
PUTNEY TOWN TREASURER	91,691.15	3,007.94	94,699.09
RANDOLPH TOWN TREASURER	668,925.84	31,902.07	700,827.91
READING TOWN TREASURER	1,514,623.71	32,518.40	1,547,142.11
READSBORO TOWN SCHOOL DISTRICT	2,790.00	0.00	2,790.00
READSBORO TOWN TREASURER	585,920.98	6,709.53	592,630.51
RICHFORD TOWN TREASURER	201,712.45	2,353.31	204,065.76
RICHMOND TOWN TREASURER	124,169.47	2,779.39	126,948.86
RIPTON TOWN TREASURER	112,740.57	6,263.36	119,003.93
ROCHESTER TOWN TREASURER	2,738,323.93	97,170.06	2,835,493.99
ROCKINGHAM TOWN TREASURER	1,260,898.43	19,292.21	1,280,190.64
ROXBURY TOWN TREASURER	1,680,116.96	164,308.93	1,844,425.89
ROYALTON FIRE DISTRICT #1	12,755.70	0.00	12,755.70
ROYALTON TOWN TREASURER	1,345,417.01	12,856.63	1,358,273.64
RUPERT TOWN TREASURER	125,350.67	228.86	125,579.53
RUTLAND CITY TREASURER	865,135.35	44,856.27	909,991.62
RUTLAND NORTHEAST SUPERVISORY UNION	2,136.93	0.00	2,136.93
RUTLAND TOWN TREASURER	18,921.25	1,051.19	19,972.44
RYEGATE TOWN TREASURER	58,167.72	3,231.54	61,399.26
SANDGATE TOWN TREASURER	73,436.36	0.00	73,436.36
SEARSBURG TOWN TREASURER	87,934.86	1,674.23	89,609.09

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SHAFTSBURY TOWN TREASURER	44,084.19	2,439.19	46,523.38
SHARON TOWN TREASURER	505,740.32	11,356.43	517,096.75
SHEFFIELD TOWN TREASURER	184,761.04	10,264.52	195,025.56
SHEFFIELD-WHEELOCK FIRE DEPT	3,776.94	0.00	3,776.94
SHERBURNE FIRE DISTRICT #1	29,180.37	0.00	29,180.37
SHOREHAM TOWN TREASURER	42,616.13	2,367.56	44,983.69
SHREWSBURY TOWN TREASURER	1,403,595.97	8,066.76	1,411,662.73
SHREWSBURY VOLUNTEER FIRE DEPARTMENT INC	0.00	8,766.00	8,766.00
SOMERSET TOWN TREASURER	39,922.89	2,217.95	42,140.84
SOUTH BURLINGTON CITY TREASURER	6,032.99	335.17	6,368.16
SOUTH ROYALTON VOLUNTEER FIRE	8,744.85	0.00	8,744.85
SOUTH WOODSTOCK FIRE PROTECTION	8,263.78	0.00	8,263.78
SPRINGFIELD TOWN TREASURER	90,798.42	5,044.35	95,842.77
SPRINGFIELD MEDICAL CARE SYSTEMS	59,469.11	0.00	59,469.11
ST JOHNSBURY TOWN TREASURER	30,741.07	1,344.53	32,085.60
STAMFORD TOWN TREASURER	75,676.56	4,204.25	79,880.81
STANNARD TOWN TREASURER	550,946.44	16,628.88	567,575.32
STARKSBORO TOWN TREASURER	14,955.23	206.86	15,162.09
STOCKBRIDGE TOWN TREASURER	2,313,841.40	359,303.99	2,673,145.39
STOWE TOWN TREASURER	297,069.57	7,190.79	304,260.36
STRAFFORD TOWN TREASURER	1,212,040.66	73,069.89	1,285,110.55
STRATTON TOWN TREASURER	241,815.91	7,647.46	249,463.37
SUDBURY TOWN TREASURER	52,902.46	2,939.02	55,841.48
SUNDERLAND TOWN TREASURER	68,021.16	3,778.96	71,800.12
SUTTON TOWN TREASURER	27,040.38	1,502.24	28,542.62
THETFORD TOWN TREASURER	22,799.26	1,266.63	24,065.89
TINMOUTH TOWN TREASURER	16,790.32	932.80	17,723.12
TOPSHAM TOWN TREASURER	75,861.29	4,214.52	80,075.81
TOWNSHEND TOWN TREASURER	681,295.38	17,859.38	699,154.76
TUNBRIDGE TOWN TREASURER	124,097.96	6,894.33	130,992.29
UNIFIED DISTRICT #37	0.00	17,091.40	17,091.40
VERMONT ACHIEVEMENT CENTER	28,382.48	0.00	28,382.48
VERMONT CENTER FOR CRIME VICTIM SERVICES	715.69	0.00	715.69
VERMONT ELECTRIC CO-OP INC	925,144.52	0.00	925,144.52
VERMONT ELECTRIC COOPERATIVE INC	185,028.89	0.00	185,028.89
VERMONT STATE ENVIRONMENTAL	26,057.22	0.00	26,057.22
VERNON TOWN TREASURER	10,236.19	568.67	10,804.86
VERSHIRE TOWN TREASURER	113,743.72	3,401.43	117,145.15
VT CENTER FOR CRIME VICTIM SERVICES	3,578.44	0.00	3,578.44
VT DEPARTMENT OF HUMAN RESOURCES	5,989.50	0.00	5,989.50
VT STATE BUILDINGS DEPT.	2,237,839.23	0.00	2,237,839.23
VT STATE DEPT OF AGRICULTURE, FOOD & MAR	5,989.50	0.00	5,989.50
VT STATE FOREST PARKS & RECREATION	668,251.27	0.00	668,251.27
VT STATE HEALTH DEPARTMENT	36,717.08	0.00	36,717.08
WAITS RIVER VALLEY UNION SCHOOL DIST #36	2,700.00	0.00	2,700.00
WAITSFIELD TOWN TREASURER	177,559.78	4,456.18	182,015.96
WALDEN TOWN TREASURER	87,520.92	2,466.09	89,987.01
WALLINGFORD FIRE DISTRICT #1	7,775.90	0.00	7,775.90
WALLINGFORD TOWN TREASURER	140,793.01	4,968.54	145,761.55
WARDSBORO TOWN TREASURER	1,188,724.23	17,231.79	1,205,956.02
WARREN TOWN TREASURER	562,186.40	11,334.93	573,521.33
WASHINGTON ELECTRIC CO-OP INC	102,126.42	0.00	102,126.42
WASHINGTON TOWN TREASURER	52,417.44	2,912.08	55,329.52
WATERBURY TOWN TREASURER	291,306.57	16,183.70	307,490.27
WATERBURY VILLAGE TREASURER	97,397.87	5,411.00	102,808.87
WEATHERSFIELD TOWN TREASURER	485,294.44	8,180.67	493,475.11
WELLS TOWN TREASURER	12,039.00	668.83	12,707.83
WEST FAIRLEE TOWN TREASURER	139,137.93	7,729.89	146,867.82
WEST HAVEN TOWN TREASURER	76,984.48	4,276.92	81,261.40

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WEST PAWLET VOLUNTEER FIRE DEPT INC	21,441.60	0.00	21,441.60
WEST RUTLAND TOWN TREASURER	19,176.83	1,065.39	20,242.22
WEST WINDSOR TOWN TREASURER	668,249.06	37,124.96	705,374.02
WESTFIELD TOWN TREASURER	16,065.07	892.51	16,957.58
WESTMINSTER FIRE DISTRICT # 3	14,787.84	0.00	14,787.84
WESTMINSTER TOWN TREASURER	623,316.43	31,650.48	654,966.91
WESTMORE TOWN TREASURER	41,850.57	0.00	41,850.57
WESTON COMMUNITY ASSOCIATION INC	28,958.40	0.00	28,958.40
WESTON TOWN TREASURER	383,036.25	21,175.26	404,211.51
WESTON VOLUNTEER FIRE DEPT INC	1,082.93	0.00	1,082.93
WHEELOCK TOWN TREASURER	306,866.97	17,048.17	323,915.14
WHITING TOWN TREASURER	0.00	10,141.39	10,141.39
WHITINGHAM TOWN TREASURER	650,934.19	30,456.03	681,390.22
WILLIAMSTOWN TOWN TREASURER	7,761.40	431.19	8,192.59
WILLISTON TOWN TREASURER	3,803.84	211.32	4,015.16
WILMINGTON TOWN SCHOOL DISTRICT	76,265.23	0.00	76,265.23
WILMINGTON TOWN TREASURER	1,232,457.22	23,578.95	1,256,036.17
WILMINGTON WATER DISTRICT	23,666.17	0.00	23,666.17
WINDHAM CENTRAL SUPERVISORY UNION	1,023.53	0.00	1,023.53
WINDHAM COUNTY CLERK	2,378.21	0.00	2,378.21
WINDHAM SOLID WASTE MANAGEMENT	13,684.14	0.00	13,684.14
WINDHAM TOWN TREASURER	496,011.38	8,755.34	504,766.72
WINDSOR NORTHWEST SUPERVISORY UNION	1,066.64	0.00	1,066.64
WINDSOR SCHOOL DISTRICT & MANCHESTER	23,029.06	0.00	23,029.06
WINDSOR TOWN TREASURER	188,817.49	4,161.70	192,979.19
WINDSOR-ASCUTNEY SEWAGE TREATMENT ENT	20,671.58	0.00	20,671.58
WINHALL TOWN TREASURER	184,355.22	10,241.97	194,597.19
WOLCOTT TOWN TREASURER	17,708.85	983.83	18,692.68
WOODBURY TOWN TREASURER	299,741.04	16,622.82	316,363.86
WOODBURY VOLUNTEER FIRE DEPT	2,321.16	0.00	2,321.16
WOODFORD TOWN TREASURER	265,503.70	8,488.59	273,992.29
WOODSTOCK ASSOCIATES, INC.	34,853.02	0.00	34,853.02
WOODSTOCK TOWN TREASURER	3,296,775.62	111,159.82	3,407,935.44
WOODSTOCK UNION HIGH SCHOOL DISTRICT #4	15,100.29	0.00	15,100.29
WOODSTOCK VILLAGE TREASURER	94,010.87	5,222.83	99,233.70
WORCESTER TOWN TREASURER	29,801.79	1,655.66	31,457.45
	110,862,467.11	4,325,190.99	115,177,648.10



C.H.C.

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Joe Flynn
Director Emergency Management and Homeland Security

Submitted to the
Vermont General Assembly Joint Fiscal Committee

2013 Flooding

July 23, 2013

Thank you Chair Heath, Vice-Chair Kitchel and members of the Committee. I am Director of Emergency Management and Homeland Security and today I am pleased to brief you on the storms and flooding of 2013.

1. May 22-26, 2013 Memorial Day Storms- (FEMA DR-4120-VT)
 - a. Flash flooding In Chittenden, Lamoille, and Essex Counties -
 - i. Impacted entities include Averill, Cambridge, Colchester, Eden, Essex, Jericho, Milton, South Burlington, Underhill, Westford, Williston, Champlain Housing Trust, Chittenden Solid Waste District, and Vermont Electric Co-op
 - b. Approximately \$1.5 million (Project Worksheets PW's are still being written) in damage eligible for Public Assistance at 75% federal share
 - c. No Individual Assistance (widespread major damage to destroyed; 100 to 150 homes) no dollar value associated with IA
 - d. Approximately \$225,000 (15% of PA costs) made available for Hazard Mitigation projects within the Hazard Mitigation Grant Program (HMGP)
 - e. Damage numbers are approximate because project worksheets are currently being fleshed out
 - f. AA1 \$800,000 (FEMA Grantor)
2. June 25- July 11, 2013- (Not Yet Declared)
 - a. Extended weather pattern bringing several storms over the state causing flash flooding, home and infrastructure damage



Emergency Management and Homeland Security
Department of Public Safety
103 South Main Street
Waterbury, VT 05671-2101
<http://vem.vermont.gov> • <http://hsu.vermont.gov>

Toll free 800-347-0488
phone 802-244-8721
fax 802-241-5556

- b. Currently finalizing the Major Disaster Declaration request to be sent to the President via the Governor -
 - i. Request for Public Assistance to include seven counties, Caledonia, Chittenden, Orange, Orleans, Rutland, Washington, and Windsor with the most significantly impacted jurisdictions to include Windsor, Williamstown, Underhill, Jericho, Williston, Hinesburg, Huntington, Richmond, Essex, Pomfret, Braintree, Roxbury, Granville, Barton, Fairlee, Brookfield and Duxbury
 - ii. Estimated to be \$3.9 million in damages to public infrastructure assessed
 - iii. 15% will be made available for HMGP
- c. No Individual Assistance (requires widespread with major damage - to destroyed; 100 to 150 homes) no dollar value associated with IA
- d. If Declaration is received, Applicant Briefings will be held

3. Summary -

- a. Assuming that the final total for a June-July disaster will be in the \$4-5M range, it is fair to estimate that total damage from the 2013 disasters (thus far) is in the \$5-7M range
- b. If all applicants were to qualify for 15% Emergency Relief Assistance Fund (ERAF), the projected State share for these disasters will be approximately \$750,000 to \$1,050,000

Thank You

7/23/13

WATERBURY COMPLEX RECONSTRUCTION PROJECT

Joint Fiscal Committee

- Encumbered and paid to date is \$10,891,051
- Services rendered-
 - Complete design and permitting of the project
 - Comprehensive Hazardous Waste Assessment of the campus
 - Removed approximately half of the hazardous waste to date
 - A complete historical documentation of the facility prior to the Reconstruction
 - Archeological investigation and assessment of the site.
- Future expenditures FY 14-
 - Construction Manager
 - Envelope Commissioning
 - Change Manager
 - Solar Component
- Activities through the end of 2013
 - FEMA funding should become clear by the end of August. The State is entering into a Pilot Program developed after Hurricane Sandy to expedite resolution.
 - A site fence will go up next month
 - Abatement will continue into 2014
 - Demolition will begin in August
 - Permitted utility work will go through fall
 - Reconstruction activities will begin near the end of 2013

C.5.

Reconstruction Projects Dedicated to the Renovation and Replacement of State- Owned Assets

Planning Process Update #7

In accordance with Act 51 Section 2 Irene Recovery:
subset (b)(15) "The Commissioner of Buildings and
General Services shall notify the House Committee on
Corrections and Institutions and the Senate
Committee on Institutions at least monthly of updates
to the planning process for the projects described in this
subdivision" (b)(15)(A-H)."



Michael Obuchowski, Commissioner
Agency of Administration
Department of Buildings & General Services
Telephone: 802-828-3519
Email: mike.obuchowski@state.vt.us
June 28, 2013

Overview

In accordance with Act 51 Section 2, 2013 the following planning process update #7 is a continuation from the January 2013 report. Our goal is to continue providing progress reports on all the reconstruction projects dedicated to renovation and replacement of state owned assets resulting from Tropical Storm Irene. Please contact my office at 828-3519 or email: if you want more detailed information

Details

FEMA

Vermont State Hospital

- The State of Vermont has worked with FEMA in order to compile the financial reporting information required in order to start drawing down on these funds. The State of Vermont has substantiated and requested \$4,198,265.10 of draw-downs and is anticipating receipt of those funds.

Waterbury State Office Complex

- FEMA and the State of Vermont are working diligently to maximize all funding for the Waterbury State Office Complex. The Hurricane Sandy Legislation that came out of Congress encourages greater flexibility in regards to funding transfers which will allow us to recoup the greatest amounts of funds that are eligible. In the process additional FEMA funding sources have been identified (404 mitigation funding) that will assist us in capturing the greatest possible benefit.

STATE HOSPITALS

25 Bed Inpatient Facility – Vermont Psychiatric Care Hospital - Berlin, VT:

- Permitting for the project is complete. However, we need to revise our Town Site plan, due to blown-down trees that had been scheduled to remain. We are proposing to replace these trees to maintain the forested buffer to the West of the building.
- Programming of services is completed. We are now working on details with focus groups and DMH to address operational issues and finalize finishes, furnishings, and signage.
- The Construction Management Team at Engelberth Construction, Inc. has been very busy the past six months bidding and awarding multiple sub-contracts for construction of the facility. They have bid out and awarded almost all aspects of the project except for a few finish type contracts. They have verified the scope of work with the bidders

and recommended award to assure a complete project within the desired schedule for the work.

- Construction work started in early January 2013 and has continued on a fast-track with good progress such that the current targeted completion date is late May 2014 for June 2014 occupancy.
- The project is currently tracking on budget. We will have a better understanding of the final Guaranteed Maximum Price for construction once we have completed bidding the remaining sub-contracts.

8 Bed Temporary Inpatient Facility – Green Mountain Psychiatric Care Center - Morrisville, VT:

- The first two patients were admitted to the facility on January 2, 2013.
- The facility has been at full occupancy since February 2013.
- Revised project cost is \$2,116,596, with a few minor outstanding items to price to address operational issues.
- Request for certification by the Joint Commission has been submitted with an anticipated on-site survey planned for late July 2013.

14 Bed Brattleboro Retreat Health Care – Brattleboro, VT:

- Ribbon cutting ceremony was held on April 8, 2013.
- While patients had been being treated at the facility since Tropical Storm Irene, this is a major step forward in the delivery of mental health services in the State, freeing up other beds within the entire system of care.
- Work efforts continue on other portions of the facility made necessary by the renovations for the 14-bed unit.
- Construction contract still at \$5,415,909.

6 Bed Rutland Regional Medical Center – Rutland, VT:

- Ribbon cutting ceremony was held on April 4, 2013.
- Patients began moving into the 6-bed unit the following Monday.
- Construction contract still at \$5,522,606.
- From all accounts, the unit is operating nicely.

7 Bed Secure Recovery Residence – Middlesex Therapeutic Care Residence - Middlesex, VT:

- Town zoning permit appealed by the neighbor was successfully settled out of court and the court approved the settlement.
- Additional permitting requirements that came up during construction have been submitted to the Town. They are reviewing the documentation to determine if a public hearing is warranted or if they can issue an amendment to the original permit.

- Minor punch list work remains underway, but necessary occupancy and licensing permits have been received.
- The first two residents arrive at the facility on Wednesday, June 19, 2013.
- Current project cost is at \$2,045,345.

WATERBURY COMPLEX RECONSTRUCTION PROJECT

- The Design Development phase is complete and the Design Development Estimate indicates the project is on budget.
- Construction Documents: The design team is currently in the 'Construction Document' Phase of the project. The design is being finalized and modified as required to align it with the most current function and use requirements. In this phase we bring full definition to all elements of the project. The drawing will take a large leap by fully detailing how all the components come together and interface. The project specifications are adjusted to capture the nuances and site specific requirements of the project. This phase will take us through the end of September.
- Construction Management Services: BGS has issued a letter of intent to a Vermont firm to provide construction management services to the project. Release of this firm's name will be available once a signed contract is in place.
- Hazardous materials abatement activities are progressing across the campus. To date work has taken place in Osgood, 10 North, Brooks, and Old Annex. Work will start in the Ag./Env. Lab, 10 South, Repair and Maintenance, A Building and the West office building in July.
- Demolition: We have recently received word that we are free to move forward with demolition without putting our potential funding at risk. We will be working with the Construction Manager in order to bid and start this scope of work in the near future.
- Permits: We have applied for and received the Town of Waterbury DRB Decision on June 6, 2013. We have entered into the thirty (30) day appeal period and no such actions have been taken to date. The Act 250 Permit applications have also been filed and we have completed the public hearing portion of the process. The Board has published a recess memo and we are in process of compiling the responses to the additional information requested in that document. We are tracking to have an Act 250 permit in hand at least thirty (30) days prior to the design being completed.
- Art in State Buildings: The Waterbury State Office Complex has entered into the arts in state buildings selection process. Over 30 initial submissions were received and the team has pared those submissions down to a shortlist of 5 candidates. We will continue through the process to select an artist or teams of artists to ultimately award the contract.

NATIONAL LIFE OFFICE BUILDING

- The final phase of the move into the basement of Davis North was completed on May 17, 2013. All agencies and departments are in their new and final locations.
- Work continues on the installation of window film and the completion of the punch list items. This work is planned to be completed by the end of June.
- The only other remaining item is signage for all the agencies, departments and rooms. This work should be completed by the end of July.
- Merryn Rutledge, ED. D. of ReVisions LLC, is wrapping up her weekly meetings, guide team updates, and is providing final suggestions to all agencies and departments to maintain and reinforce the *Open Office Design*. Her work will be completed by the end of June.

Voluntary Status Updates for Additional State Projects

BRATTLEBORO STATE OFFICE BUILDING

- Baybutt Construction's bonding company is expected to complete the project by the 3rd week in July. Re-occupancy of the SOB scheduled for the last weekend of July and the lease is scheduled to terminate August 1.

133 STATE STREET TUNNEL

- The tunnel waterproofing is on schedule to be completed late fall of 2013. A structural concrete cap was placed over the basement mechanical rooms and deteriorated tunnel walls and ceilings are currently being replaced.

SOUTHERN STATE CORRECTIONAL FACILITY

- The design for the steam line replacement is being done in house. The project is to be bid late summer with possible fall construction schedule. Coordination with the Department of Corrections will dictate actual start date.
- The investigative report by SMRT Engineering regarding the Copper Waterline Replacement project is in process. The report by Salem Engineering appears to be more conclusive as to cause and recommended remedial work. BGS is considering seeking approval to sole source engineering and construction management services with Salem Engineering and Engelberth Construction.

BARRE DISTRICT COURTHOUSE

- The design of three pellet boilers to replace the current, electric, boiler is almost complete. This is expected to be bid in the fall with completion of the project occurring in summer of 2014.

DISTRICT HEAT PLANT

- Montpelier District Heat Plant construction began June 24th. Engelberth Construction is attempting to accelerate the schedule wherever possible to enable the City to tie in sometime in November of 2013.

WESTMINSTER PUBLIC SAFETY BUILDING

- The purchase and sale agreement has been executed and the owner has started the permit process. The State is preparing for submission of permit applications once the title transfer is complete in the summer/fall of 2013. Construction is expected to start in April 2014.

CAPITOL COMPLEX

- The Preservation of Capitol Complex projects are now being prioritized. Offices at 13 Baldwin St. and 110 State St are to be painted this summer/fall. Additionally, there will be some spot painting of 2 Governor Aiken in the summer of 2013.

BENNINGTON STATE OFFICE BUILDING

- The Courthouse Geothermal Energy Project is nearly complete. Heating and cooling terminal units are nearing full functionality.
- Landscaping projects are awaiting review.

NORTHEAST STATE CORRECTIONAL FACILITY

- The maintenance shop work has been completed.

LAMOILLE COUNTY COURTHOUSE

- Planning & design for renovation and structural additions to the courthouse are underway. This is currently in design development phase.

BURLINGTON OFFICE BUILDINGS

32 Cherry Street

- Bids are out for the Chiller, Domestic Hot Water Heater, and Air Handler Unit projects.

108 Cherry Street

- The contract has started with AC Hawthorne. A preconstruction meeting was held in June.
- HVAC upgrades, Chiller, and the terminal unit projects have been completed.
- Controls system "Bus" is due to begin next month.
- The controls upgrade soil source request has been submitted to C/A.

CHITTENDEN REGIONAL CORRECTIONAL FACILITY

- The HVAC contract has started with the project team at Alliance Mechanical.

MAJOR MAINTENANCE WELCOME CENTERS

- Work is ongoing. Annual Appropriations is currently working with Merle and John to coordinate multiple projects at all information centers.
- Construction of the Bennington Welcome Center is in the design phase. The RFP and Design Project is 60% complete.

MAJOR MAINTENANCE HISTORIC SITES

- Multiple sites are slated for ongoing repairs. Merle Miller and John Dunville are coordinating on priority projects.

ADA COMPLIANCE UPGRADES

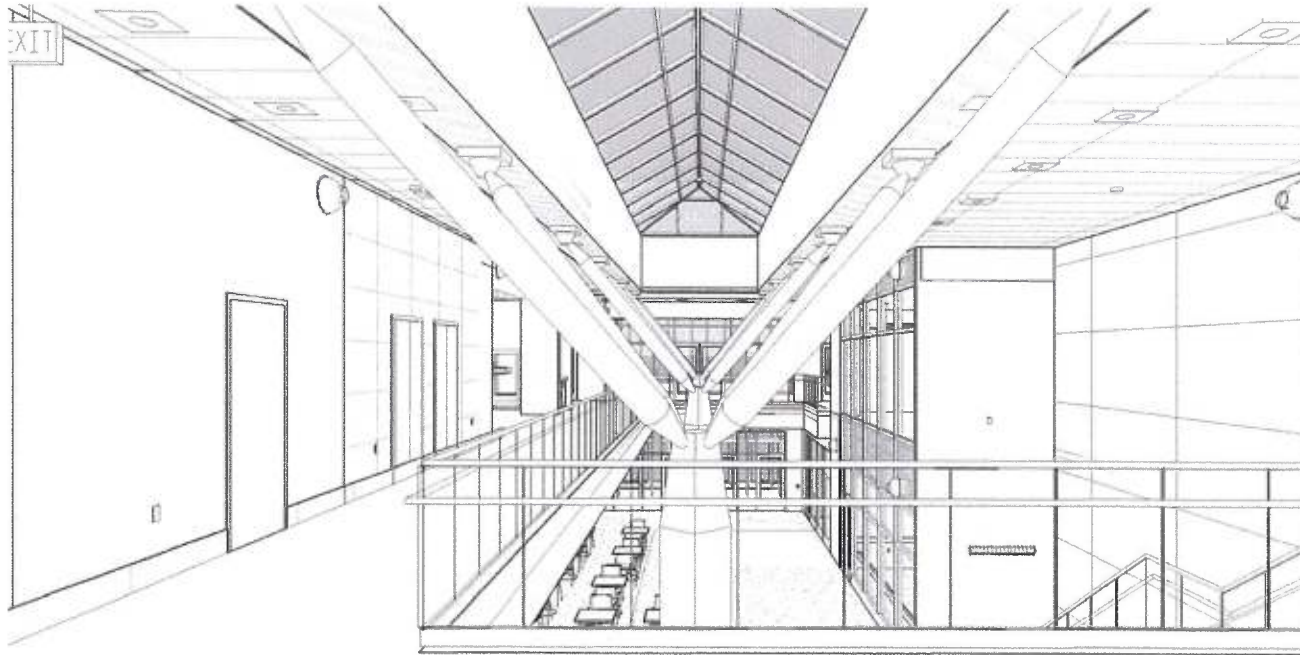
- The Bennington Superior Courthouse has been complete. Awaiting report publication.
- Various Sites (Judiciary): Currently audit reports are in review to determine scope of work necessary.
- The Rutland County Courthouse ADA review is complete

DIVESTMENT OF STATE PROPERTY

- Disposal of 20 Houghton St in St Albans - development agreement in review by involved parties, P & S for 20 Houghton drafted, Lease with Developer drafted, programming for the impacted agencies in final development
- Disposal of 62 Pierpoint Ave - P&S drafted, waiting on Rutland to execute.
- Duxbury Farm Disposal - Pending closing on the sale - Tied to WSOC project via GMP sub-station site

Reconstruction Projects Dedicated to the Renovation and Replacement of State-Owned Assets - last revised June 21, 2013

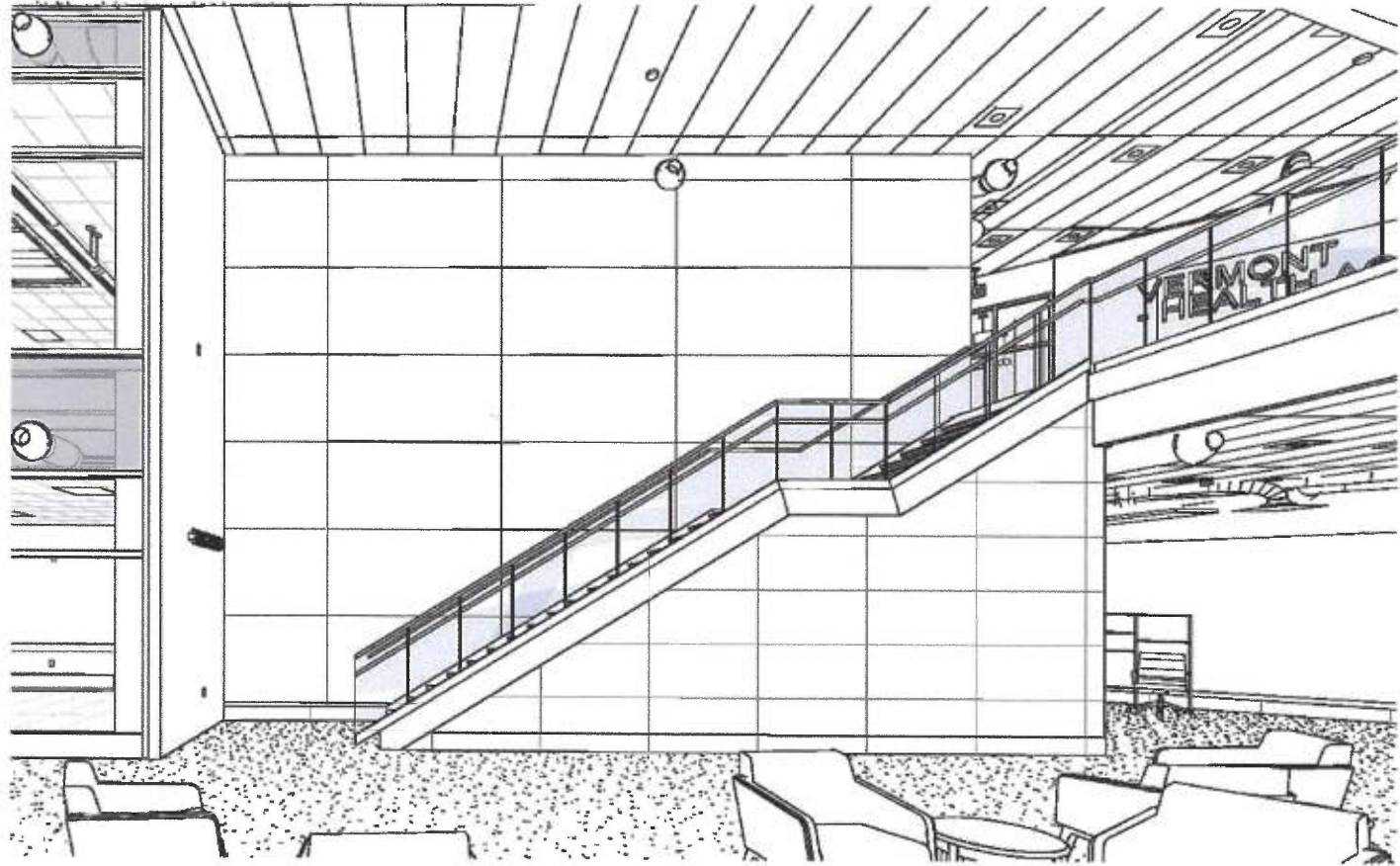
Attachments: Waterbury State Office Complex 3-D Design Images, Most Recent Site Photo(s) of VSH -Berlin Hospital



5
A425

INTERIOR -2nd LEVEL PROMENADE TOW. ENTRY

SCALE:



7
A425

INTERIOR - STAIR 2

SCALE:





6/9/2013 22:45



6/9/2013 22:56

C.6.

LIHEAP Funding & Benefit Stats Compilation

July 16, 2013 R.Moffi DCF/ESD/Fuel

SFY	LIHEAP Total	LIHEAP Carry-Over (1)	State Funds	TOTAL FUNDS	Fuel Liability Households	Full Season Fuel Liability Avg. Benefit	Nov-Apr Avg cost oil/gal (2)	Purchase Power Gallons / %age (3)	FFY
2014	\$17,318,000	\$591,060	\$6,000,000	\$23,909,060	28,600	\$717	\$3.40	211 / 28%	2014
	SFY2014 data estimated						Note A		
2013	\$18,359,509	\$1,583,684	\$9,700,000	\$29,643,193 (4)	27,753	\$898	\$3.85	233 / 31%	2013
2012	\$19,529,156	\$4,005,000	\$6,100,000	\$29,634,156	27,100	\$900	\$3.61	248 / 33%	2012
2011	\$27,557,850	\$6,687,000	\$0	\$34,244,850	26,546	\$866	\$3.31	262 / 34%	2011
2010	\$27,341,881	\$5,447,000	\$0	\$32,788,881	20,399	\$1,064	\$2.68	397 / 52%	2010
2009	\$38,642,377	\$363,000	\$0	\$39,005,377	19,227	\$1,718	\$2.62	656 / 86%	2009
2008	\$16,883,723	\$1,780,000	\$5,898,032	\$24,561,755 (5)	15,369	\$1,362	\$3.24	420 / 55%	2008
2007	\$11,612,664	Not Avail.	\$590,769	\$12,203,433	15,124	\$1,368	\$2.51	545 / 71%	2007
2006	\$14,319,230	Not Avail.	\$10,200,000	\$24,519,230	14,893	\$1,364	\$2.50	546 / 71%	2006
2005	\$13,751,056	Not Avail.	\$1,000,000	\$14,751,056 (6)	14,252	\$902	\$2.05	441 / 58%	2005

Note A Estimated avg petro fuel cost \$3.80/gal - less estimated 40 cents from Margin Over Rack, Discount Off Retain or Summer Contract

- (1) The carry-over amounts are prior to September 30 federal year close-out
- (2) Price after required discount from FY2009 to 2013
- (3) Assumes average winter consumption of 764 gallons for a delivered petro fuel (oil/propane/kerosene)
- (4) Includes \$130,000+ in non-block grant LIHEAP funds
- (5) \$1 Million returned to the State on June 30
- (6) No state funds were contributed to LIHEAP prior to FFY2005

Note: Additional funds or program changes that re-direct funds into the average "full-season, full-fuel-liability" benefit increase that average benefit as follows:

\$25,000	=	\$1 additional	\$100,000	=	\$4 additional
\$500,000	=	\$20 additional	\$1.0 Million	=	\$40 additional



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: July 22, 2013
Subject: Grant/Position Request

Enclosed please find one (1) item that the Joint Fiscal Office has received from the administration. This item includes the establishment of two (2) limited service positions.

JFO #2633 – Request to establish **two (2) limited service positions** in the Agency of Human Services and Human Service Board. The number of eligibility appeals associated with the Health Benefits Exchange is expected to increase and these positions will provide staffing to cover this increased caseload. Funding for these positions will come from JFO #2582, which was approved in October, 2012. The Department of Vermont Health Access did not request these positions as part of the original grant approval process because the need for these positions was not apparent at that time.
[JFO received 07/22/13]

This item will be placed on the agenda for action at the Joint Fiscal Committee's July 23, 2013 meeting.





STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: July 19, 2013
Subject: Grant Requests

Enclosed please find two (2) items that the Joint Fiscal Office has received from the administration, including the establishment of seventeen (17) limited service positions.

JFO #2631 – \$1,158,875 grant from the Federal Emergency Management Agency to the Vermont Agency of Transportation. These funds will be used to provide federal disaster assistance for damages caused by the severe storm and flooding on May 26, 2013 in Chittenden County, Lamoille County, and Essex County.

[JFO received 07/18/13]

JFO #2632 – \$42,687,000 grant from the U.S. Department of Health and Human Services to the Department of Vermont Health Access. These funds will be used for planning and implementation of the federal mandated health benefits exchange (Vermont Health Connect), including premium processing, call center activities, and integration of existing state health coverage programs into the exchange environment. This request includes establishment of **seventeen (17) limited service positions**.

[JFO received 07/19/13]

These items will be placed on the agenda for action at the Joint Fiscal Committee's July 23, 2013 meeting.

Vermont Health Connect

Joint Fiscal Committee

July 23, 2013

Our Mission

Our mission is to provide all Vermonters with the knowledge and tools needed to easily compare and choose a quality, affordable, and comprehensive health plan.

Establishment Grant Level 1C Overview: Contractual Costs

- ACCESS Remediation (signed contract)
- Enterprise Architecture Staff Augmentation (signed contracts/under negotiation)
- Customer Support Center
 - Call Center Development (signed contract)
 - Phone System Standardization & Upgrades
- Premium Processing (signed contract)
 - Third Party Administrator: (RFP out)
- Quantitative Research Surveys
- Operational Readiness
 - Contract Support
 - All Payer Methodology Development Legal Support
 - Contingency Planning
 - Technology/Training
 - Human Services Board
- Information Systems
 - Interactive Consumer Decision Support Tool (signed contract)
 - Patient Portal
 - Vermont Health Connect Mobile

Positions Requested (Level 1C Grant)

<u>Employee Position</u>	<u>FTE</u>
Business Analyst	6
Communication & Notices Manager	1
Web Portal Content Manager	1
Outreach and Education Research Coordinator	1
Privacy Officer	1
Financial Director II	1
Attorney	2
Administrative Assistant B	1
Information Technology Analyst II	1
Training Coordinator	2
Total	17

Key Needs Grant Will Meet

- The focus of the grant is to support the Design, Development, and Implementation of several major components of Vermont Health Connect and Vermont's Health Services Enterprise as well as to prepare fully for operations.
 - Funding will provide support for premium processing, call center activities, and the integration of existing state health coverage programs (Medicaid, CHIP) into the new Vermont Health Connect eligibility environment.
 - The grant will also support additional staffing and technological resources needed to ensure operational readiness on October 1, 2013.

Positions Requested (Budget Adjustment, Level 2)

<u>Employee Position</u>	<u>FTE</u>
Health Care Program Specialist	
Attorney	
Human Services Board Hearing Officer	
Administrative Assistant B	1
Total	6



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee - Pursuant to Sec. E. 333(a) (1) of Act 50 of 2013
Date: July 23, 2013
Subject: Developmental Services - FY14 Budget Savings Target

Sec. E.333(a) (1) of the budget bill requires JFO, F&M, AHS, and DDAIL to review the FY13 fiscal close of Developmental Services and present an update of the estimate regarding the FY14 budget savings target.

The FY14 budget as passed includes a savings target of \$2.5 million. This is approximately 1.5% on the overall DS budget. Total DS appropriations have been:

FY09 Final	FY10 Final	FY11 Final	FY12 Final	FY13 Final	FY14 Passed
\$134.85 m	\$144.91 m	\$148.62 m	\$151.54 m	\$160.98 m	\$169.88 m

FY13 came in \$272,626 below expectation, this experience in the absence of any other changes or updates results in an adjusted FY14 savings target of \$2.23 million. Actual experience in the initial months of FY14 will inform whether there is a need for further adjustment.

In its simplest form, the DS budget is comprised of the individual service budgets for eligible Vermonters less available equity funds. Equity funds are the base funds that get reallocated when an individual leaves the system (moves out of state, death, etc.). Services are provided by the Designated (10) and Specialized Service (5) Agencies. These agencies conduct the intake and assessment and determine financial and clinical eligibility based on the System of Care Plan which identifies and prioritizes the range of fundable services. A local agency funding committee makes recommendation for new or enhanced client services to the state Equity or Public Safety Committees. While each request is specific to the approved service needs of the individual, each DA is ultimately paid based on their actual cost structure including administration. The overall DS budget is limited by the funds appropriated by the legislature. Reductions are made through a rescission process in the System of Care when resources are lower than the projected amount for approved individual budgets.

In addition to the \$6.1 million caseload increase initially budgeted, the FY13 BAA increased appropriations by another \$3.0 million for caseload. These increases were the

net of projected caseload and projected equity. The Department tracks the annualized, approved incremental changes to individual budgets as well as available equity resources on a monthly basis. This tracking is the source of the \$272,626 adjustment to the FY14 target.

The as passed FY14 appropriation is based on several components:

1. The FY12 base
2. Plus the \$11.39 m 3-yr average increase for caseload for FY10, FY11 and FY12¹
3. Less \$3.91 million of projected equity funds base on a three year average
4. Plus the same \$3 million that was added in the budget adjustment
5. Less the \$2.5 million savings target
6. Plus \$1.875 million added for the SFI/CCC population (this is non-DS caseload)
7. Plus \$2.94 million for a provider rate increase beginning in November 2013
8. Then a small number of minor adjustments – mostly net neutral

The adjustment to the savings target is based solely on the FY13 close out position. Actual experience in the first four or five months of FY14 will inform if further adjustments are needed. For example, in FY13 the actual equity amount available was \$5.6m, the FY14 level of equity will need to keep pace to avoid additional pressure in the program. The overall budget timeframe, means there is a one year lag in the actual data available for the three year average. If a 2-yr and 3-yr average is updated with FY13 experience, a range of potential additional trend pressure could be between \$900k and \$2.2 million potentially impacting FY14 and likely impacting FY15 in some measure.

Attachments

- 1 – Language from Budget Bill
- 2 – FY13 and FY14 DS Budget Build Summary
- 3 – FY13 DS Caseload Monitoring – Final

Sept. 2013 - Caseload and Utilization Review Required by Sec. E.333(a) (2)

For the required caseload and utilization review, the fiscal group has begun reviewing and mapping in more detail the overall DS business/budget process, within that context we will be looking at both the caseload and utilization components. The purpose of the mapping is both to inform our analysis and identify the points in the process where recommended policy changes could impact the caseload estimating model.

¹ This includes both the regular DS caseload as well as the public safety caseload

ACT 50 of 2013 – Budget Bill**Sec. E.333 Disabilities, aging, and independent living - developmental services**

(a) The Department of Disabilities, Aging, and Independent Living, the Agency of Human Services, the Department of Finance and Management, and the Joint Fiscal Office shall:

(1) After review of preliminary fiscal year 2013 close out of the developmental services appropriation unit, present an estimate to the Joint Fiscal Committee at its July 2013 meeting regarding the amount, if any, of the fiscal year 2014 Developmental Services program budget that needs to be addressed through administrative or operational changes in order to manage the service needs within the appropriated funds;

(2) Review the methodology for forecasting both the caseload and utilization for developmental disabilities programs and shall report any recommendations for changing this methodology to the Joint Fiscal Committee at its September 2013 meeting;

(3) Recommend a consensus estimate for the fiscal year 2015 developmental services caseload, utilization, and budget to the Emergency Board at its January 2014 meeting.

(b) In anticipation that there will be some fiscal year 2014 amount of administrative or operational changes needed to manage the service needs within the appropriated funds, the Secretary of Human Services, or designee shall convene a Work Group to:

(1) assess whether the methods of developmental service case planning and oversight should be revised;

(2) assess whether alternate practices could be identified, resulting in more cost-effective use of the resources available for developmental services;

(3) determine what changes could be reasonably implemented in fiscal year 2014 to manage the service needs within the appropriated funds and identify the fiscal year 2014 amount, if any, of budgetary management that will be accomplished through existing System of Care Plan rescission processes based upon the estimate provided in subdivision (a)(1) of this section;

(4) report to the Joint Fiscal Committee at its September 2013 meeting on subdivisions (b)(1)–(3) of this section;

(5) identify cost-effective, innovative models of care and develop recommendations as to how these models could be implemented in Vermont; and

(6) inform participants working to update the System of Care Plan for June 2014 on these findings and recommendations.

(c) There is created a Work Group composed of the following members:

(1) the Secretary of Human Services or designee, who shall be chair;

(2) the Commissioner of Disabilities, Aging, and Independent Living or designee;

(3) the Director of Developmental Services or designee;

(4) two members appointed by the Vermont Council of Developmental and Mental Health Services;

(5) two members appointed by the Developmental Disabilities Council who may be any combination of a parent of, a family member of, or a person living with a disability; and

(6) up to three additional members appointed by the Secretary or designee deemed desirable for policy expertise or stakeholder input.

(d) For fiscal year 2014, no modifications or rescissions to the System of Care Plan shall be initiated until September 1, 2013.

(e) The members of the Work Group created in subsection (c) of this section, shall be appointed as soon as is practicable following the effective date of this section. Members of the Work Group who are not employees of the State of Vermont and who are not otherwise compensated by their employer or association for their participation in the Work Group shall be reimbursed at the per diem rate set forth in 32 V.S.A. § 1010.

DAIL DS Budget History FY10 through FY14

Budget Adjustments

		GF	SF	FF	GC	MCO	Total		
FY10 Budget Build	FY09 As Passed budget	\$ 185,693	\$ 185,463	\$ 370,740	\$ 136,660,734	\$ 1,303,340	\$ 138,705,970		
	2008 Rescission	(2,037)			(4,978,040)	(15,181)	(4,995,258)		
	Regular Caseload Pressure				4,000,563		4,000,563		
	Public Safety Caseload				1,130,450		1,130,450		
	Other	(11,031)	(170,000)	(10,883)	1,310,185	(250,000)	868,271		
	<i>Legislative Changes</i>						-		
	50% June Grads Restoration				959,373		959,373	Rescission	(49,933) (49,933)
	FY10 As Passed Budget	\$ 172,625	\$ 15,463	\$ 359,857	\$ 139,083,265	\$ 1,038,159	\$ 140,669,369	Other	4,291,500 4,291,500
FY11 Budget Build	Rescission				(49,933)		(49,933)		
	Regular Caseload Pressure				3,337,173		3,337,173		
	High School Graduates Caseload				1,788,020		1,788,020		
	Public Safety Caseload				1,555,344		1,555,344		
	Other	(17,500)			1,567,777		1,550,277		
	<i>Legislative Changes</i>						-		
	Other Grant restoration				1,072,223		1,072,223	Challenges for Change	(2,525,307) (2,525,307)
	FY11 As Passed Budget	\$ 155,125	\$ 15,463	\$ 359,857	\$ 148,353,869	\$ 1,038,159	\$ 149,922,473	Other	1,221,979 1,221,979
FY12 Budget Build	Challenges for Change				(2,525,307)		(2,525,307)		
	Regular Caseload				4,088,883		4,088,883		
	High School Graduates Caseload				1,975,433		1,975,433		
	Public Safety Caseload				1,630,042		1,630,042		
	Unified Services/equity Caseload				1,100,000		1,100,000		
	5% Reduction to absorb caseload pressures				(7,694,358)		(7,694,358)		
Other				(648,319)	592,201	(56,118)			
<i>Legislative Changes</i>									
	50% restoration of Caseload reduction				3,847,179		3,847,179	Other	(750,000) (750,000)
	FY12 As Passed Budget	\$ 155,125	\$ 15,463	\$ 359,857	\$ 150,127,422	\$ 1,630,360	\$ 152,288,227	FY12 BAA	\$ 149,377,422 \$ 151,538,227
FY13 Budget Build	Regular Caseload (including HS Graduates)				4,163,857		4,163,857		
	Public Safety Caseload				1,976,292		1,976,292	DS Caseload	3,000,000 3,000,000
	Other				(1,225,000)		(1,225,000)	Other	775,754 775,754
	FY13 As Passed Budget	\$ 155,125	\$ 15,463	\$ 359,857	\$ 155,042,571	\$ 1,630,360	\$ 157,203,376	FY13 BAA	\$ 158,818,325 \$ 160,979,130
FY14 Budget Build	SFI/CCC				1,875,000		1,875,000		
	Regular Caseload (including HS Graduates)				5,399,080		5,399,080		
	Public Safety Caseload				2,084,765		2,084,765		
	DS FY13 BAA Caseload Need				3,000,000		3,000,000		
	System of Care Plan Reductions				(2,500,000)		(2,500,000)		
	DS contract Increase				81,600		81,600		
	Medicaid Rate Increase				3,252,614	51,510	3,304,124		
	Other		58,000		(258,246)		(200,246)		
	<i>Legislative Changes</i>						-		
		Medicaid rate increase change to Nov.				(361,402)	(5,723)	(367,125)	
	FY14 As Passed Budget	\$ 155,125	\$ 73,463	\$ 359,857	\$ 167,615,982	\$ 1,676,147	\$ 169,880,574		

Equity Fund Committee Fund Approval Summary - Funds Available Compared to Funds Approved (Annualized)
6/24/2013

FY13 Funds

\$ 8,135,470

\$ 2,316,442

APPROVALS	New Caseload-Equity-HS Gr		Public Safety		Total Plan	Total Approved	over/(under)
	Plan	Approved	Plan	Approved			
May-12	\$ 848,780	\$ 848,870	\$ -	\$ -	\$ 848,780	\$ 848,870	90
Jun-12	\$ 777,341	\$ 736,520	\$ 157,353	\$ 157,353	\$ 934,694	\$ 893,873	(40,821)
Jul-12	\$ 682,640	\$ 738,771	\$ 90,379	\$ 90,379	\$ 773,019	\$ 829,150	56,131
Aug-12	\$ 529,701	\$ 946,364	\$ 261,892	\$ 261,892	\$ 791,593	\$ 1,208,256	416,663
Sep-12	\$ 529,701	\$ 1,377,034	\$ 180,682	\$ 134,866	\$ 710,383	\$ 1,511,900	801,517
Oct-12	\$ 529,701	\$ 776,554	\$ 180,682	\$ 711,534	\$ 710,383	\$ 1,488,088	777,705
Nov-12	\$ 529,701	\$ 714,180	\$ 180,682	\$ 855,257	\$ 710,383	\$ 1,569,437	859,054
Dec-12	\$ 529,701	\$ 644,574	\$ 180,682	\$ 282,142	\$ 710,383	\$ 926,716	216,333
Jan-13	\$ 529,701	\$ 334,037	\$ 180,682	\$ 210,383	\$ 710,383	\$ 544,420	(165,963)
Feb-13	\$ 529,701	\$ 505,925	\$ 180,682	\$ 110,510	\$ 710,383	\$ 616,435	(93,948)
Mar-13	\$ 529,701	\$ 492,865	\$ 180,682	\$ 155,155	\$ 710,383	\$ 648,020	(62,363)
Apr-13	\$ 529,701	\$ 859,404	\$ 180,682	\$ 277,138	\$ 710,383	\$ 1,136,542	426,159
May-13	\$ 529,701	\$ 1,462,351	\$ 180,682	\$ 11,150	\$ 710,383	\$ 1,473,501	763,118
Jun-13	\$ 529,701	\$ 854,916	\$ 180,682	\$ 17,286	\$ 710,383	\$ 872,202	161,819
	\$ 8,135,470	\$ 11,292,365	\$ 2,316,442	\$ 3,275,045	\$ 10,451,912	\$ 14,567,410	\$ 4,115,498

REVENUE	Equity Revenue		Public Safety - Revenue		Total Plan	Total Received	over/(under)
	Plan	Received	Plan	Received			
Jul-12	\$ 327,859	\$ 348,278	\$ 28,346	\$ 31,718	\$ 356,204	379,996	23,792
Aug-12	\$ 327,859	\$ 148,342	\$ 28,346	\$ -	\$ 356,204	148,342	(207,862)
Sep-12	\$ 327,859	\$ 157,622	\$ 28,346	\$ -	\$ 356,204	157,622	(198,582)
Oct-12	\$ 327,859	\$ 729,765	\$ 28,346	\$ 116,247	\$ 356,204	846,012	489,808
Nov-12	\$ 327,859	\$ 551,350	\$ 28,346	\$ 25,568	\$ 356,204	576,918	220,714
Dec-12	\$ 327,859	\$ 691,598	\$ 28,346	\$ 74,979	\$ 356,204	766,577	410,373
Jan-13	\$ 327,859	\$ 457,386	\$ 28,346	\$ 78,085	\$ 356,204	535,471	179,267
Feb-13	\$ 327,859	\$ 258,049	\$ 28,346	\$ 34,874	\$ 356,204	292,923	(63,281)
Mar-13	\$ 327,859	\$ 597,637	\$ 28,346	\$ 166,632	\$ 356,204	764,269	408,065
Apr-13	\$ 327,859	\$ 560,145	\$ 28,346	\$ -	\$ 356,204	560,145	203,941
May-13	\$ 327,859	\$ 254,609	\$ 28,346	\$ 246,661	\$ 356,204	501,270	145,066
Jun-13	\$ 327,859	\$ 133,032	\$ 28,346	\$ -	\$ 356,204	133,032	(223,172)
	\$ 3,934,303	\$ 4,887,813	\$ 340,150	\$ 774,764	\$ 4,274,453	\$ 5,662,577	1,388,124

Forecasted Ending Balance per Spending Plan \$ (2,727,374)

ACTUAL FUND BALANCES - FY13		
	Equity Fund	Public Safety
New Funds in FY13	\$4,513,857	\$1,976,292
Expenditures	\$ 11,605,055	\$ 3,275,045
Revenue	(\$4,887,813)	(\$774,764)
AVAILABLE BALANCE	(\$2,203,385)	(\$523,989)

BAA Resources Available \$ 3,000,000

Difference (surplus/deficit) \$ 272,626



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July 2013 Economic Review and Revenue Forecast Update

Prepared for the
State of Vermont
Emergency Board and
Legislative Joint Fiscal Committee

July 23, 2013

Economic Review and Revenue Forecast Update

July 2013

Overview

Reflecting a continued lack of policy consensus in Washington, monetary policy is now at full throttle while fiscal policy is firmly applying the brakes - leaving the U.S. economy struggling to attain takeoff speed. With offsetting economic effects, these contradictory policies have left job growth in low gear (see chart on next page) and frustrated achievement of potential economic growth and full employment. In accord with this trudging recovery, FY14 State revenue growth (excluding T-Fund tax increases enacted in the recent legislative session) is expected to be subdued before accelerating in FY15.

State revenues across all three major funds closed FY13 about 1.5% above expectations, due primarily to exceptionally strong April income tax receipts. Relative to prior January 2013 projections, recent tax law changes will add about \$20 million per year to future Transportation Fund revenues, while only minor adjustments were made to both the General and Education Funds (see below chart).

Recommended Net Revenue Changes from January 2013 Forecast

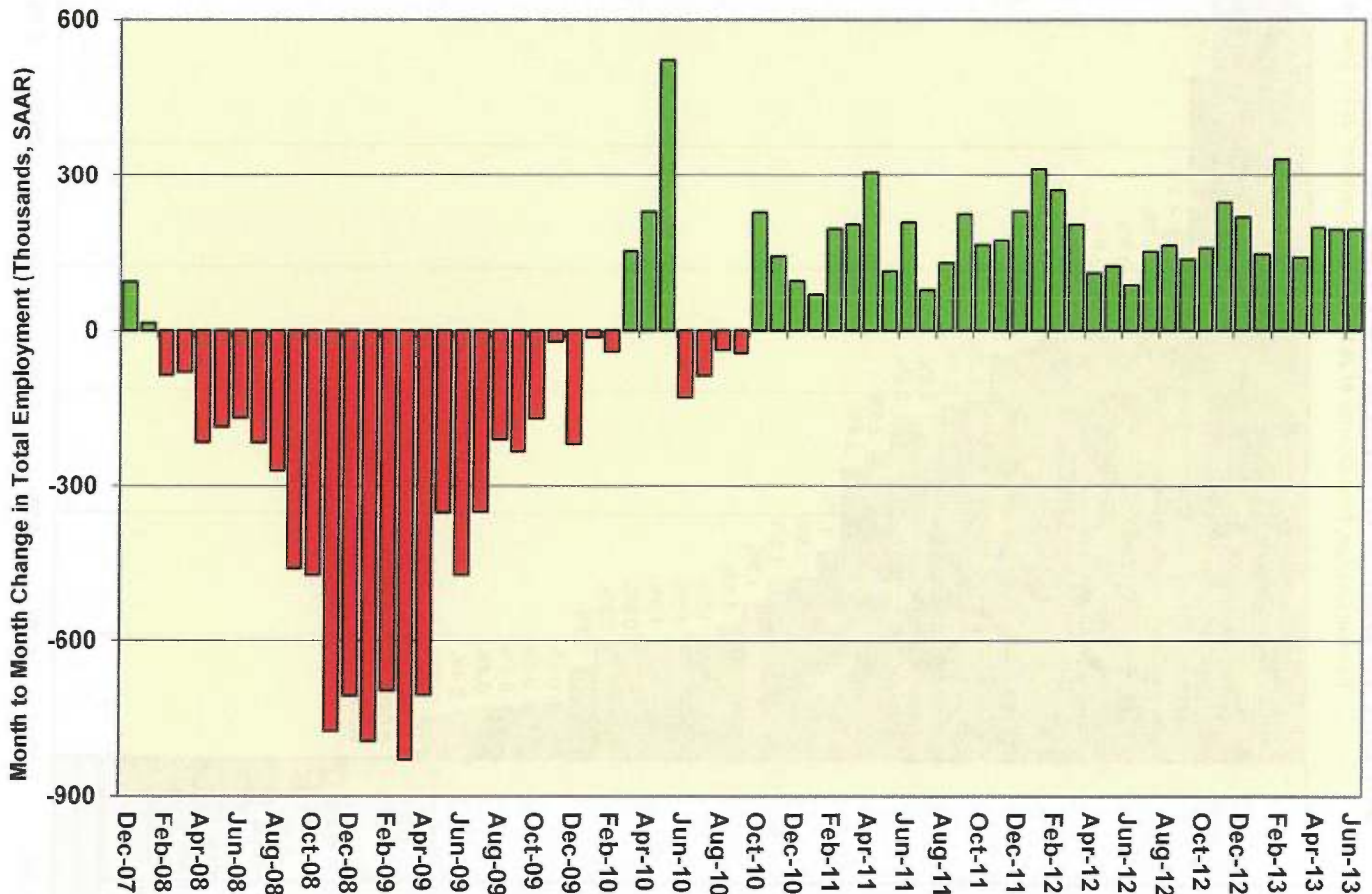


July 2013 Economic and Revenue Forecast Commentary

- Recent federal tax increases and spending cuts associated with sequestration will shave about 1.5 percentage points off 2013 GDP growth and could even drop second quarter GDP into negative territory. This untimely drag on the economy is expected to delay stronger employment, income and tax revenue growth until later in 2014 and 2015. The economy's lifeline over the past two years has been accommodative Fed policy, which has kept interest rates at record lows. This is why even the mention of reduced Fed support a few weeks ago sent stocks tumbling and spooked bond markets, raising mortgage interest rates by nearly a full percentage point. The longest serving Fed Chairman, William McChesney Martin, famously quipped that the Fed's job is to "take away the punchbowl just as the party gets going." For the average U.S. worker, this party is still waiting for the music to start.

No Party Yet, As Fiscal Austerity Dilutes the Fed's Punchbowl

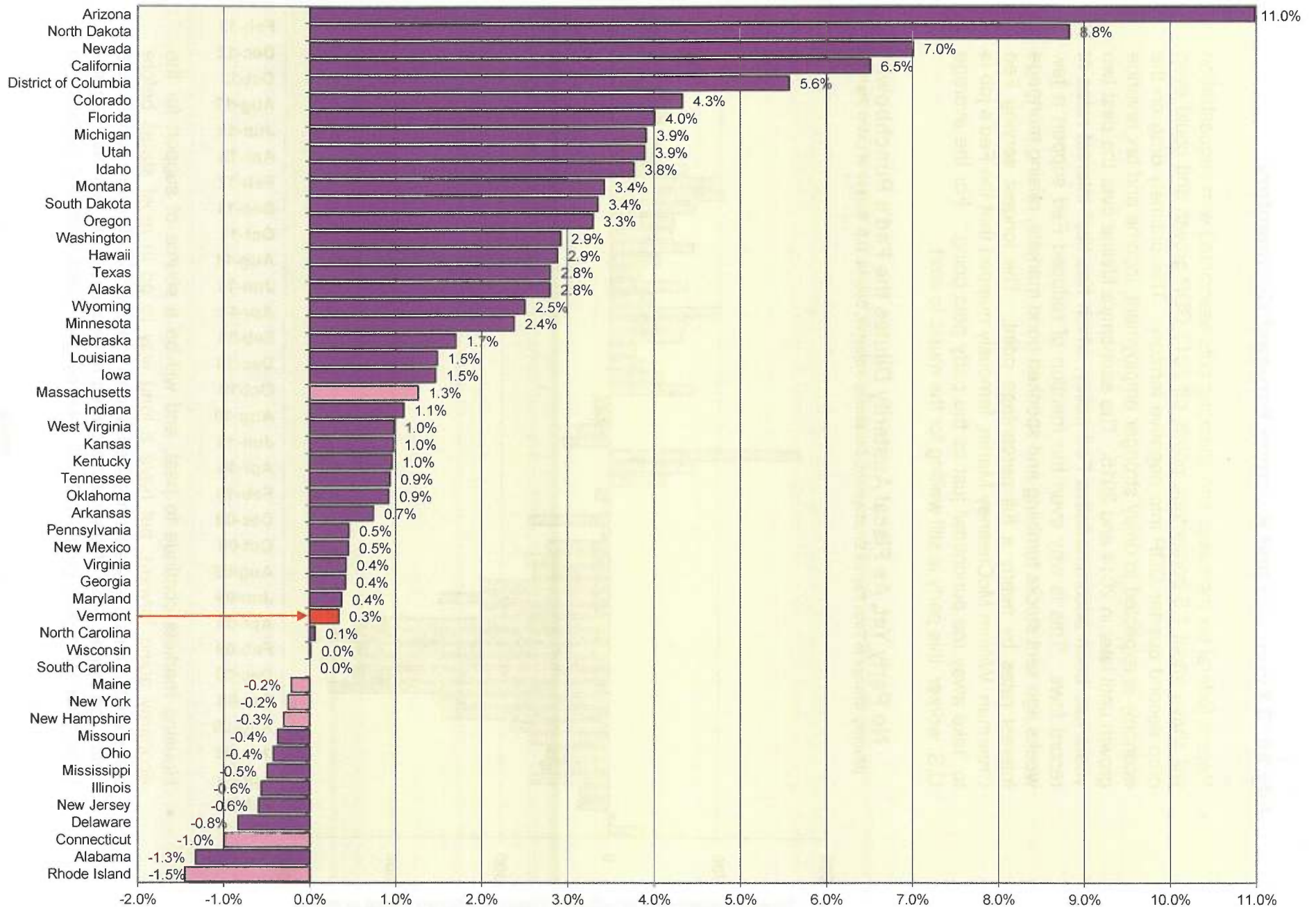
(Monthly Change in Total Payroll Employment, Seasonally-Adjusted, Source: U.S. Bureau of Labor Statistics)



- Housing markets continue to heal, and will be a source of support for the economy going forward, but have a long way to go in most states before exceeding prior price levels reached during the 2005-2009 peak period (see charts on pages 3-4). New residential construction activity will also register large year over year gains, but will take several years before it recovers to

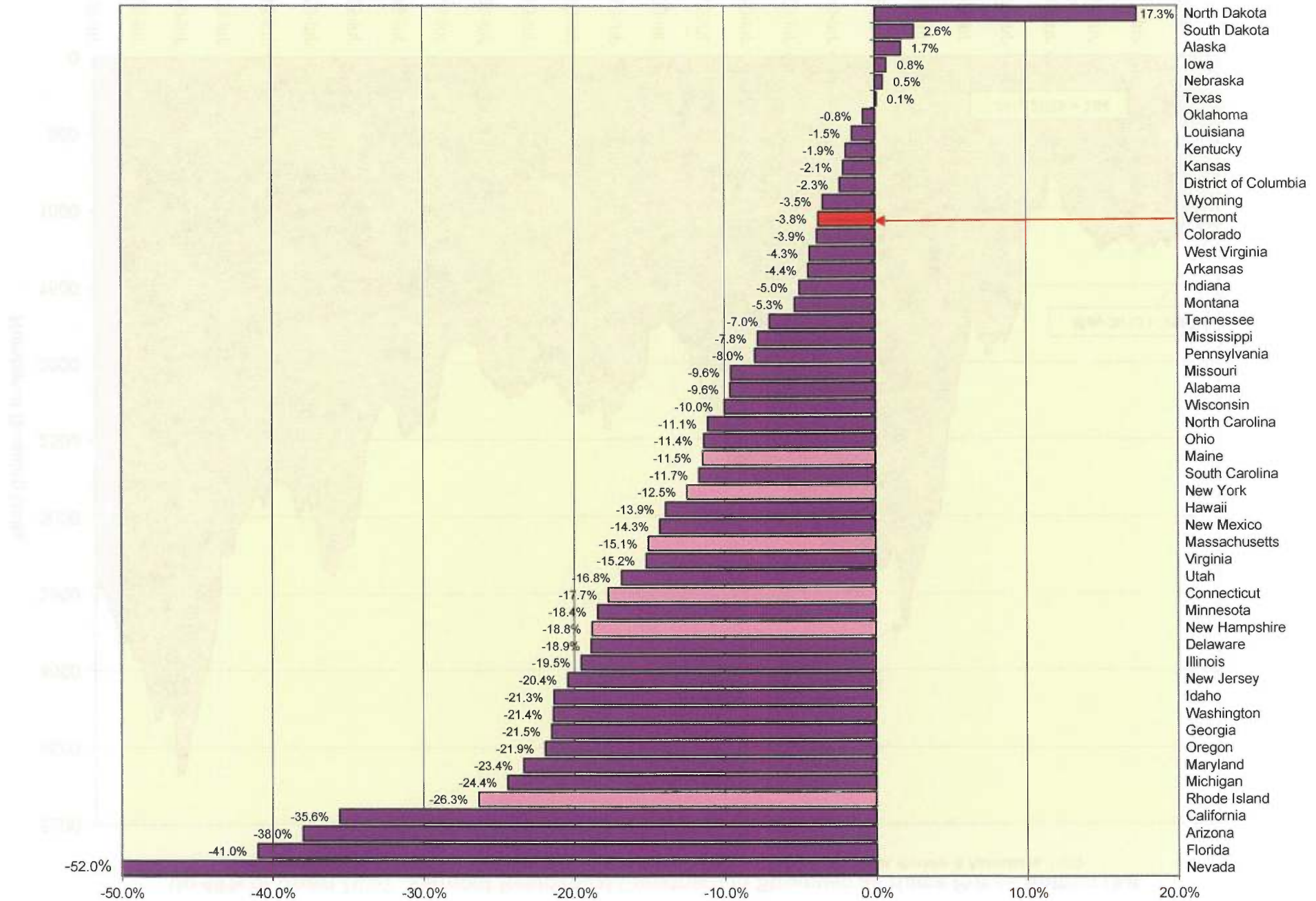
All But 13 States Show Home Price Gains in the First Quarter of 2013...

Percent Change, First Quarter of 2013 vs. First Quarter of 2012, Source: FHFA Home Price Index



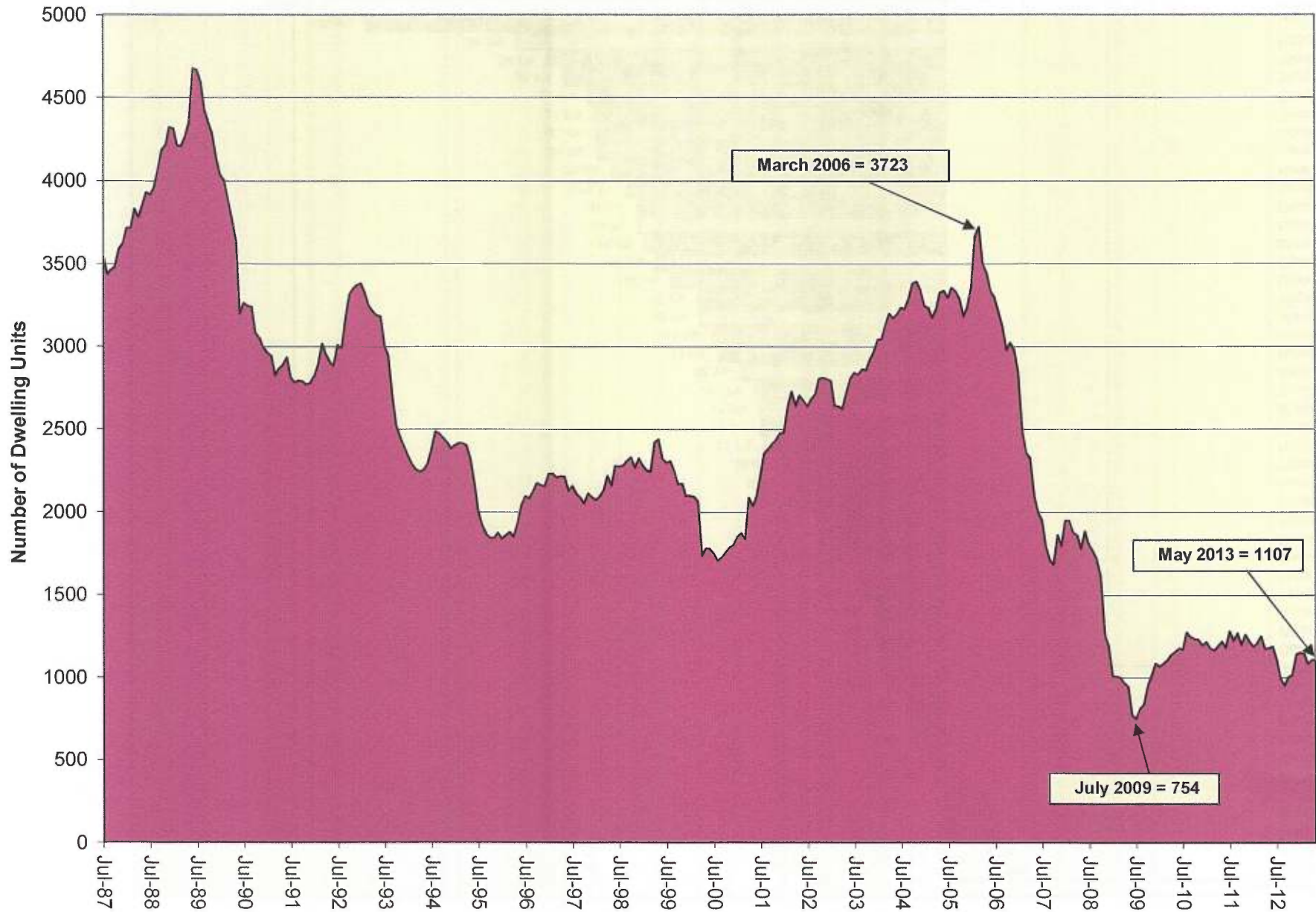
...But Most Still Have a Long Way to Go

Percent Change, First Quarter of 2013 vs. Peak Price by State Reached Between 2005Q3 and 2009Q1, Source: FHFA Home Price Index



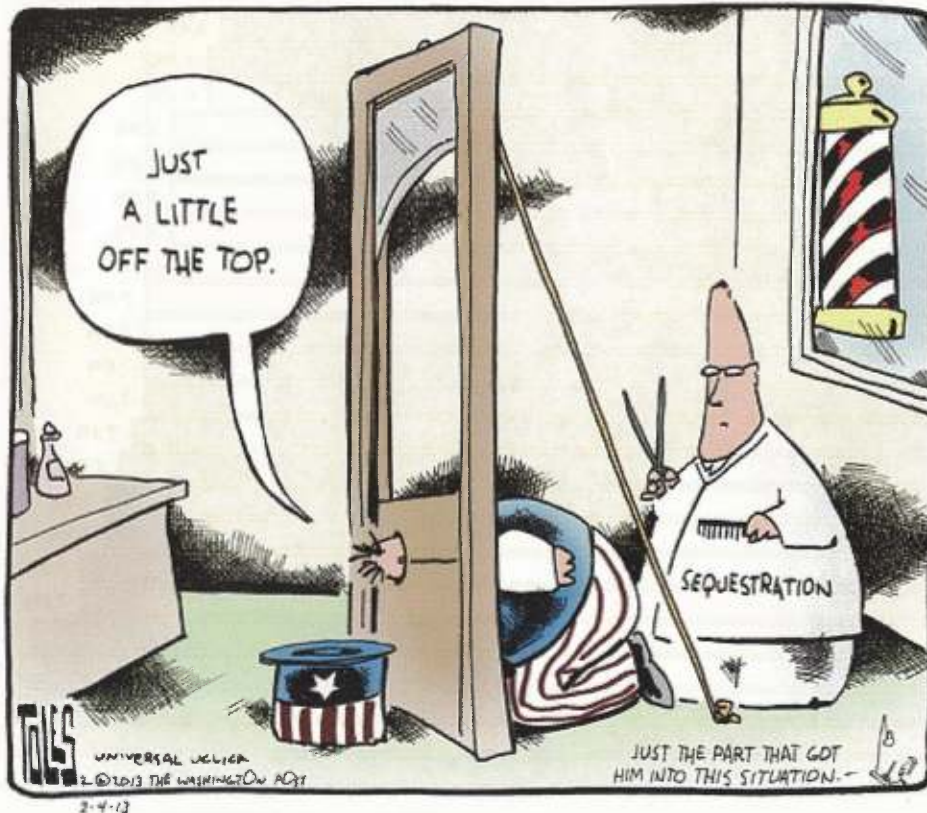
Up 48% or Down 70%? Vermont Residential Construction Struggles as Home Prices Bottom Out

(Total Housing Starts, 12 Month Moving Totals, Source: F.W. Dodge Division of McGraw-Hill, Kavet, Rockler & Associates, LLC)



levels even close to prior peaks in most markets. As illustrated in the chart on page 5, Vermont has seen a 48% increase in new housing units started since the low point in July of 2009, but is still more than 70% below prior peak levels of 3,723 units reached in March of 2006.

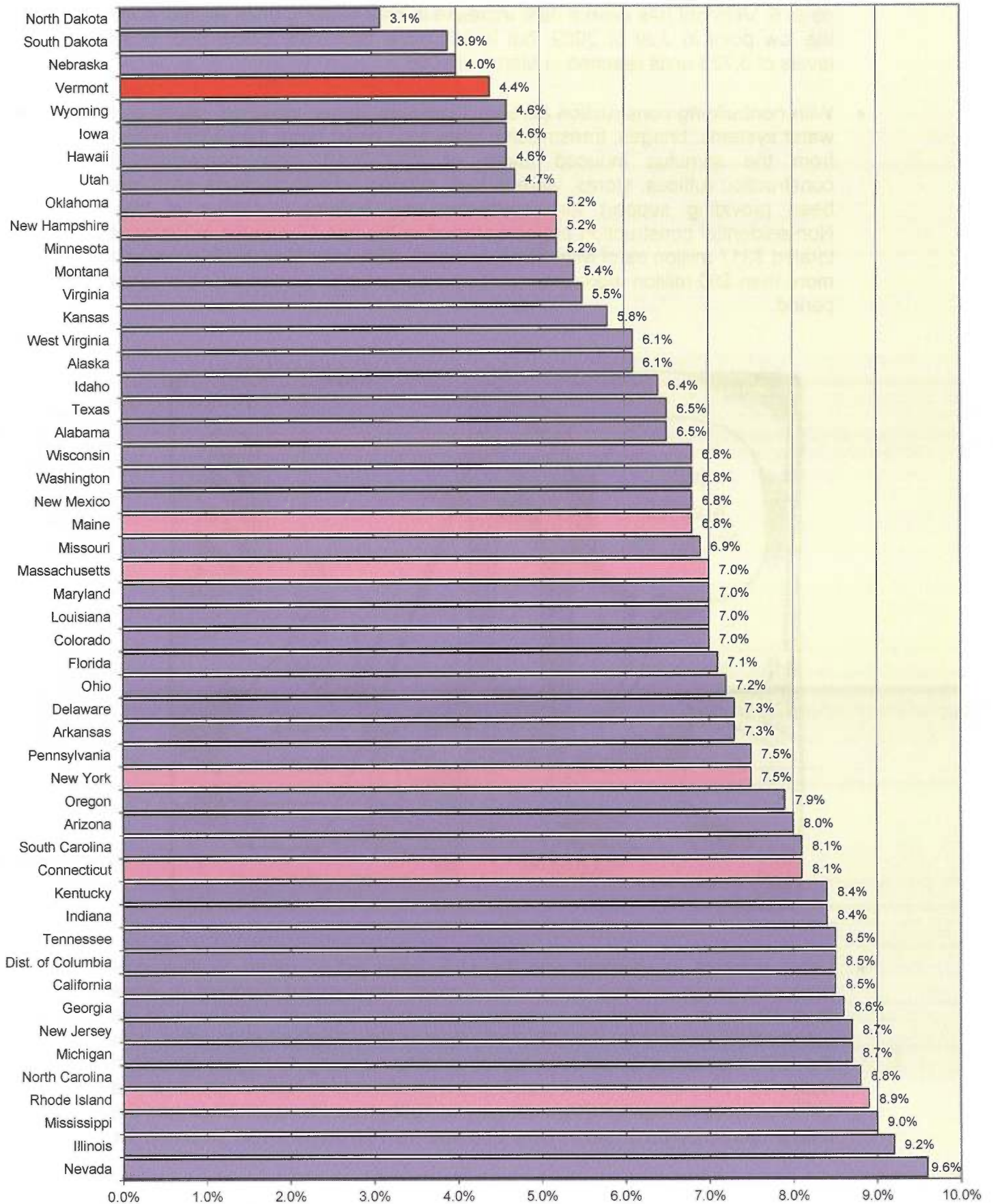
- With nonbuilding construction (streets, highways, dams, pipelines, septic and water systems, bridges, transmission lines, etc.) down more than \$350 million from the stimulus induced levels of 2009-2010, only nonresidential construction (offices, stores, warehouses, schools, public buildings, etc.) has been providing support for contractors and building suppliers of late. Nonresidential construction projects started in the last 12 months in Vermont totaled \$317 million as of May 2013, up nearly 28% over May 2012 levels and more than \$90 million more than all residential projects started over the same period.



- Labor markets in Vermont and the U.S. have yet to return to their pre-recession levels in what is now the slowest employment recovery in more than 50 years. Vermont's unemployment rate, however, continues to be substantially lower than the U.S. and regional New England state rates, with the U.S.-Vermont differential in recent months reaching its largest spread in nearly 25 years and Vermont boasting the lowest rate in New England for the 24th consecutive month. Since January of 1990, New Hampshire has had the lowest unemployment rate in New England for 131 months and Vermont, for

Unemployment Rate by State - June 2013

Seasonally Adjusted Data, Source: U.S. Bureau of Labor Statistics



132 months. Per the chart on the preceding page, despite an uptick to 4.4% in June 2013, Vermont's unemployment rate was the 4th lowest in the nation.

Manufacturing Employment in Vermont: It Could be Worse...

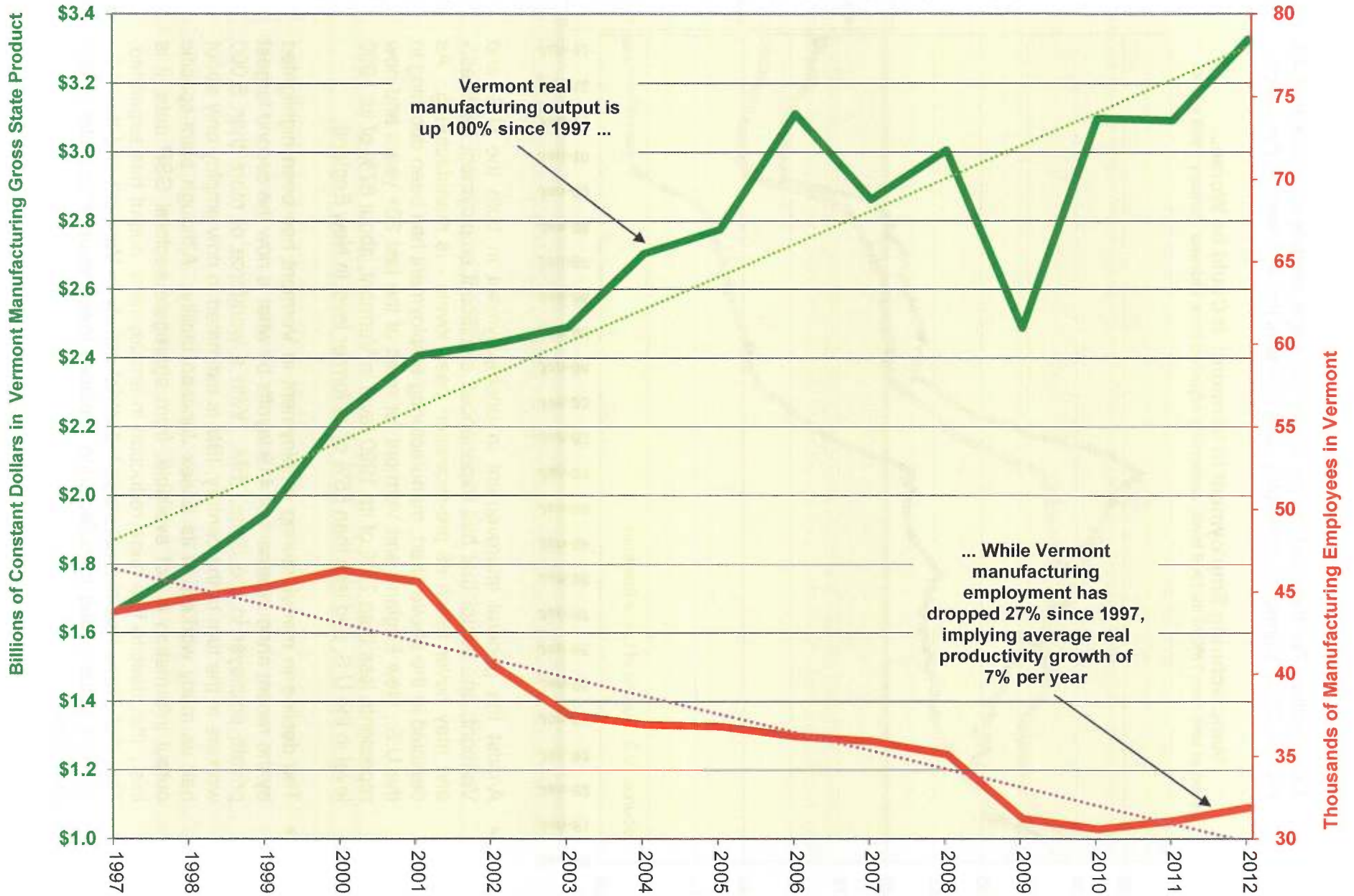
...even at less than 75% of its 1990 level, seasonally adjusted data, indexed, January 1990 = 1.00



- Amidst the general improvement in unemployment in both the U.S. and Vermont, one sector that has experienced significant employment declines - and may never reach its pre-recession peak levels - is manufacturing. As depicted in the above chart, manufacturing employment has been declining in the U.S., New England and Vermont for most of the last 20+ years and now represents less than 75% of its 1990 level in Vermont, about 67% of its 1990 level in the U.S. and less than 55% of its former level in New England.
- The decline in manufacturing employment in Vermont has been highlighted by the recent announcement of 419 layoffs by what is now the *second* largest private employer in the State, IBM. With a workforce of more than 8,000 workers at the turn of the century, IBM is estimated to now employ only about half as many workers in its Essex Junction facility. Although plant-specific output information is not available, from aggregate sectoral GSP data, it is likely that despite this huge reduction in employment, output has expanded. This dichotomy has characterized industrial activity in Vermont and the U.S., as high value-added manufacturing replaces lower value-added plants and products.

IBM Layoffs Mirror the Evolution of Manufacturing in Vermont: Ever Higher Output, Ever Fewer Jobs and Extraordinary Productivity Growth

Real Manufacturing GSP (green line, left scale) vs. Manufacturing Employment (red line, right scale)



Sources: U.S. Bureau of Labor Statistics, U.S. Bureau of Economic Analysis

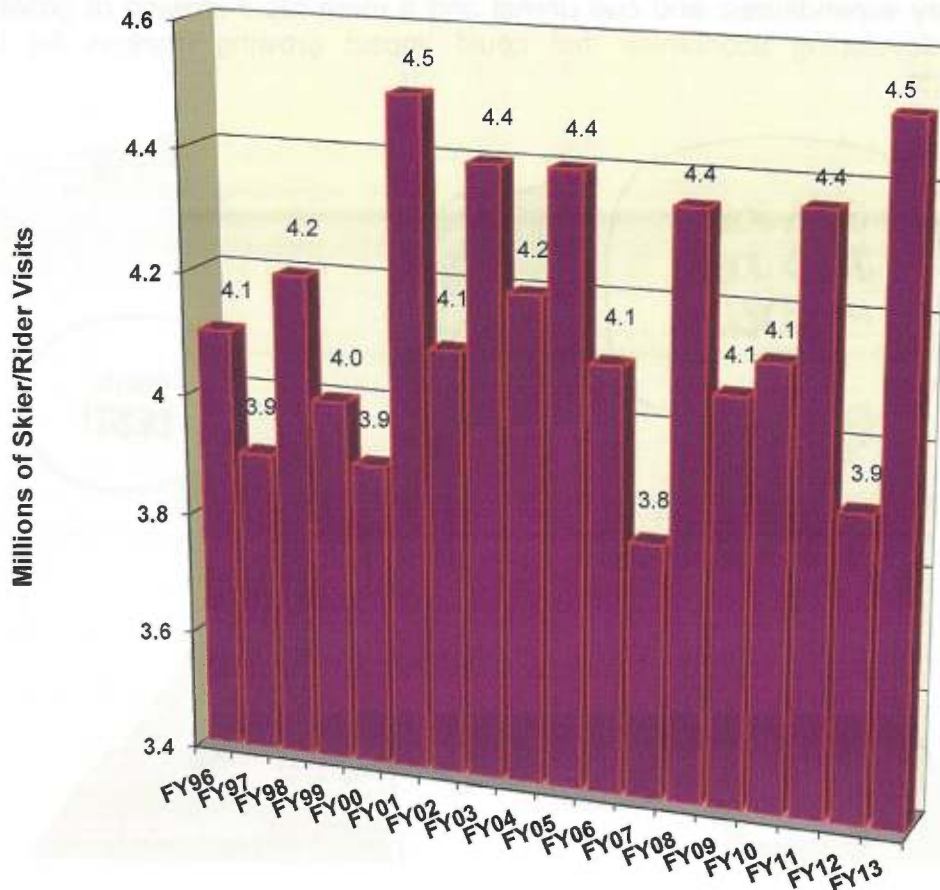
- The chart on the preceding page illustrates this dramatic change in Vermont manufacturing over the past 15 years. While manufacturing employment in Vermont has declined by more than 27%, *real (inflation-adjusted) manufacturing output has doubled*. This implies extraordinary compound average annual growth in labor productivity of 7% per year over this entire period. While both the mix of companies and products produced have contributed to this change, it is aligned with the reality that only very high value-added products are competitive to manufacture in the U.S.. Cheap global labor and increasingly fluid access to this labor by multinational firms has driven lower value-added manufacturing to China and other lower wage venues.
- As stressed in recent Congressional testimony by the Federal Reserve Chairman, the primary downside forecast risk is now excessively contractionary near-term federal fiscal policy. Whether through additional debt ceiling impasses, limited sequestration exceptions or new spending cuts, further fiscal retrenchment could measurably slow job growth and make the economy considerably more vulnerable to an array of potential negative external economic threats. These include a deepening recession in Europe and attendant financial market chaos that could affect both U.S. exports and U.S. financial concerns; Middle Eastern violence that could escalate and spread throughout the region, impacting global energy prices and U.S. military expenditures; and civil unrest and a more rapid slowing of growth in key developing economies that could impact growing markets for U.S. exports.



- Total General Fund revenues closed FY13 2.1% above prior January projections, as personal income receipts surged in April and strong corporate income collections offset much larger than anticipated refunding activity. As U.S. corporate income growth enters its 15th consecutive quarter of growth, refunding is likely to grow, limiting overall FY14 and FY15 corporate tax revenues. A significant portion of the personal income growth in FY13 can be attributed to accelerated capital gains realizations in anticipation of the 2013 tax increases and other one-time events. Accounting for these, personal income growth in FY14 will slow to about 4%, before stronger external economic conditions in FY15 allow growth that should top 7%.
- The weakest major FY13 revenue categories included estate revenues, which are typically volatile and can be affected a very small number of events, and insurance premiums, which were affected by losses in taxable healthcare business. The healthcare insurance losses are likely to continue for several years, culminating in the elimination of most such business with the adoption of a single-payer system. Estate taxes are expected to rebound in FY14 and beyond, exceeding FY13 levels by nearly \$4 million per year.

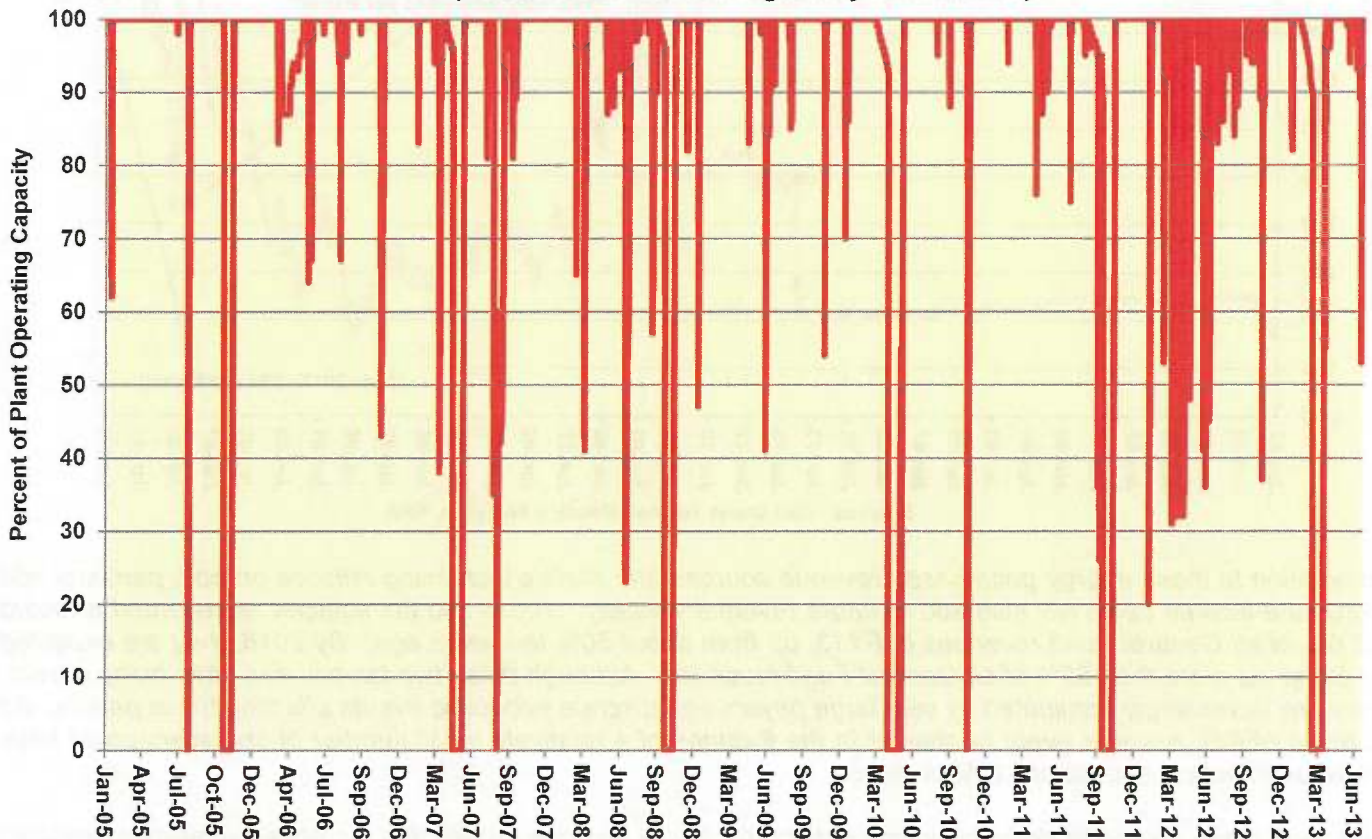
Timely and Well-Placed Snowfall Generates Near Record Year in Skier Visitation, Adding About \$2.5 Million to FY13 Meals and Rooms Receipts

(Source: Vermont Ski Areas Association)



- Meals and rooms revenues benefitted from one of the best ski seasons on record, combining good snowfall near critical holiday periods, blizzards in core market locales in southern New England and Mid-Atlantic states and relatively poor conditions in a number of competitive western destinations. A more “normal” winter in the 2013-2014 ski season will limit revenue growth to about 2% in FY14 before expanding at 3.5% to 4.0% in subsequent years.
- The new electric energy tax yielded slightly less revenue in FY13 than originally anticipated as operating capacity at the Vermont Yankee plant between refueling periods was below prior expectations. As shown in the below chart based on NRC daily operating capacity status data, there has been more downtime in recent years at the aging plant, and future output assumptions have been adjusted accordingly. Absent any major plant shutdowns due to an incident or accident, and pending regulatory approval to operate the plant, annual revenues should range between about \$11.7 and \$12.5 million per year (depending upon refueling timing), with no long term revenue growth possible.

Vermont Yankee Daily Operating Capacity Status
(Source: U.S. Nuclear Regulatory Commission)

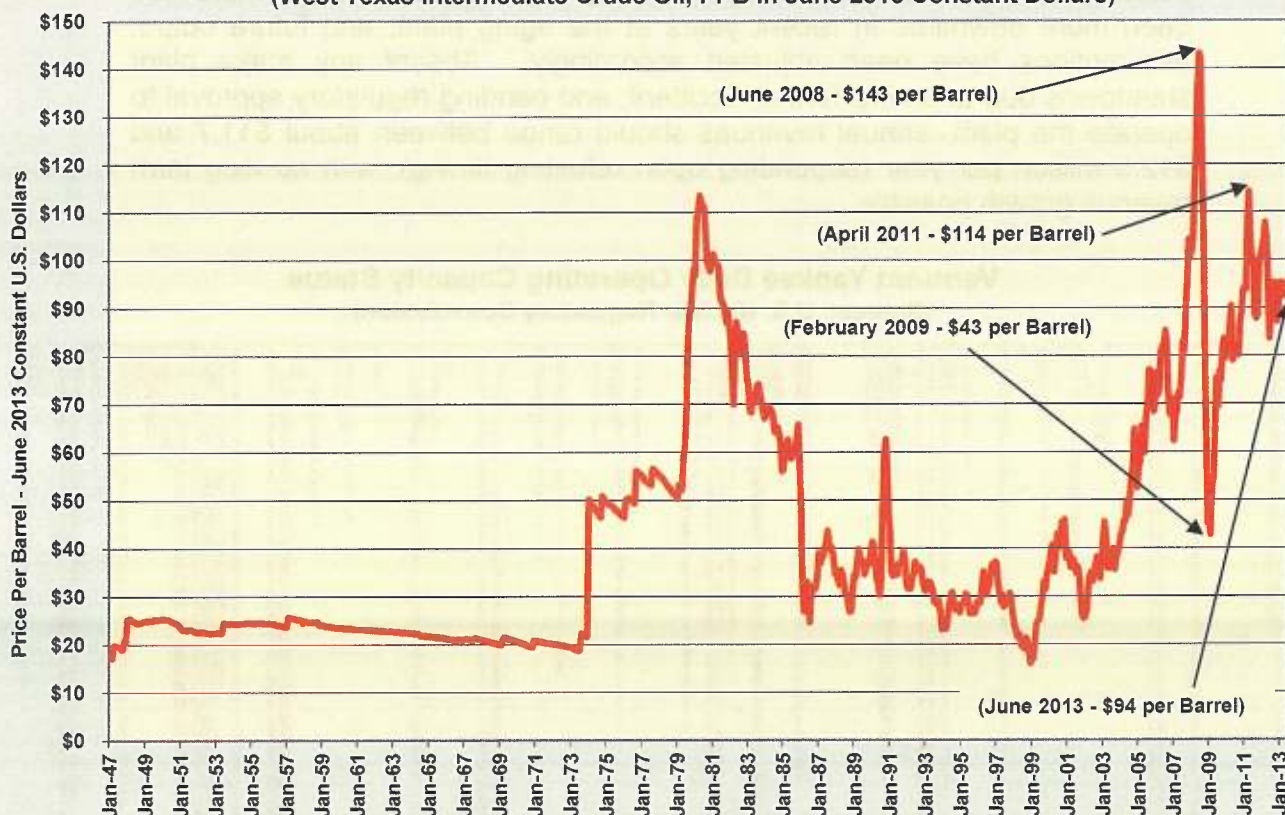


- Higher federal taxes in 2013 and ever-growing internet sales took a toll on sales and use tax revenues in FY13, with total revenues ending the year about -0.7% below target. This \$2.4 million miss pushed total FY13

Changing State Tax Mix Will Exacerbate Revenue Volatility

Recent tax law changes implementing a new price-based gasoline tax and related Transportation Infrastructure Bond (TIB) funding (a nearly identical gasoline "assessment," without the ceiling and floor price mechanism) will generate significant additional revenues for the State, but introduce heightened potential revenue volatility. Oil and gasoline prices have been notoriously volatile since the early 1970's and are subject to not only economic forces of supply and demand, but also unpredictable political, military, environmental and oligopolistic influences. These are reflected in the below chart, illustrating inflation-adjusted oil prices, expressed in June 2013 dollars, over the past 65 years.

Real Oil Prices Exhibit Pronounced Historical Volatility
(West Texas Intermediate Crude Oil, PPB in June 2013 Constant Dollars)



Sources: Wall Street Journal, Moody's Analytics, KRA

In addition to these energy price-based revenue sources, the State's increasing reliance on both personal and corporate income taxes will also add to future revenue volatility. These two tax sources represented a record 58.6% of all General Fund revenues in FY13, up from about 50% ten years ago. By 2016, they are expected to comprise more than 60% of all General Fund revenues. Although these two tax sources have many payers, they are increasingly dominated by very large payers and discrete economic events affecting these payers. As a result of this, a single event or change in the fortunes of a relatively small number of taxpayers could have revenue effects in excess of \$10M or more.

Although these taxes are likely to provide substantial future revenue growth, the increased volatility associated with them suggests the need for larger State reserve funds to balance magnified downside revenue risks.

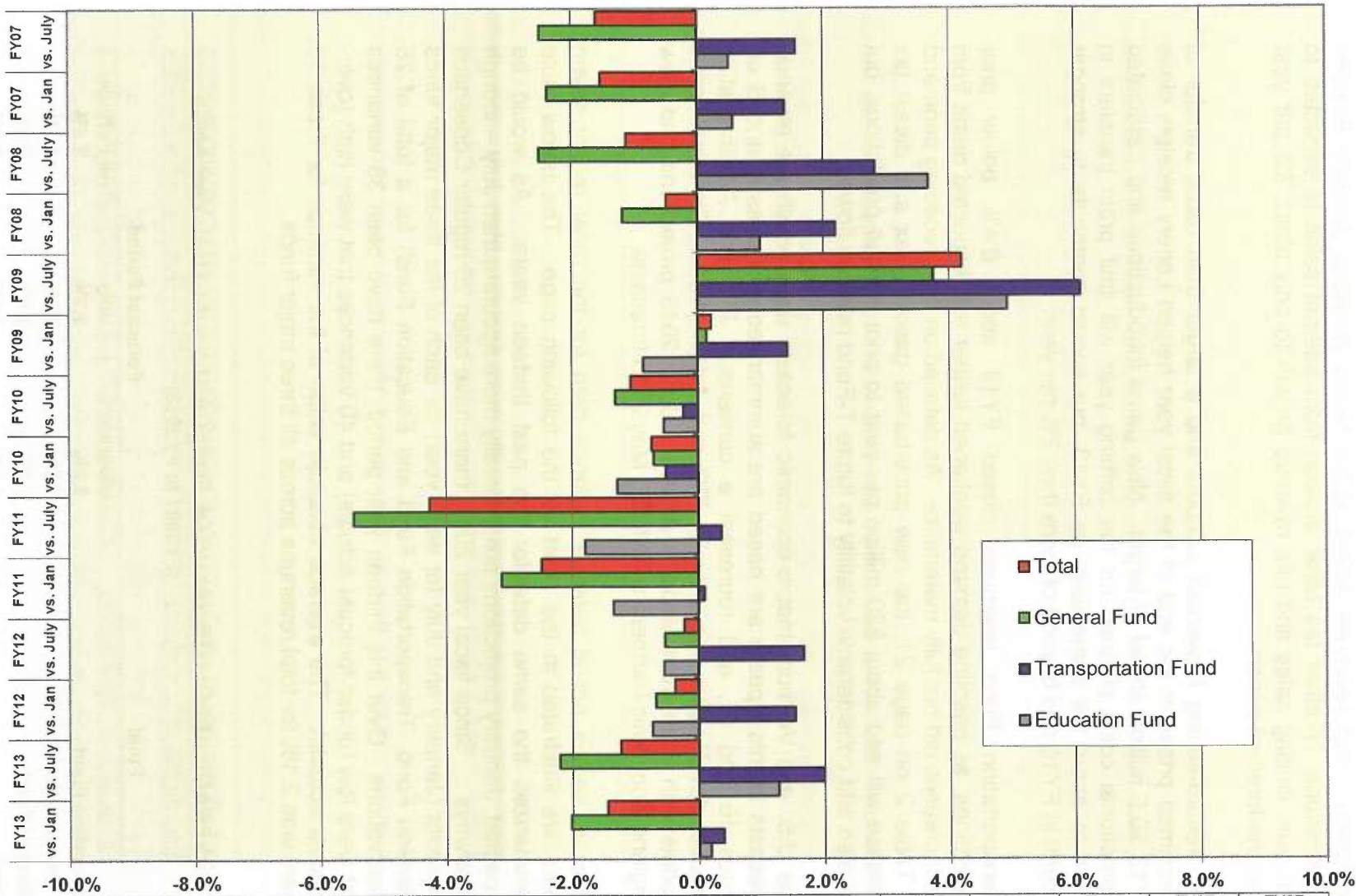
Education Fund revenues about -0.2% below previous January forecast expectations. Further tax base erosion from internet sales is expected to continue, limiting sales and use revenue growth to only about 3% per year over the forecast period.

- Two record-setting Powerball jackpots and a larger than usual transfer of unclaimed prizes at the end of the fiscal year helped Lottery receipts close FY13 \$0.5 million ahead of target. New game introductions and associated promotional costs planned for the coming year will limit profit transfers in FY14 to about the same level as FY13, but should contribute to stronger growth in FY15 and beyond of more than 2% per year.
- Transportation Fund revenues closed FY13 about 0.4% below prior projections, as gasoline demand weakened further and expected gains from fee increases did not fully materialize. As detailed on the preceding page and in Table 2 on page 21, the new price-based gasoline tax and diesel tax increase will add about \$20 million per year to prior T-Fund projections, but will also add considerable volatility to future T-Fund revenue flows.
- The U.S. and Vermont macro-economic forecasts upon which the revenue forecasts in this Update are based are summarized in Tables A and B on pages 16 and 17, and represent a consensus JFO and Administration forecast developed using internal JFO and Administration State economic models with input from Moody's Analytics June 2013 projections and New England Economic Partnership (NEEP) May 2013 forecasts.
- Forecast versus actual revenue variance data for the most recent seven years are illustrated in the chart on the following page. The below table summarizes the same data for the past thirteen years. As would be expected, January projections are generally more accurate than July – though not always... Since fiscal year 2001, there have been 26 regular Consensus forecasts (January and July for each year) for each of the three major funds (General Fund, Transportation Fund and Education Fund) for a total of 78 observations. Over this thirteen year period, there have been 38 variances that were low (under-forecast actuals) and 40 variances that were high (over-forecast actuals). The average absolute value of the variance for these 13 years was 2.1% for total revenues across all three major funds.

AVERAGE ABSOLUTE VALUE OF FORECAST VS. ACTUAL VARIANCE				
(FY2001 to FY2013)				
Fund	Forecast Period			
	January	July	All Periods	
Education Fund	1.0%	2.3%	1.6%	
Transportation Fund	1.3%	2.2%	1.7%	
General Fund	2.2%	3.6%	2.9%	
Total	1.5%	2.6%	2.1%	

Vermont Consensus Revenue Forecasting Record

(Forecast Percent Variance from Actual, FY2007 to FY2013 - Source: Joint Fiscal Office)



	FY13 vs. Jan	FY13 vs. July	FY12 vs. Jan	FY12 vs. July	FY11 vs. Jan	FY11 vs. July	FY10 vs. Jan	FY10 vs. July	FY09 vs. Jan	FY09 vs. July	FY08 vs. Jan	FY08 vs. July	FY07 vs. Jan	FY07 vs. July
Total	-1.4%	-1.2%	-0.4%	-0.2%	-2.5%	-4.3%	-0.7%	-1.1%	0.2%	4.2%	-0.5%	-1.1%	-1.5%	-1.6%
General Fund	-2.0%	-2.2%	-0.7%	-0.5%	-3.1%	-5.5%	-0.7%	-1.3%	0.1%	3.7%	-1.2%	-2.5%	-2.4%	-2.5%
Transportation Fund	0.4%	2.0%	1.5%	1.7%	0.1%	0.4%	-0.5%	-0.2%	1.4%	6.1%	2.2%	2.8%	1.4%	1.6%
Education Fund	0.2%	1.3%	-0.7%	-0.6%	-1.3%	-1.8%	-1.3%	-0.5%	-0.9%	4.9%	1.0%	3.7%	0.6%	0.5%

TABLE A
Comparison of Recent Consensus U.S. Macroeconomic Forecasts
December 2011 Through June 2013, Selected Variables, Calendar Year Basis

	2008	2009	2010	2011	2012	2013	2014	2015	2016
Real GDP Growth									
December-11	-0.3	-3.5	3.0	1.8	2.6	3.4	4.1	3.7	
June-12	-0.3	-3.5	3.0	1.7	2.2	2.6	4.0	3.7	
December-12	-0.3	-3.1	2.4	1.8	2.2	2.0	3.9	4.2	3.5
June-13	-0.3	-3.1	2.4	1.8	2.2	2.0	3.4	4.3	3.3
S&P 500 Growth (Annual Avg.)									
December-11	-17.3	-22.5	20.3	0.0	9.2	11.5	8.7	2.9	
June-12	-17.3	-22.5	20.3	11.4	4.8	0.6	2.1	2.1	
December-12	-17.3	-22.5	20.3	11.4	8.1	6.9	7.1	-0.4	1.7
June-13	-17.3	-22.5	20.3	11.4	8.7	14.4	3.6	-0.7	0.4
Employment Growth (Non-Ag)									
December-11	-0.6	-4.4	-0.7	1.0	1.0	1.5	3.0	2.0	
June-12	-0.6	-4.4	-0.7	1.2	1.4	1.5	2.3	2.6	
December-12	-0.6	-4.4	-0.7	1.2	1.4	1.3	2.1	2.6	2.2
June-13	-0.6	-4.4	-0.7	1.2	1.7	1.4	1.6	2.7	2.4
Unemployment Rate									
December-11	5.8	9.3	9.6	9.0	8.8	8.4	7.0	5.9	
June-12	5.8	9.3	9.6	9.0	8.1	7.8	6.9	6.0	
December-12	5.8	9.3	9.6	9.0	8.1	7.8	7.1	6.3	5.8
June-13	5.8	9.3	9.6	8.9	8.1	7.7	7.0	6.2	5.7
West Texas Int. Crude Oil \$/Bbl									
December-11	99.6	61.7	79.4	94.7	104.2	106.5	106.8	107.0	
June-12	99.6	61.7	79.4	95.1	98.1	100.9	110.7	108.9	
December-12	99.6	61.7	79.4	95.1	94.4	95.7	105.3	110.3	114.0
June-13	99.6	61.7	79.4	95.1	94.2	96.8	104.6	110.3	114.0
Prime Rate									
December-11	5.09	3.25	3.25	3.21	3.08	3.32	4.69	6.43	
June-12	5.09	3.25	3.25	3.25	3.13	3.12	4.30	6.02	
December-12	5.09	3.25	3.25	3.25	3.25	3.25	3.32	4.92	6.86
June-13	5.09	3.25	3.25	3.25	3.25	3.25	4.26	6.60	7.30
Consumer Price Index Growth									
December-11	3.8	-0.3	1.6	3.2	2.1	2.4	2.9	2.4	
June-12	3.8	-0.3	1.6	3.1	1.9	1.9	2.7	2.7	
December-12	3.8	-0.3	1.6	3.1	2.1	2.2	2.6	2.6	2.4
June-13	3.8	-0.3	1.6	3.1	2.1	1.7	2.1	2.3	2.5
Average Home Price Growth									
December-11	-4.3	-4.6	-3.6	-3.9	-0.4	1.0	4.1	4.7	
June-12	-4.5	-4.8	-3.7	-3.9	-0.9	0.0	3.1	4.7	
December-12	-4.6	-5.1	-3.8	-3.9	-0.5	0.8	4.6	5.3	3.5
June-13	-4.7	-5.3	-3.9	-3.6	-0.1	2.7	4.9	3.7	2.3

TABLE B
Comparison of Consensus Administration and JFO Vermont State Forecasts
December 2010 Through June 2013, Selected Variables, Calendar Year Basis

	2008	2009	2010	2011	2012	2013	2014	2015	2016
Real GSP Growth									
December-10	2.0	-0.7	3.4	4.1	5.3	3.8			
June-11	0.4	-2.3	3.2	3.5	4.0	3.9	3.0		
December-11	0.4	-2.3	3.2	2.3	2.8	3.5	3.6	3.3	
June-12	-0.2	-3.6	4.1	0.5	2.3	2.9	3.3	3.4	
December-12	-0.2	-3.6	4.1	0.5	2.0	2.2	3.7	4.0	3.1
June-13	-0.2	-2.9	5.6	1.3	1.2	1.3	3.0	4.2	2.9
Population Growth									
December-10	0.1	0.1	0.1	0.3	0.4	0.5			
June-11	0.2	0.1	0.2	0.3	0.3	0.3	0.3		
December-11	0.2	0.1	0.2	0.3	0.3	0.3	0.4	0.3	
June-12	0.1	0.1	0.2	0.1	0.3	0.3	0.4	0.4	
December-12	0.1	0.1	0.2	0.1	0.3	0.3	0.3	0.4	0.5
June-13	0.1	0.1	0.2	0.1	-0.1	0.3	0.3	0.3	0.4
Employment Growth									
December-10	-0.4	-3.3	-0.9	0.5	1.8	2.7			
June-11	-0.4	-3.2	0.1	2.6	1.0	1.9	2.4		
December-11	-0.4	-3.2	0.1	1.8	1.3	1.9	2.5	2.2	
June-12	-0.3	-3.3	0.2	0.7	1.2	1.1	2.0	2.3	
December-12	-0.3	-3.3	0.2	0.7	1.1	0.9	1.8	2.3	1.8
June-13	-0.4	-3.3	-0.2	0.7	1.2	1.0	0.9	2.2	1.9
Unemployment Rate									
December-10	4.5	6.9	6.2	6.1	5.2	4.1			
June-11	4.5	6.9	6.2	5.7	5.5	4.6	3.4		
December-11	4.5	6.9	6.2	5.5	5.4	5.1	4.4	3.5	
June-12	4.6	6.9	6.4	5.6	4.8	4.7	4.3	3.9	
December-12	4.6	6.9	6.4	5.6	5.0	5.0	4.4	3.9	3.5
June-13	4.6	6.9	6.4	6.6	5.0	4.4	4.1	3.6	3.3
Personal Income Growth									
December-10	2.7	0.2	2.5	2.8	5.8	6.5			
June-11	3.7	-0.3	3.4	5.5	4.8	6.8	6.1		
December-11	3.7	-1.3	3.4	4.0	5.0	5.3	5.1	4.8	
June-12	4.4	-1.3	3.4	4.3	3.3	4.4	6.0	6.2	
December-12	4.4	-2.2	3.3	4.7	3.2	3.4	5.6	6.3	5.2
June-13	4.4	-2.2	3.3	4.7	3.4	1.0	2.8	4.2	3.7
Home Price Growth									
December-10	0.3	-1.5	-1.3	-0.1	0.7	1.3			
June-11	0.1	-1.5	-0.9	0.0	0.7	1.3	1.5		
December-11	0.1	-1.5	-0.8	-0.5	0.5	1.2	1.6	2.1	
June-12	0.0	-1.6	-0.9	-0.4	0.6	1.1	1.6	2.0	
December-12	0.0	-1.9	-1.0	-0.4	0.5	1.0	1.5	2.0	3.1
June-13	0.0	-2.0	-1.1	-0.5	0.5	0.7	1.5	2.0	3.2

Methodological Notes and Other Comments

- This analysis has benefited significantly from the input and support of Tax Department and Joint Fiscal Office personnel. In the Joint Fiscal Office, Sara Teachout, Stephanie Barrett, Catherine Benham, Neil Schickner and Mark Perrault have contributed to numerous policy and revenue impact analyses and coordinated JFO forecast production and related legislative committee support functions. Theresa Utton-Jerman has diligently organized and updated large tax and other databases in support of JFO revenue forecasting activities. In the Tax Department, Sharon Asay, Doug Farnham and Terry Edwards provided significant analytic contributions to many tax and revenue forecasts, including tax law change analyses and statistical and related background information associated with the detailed tax databases they maintain. Our thanks to all of the above for their many contributions to this analysis.
- The analysis in support of JFO economic and revenue projections are based on statistical and econometric models, and professional analytic judgment. All models are based on 36 years of data for each of the 25 General Fund categories (three aggregates), 32 years of data for each of the Transportation Fund categories (one aggregate), and 14 to 36 years for each of the Education Fund categories. The analyses employed includes seasonal adjustment using the X-11 and X-12 Census methods, various moving average techniques (such as Henderson Curves, etc.), Box-Jenkins ARIMA type models, pressure curve analysis, comparable-pattern analysis of monthly, quarterly and half year trends for current year estimation, and behavioral econometric forecasting models.
- Because the State does not currently fund an internal State or U.S. macro-economic model, this analysis relies primarily on macro-economic models from Moody's/Economy.com and the New England Economic Partnership (NEEP). The NEEP forecast for Vermont is managed by Jeff Carr, of Economic & Policy Resources, Inc., who is also the current Administration economist. Since October of 2001, input and review of initial Vermont NEEP model design and output prior to its release has been provided by KRA, as the State Economist and Principal Economic Advisor to the Vermont Legislature. Dynamic and other input/output-based models for the State of Vermont, including those from Regional Economic Models, Inc. (REMI), Regional Dynamics, Inc. (REDYN), and IMPLAN are also maintained and managed by the JFO for use in selected economic impact and simulation analyses used herein.
- The Consensus JFO and Administration forecasts are developed following discussion, analysis and synthesis of independent revenue projections, econometric models and source data produced by Administration and Joint Fiscal Office economic advisors.

**TABLE 1A - STATE OF VERMONT
LEGISLATIVE JOINT FISCAL OFFICE
SOURCE GENERAL FUND REVENUE FORECAST UPDATE
Consensus JFO and Administration Forecast - July 2013**

SOURCE G-FUND

revenues are prior to all E-Fund allocations
and other out-transfers; used for
analytic and comparative purposes only

	FY 2008	%	FY 2009	%	FY 2010	%	FY 2011	%	FY 2012	%	FY 2013	%	FY 2014	%	FY 2015	%
	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Preliminary)	Change	(Forecast)	Change	(Forecast)	Change
REVENUE SOURCE																
Personal Income	\$622.3	7.1%	\$530.3	-14.8%	\$498.0	-6.1%	\$553.3	11.1%	\$597.0	7.9%	\$660.6	10.7%	\$686.3	3.9%	\$738.6	7.6%
Sales & Use*	\$338.4	1.4%	\$321.2	-5.1%	\$311.1	-3.1%	\$325.6	4.7%	\$341.8	5.0%	\$346.8	1.4%	\$357.2	3.0%	\$369.1	3.3%
Corporate	\$74.6	2.4%	\$66.2	-11.3%	\$62.8	-5.1%	\$89.7	42.7%	\$85.9	-4.2%	\$95.0	10.5%	\$91.8	-3.3%	\$94.6	3.1%
Meals and Rooms	\$121.1	5.4%	\$117.1	-3.3%	\$118.0	0.8%	\$122.6	4.0%	\$126.9	3.5%	\$134.8	6.2%	\$137.3	1.9%	\$142.5	3.8%
Cigarette and Tobacco**	\$59.2	-7.9%	\$64.1	8.3%	\$70.1	9.2%	\$72.9	4.0%	\$80.1	9.9%	\$74.3	-7.2%	\$72.5	-2.6%	\$70.5	-2.7%
Liquor	\$14.2	3.7%	\$15.0	6.0%	\$14.9	-1.0%	\$15.4	3.1%	\$16.4	7.0%	\$17.0	3.4%	\$17.4	2.4%	\$18.0	3.4%
Insurance	\$54.8	3.8%	\$53.7	-2.1%	\$53.3	-0.9%	\$55.0	3.3%	\$56.3	2.5%	\$55.0	-2.3%	\$54.3	-1.3%	\$53.6	-1.3%
Telephone	\$9.5	-4.6%	\$9.1	-3.8%	\$7.9	-13.9%	\$11.4	44.4%	\$9.6	-15.3%	\$9.4	-2.6%	\$9.3	-0.8%	\$9.2	-1.1%
Beverage	\$5.6	1.9%	\$5.6	0.3%	\$5.7	0.4%	\$5.8	2.2%	\$6.0	3.3%	\$6.2	3.3%	\$6.3	2.1%	\$6.5	3.2%
Electric***	\$2.7	3.3%	\$2.8	4.0%	\$2.9	2.5%	\$2.9	0.8%	\$2.9	0.3%	\$8.9	204.5%	\$12.5	39.7%	\$11.7	-6.3%
Estate	\$15.7	-11.9%	\$23.4	49.1%	\$14.2	-39.5%	\$35.9	153.3%	\$13.3	-62.8%	\$15.4	15.4%	\$19.1	24.1%	\$19.7	3.1%
Property	\$34.0	-13.5%	\$25.9	-23.7%	\$23.8	-8.2%	\$25.6	7.7%	\$24.1	-6.0%	\$28.5	18.3%	\$31.7	11.2%	\$36.2	14.2%
Bank	\$10.2	-3.4%	\$20.6	102.5%	\$10.4	-49.7%	\$15.4	49.0%	\$10.7	-30.9%	\$10.7	0.2%	\$10.8	1.1%	\$10.85	0.5%
Other Tax	\$3.2	-51.1%	\$2.8	-12.7%	\$3.7	32.1%	\$3.7	1.7%	\$1.2	-66.7%	\$1.8	42.9%	\$2.2	24.4%	\$2.5	13.6%
Total Tax Revenue	\$1365.5	3.0%	\$1257.9	-7.9%	\$1196.5	-4.9%	\$1335.1	11.6%	\$1372.4	2.8%	\$1464.3	6.7%	\$1508.6	3.0%	\$1583.5	5.0%
Business Licenses	\$2.7	-1.0%	\$3.0	9.4%	\$3.0	-0.2%	\$3.0	-0.6%	\$3.0	2.8%	\$2.8	-8.0%	\$3.0	7.0%	\$3.2	6.7%
Fees	\$14.7	3.6%	\$19.1	29.5%	\$19.2	0.9%	\$20.5	6.4%	\$20.9	2.1%	\$21.4	2.2%	\$21.7	1.6%	\$22.6	4.1%
Services	\$1.7	15.9%	\$1.5	-11.0%	\$1.2	-19.9%	\$1.1	-8.7%	\$2.3	105.8%	\$2.5	8.7%	\$1.8	-28.9%	\$1.9	5.6%
Fines	\$4.4	38.6%	\$9.8	122.0%	\$7.4	-24.8%	\$5.7	-22.2%	\$7.4	28.7%	\$4.7	-35.9%	\$5.9	25.1%	\$6.3	6.8%
Interest	\$3.9	10.1%	\$1.4	-63.9%	\$0.6	-57.0%	\$0.3	-49.7%	\$0.4	42.4%	\$0.6	26.3%	\$1.20	117.0%	\$2.80	133.3%
Lottery	\$22.7	-2.5%	\$20.9	-7.7%	\$21.6	3.0%	\$21.4	-0.7%	\$22.3	4.2%	\$22.9	2.7%	\$22.9	-0.2%	\$23.4	2.2%
All Other	\$0.6	-44.1%	\$0.2	-64.7%	\$0.3	57.4%	\$0.7	115.7%	\$0.9	15.8%	\$1.7	93.1%	\$1.0	-40.1%	\$1.1	10.0%
Total Other Revenue	\$50.9	2.5%	\$56.0	10.0%	\$53.3	-4.7%	\$52.8	-1.1%	\$57.3	8.6%	\$56.6	-1.2%	\$57.5	1.6%	\$61.3	6.6%
TOTAL GENERAL FUND	\$1416.4	3.0%	\$1313.9	-7.2%	\$1249.9	-4.9%	\$1387.9	11.0%	\$1429.7	3.0%	\$1520.9	6.4%	\$1566.1	3.0%	\$1644.8	5.0%

* Includes Telecommunications Tax; includes \$3.76M transfer in FY08 to the T-Fund for prior years Jet Fuel tax processing error

** Includes Cigarette, Tobacco Products and Floor Stock tax revenues

*** Assumes Vermont Yankee continues to operate beyond FY12, pending legal and regulatory rulings, and is taxed per Act 143 of 2012 effective in FY13;

Stated Electric Energy Tax revenues exclude appropriations to the Clean Energy Development Fund and Education Fund

**TABLE 1 - STATE OF VERMONT
LEGISLATIVE JOINT FISCAL OFFICE
AVAILABLE GENERAL FUND REVENUE FORECAST UPDATE
Consensus JFO and Administration Forecast - July 2013**

CURRENT LAW BASIS

*including all Education Fund
allocations and other out-transfers*

	FY 2008	%	FY 2009	%	FY 2010	%	FY 2011	%	FY 2012	%	FY 2013	%	FY 2014	%	FY 2015	%
	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Preliminary)	Change	(Forecast)	Change	(Forecast)	Change
REVENUE SOURCE																
Personal Income	\$622.3	7.1%	\$530.3	-14.8%	\$498.0	-6.1%	\$553.3	11.1%	\$597.0	7.9%	\$660.6	10.7%	\$686.3	3.9%	\$738.6	7.6%
Sales and Use*	\$225.6	1.4%	\$214.1	-5.1%	\$207.4	-3.1%	\$217.1	4.7%	\$227.9	5.0%	\$231.2	1.4%	\$232.2	0.4%	\$239.9	3.3%
Corporate	\$74.6	2.4%	\$66.2	-11.3%	\$62.8	-5.1%	\$89.7	42.7%	\$85.9	-4.2%	\$95.0	10.5%	\$91.8	-3.3%	\$94.6	3.1%
Meals and Rooms	\$121.1	5.4%	\$117.1	-3.3%	\$118.0	0.8%	\$122.6	4.0%	\$126.9	3.5%	\$134.8	6.2%	\$137.3	1.9%	\$142.5	3.8%
Cigarette and Tobacco	\$0.0	NM	\$0.0	NM	\$0.0	NM	\$0.0	NM	\$0.0	NM	\$0.0	NM	\$0.0	NM	\$0.0	NM
Liquor	\$14.2	3.7%	\$15.0	6.0%	\$14.9	-1.0%	\$15.4	3.1%	\$16.4	7.0%	\$17.0	3.4%	\$17.4	2.4%	\$18.0	3.4%
Insurance	\$54.8	3.8%	\$53.7	-2.1%	\$53.3	-0.9%	\$55.0	3.3%	\$56.3	2.5%	\$55.0	-2.3%	\$54.3	-1.3%	\$53.6	-1.3%
Telephone	\$9.5	-4.6%	\$9.1	-3.8%	\$7.9	-13.9%	\$11.4	44.4%	\$9.6	-15.3%	\$9.4	-2.6%	\$9.3	-0.8%	\$9.2	-1.1%
Beverage	\$5.6	1.9%	\$5.6	0.3%	\$5.7	0.4%	\$5.8	2.2%	\$6.0	3.3%	\$6.2	3.3%	\$6.3	2.1%	\$6.5	3.2%
Electric**	\$2.7	3.3%	\$2.8	4.0%	\$2.9	2.5%	\$2.9	0.8%	\$2.9	0.3%	\$8.9	204.5%	\$12.5	NM	\$11.7	NM
Estate***	\$15.7	-11.9%	\$21.9	39.4%	\$14.2	-35.2%	\$21.0	48.3%	\$13.3	-36.5%	\$15.4	15.4%	\$19.1	24.1%	\$19.7	3.1%
Property	\$10.7	-16.3%	\$8.5	-21.1%	\$7.8	-8.2%	\$8.4	7.7%	\$7.9	-6.2%	\$9.2	16.5%	\$10.3	12.0%	\$11.7	14.2%
Bank	\$10.2	-3.4%	\$20.6	102.5%	\$10.4	-49.7%	\$15.4	49.0%	\$10.7	-30.9%	\$10.7	0.2%	\$10.8	1.1%	\$10.9	0.5%
Other Tax	\$3.2	-51.1%	\$2.8	-12.7%	\$3.7	32.1%	\$3.7	1.7%	\$1.2	-66.7%	\$1.8	42.9%	\$2.2	24.4%	\$2.5	13.6%
Total Tax Revenue	\$1170.3	4.1%	\$1067.7	-8.8%	\$1006.7	-5.7%	\$1121.6	11.4%	\$1162.1	3.6%	\$1255.0	8.0%	\$1289.7	2.8%	\$1359.4	5.4%
Business Licenses	\$2.7	-1.0%	\$3.0	9.4%	\$3.0	-0.2%	\$3.0	-0.6%	\$3.0	2.8%	\$2.8	-8.0%	\$3.0	7.0%	\$3.2	6.7%
Fees	\$14.7	3.6%	\$19.1	29.5%	\$19.2	0.9%	\$20.5	6.4%	\$20.9	2.1%	\$21.4	2.2%	\$21.7	1.6%	\$22.6	4.1%
Services	\$1.7	15.9%	\$1.5	-11.0%	\$1.2	-19.9%	\$1.1	-8.7%	\$2.3	105.8%	\$2.5	8.7%	\$1.8	-28.9%	\$1.9	5.6%
Fines	\$4.4	38.6%	\$9.8	122.0%	\$7.4	-24.8%	\$5.7	-22.2%	\$7.4	28.7%	\$4.7	-35.9%	\$5.9	25.1%	\$6.3	6.8%
Interest	\$5.3	7.2%	\$1.2	-77.8%	\$0.5	-56.3%	\$0.3	-49.9%	\$0.4	52.6%	\$0.5	20.5%	\$1.1	134.2%	\$2.6	136.4%
All Other	\$0.6	-44.1%	\$0.2	-64.7%	\$0.3	57.4%	\$0.7	115.7%	\$0.9	15.8%	\$1.7	93.1%	\$1.0	-40.1%	\$1.1	10.0%
Total Other Revenue	\$29.5	6.5%	\$34.8	18.0%	\$31.7	-8.9%	\$31.3	-1.2%	\$34.9	11.5%	\$33.6	-3.8%	\$34.5	2.8%	\$37.7	9.3%
TOTAL GENERAL FUND	\$1199.7	4.2%	\$1102.5	-8.1%	\$1038.4	-5.8%	\$1152.8	11.0%	\$1197.0	3.8%	\$1288.6	7.7%	\$1324.2	2.8%	\$1397.1	5.5%

* Includes \$2.5M transfer to the T-Fund in FY08 for prior years Jet Fuel tax processing errors; Transfer to the Education Fund increases from 33.3% to 35.0% effective in FY14

** Assumes Vermont Yankee continues to operate beyond FY12, pending legal and regulatory rulings, and is taxed per Act 143 of 2012 effective in FY13;

Stated Electric Energy Tax revenues exclude appropriations to the Clean Energy Development Fund and Education Fund

*** Excludes transfer to the Higher Education Trust Fund of \$2.4M in FY05, \$5.2M in FY06 and \$11.0M in FY11

**TABLE 2A - STATE OF VERMONT
LEGISLATIVE JOINT FISCAL OFFICE
SOURCE TRANSPORTATION FUND REVENUE FORECAST UPDATE
Consensus JFO and Administration Forecast - July 2013**

SOURCE T-FUND

revenues are prior to all E-Fund allocations and other out-transfers; used for analytic and comparative purposes only

	FY 2008	%	FY 2009	%	FY 2010	%	FY 2011	%	FY 2012	%	FY 2013	%	FY 2014	%	FY 2015	%
	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Preliminary)	Change	(Forecast)	Change	(Forecast)	Change
REVENUE SOURCE																
Gasoline	\$62.6	-1.6%	\$60.6	-3.1%	\$61.0	0.6%	\$60.6	-0.6%	\$59.3	-2.2%	\$59.9	1.1%	\$77.5	29.3%	\$81.1	4.6%
Diesel	\$16.6	-7.8%	\$15.5	-6.5%	\$15.1	-2.6%	\$15.4	2.0%	\$16.0	3.9%	\$15.6	-2.2%	\$17.2	9.9%	\$18.3	6.4%
Purchase and Use*	\$79.0	-2.0%	\$65.9	-16.6%	\$69.7	5.7%	\$77.1	10.5%	\$81.9	6.3%	\$83.6	2.0%	\$88.1	5.4%	\$94.4	7.2%
Motor Vehicle Fees	\$67.5	3.2%	\$65.5	-3.0%	\$72.5	10.7%	\$72.3	-0.3%	\$73.5	1.7%	\$77.9	5.9%	\$78.2	0.4%	\$79.8	2.0%
Other Revenue**	\$23.7	17.2%	\$18.0	-24.0%	\$18.2	1.4%	\$17.9	-1.9%	\$18.3	2.2%	\$19.1	4.2%	\$19.3	1.3%	\$19.7	2.1%
TOTAL TRANS. FUND	\$249.4	0.6%	\$225.6	-9.6%	\$236.6	4.9%	\$243.3	2.8%	\$249.0	2.3%	\$256.0	2.8%	\$280.3	9.5%	\$293.3	4.6%

**TABLE 2 - STATE OF VERMONT
LEGISLATIVE JOINT FISCAL OFFICE
AVAILABLE TRANSPORTATION FUND REVENUE FORECAST UPDATE
Consensus JFO and Administration Forecast - July 2013**

CURRENT LAW BASIS

including all Education Fund allocations and other out-transfers

	FY 2008	%	FY 2009	%	FY 2010	%	FY 2011	%	FY 2012	%	FY 2013	%	FY 2014	%	FY 2015	%
	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Actual)	Change	(Preliminary)	Change	(Forecast)	Change	(Forecast)	Change
REVENUE SOURCE																
Gasoline	\$62.6	-1.6%	\$60.6	-3.1%	\$61.0	0.6%	\$60.6	-0.6%	\$59.3	-2.2%	\$59.9	1.1%	\$77.5	29.3%	\$81.1	4.6%
Diesel	\$16.6	-7.8%	\$15.5	-6.5%	\$15.1	-2.6%	\$15.4	2.0%	\$16.0	3.9%	\$15.6	-2.2%	\$17.2	9.9%	\$18.3	6.4%
Purchase and Use*	\$52.7	-2.0%	\$44.0	-16.6%	\$46.5	5.7%	\$51.4	10.5%	\$54.6	6.3%	\$55.7	2.0%	\$58.7	5.4%	\$62.9	7.2%
Motor Vehicle Fees	\$67.5	3.2%	\$65.5	-3.0%	\$72.5	10.7%	\$72.3	-0.3%	\$73.5	1.7%	\$77.9	5.9%	\$78.2	0.4%	\$79.8	2.0%
Other Revenue**	\$23.7	23.5%	\$18.0	-24.0%	\$18.2	1.4%	\$17.9	-1.9%	\$18.3	2.2%	\$19.1	4.2%	\$19.3	1.3%	\$19.7	2.1%
TOTAL TRANS. FUND	\$223.1	1.4%	\$203.6	-8.7%	\$213.3	4.8%	\$217.6	2.0%	\$221.7	1.9%	\$228.2	2.9%	\$250.9	10.0%	\$261.8	4.3%

OTHER

TIB Gasoline					\$13.4	NM	\$16.5	23.6%	\$20.9	26.6%	\$21.2	1.4%	\$21.0	-1.0%	\$22.0	4.6%
TIB Diesel and Other***					\$1.5	NM	\$2.0	32.1%	\$1.9	-2.1%	\$1.8	-8.1%	\$1.8	3.2%	\$1.9	5.5%
Total TIB					\$14.9	NM	\$18.5	24.4%	\$22.8	23.5%	\$23.0	0.6%	\$22.8	-0.7%	\$23.9	4.6%

* As of FY04, includes Motor Vehicle Rental tax revenue

** Beginning in FY07, includes Stabilization Reserve interest; FY08 data includes \$3.76M transfer from G-Fund for prior Jet Fuel tax processing errors and inclusion of this tax in subsequent years

*** Includes TIB Fund interest income of less than \$15,000

**TABLE 3 - STATE OF VERMONT
LEGISLATIVE JOINT FISCAL OFFICE
AVAILABLE EDUCATION FUND* REVENUE FORECAST UPDATE
(Partial Education Fund Total - Includes Source General and Transportation Fund Allocations Only)
Consensus JFO and Administration Forecast - July 2013**

CURRENT LAW BASIS

* Source General and Transportation

Fund taxes allocated to or associated
with the Education Fund only

	FY 2008	%	FY 2009	%	FY 2010	%	FY 2011	%	FY 2012	%	FY 2013	%	FY 2014	%	FY 2015	%
	<i>(Actual)</i>	<i>Change</i>	<i>(Actual)</i>	<i>Change</i>	<i>(Actual)</i>	<i>Change</i>	<i>(Actual)</i>	<i>Change</i>	<i>(Actual)</i>	<i>Change</i>	<i>(Preliminary)</i>	<i>Change</i>	<i>(Forecast)</i>	<i>Change</i>	<i>(Forecast)</i>	<i>Change</i>
GENERAL FUND																
Sales & Use**	\$112.8	1.4%	\$107.1	-5.1%	\$103.7	-3.1%	\$108.5	4.7%	\$113.9	5.0%	\$115.6	1.4%	\$125.0	8.2%	\$129.2	3.3%
Interest	(\$1.3)	-0.8%	\$0.3	NM	\$0.1	-60.2%	\$0.1	-48.8%	\$0.0	-7.5%	\$0.1	72.8%	\$0.1	19.9%	\$0.2	100.0%
Lottery	\$22.7	-2.5%	\$20.9	-7.7%	\$21.6	3.0%	\$21.4	-0.7%	\$22.3	4.2%	\$22.9	2.7%	\$22.9	-0.2%	\$23.4	2.2%
TRANSPORTATION FUND																
Purchase and Use***	\$26.3	-2.0%	\$22.0	-16.6%	\$23.2	5.7%	\$25.7	10.5%	\$27.3	6.3%	\$27.9	2.0%	\$29.4	5.4%	\$31.5	7.2%
TOTAL	\$160.5	0.3%	\$150.2	-6.4%	\$148.6	-1.1%	\$155.7	4.8%	\$163.6	5.1%	\$166.5	1.7%	\$177.4	6.6%	\$184.3	3.9%

** Includes Telecommunications Tax; Includes \$1.25M transfer to T-Fund in FY08 for prior Jet Fuel Tax processing errors; Transfer percentage from the General Fund increases from 33.3% to 35.0% effective in FY14

*** Includes Motor Vehicle Rental revenues, restated

H.

Joint Fiscal Office

One Baldwin Street • Montpelier, VT 05633-5701 • 802) 828-2295 • Fax: 802) 828-2483

MEMORANDUM

To: Representative Martha Heath, Chair,
Senator Jane Kitchel, Vice Chair,
Members of the Joint Fiscal Committee

From: Stephen Klein, Chief Fiscal Officer

Date: July 16, 2013

Subject: July 2013 – Fiscal Officers’ Report

What follows is an update of postsession developments, some of which will be on the agenda for the July Fiscal Committee meeting:

1. FY 2013 Revenues and Closeout:

- a. FY 2013 – Revenues – The finalization of yearend revenues was slow due to delayed reporting in transportation funds and in lottery receipts that impact the Education Fund. There is a limited likelihood that additional changes will occur before the JFC meeting on July 23.
- b. General Fund (GF): Preliminary results indicate that FY 2013 GF revenues exceeded January forecasts by \$26.1 million. The difference from the forecast occurred in several of the revenue sources, including:
 - i. Income Tax: +\$ 36 million
 - ii. Sales and Use: - \$ 1.6 million
 - iii. Corporate Tax: +\$ 0.9 million
 - iv. Meals and Rooms: +\$ 2.6 million
 - v. All Other revenue: -\$ 11.8 million (\$5 million of the shortfall was due all to the Estate Tax being 24% below projections.)
- c. The Transportation Fund is approximately \$2.8 million below the FY 2013 forecast. The transportation revenue bill raised about \$1.6 million which was allocated to projects in FY14 and can be applied to offset the anticipated shortfall. The FY14 spending need can be addressed in budget adjustment. This means there is \$1 million left to address through spending postponements or other means. The shortfall was spread between the gas tax revenues and fees.
- d. The Education Fund is about \$300,000 below forecast. \$500,000 in receipts over forecast in lottery proceeds helped to offset sales tax shortfalls of \$800,000.
- e. Commissioner Jim Reardon will provide an update on the yearend closeout at the Joint Fiscal Committee July 23 meeting.

2. The General Fund Surplus Disposition:

- a. We will have a detailed explanation and presentation of the General Fund surplus allocation at the JFC meeting but, assuming these numbers hold, it will be essentially as follows:
 - i. \$13,050,000 will go into a property tax relief fund. Some of this will be deposited in the Education Fund depending upon the FY 2014 forecast, and some will await legislative action;
 - ii. \$6,525,000 will be appropriated to the Secretary of Administration for federal fund offset needs;
 - iii. \$6,525,000, adjusted for changes in direct applications and reversions, will stay in the Rainy Day Fund.
- b. Depending upon the change to the FY 2014 General Fund forecast, which will be made on July 23rd, some of the property tax relief funds will be deposited in the Education Fund and lead to the Education Fund transfer from the General Fund being permanently raised.

3. FY 2014 Revenue Changes:

- a. Tom Kavet and Jeff Carr are working on the revenue forecast for FY 2014. The substantial increase in FY 2013 in the General Fund was largely due to one-time events which we are not expecting to roll out into FY 2014. The new projections will be presented at the Joint Fiscal Committee Meeting.
- b. The FY 2013 Transportation Fund shortfall will be addressed by the Agency of Transportation by eliminating appropriations which would otherwise be carried forward into the next year. The FY 2014 implications await the Transportation Fund revenue forecast update.

4. FY 2014 and Beyond - Budget Pressures:

- a. We are beginning to see some budget pressures which may impact the FY 2014 Budget Adjustment process, including:
 - i. The State Police/trooper contract has an \$810,000 cost in FY 2014 which was not budgeted. The contract negotiations were not finalized in time to address this during the legislative budget process.
 - ii. Secretary Spaulding and Commissioner Reardon will address developments related to the Veterans Home at the July meeting, which may have financial implications for the FY 2014 budget and beyond.
 - iii. Projected LIHEAP need for the upcoming heating season is likely to exceed the \$6 million of state funds set aside for the program. Program Director Richard Moffi will present an update at the July meeting.
 - iv. The Developmental Services area may see some budget pressures beyond the \$2.5 million savings target that was built into the budget. The Developmental Services budget is \$170 million. There are many moving pieces and a more detailed analysis underway.

- v. Federal funding at the Brattleboro Retreat is another area where uncertainty exists. It is not likely we will know much before September.
 - vi. The impact to the State from the federal Sequester is still being assessed. There may be some budget pressures which will be clearer in the Fall.
- b. Medicaid caseload estimates will be presented at the July meeting.

5. FEMA-related issues:

- a. Irene and State buildings:
 - a. Barriers to demolition of the state buildings in Waterbury have been eliminated, and demolition is scheduled to start this fall. Commissioner Obuchowski will provide a brief update at the JFC meeting, and included in the members' packet is the most recent Buildings Report on Construction.
 - b. The Federal Sandy Recovery Improvement Act included language which may facilitate some additional funding for Vermont beyond what was otherwise expected. The Administration hopes to have some clarification of the funding expectations in August and this information could be presented at the September JFC meeting.
- b. We have also scheduled a brief update on the damage and potential for relief related to the recent rains. The Administration is working to encourage documentation of storm damages.

6. Other Federal Fund reductions

- a. Federal reductions to the Supplemental Nutrition Assistance Program (SNAP) are likely to happen this fall. A combination of the end of the American Recovery and Reinvestment Act (ARRA) funding and recent budget cuts could impact recipients.
- b. Reductions in housing and other federally funded programs are likely to be identified in the coming months.

7. Tax Analysis and the Tax MOU:

- a. We are working with the Tax Department on several fronts to build our tax analysis capacity for the upcoming session. The Tax Department has hired three new staff in the planning and tax analysis area. To date, two of these new staff have started work.
- b. The new hires are:
 - i. Victor Gauto, who has been hired as the Economist and started work in June. Victor received his PhD from the University of Minnesota in Applied Economics and is experienced in modeling and analysis. He is becoming familiar with the Vermont data and the issues we deal with.
 - ii. Mary Beth Spellman has been hired to replace Michael Costa. She will be head of Policy Outreach and Legislative Affairs (POLA).

- Mary Beth has an LLM and JD. Previously, she was working as an attorney in the Pentagon. She starts this week.
- iii. A third hire will be replace Rachel Stanger, also in tax analysis. The hire is not yet finalized but will likely start work in September.
- c. The Department, and its new staff, will be working with the Joint Fiscal Office on four fronts:
- i. First, they will be working with Sara Teachout and the individual we are planning on hiring in the coming months (see d.) to develop the capacity for income tax analysis during the coming session. We are hoping that the JFO revenue team will be up to speed before the start of the session to facilitate the work of the revenue committees.
 - ii. Second, the Tax Department will be working with Mark Perrault on estimating issues related to the Education Fund in preparation for December 1, the Commissioner's education tax rate projection release date, and in preparation for legislative and committee work this fall and the upcoming session.
 - iii. Third, we will be working together to upgrade the long-term tax estimating capacity of the department and the independent capacity for JFO analysis. We are looking at Connecticut's Legislative Fiscal Office's modeling capacity which is built upon federal data as one alternative.
 - iv. Finally, we are working toward completing the MOU between JFO and the Tax Department to provide access to our office nonidentifiable state data.
- d. The Joint Fiscal Office is in the process of screening applicants for the tax position that was created this past session. No candidate has been identified to date.

8. Summer Study Committee updates:

Several summer studies have begun to meet or begin to organize:

- a. The Workforce Development Work Group has met twice and plans to do an inventory of workforce activity at the state level. Nathan Lavery and Steve Gold are providing staff support for this work. Steve Gold is under contract with our office and will also be providing some assistance with Reach up and other human service issues.
- b. Center for Criminal Justice Research [Sec. 3 of Act 61 of 2013]: Act 61 called for the Center for Criminal Justice Research, working with the Joint Fiscal Office, to develop estimates of costs associated with the arrest, prosecution, defense, adjudication, and correction of criminal and juvenile defendants in Vermont. The work is to use the cost benefit methodology developed by the Washington State Institute of Public Policy and currently used collaboratively by the Joint Fiscal Office and the PEW Charitable Trust for the Vermont Results First Project. Nathan Lavery is coordinating this work with Max Schlueter.
- c. Section E.220 of the Budget (Act 50) established a study committee on the future funding for the Center for Crime Victims Services (CCVS). Maria

Belliveau, Erik Fitzpatrick, and I have met with the C CVS staff and are now awaiting committee appointments.

- d. Sara Teachout will be presenting her work on establishing purposes for tax expenditures. A briefing memorandum is included with the materials for the meeting.

9. Legislative Budgets:

- a. The Legislature's budget closed FY 2013 with a positive balance. The ending balance, net of required reversions, is \$462,000. This is in part due to the early adjournment, as well as other efforts to control costs. For example, the Legislature spent \$40,000 less on printing costs than anticipated. Expanded use of iPads and other efforts to disseminate information electronically may have contributed to these savings. Potential uses of carryforward funding include \$85,000 for e-mail migration, \$30,000 for website redesign, \$46,000 for expansion of the iPad program, and \$60,000 for other IT equipment for legislative committees.
- b. The Joint Fiscal Office ended the year with a positive balance of \$226,000 net of required reversions. Most of the carryforward funding will be spent on the following projects: \$50,000 to add an additional revenue position and \$20,000 for renovations to create a secure workspace for this position, \$65,000 to support the Results First pilot project, \$50,000 for upgrading the JFO Budget System to ensure compatibility with the Administration's new budget system, and \$30,000 for health care-related research.
- c. The Legislative Council, the Sergeant at Arms, and the Information Technology departments all met or exceeded their carryforward targets, and \$25,000 will revert from the Legislative Council budget to the General Fund as prescribed in the Big Bill.
- d. Work will continue with other staff entities on legislative budget development and preparation.

10. Other Joint Fiscal Office Updates:

- a. Results First: Much of this year's Results First work will be in the area of criminal justice, based on the legislative request in Act 61 discussed above in section 5. We have also been working with New Mexico, another state that has been involved in this project, to explore their "report card" system for agency performance. In late July, Maria and Nathan will be part of a group meeting in Denver to discuss Results First with other states.
- b. Draft Web Portal policy memo: Included in the July meeting materials is a draft web portal policy memo requested by the committee in February. This policy automatically results in review of these charges at a formal committee meeting. Currently, we operate with a standing request by current members of the Joint Fiscal Committee for such review. Until this standing request is withdrawn, the Joint Fiscal Office considers all proposed web portal charges to be held for review at a Joint Fiscal Committee meeting. Staff recommends that the Joint Fiscal Committee not adopt the draft policy and instead continue the current practice (including honoring standing

requests). Statutory change should be considered if the statute is not meeting the needs of the General Assembly for oversight and approval of web portal charges, rather than adopting a blanket policy that nullifies the flexibility provided by 22 V.S.A. § 953(c)(2).

- c. We have hired Steve Gold on a limited contractual basis to help with summer study work on Workforce Development. He is also involved with the Reach up study group and may be brought in other projects as needed. His contract is for a maximum of 120 hours of work.



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee
From: Maria Belliveau and Stephanie Barrett, Joint Fiscal Office
Date: June 21, 2013
Subject: Excess Receipts Authority 3rd Quarter Review

With this memorandum, please find a revised excess receipts list for FY 2013 that the administration has resubmitted. The prior submittal inadvertently included items from the prior fiscal year. Excess receipts is spending authority granted by the Commissioner of Finance in accordance with 32 VSA §511 below:

A. Excess Receipts Authorizing Statute:
32 V.S.A. § 511. EXCESS RECEIPTS

If any receipts including federal receipts exceed the appropriated amounts, the receipts may be allocated and expended on the approval of the commissioner of finance and management. If, however, the expenditure of those receipts will establish or increase the scope of the program, which establishment or increase will at any time commit the state to the expenditure of state funds, they may only be expended upon the approval of the legislature. Excess federal receipts, whenever possible, shall be utilized to reduce the expenditure of state funds. The commissioner of finance and management shall report to the joint fiscal committee quarterly with a cumulative list and explanation of the allocation and expenditure of such excess receipts.

B. Administration review process for granting excess receipts requests:

The Administration goes through an extensive application and approval process for grant spending authority through the excess receipts process. The form requires information to ensure that the approval does not overstep statutory guidelines. Requests which are not granted (i.e. requests for new or ongoing expanded scope) are held for the legislative budget process. The excess receipts request form can be accessed at <http://finance.vermont.gov/forms> under the "Budget" category.

In the past week, the Department of Finance and Management has met with our staff and agreed to begin to change the reporting submission to include a greater degree of explanation. It will also reorganize the information it contains to improve general accessibility to readers.

We should begin to see these changes in the fourth quarter submission of FY 2013 and the FY 2014 quarterly filings.

STATE OF VERMONT REQUEST TO EXPEND "EXCESS RECEIPTS"

NOTE: "Excess Receipts" must be from the same source and for the same purpose as appropriated funds, or grants approved by the Joint Fiscal Committee.

SECTION 1 – Departmental Information

1. Agency	Department	Bus. Unit	Budget Fiscal Year	Provisional?
			FY	<input type="checkbox"/> Yes <input type="checkbox"/> No

AUTHORITY & COMPLIANCE: Excess Receipts must be in compliance with 32 V.S.A. § 511:
 A. Excess federal receipts, whenever possible shall be used to reduce the expenditure of State funds.
 B. Any Excess Receipts that will establish or increase the scope of a program, committing the State at any time to expend State funds, **MUST BE APPROVED BY THE LEGISLATURE.**

Instructions:
 A. Submit this Form for review and recommendation by the Dept. of Finance & Management when additional funds that you anticipate spending in the current Fiscal Year become available to you;
 B. Notification to the Dept. of Finance & Management when your budget spending authority for the particular FUND TYPE is in fact about to be exhausted; thereby approval to increase spending authority can be obtained before the spending authority for this fund type is in fact exhausted.
 a. If spending authority for the particular Fund Type DOES NOT YET NEED TO BE INCREASED, CHECK "Yes" under "Provisional"; then notify your Budget Analyst at the time that the spending authority is in fact needed, and include a VISION appropriation status report with your notification.
 b. If spending authority for the Fund Type NEEDS TO BE INCREASED IMMEDIATELY, check "No" under "Provisional", and include a VISION appropriation status report with your notification. (Refer to VISION Procedure #4 – VISION Appropriations Control/Transfers)

[for BudMan use only: Journal number: ER _____ Date Released: _____]

SECTION 2 – Fund Type

Fund Type \$\$ totals: [must correspond to fund #s from VISION Chart of Accounts]

Federal (#22000-22999) \$	Special (#21000-21999) \$	Internal Service (#55000-59999) \$
InterUnit Transfer (#21500) \$	Other (specify)	\$

Detail for each item

Approp(s)/Program(s) Name	Approp level DeptID#	Source of Funds (list separately)	\$\$ Amount

SECTION 2A – FOR FINANCIAL OPERATIONS USE ONLY – CONTINUE TO SECTION 3 BELOW

SECTION 3 – Availability of Funds

- a. Reason funds are available?
- b. Do you anticipate additional funds from the same source available in this fiscal year and above current appropriation?
 Yes No If "Yes", Explain:
- c. Is this increase one-time or at an on-going level? One-Time On-Going (Explain):
- d. Will state funds above the current level of appropriation be required to maintain this level of funding in the future?
 Yes No If "Yes", Explain:

SECTION 4 – Relation to the Budget

- a. Funds were not fully budgeted because: Not known at time of Budget preparation
 Other (Explain):
- b. What is the current year appropriation or grant amount approved by the Joint Fiscal Committee for this fiscal year, from this source of funds for this purpose? \$
- c. If section 3(c) above is "On-Going" will funds from this source be fully budgeted and appropriated next fiscal If "Yes", Explain:

**STATE OF VERMONT
REQUEST TO EXPEND "EXCESS RECEIPTS"**

year? Yes No

d. Were Excess Receipts requested from this source in the preceding 2 fiscal years? Yes No

If "Yes", Explain why they were not budgeted:

e. Are these Excess Receipts requested being received from another Department? Yes No
If "Yes", are they appropriated in that Department or will Excess Receipts be required there as well?
Explain:

f. Relationship to budget adjustment, if any:

SECTION 5 – Use of Excess Receipts

a. Can Excess Receipts be used to reduce the expenditure of State funds? Yes No Explain: [Is your Department using any General or Transportation funds for the same purpose?]

b. Will Excess Receipt establish or increase the scope of a program, committing the State at any time to expend State Funds? Yes No
If "Yes", legislative approval is required.

c. What specifically will Excess Receipts be used for? What is the impact on programs if this Excess Receipt request is not approved?

d. Are any of the Excess Receipts to be used for your Department's administrative, staff or operating expenses?
 Yes No
If "Yes", Explain:

SECTION 6 – Matching Funds and Earned Federal Receipts

a. Is there any matching fund requirement due to Excess Receipts? Yes No n/a
If "Yes", where is the match found in your budget?

b. If Excess Receipts are earned federal receipts, is Excess Receipts being spent in the same (federal) program where the Excess Receipts are earned? Yes No
If "No", Explain:

SECTION 7 – Receipts and Expenditures

a. Has Excess Receipt been received and deposited? Yes No
If "No", what date are funds expected?

b. If approved, when will the expenditure of this Excess Receipt first occur?

Other comments, if necessary:

APPROVALS AND SIGNATURES

Department Head _____

Date: _____

Agency Head _____

Date: _____

Recommendation Provisional? Yes No (for Finance & Management Use only)

Budget & Management Analyst _____

Date: _____

Analyst Comments:

Approval:

Commissioner, Finance & Management _____

Date: _____

Secretary of Administration _____

Date: _____

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management
DATE: July 15, 2013
RE: Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for expenditure during the fourth quarter (cumulative) of FY 2013 (7/1/2012 through 6/30/13). The full text of the governing statute is provided at the end of this memo.

Review Process

The Administration goes through an extensive application and approval process for allowing expenditure of excess receipts. The form required of departments can be found at: http://finance.vermont.gov/sites/finance/files/pdf/forms/budget/Excess_Receipts_Form.doc (at <http://finance.vermont.gov/forms> under the “Budget” category). The form requires information to ensure that the approval does not overstep statutory guidelines. Requests that overstep the statutory guidelines are denied, and/or where appropriate are held for the legislative budget process.

Departments are required to provide written answers to the following questions (although only the response to the first question is entered into the VISION database):

- Reason funds are available?
- Do you anticipate additional funds from the same source available in this fiscal year and above current appropriation?
- Is this increase one-time or at an ongoing level?
- Why were funds not fully budgeted during budget development?
 - What is the current year appropriation or grant amount approved by the Joint Fiscal Committee for this fiscal year, from this source of funds for this purpose?
- If these are ongoing funds, will funds from this source be fully budgeted and appropriated next fiscal year?
- Were excess receipts requested from this source in the preceding two fiscal years? If so, explain why they were not budgeted?
- Are these excess receipts being received from another department (i.e., interdepartmental transfers)? If so, are they appropriated in that department or will excess receipts be required there as well?



- Relationship, if any, to the Budget Adjustment Act?
- Can excess receipts be used to reduce the expenditure of State funds?
- **Will excess receipts establish or increase the scope of a program, committing the State at any time to expend State funds?** [The form notes that in such instances, legislative approval is required.]
- What specifically will excess receipts be used for? What is the impact on programs if this excess receipt request is not approved?
- Are any of the excess receipts to be used for your department's administrative, staff or operating expenses? If so, explain.
- Is there any matching fund requirement due to excess receipts? If so, where is the match found in your budget?
- If excess receipts are earned federal receipts, is excess receipt being spent in the same (federal) program where the excess receipts are earned? If not, explain.
- Has the excess receipt been received and deposited? If no, what date are funds expected?
- If approved, when will the expenditure of this excess receipt first occur?

The VISION entry normally includes only the response to the first question – why are additional receipts available? However, for any individual Excess Receipt Request, we can provide the full paper copy of the form, listing all the department's responses.

Broad Categories of Excess Receipt Requests

Requests for expenditure of excess receipts generally fall into several broad categories:

Interdepartmental Transfers: It is not uncommon for one State department (“Department A”) to purchase services from another State department (“Department B”). In that instance, Department A budgets these expenditures just as they would any other type of expenditure: by type of expenditure and by the source of revenue that will fund these expenditures. Department B also budgets these expenditures, and identifies the source of revenue as “interdepartmental transfers.” This process results in a small amount of “double-booking” of spending authority but ensures that both departments have the necessary spending authority. In many cases, at the time of budget development, Department A has not yet decided from where to purchase the services in question, so Department B does not budget the interdepartmental transfer revenues. When Department A moves forward to contract for services with Department B after the budget has closed, then Department B must request an Excess Receipts approval for the additional spending authority to perform the services.

Federal Funds: Departments estimate their likely federal receipts in the fall for the upcoming budget year, meaning the estimate is as much as nine-months old at the start of the budget year, and another 12 months older by the end of the budgeted fiscal year. As a result, more recent developments may mean that the budgeted federal spending authority is insufficient, either because the current federal award for an existing grant has been increased, or there is spending authority from grants from earlier federal fiscal years that can be used in the current year. Additionally, extraordinary events – such as the federal American Recovery and Reinvestment Act (ARRA) or federal aid to Vermont due to Tropical Storm Irene – may cause large – and unanticipated -- spikes in federal receipts.

Other: There are over 200 different special funds created under State law, in which are deposited fees, user charges, penalties, specified taxes, etc. Departments estimate how much they will collect each year for each of these special funds, and base their spending plans accordingly. However, for the same reasons noted above, the actual collections for these revenues may be higher than the original budget. Excess receipts may also be used in an instance where prior-year special fund spending authority was not utilized and needs to be created again in the subsequent year (similar to a carry-forward). It should be noted that in addition to the restrictions in the excess receipts statute, each special fund has its own statutory restrictions that prevent the funds being used for other than their intended purposes and programs.

Attached Report:

The attached report is a cumulative list of approved excess receipt requests for the current fiscal year. It includes ALL the data entered in VISION for that transaction, including:

- Agency/Department name
- Appropriation name and "DeptID"
- Transaction date
- Fund source – name and fund number
- Amount
- Comments in response to question: "Why are funds available?" (VISION allows for a limited number of characters per cell entry.)

The data are sorted into the three broad categories of requests discussed above.

Governing Statute:

32 V.S.A. § 511. EXCESS RECEIPTS

If any receipts including federal receipts exceed the appropriated amounts, the receipts may be allocated and expended on the approval of the commissioner of finance and management. If, however, the expenditure of those receipts will establish or increase the scope of the program, which establishment or increase will at any time commit the state to the expenditure of state funds, they may only be expended upon the approval of the legislature. Excess federal receipts, whenever possible, shall be utilized to reduce the expenditure of state funds. The commissioner of finance and management shall report to the joint fiscal committee quarterly with a cumulative list and explanation of the allocation and expenditure of such excess receipts.

Attachment

FY 2013 Excess Receipts Report - Q4 Cumulative - Run 7-15-13						
VT_EXCESS_RECEIPT_RPT	293					
Agency/Dept Name	Appropriation Name	Appropriation DeptID	Date	Fund	Fund Name	Comments
Interdepartmental Transfers Excess Receipts:						
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/16/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	(246,644.99) Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	3/28/2013	21500	Inter-Unit Transfers Fund	388,093.13 Sec. of Admin entered into an MOU with DVHA for a Health Care Exchange Grant. Funds to pay invoices from Mintz Levin for legal services related to the MOU.
Agency of Admin Sec Office	Secretary of Administration	1100020000	4/30/2013	21500	Inter-Unit Transfers Fund	100,000.00 Funds used by Sec of Admin to pay invoices related to an MOU with DHVA for the Health Care Exchange.
Agency of Admin Sec Office	Secretary of Administration	1100020000	5/31/2013	21500	Inter-Unit Transfers Fund	200,000.00 Funds available through MOU between Sec of Admin and DVHA for Health Care Exchange Grant. Funds will be used to pay invoices related this MOU.
Finance & Management	Financial Operations	1110002000	4/30/2013	21500	Inter-Unit Transfers Fund	10,000.00 Funds to pay a portion of staff operations in DeptID 1110003000 associated with statewide financial systems.
Finance & Management	Financial Operations	1110002000	5/30/2013	21500	Inter-Unit Transfers Fund	(10,000.00) To correct dept id from orig approp journal processed on 4/30/13.
Finance & Management	Budget & Management	1110003000	5/30/2013	21500	Inter-Unit Transfers Fund	10,000.00 To correct dept id from orig approp journal processed on 4/30/13.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	4/30/2013	21500	Inter-Unit Transfers Fund	300,000.00 Billings to ERP project exceeded initial budget estimates due to the increase of positions needed.
Personnel-Proprietary	Employee Benefits & Wellness	1125000000	4/30/2013	21500	Inter-Unit Transfers Fund	13,490.00 Billing to DHR Operations approp for upgrade costs related to new HRIS Director position that was originally split 50/50 Ops/B&W
Tax	Tax Operation Costs	1140010000	6/18/2013	21500	Inter-Unit Transfers Fund	80,000.00 New Web Portal Fee revenue stream during the year in the special fund; Tax Offset revenue higher than budgeted in Inter Departmental Transfer Fund.
Buildings & Gen Serv-Gov'tal	BGS-Administrative Services	1150100000	6/25/2013	21500	Inter-Unit Transfers Fund	25,000.00 Fund rec'd from various program within Agency of Admin to support Admin Svcs division of BGS. Funds to be used to cover Pay Act salary & benefits increases.
State Labor Relations Board	State Labor Relations Board	1270000000	6/18/2013	21500	Inter-Unit Transfers Fund	899.62 Benefit transfer for shared clerk. Funds will be used to reimburse general fund for payroll costs incurred by the shared clerk.
VOSHA Review Board	VOSHA Review Board	1280000000	6/7/2013	21500	Inter-Unit Transfers Fund	2,000.00 Billing to Labor pays for half of the VRB costs.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	20,000.00 \$167,501.33 fund transfers from PSD for the Entergy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	167,501.33 \$167,501.33 fund transfers from PSD for the Entergy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Defender General	Public Defense	2110000100	5/28/2013	21500	Inter-Unit Transfers Fund	6,574.00 The ODG has requested Children's Justice Act Funding through DCF for June 2013 training to attorneys who provide services in juvenile cases.
Judiciary	Judiciary Appropriation	2120000000	7/10/2012	21500	Inter-Unit Transfers Fund	9,050.00 Grant from the VCCVS to support GAL training.
Judiciary	Judiciary Appropriation	2120000000	12/19/2012	21500	Inter-Unit Transfers Fund	173,786.00 Grant from DPS, GHSP for a DUI Court Implementation pilot program.
Public Safety	DPS-Emergency Management	2140030000	7/23/2012	21500	Inter-Unit Transfers Fund	1,470,000.00 Portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Administration	2140060000	6/14/2013	21500	Inter-Unit Transfers Fund	627,904.00 Draws based on federal approved indirect rate
Military Department	MIL Admin/TAGO	2150010000	2/27/2013	21500	Inter-Unit Transfers Fund	13,395.50 Reimbursement from May 2011 State Active Duty.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
Center of Crime Victims' Serv	Victims Compensation	2160010000	7/10/2012	21500	Inter-Unit Transfers Fund	37,657.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant number G1001VTCJA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	12/26/2012	21500	Inter-Unit Transfers Fund	74,000.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCFAI
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	4/18/2013	21500	Inter-Unit Transfers Fund	85,000.00 Funds available as a result of TSF billings done to other depts for services the CJTC provides them. Will be used to pay for salaries and benefits of staff being used to provide services to other depts as well as some operating expenses.
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	6/7/2013	21500	Inter-Unit Transfers Fund	60,000.00 These funds are available as a result of TSF Billings done to other dept's for service the CJTC provides to them.
Agriculture, Food & Markets	Ag Development Division	2200030000	8/22/2012	21500	Inter-Unit Transfers Fund	110,000.00 MOU with VDH #03420-5920
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21500	Inter-Unit Transfers Fund	112,625.00 Clean Energy Development Fund dollars transferred to Agriculture from Public Service per 10 VSA 6523(e)(D)(2), from calendar year 2007 through calendar year 2011.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	11/27/2012	21500	Inter-Unit Transfers Fund	16,500.00 MOU w/ DEC
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	32,508.00 MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	20,000.00 MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Financial Regulation	Insurance Division	2210011000	7/18/2012	21500	Inter-Unit Transfers Fund	187,972.00 From DVHA for Affordable Care Acts Exchange grant work.
Financial Regulation	Insurance Division	2210011000	6/12/2013	21500	Inter-Unit Transfers Fund	150,000.00 Health Care Exchange level II funds from DVHA
Financial Regulation	Health Care Admin. Division	2210040000	7/18/2012	21500	Inter-Unit Transfers Fund	270,000.00 From DVHA for Affordable Care Acts Exchange grant work.
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	7,000.00 Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	3,504.96 Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/31/2012	21500	Inter-Unit Transfers Fund	6,000.00 Amendment #4 is increasing the amount of grant by another 6000. Total grant is now 24,000. Request to spend total anticipated excess receipts in FY13 is now 11,504.96
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	5,275.19 Transfer of funds was more than budgeted for this program; negotiations for the additional transfer occurred too late in FY12 to plan any more START details.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	29,725.00 MOU between VDH and LC for annual START grant.
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	12,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Department of Mental Health	Mental Health	3150070000	4/15/2013	21500	Inter-Unit Transfers Fund	25,595.00 This was an interdepartmental collaboration with the Health Dept. and Voc Rehab on a conference put on by the Youth in Transition (YIT) program in the children's unit.
Green Mountain Care Board	Green Mountain Care Board	3330010000	11/2/2012	21500	Inter-Unit Transfers Fund	524,897.12 Funds were transferred to GMCB from the Dept of Financial Regulation in FY12 for contracts. Contract funds were not spent in FY12.

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Agency/Dept Name	Appropriation Name	Appropriation DeptId	Date	Fund	Fund Name	Comments	
Green Mountain Care Board	Green Mountain Care Board	3330010000	4/30/2013	21500	Inter-Unit Transfers Fund	250,000.00	Funds will be used to pay for Salaries and Benefits of the GMCB staff, as well as invoices, both of which are related to the Rate Setting Project Review MOU and Health Care Exchange Grant MOU
Human Services Central Office	Rate Setting	3400008000	8/23/2012	21500	Inter-Unit Transfers Fund	712.00	FEMA funds for Irene related expenses.
Human Services Central Office	Administrative Management Fund	3400020000	5/8/2013	21500	Inter-Unit Transfers Fund	1,000,000.00	New DII billings totalling approx \$1.5M require an increase in spending authority for teh AHS CO Admin Management Fund in order to pay the invoices and collect reimb from AHS depts.
Health	Alcohol & Drug Abuse	3420060000	6/14/2013	21500	Inter-Unit Transfers Fund	100,000.00	Funds are available due to the MOU with DOC.
Children and Family Services	DCFS Admin & Support Services	3440010000	6/13/2013	21500	Inter-Unit Transfers Fund	900,000.00	Per FY14 Big Bill, Act 50; Sec. C.108 Crisis Fuel Transfer Authority
Children and Family Services	DCFS - Family Services	3440020000	6/7/2013	21500	Inter-Unit Transfers Fund	68,111.00	Earned receipts from DOC and VDH for multi-department Nurturing Parents Program agreement with Prevent Child Abuse Vermont.
Children and Family Services	DCFS - Child Development	3440030000	6/7/2013	21500	Inter-Unit Transfers Fund	137,500.00	Earned receipts for Workforce Education Training Funds From VDOL and support of PCC Strengthening Families, Phase I Initiative from DOC.
Children and Family Services	DCFS - Woodside Rehab Center	3440120000	6/7/2013	21500	Inter-Unit Transfers Fund	47,498.00	Earned School Lunch and Title I receipts from Vt Agency of Education for SFY13
Aging and Independent Living	Vocational Rehab Grants	3460040000	6/3/2013	21500	Inter-Unit Transfers Fund	300,000.00	One time - since in SFY14 the DOL budget was decreased by this amount.
Aging and Independent Living	Developmental Services Grants	3460050000	5/31/2013	21500	Inter-Unit Transfers Fund	3,000.00	A collaboration between VDH and DAIL. VDH has a Federal Autism Grant and DAIL has agreed to help with oversight and management of the grant. MOU between VDH and DAIL stating that VDH will transfer funds to DAIL after quarterly reports of expenditures.
Aging and Independent Living	Developmental Services Grants	3460050000	6/7/2013	21500	Inter-Unit Transfers Fund	35,000.00	A Collaboration between DMH, DCF, & DAIL. DAIL agreed to act as AHS lead and write one grant to Disability Rights Vermont instead of three grants from all three depts. DMH and DCF agreed to transfer their portion to DAIL.
Corrections	Corrections - Education	3480003000	3/8/2013	21500	Inter-Unit Transfers Fund	365,000.00	Funds from DOE through Federal Title I program. Increase in funds from DOL for Harley Davidson program. Excess receipts also needed to access approved CF balance from FY12.
Corrections	Correc-Correctional Services	3480004000	6/6/2013	21500	Inter-Unit Transfers Fund	155,488.25	Amount of grant funding from Public Safety unknown at budget development time; Cash balance carry forward (Tapestry portion).
Education	Education Jobs Fund	5100891101	7/31/2012	21500	Inter-Unit Transfers Fund	8,621,561.06	Education Jobs Fund
Natural Res Central Office	"Admin., Management & Planning	6100010000	6/18/2013	21500	Inter-Unit Transfers Fund	75,000.00	An IT position was returned to ANR-CO at the beginning of FY13 from F&W so F&W will transfer those budgeted funds through IDT to ANR-CO to cover those planned expenses.
Fish & Wildlife	FW Support & Field Services	6120000000	2/27/2013	21500	Inter-Unit Transfers Fund	500,000.00	Receipts for reimbursement from FEMA through AOT related to Hurricane IRENE expenses.
Fish & Wildlife	FW Support & Field Services	6120000000	6/8/2013	21500	Inter-Unit Transfers Fund	500,000.00	Receipts are a result of additional FEMA reimbursements the Dept has received related to hurricane Irene.
Forest, Parks & Recreation	Administration	6130010000	7/23/2012	21500	Inter-Unit Transfers Fund	850,500.00	VTrans - Spring flood event & Tropical Storm Irene, F&W to cover work on approved projects on DFW owned lands, Reimbursement for work done on the Natural Resources Mapping Project from ANR Central Office.
Forest, Parks & Recreation	Parks	6130030000	8/29/2012	21500	Inter-Unit Transfers Fund	130,350.00	MOU with DEC for the management of and contracting for the removal of significant volumes of hazardous materials & for the cleanup of buildings and site debris located on Law Island, Lake Champlain Colchester.
Forest, Parks & Recreation	Lands Administration	6130040000	9/19/2012	21500	Inter-Unit Transfers Fund	50,000.00	The receipts in the interdepartmental transfer fund are available because of an MOU with DEC to support the acquisition of 28 acres adjacent to the Green River Reservoir State Park.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	21500	Inter-Unit Transfers Fund	63,000.00 \$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Environmental Conservation	Water Programs Appropriation	6140040000	5/1/2013	21500	Inter-Unit Transfers Fund	75,000.00 Grant received from VT Dept of Public Safety
Commerce & Community Dev Admin	Administration Division	7100000000	1/11/2013	21500	Inter-Unit Transfers Fund	70,000.00 AIC grant via Agency of Agriculture for Made in VT Program was formalized in August 2012.
Housing & Comm Development	Housing & Community Affairs	7110010000	10/24/2012	21500	Inter-Unit Transfers Fund	30,000.00 AOT Funds transferred to DHP for archeology center move and for costs associated with DHP taking over the Archeology Month events.
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	11/14/2012	21500	Inter-Unit Transfers Fund	75,000.00 Cooperative Marketing opportunity with Agriculture
Subtotal - Interdepartmental Transfers:						20,057,318.15
Federal Funds (including "Regular" ARRA) Excess Receipts:						
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/16/2012	22005	Federal Revenue Fund	145,305.89 Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	(145,305.89) Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	145,305.89 Re-establish spending authority for the unspent balance of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	7/12/2012	22005	Federal Revenue Fund	9,019,681.15 Replenish spending authority as of 6/30/12. Act 3 Sec 56 FY2011 BAA
Libraries	Department of Libraries	1130030000	5/23/2013	22005	Federal Revenue Fund	100,000.00 Federal Funds are received from the Institute of Museum and Library Services, and are based on our monthly LSTA expenditures. Will be used to pay Libraries Staff salary and benefits.
Libraries	Department of Libraries	1130030000	6/21/2013	22005	Federal Revenue Fund	50,000.00 Federal Funds are received from the Institute of Museum and Library Services, and are based on our monthly LSTA expenditures.
State Treasurer-Gov'tal	US Forest Sales to Towns	1260110000	2/14/2013	22005	Federal Revenue Fund	314,920.09 Federal Money
State Treasurer-Gov'tal	US Forest Sales to Towns	1260110000	4/16/2013	22005	Federal Revenue Fund	135,159.49 Remainder of wood cut/sales on federal land has been negotiated w/Feds to be paid to VT towns impacted by Tropical Storm Irene.
Public Safety	DPS-Emergency Management	2140030000	4/30/2013	22005	Federal Revenue Fund	1,102,000.00 Increase of federal funds due to the Hazard Mitigation Grant Program (HMGP) to buy out homes that were destroyed in Tropical Storm Irene.
Public Safety	DPS-Emergency Management	2140030000	6/14/2013	22005	Federal Revenue Fund	490,517.00 Increase of federal funds due to the Hazard Mitigation Grant Program to buy out homes that were destroyed in Tropical Storm Irene.
Public Safety	DPS-Fire Safety	2140040000	3/8/2013	22005	Federal Revenue Fund	296,865.00 The level of Federal receipts was not known at the time of budgeting. Funds to be used for personal services and operating expenses.
Public Safety	DPS-Administration	2140060000	6/14/2013	22005	Federal Revenue Fund	203,081.00 DPS is changing process by allocating fees previously charged to specific DPS divisions out of the Admin approp. ER is needed for this.
Military Department	Army - 100%	2150030000	5/30/2013	22005	Federal Revenue Fund	3,500,000.00 More federal dollars allotted to Vermont. Funds will be used for operating expenses.
Center of Crime Victims' Serv	Victims Compensation	2160010000	6/19/2013	22005	Federal Revenue Fund	75,826.00 To expend the balance of grants already awarded from federal awarded grants. VOCA 2012-VA-GX0039, STOP2011 WF-AX0039, RURAL 09WR-AX0005, & FVSPA1201VTFVPS.
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	10/15/2012	22005	Federal Revenue Fund	200,000.00 Grant that was approved by the JFC through the AA1 process last FY but were not spent. Requesting spending authority again in FY13.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	4/23/2013	22005	Federal Revenue Fund	200,000.00 Fund for the Meat Inspection Program that are 50/50 split with GF. Additional funds to covering costs associated with higher usage of staff for cross-utilization which has resulted in a needed increase in spending authority of federal funds.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
Agriculture, Food & Markets	Ag Development Division	2200030000	5/16/2013	22005	Federal Revenue Fund	161,586.00 Additional Specialty Crop Block Grant program fund are available to continue activities enhancing the competitiveness of specialty crops produced in Vermont.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	12/20/2012	22005	Federal Revenue Fund	358,575.00 Grant from NRCS to provide federal capital investments. Original JFO approval #2559 dated 4/18/12.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	22005	Federal Revenue Fund	1,124,022.00 Federal grant awards issued to sustain Competitive, Sustainable Energy, SEP Base, Pipeline, Gas Safety and SHOPP grants.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	6/14/2013	22005	Federal Revenue Fund	400,000.00 Projected year-end expenditures will earn excess federal receipts
Human Services Central Office	Global Commitment	3400004000	6/5/2013	22005	Federal Revenue Fund	17,157,000.00 Graduate Medical Education (GME) payments implemented via CMS-approved State Plan Amendment.
Human Services Central Office	Human Services Board	3400010000	5/8/2013	22005	Federal Revenue Fund	20,000.00 Unanticipated increased personal services expenditures (i.e., payout of accrued leave upon termination) will earn associated federal receipts.
Department of VT Health Access	DVHA-Medicaid-Nonwaiver Prog	3410018000	5/3/2013	22005	Federal Revenue Fund	1,500,000.00 Increase SCHIP (69.50% federal) and Refugee (100% federal) utilization, and a reduction in ACA rebate collections (also 100% federal)
Health	Administration	3420010000	6/7/2013	22005	Federal Revenue Fund	726,649.00 Grants awarded from CDC and HRSA
Health	Public Health Appropriation	3420021000	6/7/2013	22005	Federal Revenue Fund	1,033,152.00 Grants awarded from CDC and HRSA
Children and Family Services	DCFS Admin & Support Services	3440010000	5/28/2013	22005	Federal Revenue Fund	3,300,000.00 FY13 Federal earnings will exceed VISION spending authority. Spending authority needed to use federal grant earnings and balance DCF budget for FY13 closeout.
Children and Family Services	DCFS Admin & Support Services	3440010000	6/14/2013	22005	Federal Revenue Fund	750,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - Child Development	3440030000	6/14/2013	22005	Federal Revenue Fund	250,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - General Assistance	3440060000	6/14/2013	22005	Federal Revenue Fund	400,000.00 Federal receipts available to cover State employee payrolls and GA benefit for FY13 year end closeout.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	22005	Federal Revenue Fund	7,871,336.00 Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - OEO Ofc of Economic Opp	3440100000	12/31/2012	22005	Federal Revenue Fund	1,695,884.00 DCF recieved a FEMA grant aware in May 2012
Children and Family Services	DCFS - OEO Ofc of Economic Opp	3440100000	3/20/2013	22005	Federal Revenue Fund	500,292.00 Emergency Solutions Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Ofc of Economic Opp	3440100000	3/25/2013	22005	Federal Revenue Fund	296,266.00 Community Services Block Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Weatherization	3440110000	12/14/2012	22005	Federal Revenue Fund	447,003.00 CF from last 5 year award.
Aging and Independent Living	Administration & Support	3460010000	5/31/2013	22005	Federal Revenue Fund	1,800,000.00 Higher than planned earned federal receipts.
Aging and Independent Living	DBVI Grants	3460030000	4/10/2013	22005	Federal Revenue Fund	350,000.00 Higher than planned DBVI Section 110 receipts due to supplemental awards
Aging and Independent Living	Vocational Rehab Grants	3460040000	1/11/2013	22005	Federal Revenue Fund	3,400,000.00 VR received a reallocation of Section 110 funds on 8/23/12 of \$5.8 million.
Aging and Independent Living	Vocational Rehab Grants	3460040000	5/31/2013	22005	Federal Revenue Fund	400,000.00 VR received a reallocation of Section 110 funds that was not known at budget time. Funds to be used to continue to support Creative Workforce Solutions initiative across AHS, to support Youth Employment.
Forest, Parks & Recreation	Administration	6130010000	5/16/2013	22005	Federal Revenue Fund	500,000.00 Funds in 21440 will be used to make pass-through grant payments to VASA. Federal funds will be used to cover payments to subrecipients and internal projects related to the National Recreation Trails Program.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name		Comments
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	22005	Federal Revenue Fund	1,109,000.00	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Environmental Conservation	Air & Waste Management Approp	6140030000	6/11/2013	22005	Federal Revenue Fund	250,000.00	Salary dollars are higher than budgeted due to reinstatement of 3% steps and 2% COLA. The staff that are funded by certain grants in the Air and Waste appropriation can absorb the increased expenses, however we need additional spending authority.
Housing & Comm Development	Community Develop. Block Grant	7110030000	12/28/2012	22005	Federal Revenue Fund	15,577,200.00	US Dept of Housing & Urban Development Community Development Block Grant Disaster Recovery funding associated with the Approp Act 2012.
Public Safety	DPS-State Police	2140010000	8/23/2012	22040	ARRA Federal Fund	892,665.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Public Safety	DPS-Criminal Justice Services	2140020000	8/23/2012	22040	ARRA Federal Fund	787,069.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Public Safety	DPS-Homeland Security	2140070000	8/23/2012	22040	ARRA Federal Fund	39,897.00	ARRA - 2009 ARRA Justice Assistance Grant (formual) from the Dept of Justice
Public Safety	DPS-Homeland Security	2140070000	6/14/2013	22040	ARRA Federal Fund	3,400.00	ARRA - 2009 ARRA Port Security Grant and 2009 ARRA Rural Law Enforcement Grant.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	(6,991.78)	ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	6,991.78	ARRA - ARRA Revolving Loan Fund
Children and Family Services	DCFS - 3Squares VT Cashout	3440070000	3/20/2013	22040	ARRA Federal Fund	5,500,000.00	ARRA-SNAP ARRA was extended until 9/30/13. Orig end date was 9/30/11. ARRA Funds are available for 20.02% of Food Stamp Cash Out expenditures.
VT Department of Labor	VT Department of Labor	4100500000	11/16/2012	22040	ARRA Federal Fund	150,000.00	ARRA funded NEG OJT project.
Education	Education Services	5100070000	8/20/2012	22040	ARRA Federal Fund	190,870.54	ARRA
Education	Education Services	5100070000	9/14/2012	22040	ARRA Federal Fund	2,294,712.70	ARRA
Housing & Comm Development	Community Develop. Block Grant	7110030000	7/1/2012	22040	ARRA Federal Fund	13,000.00	ARRA - FY12 unexpended balances to be used for admin closeout costs.
Subtotal - Federal Funds, including "Regular" ARRA:						87,082,934.86	
Special Fund Excess Receipts:							
Buildings & Gen Serv-Capital	Health/Public Safety Lab	0705200030	2/14/2013	31100	General Gov Projects Fund	120,826.59	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No 052 Sec 3 Acts of 2007 and No 200 Sec 31(c) (d).
Buildings & Gen Serv-Capital	Building #617 - Essex	0820000030	2/14/2013	31100	General Gov Projects Fund	46,438.00	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No. 200 Sec 3 Acts of 2008.
Buildings & Gen Serv-Capital	BGS-Various Property Sales	0904300250	6/6/2013	21613	BGS-Sale of State Land	35,000.00	Sale of Building 617 in Essex
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	10/10/2012	21908	Misc Grants Fund	6,883,581.00	BGS has entered into a contract to build the new capital district heat plant in Montpelier. City of Montpelier will fund some of this project. BGS will pay the contractor and then bill the city for their portion.
Finance & Management	Dept of Finance and Management	1110000000	10/10/2012	21975	Armed Services Scholarship Fnd	18,338.00	Spending Authority is approved solely through excess receipts.
Finance & Management	Dept of Finance and Management	1110000000	1/3/2013	21975	Armed Services Scholarship Fnd	18,338.00	Spending Authority is approved solely through excess receipts.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	4/2/2013	21844	PERS-Recruitment Services	50,000.00	Billings to departments have exceeded original FY13 estimates due to increased volume of sales.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	6/14/2013	59600	Human Resource Services	140,000.00	Billings to departments from Internal Service Fund
Personnel-Proprietary	Employee Benefits & Wellness	1125000000	6/26/2013	55200	Dental Insurance Fund	39,433.00	Transfers from departments to fund the Dental benefit program.

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Agency/Dept Name	Appropriation Name	Appropriation DeptId	Date	Fund	Fund Name	Comments
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCD\Tourism & Marketing Broch	(215,000.00) Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCD\Tourism & Marketing Broch	215,000.00 Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Prop	BGS-Fleet Management	1160150000	6/14/2013	58200	Fleet Management	50,000.00 Billings to departments have exceeded expenses.
Buildings & Gen Serv-Prop	BGS-State Surplus Property	1160250000	5/24/2013	58500	State Surplus Property Fund	65,000.00 Billings to dept's have exceeded expenses. Funds will be used to pay admin costs for the State Surplus Property Program.
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	11/30/2012	58700	Property Management Fund	8,000,000.00 Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	11/30/2012	58800	Facilities Operations Fund	20,000,000.00 Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/8/2013	58800	Facilities Operations Fund	702,516.00 Funds to cover June projections of vendor invoices. Specifically to pay salaries & benefits for classified empl's who have provided add'l svcs for tenants and to pay for related op expenses directly involved with special projects.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/16/2013	58800	Facilities Operations Fund	11,332,071.46 Gov Shumlin introduced proposed transfer from Sec of Admin to BGS pursuant to 2012 Act 75 Sec 73 as amended by 2012 Act 162 Sec C.205 for state bldg projects due to damage at Waterbury Complex from Topical Storm Irene.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	4/25/2013	58800	Facilities Operations Fund	304,905.00 Funds to pay for Pay Act Salaries that were not budgeted in FY13, but which will be incurred.
Auditor of Accounts-Prop	Single Audit	1255010000	6/10/2013	59500	Single Audit Revolving Fund	150,800.82 The SARF is an ISF that bills other dept's for expenses related to the audits conducted by Auditor of Accounts.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	6/11/2013	21520	Treas Retirement Admin Cost	350,000.00 Previous years contributions not yet expended.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	10/5/2012	21924	Vermont Veterans Fund	49,106.00 Taxpayer donations through Tax Dept checkoff on tax returns.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	11/14/2012	21980	Indemnification Fund	1,216.00 Pursuant to V.S.A. Sec 279, VT Financial Access Fund
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	2/19/2013	21980	Indemnification Fund	16,413.50 Pursuant to 12 V.S.A. Chapter 10 Subchapter 279 Vermont Financial Access Program.
State Treasurer-Gov'tal	Bond Issuance Costs	1260120000	8/21/2012	32101	TIB Proceeds Fund	285,930.20 Sale of 2012 Transportation Infrastructure Bonds, bond issuance cost
State Labor Relations Board	State Labor Relations Board	1270000000	12/20/2012	21633	St Labor Relations Bd-Misc Rec	3,823.60 Additional receipts from hosting Labor Relations Conference beyond what was budgeted.
State Labor Relations Board	State Labor Relations Board	1270000000	5/29/2013	21633	St Labor Relations Bd-Misc Rec	1,914.10 Additional receipts from publication sales, transcripts, and training beyond what we budgeted.
Office of the Attorney General	Attorney General's Office	2100001000	3/13/2013	21908	Misc Grants Fund	15,000.00 Funds from Commonwealth of Mass/TJX Data Privacy Fund to be used continue the investigation of cyber-security breaches involving protected personal information of Vermont consumers.
Office of the Attorney General	Attorney General's Office	2100001000	6/18/2013	21908	Misc Grants Fund	1,500.00 Funds are available from a grant from the American Bar Assoc - Janet D. Steiger Fellowship Project to be used for a 2013 law student summer intern for the consumer protection program.
Office of the Attorney General	Court Diversion	2100002000	5/8/2013	21639	AG-Court Diversion	116,862.86 Fund are receipts from teh Court Diversion program client fees collected by Court Diversion organizations. Fee received are higher than budgeted due to elevated caseloads.
Judiciary	Judiciary Appropriation	2120000000	5/24/2013	21811	Attorney Admission,Licensing,&	100,000.00 Special fund that supports the Prof Responsibility Board, Board of Bar Examiners, and the Judicial Conduct Board. There is a balance in the fund needed in FY13 to pay for add'l IT database development related to the Board of Bar Examiners.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
State's Attorneys and Sheriffs	State's Attorneys	2130100000	11/20/2012	21891	State's Att & Sheriff-Misc	39,929.11 The Federal Office of Violence Against Women Rural & Community Defined Solutions Grant for Addison County will be funding the hours worked by the domestic & sexual violence prosecutor.
Public Safety	DPS-State Police	2140010000	5/24/2013	21584	Surplus Property	29,000.00 The amount of surplus property came in at a higher rate than expected.
Public Safety	DPS-State Police	2140010000	8/22/2012	21908	Misc Grants Fund	2,954.00 VSP received grant from Commonwealth of Massachusetts
Public Safety	DPS-State Police	2140010000	6/12/2013	21925	Restitution Special Fund	32,000.00 This is the Restitution Special Fund. These are available only when there is reimbursement for damage to VSP property. DPS received these funds in unexpected revenue in FY13.
Public Safety	DPS-Emergency Management	2140030000	5/24/2013	21555	Emergency Relief & Assist Fd	116,667.63 This funding will be used to pay invoices from FEMA.
Public Safety	DPS-Emergency Management	2140030000	3/19/2013	21870	Misc Special Revenue	90,000.00 FEMA disaster assistance money paid to State of Vermont based on the costs that Vermont incurred while assisting New Jersey in the aftermath of hurricane Sandy.
Public Safety	DPS-Fire Safety	2140040000	5/6/2013	21097	Elevator Safety Fund	17,200.00 This is a portion of the spending of the carry forward balance from FY12
Public Safety	DPS-Fire Safety	2140040000	5/16/2013	21125	Haz Chem & Subst Emerg Resp	264,000.00 To cover the spending of the carry forward balance from FY12
Public Safety	DPS-Fire Safety	2140040000	6/14/2013	21901	Fire Prev/Bldg Inspect Sp Fund	464,000.00 CF from PY
Center of Crime Victims' Serv	Victims Compensation	2160010000	8/2/2012	21926	Domestic & Sexual Violence	14,521.00 New Beginnings did not draw down their FY10 allocations from Act 174 which was passed in FY09
Center of Crime Victims' Serv	Victims Compensation	2160010000	5/31/2013	21926	Domestic & Sexual Violence	33,412.06 Funds to be used for additional cost of the DV Trainer at the Police Academy which was passed in FY2009
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	6/18/2013	21060	Vt Dairy Promotion Fund	171,796.53 These fund to be passed through to the Vermont Dairy Promotion Council to be used for promotional and operating expenses.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	6/18/2013	21673	AF&M-Weights & Measures-Testin	50,000.00 Increased revenue for Weights & Measures testing/licensure and penalties.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	9/14/2012	21889	Risk Manage Ag Producers	10,642.00 Grant from UVM & State Agricultural College - Subaward #25369
Agriculture, Food & Markets	Ag Development Division	2200030000	5/16/2013	21061	VDPC State Portion	150,000.00 Cash carry forward from FY 2011 & FY 2012.
Agriculture, Food & Markets	Ag Development Division	2200030000	8/23/2012	21493	VT Working Lands Enterprise	1,175,000.00 Act 162 Sec B 1106
Agriculture, Food & Markets	Ag Development Division	2200030000	3/7/2013	21666	AF&M-Agricultural Events	600.00 Program donations - See JFO memo dated March 4, 2013
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21666	AF&M-Agricultural Events	2,000.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13. Grn Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/15/13.
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21666	AF&M-Agricultural Events	5,470.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13. Grn Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/15/13.
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	11,000.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,601.54 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,200.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	21,725.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507

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Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	9/14/2012	21908	Misc Grants Fund	350,000.00 Grant from the Lake Champlain Basin Program to be used as matching funds for the water quality monitoring program to evaluate the effectiveness of agricultural best management practices for controlling runoff.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	1/18/2013	21908	Misc Grants Fund	45,000.00 Grant from Lake Champlain Basin Program to provide 100% of the cost to farmers of implementing conservation practices designed to reduce phosphorus pollution in Lake Champlain.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	2/28/2013	21908	Misc Grants Fund	(45,000.00) Reversal of ER00002584 dated 1/18/13. Should have been posted as 'JFO' not 'ER'.
Agriculture, Food & Markets	Loan Forgiveness Program	2200891206	1/9/2013	21992	Next Generation Initiative Fnd	30,000.00 FY12 Big Bill; H.441, Sec B 1100 (a)(2)(B)
Financial Regulation	Banking Division	2210001000	6/12/2013	21065	Financial Institut Supervision	200,000.00 These funds are part of the National Mortgage Foreclosure Settlement identified in Act 162 of FY2012 session, Sec. D.107.
Financial Regulation	Captive Insurance Division	2210020000	6/14/2013	63100	Vendor and Other Deposits Fund	349,372.00 Money received from captive insurance company as Letter of Credit in favor of the Commissioner.
Financial Regulation	Securities Division	2210031000	7/31/2012	21906	Financial Services Education	30,000.00 Settlement funds deposited into the special fund.
Public Service Department	Regulation & Energy Efficiency	2240000000	5/30/2013	21698	PSD-Regulation/Energy Efficien	833,950.00 PSD requesting additional spending authority to cover planned and approved carry forward expenditures.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	21699	PSD - Billback & EEU pass thru	1,864,000.00 Additional spending authority to cover expenditures for much higher than expected contractual and 3rd party services costs to the Entergy/Vermont Yankee case. The request amt is based on the last 4 months of Entergy vs Shumlin spending.
Public Service Department	Regulation & Energy Efficiency	2240000000	4/10/2013	21699	PSD - Billback & EEU pass thru	1,725,000.00 To cover expenditures for much higher than expected contractual and 3rd party service costs related to the Entergy/Vermont Yankee case. Add'l amt is based on forecasted amt of add'l legal costs for Entergy vs Shumlin during FY13.
Public Service Department	Regulation & Energy Efficiency	2240000000	9/4/2012	22041	ARRA-SEP-Revolving Loan	994,770.00 Revolving Loan ARRA fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/14/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	1/30/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/14/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Human Rights Commission	Human Rights Commission	2280001000	7/26/2012	21692	Human Rights Commission	15,000.00 Settlements of succesful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Human Rights Commission	Human Rights Commission	2280001000	5/29/2013	21692	Human Rights Commission	2,700.00 These funds are available due to settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years. Funds will be used to pay invoices for training conferences.
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/22/2012	21870	Misc Special Revenue	34,756.00 Income from Education sections online classroom, net of expenses for FY12
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/23/2012	21870	Misc Special Revenue	10,000.00 Grant from National Alcohol Beverage Control Assoc to be sued for designing, printing and distributing a teen information guide.
Liquor Control	Warehousing & Distribution	2300007000	6/11/2013	50300	Liquor Control Fund	83,000.00 Anticipate EFT receipts for last week of June to be approx \$500,000
Department of Mental Health	Mental Health	3150070000	1/11/2013	21525	Conference Fees & Donations	13,000.00 DMH holds conferences for which registration fees are charged.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name		Comments
Department of Mental Health	Mental Health	3150070000	6/12/2013	21525	Conference Fees & Donations	3,000.00	DMH receives funds for conferences and donations. Rec'd more conference fees than was appropriated.
Department of Mental Health	Mental Health	3150070000	6/12/2013	21870	Misc Special Revenue	6,670.00	The Duxbury secure residential facility is receiving a per diem for the Room and Board cost of the current resident.
Vermont Veterans' Home	VERMONT VETERANS' HOME	3300010000	8/2/2012	21782	Vermont Medicaid	450,000.00	Retroactive rate adjustment and timing of projects.
Green Mountain Care Board	Green Mountain Care Board	3330010000	7/26/2012	21908	Misc Grants Fund	167,654.00	Grant was provided to Green Mtn Care Board from the Robert Wood Johnson Foundation to be used for the purpose of implementing payment reforms to improve health care quality and lower costs in VT.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	67,500.00	\$6000 - CF from FY12 \$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	6,000.00	\$6000 - CF from FY12
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	40,000.00	\$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers CF of special funds from SFY12
Human Services Central Office	Global Commitment	3400004000	6/5/2013	21990	State Health Care Resources Fd	12,843,000.00	Graduate Medical Education (GME) payments implemented via CMS-approved State Plan Amendment.
Human Services Central Office	Develop Disabilities Council	3400009000	2/1/2013	21525	Conference Fees & Donations	1,000.00	Participants of the VT Leadership Series were asked to contribute money to help defray costs.
Human Services Central Office	Develop Disabilities Council	3400009000	9/20/2012	21870	Misc Special Revenue	2,000.00	Donation from University of Vermont Center on Disability and Community Inclusion to sponsor the 2012 VT Leadership Series. Funds were carried over from FY12.
Department of VT Health Access	DVHA-Medicaid Prog/Global Comm	3410015000	6/6/2013	20405	Global Commitment Fund	30,000,000.00	CMS recently approved graduate medical program (GME) with UVM providing match.
Health	Public Health Appropriation	3420021000	6/14/2013	21829	HE-Third Party Reimbursement	850,000.00	Charge to health insurer is charged a surcharge for the costs of the immunization pilot program.
Health	Public Health Appropriation	3420021000	9/4/2012	21897	Emergency Medical Services Fnd	150,000.00	Emergency Medical Services special fund established under 18 V.S.A. Section 908
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	(88,000.00)	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	88,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	11/6/2012	21912	Evidence-Based Educ & Advertis	88,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	10/15/2012	40200	Vermont Sanatorium Fund	15,000.00	Interest accrued on the VT Sanatorium Fund
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	(15,000.00)	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	11/6/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Children and Family Services	DCFS Admin & Support Services	3440010000	3/20/2013	21870	Misc Special Revenue	321,317.00	Green Mountain Power Energy Assistance/Utility Discount Program-Eligibility Contract-Contract period 11/30/12-6/30/14. Funds for 5 positions in ESD-LIHEAP.
Children and Family Services	DCFS Admin & Support Services	3440010000	5/22/2013	21965	Animal Spay/Neutering Fund	75,000.00	VSNIP expenditures are higher than expected, but carryforward receipts are available in this Special Fund. Program was acquired from VT Agency of Agriculture in SFY12 per Act 57 (S.0074). Receipts are Dog License Fees.
Children and Family Services	DCFS - Reach Up	3440080000	5/28/2013	21903	PATH-Misc Fund	2,700,000.00	Increase of \$2.3MM due to an increase in RU clients receiving EITC benefits in FY13. Increase of \$400K due to receipt increase from Office of Child Support for clients previously receiving RU benefits.

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Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	21210	Home Heating Fuel Asst Trust	8,800,000.00 Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - OEO Weatherization	3440110000	6/14/2013	21235	Home Weatherization Assist	250,000.00 Weatherization Trust Fund receipts were higher than expected.
Children and Family Services	DCFS - OEO Weatherization	3440110000	5/22/2013	21584	Surplus Property	4,410.00 DCF has surplus property receipts in 3440110000/21584, but no spending authority. Funds to be used in the Weatherization Program.
Aging and Independent Living	Administration & Support	3460010000	5/8/2013	21813	VR Fees	173,000.00 Higher than expected receipts from Employee Assistance Program due to new companies enrolled in the program.
Corrections	Correc-Correctional Services	3480004000	6/3/2013	21843	CORR-Supervision Fees	140,000.00 Collections exceeded spending authority for FY2013
Corrections	Correctional Fac - Rec Fund	3480005000	6/3/2013	21190	Correctional Facilities Rec Fd	60,000.00 Receipts from commissions on telephone services and commissary sales at correctional facilities. Funds to be used to promote the recreational opportunities of inmates.
VT Offender Work Program	Admin - VT Offender Work Prog	3675001000	6/3/2013	59100	Correctional Industries Fund	250,000.00 VOWP has experienced strong sales this year due to increased demand and a memo released last year by the Sec of Admin realte to print purchasing in VT state government.
VT Department of Labor	Workforce Development	4100891202	6/26/2013	21913	Workforce Ed & Training Fund	15,000.00 Funds to be used to reimburse AOA for their use of an economist about the net costs of gov contracts per WETF statute.
Education	Administration	5100010000	6/25/2013	20205	Education Fund	140,480.00 Education Fund. Single Audit Invoice.
Education	Administration	5100010000	5/24/2013	21240	Teacher Licensing Fund	43,000.00 Teacher Licensing Fund. Funds will be used for salaries and associated benefits.
Education	Education Services	5100070000	5/24/2013	21240	Teacher Licensing Fund	16,000.00 Teacher Licensing Fund. Funds will be used for salaries and associated benefits.
Fish & Wildlife	FW Support & Field Services	6120000000	6/7/2013	20325	F&W Federal Revenues Fund	675,000.00 Excess receipts are a result of Federal reimbursement from land acquisitions.
Fish & Wildlife	FW Support & Field Services	6120000000	4/2/2013	20390	Watershed Management Fund	71,000.00 These funds are designated in statute 10 V.S.A. 4050 from the receipt of conservation motor vehicle registration plate registration , gifts, grants, contributions, and interest.
Fish & Wildlife	FW Support & Field Services	6120000000	6/7/2013	40900	Lumberjack Fund	1,000.00 The dept maintains a balance in this fund for purchases related to the annual teachers course offered.
Forest, Parks & Recreation	Administration	6130010000	5/16/2013	21440	All Terrain Vehicles	50,000.00 Funds in 21440 will be used to make pass-through grant payments to VASA. Federal funds will be used to cover payments to subrecipients and internal projects related to the National Recreation Trails Program.
Forest, Parks & Recreation	Administration	6130010000	7/31/2012	21525	Conference Fees & Donations	30,000.00 Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Administration	6130010000	9/28/2012	21550	Lands and Facilities Trust Fd	50,000.00 Receipts from the Land & Facilities Trust Fund are available from unexpected project balances from PY's.
Forest, Parks & Recreation	Forestry	6130020000	6/18/2013	21475	Natural Resources Mgmnt	100,000.00 The receipts are available from 23 V.S.A. 3106 (gasoline tax)
Forest, Parks & Recreation	Forestry	6130020000	7/31/2012	21525	Conference Fees & Donations	5,000.00 Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation. Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Forestry	6130020000	5/16/2013	21525	Conference Fees & Donations	5,000.00 Receipts available from an Arbor Day donation from VT Transco LLC
Forest, Parks & Recreation	Parks	6130030000	6/18/2013	21270	State Forest Parks Fund	150,000.00 Funds will be used to cover personnel and operating expenses through year-end. In particular, costs for commercial insurance policy, equip repairs & maint, and firewood at the state parks are all higher than budgeted.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
Forest, Parks & Recreation	Parks	6130030000	6/25/2013	21270	State Forest Parks Fund	200,000.00 Revenues into the Parks special funds have been higher than anticipated. In addition, there is a balance in the fund from prior years.
Forest, Parks & Recreation	Parks	6130030000	6/18/2013	21584	Surplus Property	5,000.00 Funds will be used to cover personnel and operating expenses through year-end. In particular, costs for commercial insurance policy, equip repairs & maint, and firewood at the state parks are all higher than budgeted.
Forest, Parks & Recreation	Lands Administration	6130040000	8/3/2012	21778	FPR-Laura Burnham Estate	6,000.00 Funds are available for acquisition of state lands for natural areas.
Forest, Parks & Recreation	Vt Youth Conservation Corps	6130080000	7/31/2012	21779	FPR-Youth Conservation Corps	175,000.00 Youth Conservation Corps fund to cover payroll costs of corp members.
Environmental Conservation	Air & Waste Management Approp	6140030000	5/30/2013	21584	Surplus Property	29,900.00 Property was sold by surplus property and funds returned to DEC. Funds will be used to replace equipment in teh Air Pollution Division of the Facilities Engineering Division respectively.
Environmental Conservation	Water Programs Appropriaion	6140040000	5/30/2013	21584	Surplus Property	1,752.64 Property was sold by surplus property and funds returned to DEC. Funds will be used to replace equipment in teh Air Pollution Division of the Facilities Engineering Division respectively.
Housing & Comm Development	Housing & Community Affairs	7110010000	9/19/2012	21085	Captive Insurance Reg & Suprv	100,000.00 Captive tax revenue collected is in excess of projected budget spend.
Housing & Comm Development	Housing & Community Affairs	7110010000	8/22/2012	21330	Municipal & Regional Planning	22,455.21 Funds are available due to final closeout of grants with unused remaining grant balances, return of funds previously paid to municipalities.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/4/2012	21820	ACCD-Miscellaneous Receipts	2,000,000.00 Match from VHCB for Hazard Mitigation Grant Program property buyouts at a 25% level as a priority per the Admin.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/12/2012	21820	ACCD-Miscellaneous Receipts	30,000.00 Reimbursement of TIF analysis from two additional towns.
Housing & Comm Development	Downtown Transp. & Capital Imp	7110080000	8/22/2012	21575	Downtown Trans & Capital Impro	13,846.05 FY12 unexpended balances to be used for project and admin costs
Housing & Comm Development	STEM Incentive	7110891204	7/23/2012	21992	Next Generation Initiative Fnd	23,500.00 Carry forward from FY2012
Agency of Transportation	Aviation	810000200	5/23/2013	20140	Transportation FAA Fund	750,000.00 Funds are available from Aviation projects that had expenditures that were anticipated to occur in FY12 but have slipped to FY13.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	12/12/2012	20135	Transportation FHWA Fund	1,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	2/19/2013	20135	Transportation FHWA Fund	2,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Program Development	8100001100	9/6/2012	20160	Transportation Local Fund	2,000,000.00 Funds are from the NY local share of project - Alburgh-Rouses Point BHF MEMB(24)
Agency of Transportation	Program Development	8100001100	8/14/2012	20180	ARRA FHWA Fund	400,000.00 Funds are available from Program Development/Roadway - Brandon project #NH 019-3(495).
Agency of Transportation	Rest Areas	8100001700	11/16/2012	20105	Transp Fund - Nondedicated	202,375.28 These funds are available because of a transaction/correction which resulted in a credit to state funds (20105)
Agency of Transportation	Maintenance	8100002000	7/23/2012	20135	Transportation FHWA Fund	6,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	12/12/2012	20135	Transportation FHWA Fund	5,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Department of Motor Vehicles	8100002100	1/9/2013	20170	Transportation-NHTSA Fund	12,000.00 Funds are available from two grant agreements with the Dept of Public Safety.

FY 2013 Excess Receipts Report - Q4 Cumulative - Run 7-15-13						
VT_EXCESS_RECEIPT_RPT	293					
Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Comments
Agency of Transportation	Rail	8100002300	3/22/2013	20105	Transp Fund - Nondedicated	1,066,645.00 Funds to be used for Rail Program. Funds available due to transfers/corrections which resulted in a credit to Transportation funds (20105). Request pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	5/23/2013	20105	Transp Fund - Nondedicated	942,444.00 These funds are available because of transfers/corrections which resulted in a credit to Transportation funds (20105). Request is pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	6/18/2013	20105	Transp Fund - Nondedicated	497,153.00 These funds are available because of transfers/corrections which resulted in a credit to Transportation Funds. Request is pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	5/24/2013	20145	Transportation FTA Fund	51,814.00 Funds are available for the Charlotte-Burlington Commuter Rail Project. Final reconciliation of this project resulted in the need for FTA in FY13
Agency of Transportation	Rail	8100002300	7/23/2012	20155	Transportation-FRA Fund	35,000.00 Funds are available for the NY-VT Bi-State intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	10/10/2012	20155	Transportation-FRA Fund	385,000.00 Funds are available for three VT Railway Bridges - Leicester BR229, Middlebury BR233 and New Haven BR243
Agency of Transportation	Rail	8100002300	7/23/2012	20160	Transportation Local Fund	80,000.00 Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	4/2/2013	20183	ARRA FRA Fund	2,000,000.00 ARRA-Fund are available from an ARRA/NECR Grant.
Agency of Transportation	Rail	8100002300	2/28/2013	20191	TR Infrastructure Bond Fund	1,500,000.00 Transfers/Corrections which resulted in a credit to TIB funds (20191) to be used for RAIL Program. Request is pursuant to VISION Procedure #6/III - prior year refund of exp.
Agency of Transportation	Town Highway Bridge	8100002800	10/25/2012	20180	ARRA FHWA Fund	10,000.00 Funds are available from two Town Highway Bridge ARRA projects.
Agency of Transportation	Public Transit	8100005700	6/3/2013	20160	Transportation Local Fund	25,322.70 Funds are available for prior year final voucher recon to close out Public Transportation programs.
Agency of Transportation	Public Transit	8100005700	9/11/2012	20182	ARRA FTA Fund	15,737.78 Funds are available from an amended grant with Addison County Transit Resources and will be used towards the purchase of a bus.
AOT Proprietary Funds	Central Garage	8110000200	7/23/2012	57100	Highway Garage Fund	2,689,994.14 Funds are the unexpected balance in the equipment replacement account at the end of FY12. Funds will be used for equipment purchases per Title 19 Sec 13(c).
Buildings & Gen Serv-Capital	Duxbury/Moretown Land Sale	9610200000	2/19/2013	21600	BGS-Duxbury/Moretown	25,000.00 Replenish spending authority as of 6/30/12 for advertising, appraisals, recording fees, and environmental studies in order to sell property.
Subtotal - Special Funds						147,522,724.01
TOTAL:						254,662,977.02



State of Vermont
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Department of Finance & Management
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Jim Reardon, Commissioner

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management *JR*
DATE: June 19, 2013
RE: **REVISED** Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the **REVISED** report on Excess Receipts approved for the third quarter (cumulative) of FY 2013 (7/1/2012 through 3/30/13). The prior submittal inadvertently included items from the prior fiscal year.

Attachment

FY 2013 Excess Receipts Report - REVISED Q3 Cumulative - Run 6-19-13						
VT EXCESS RECEIPT RPT						
157						
Agency/Dept Name	Appropriation Name	Appropriation Detail	Date	Fund	Fund Name	Comments
Buildings & Gen Serv-Capital	Health/Public Safety Lab	0705200030	2/14/2013	31100	General Gov Projects Fund	120,826.59 Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No 052 Sec 3 Acts of 2007 and No 200 Sec 31(c) (d).
Buildings & Gen Serv-Capital	Building #617 - Essex	0820000030	2/14/2013	31100	General Gov Projects Fund	46,438.00 Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No. 200 Sec 3 Acts of 2008.
Buildings & Gen Serv-Capital	Ver's Home-HVAC Renovations	0820000200	7/16/2012	22005	Federal Revenue Fund	145,305.89 Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Ver's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	(145,305.89) Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Ver's Home-HVAC Renovations	0820000200	7/17/2012	22005	Federal Revenue Fund	145,305.89 Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/16/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	(246,644.99) Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	246,644.99 Re-establish spending authority for the unspent balance as of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100020000	7/12/2012	22005	Federal Revenue Fund	9,019,881.15 Replenish spending authority as of 6/30/12, Act 3 Sec 56 FY2011 BAA
Agency of Admin Sec Office	Secretary of Administration	1100020000	3/28/2013	21500	Inter-Unit Transfers Fund	388,083.13 Sec of Admin entered into an MOU with DVHA for a Health Care Exchange Grant. Funds to pay invoices from Mintz Layn for legal services related to the MOU.
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	10/10/2012	21908	Misc Grants Fund	8,883,581.00 BGS has entered into a contract to build the new capital district heat plant in Montpelier. City of Montpelier will fund some of this project. BGS will pay the contractor and then bill the city for their portion.
Finance & Management	Dept of Finance and Management	1110000000	10/10/2012	21975	Armed Services Scholarship Fnd	18,338.00 Spending Authority is approved solely through excess receipts.
Finance & Management	Dept of Finance and Management	1110000000	1/3/2013	21975	Armed Services Scholarship Fnd	18,338.00 Spending Authority is approved solely through excess receipts.
Libraries	Department of Libraries	1130030000	7/31/2012	21908	Misc Grants Fund	8,887.45 Grant was provided to Libraries from Google Inc that was to be used to purchase computer software for 100 computers also donated by Google Inc, the delivery of these computers and any other related costs.
Libraries	Department of Libraries	1130030000	9/7/2012	21883	Gates Foundation Grants	299,273.24 FY 11 remaining amount of Opportunity Online Broadband Grant from the Bill & Melinda Gates Foundation.
Libraries	Department of Libraries	1130030000	12/18/2012	21870	Misc Special Revenue	1,554.49 DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovernet Communications and E-Rate Elite Services, Inc. Reimb check from Sovernet received.
Libraries	Department of Libraries	1130030000	12/31/2012	21870	Misc Special Revenue	167.82 DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project. DOL is working with Sovernet Communications and E-Rate Elite Services, Inc. Reimb check from Sovernet received.
Libraries	Department of Libraries	1130030000	2/1/2013	21870	Misc Special Revenue	460.55 DOL is working with DII to create a new Wide Area Network to handle internet service for the 43 public libraries in the Vermont FiberConnect project.
Libraries	Department of Libraries	1130030000	2/26/2013	21870	Misc Special Revenue	1,334.00 OneClick Digital - Libraries negotiated a contract with vendor (Recorded Books) to make service available to Public Libraries.
Buildings & Gen Serv-Gov'tal	BGS- Recycling Efforts	1150060000	8/3/2012	21804	BGS-Recycling Efforts	68,000.00 Funds are collected from the disposition of recycling materials. Proceeds are deposited into the fund and can only be used for recycling efforts statewide.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	7/10/2012	21911	Sarcoidosis Benefit Trust Fund	312,275.28 Fund to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund appropriations.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	1/29/2013	21911	Sarcoidosis Benefit Trust Fund	80,000.00 Fund was established by the General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund approps.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	2/28/2013	21911	Sarcoidosis Benefit Trust Fund	20,000.00 Fund established by General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/16/2012	21822	ACCDITourism & Marketing Broch	215,000.00 Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDITourism & Marketing Broch	(215,000.00) Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDITourism & Marketing Broch	215,000.00 Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount charged is now part of the annual fee bill request.

FY 2013 Excess Receipts Report - REVISED Q3 Cumulative - Run 6-19-13						
VT EXCESS RECEIPT RPT						
Agency/Dept Name	Appropriation Name	Appropriation Dept#	Date	Fund#	Fund Name	Comments
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	8/3/2012	21803	Motorist Aid Refreshment Prog	90,000.00 Funds are paid by motorists at the Info Centers by donation for coffee. Funds are authorized for use to both offset the cost of the coffee as well as the costs associated with running the Info Centers.
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	11/20/2012	58700	Property Management Fund	8,000,000.00 Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1160550000	11/30/2012	58800	Facilities Operations Fund	20,000,000.00 Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	10/5/2012	21924	Vermont Veterans Fund	49,106.00 Taxpayer donations through Tax Dept checkoff on tax returns.
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	11/14/2012	21980	Indemnification Fund	1,216.00 Pursuant to V.S.A. Sec 279, VT Financial Access Fund
State Treasurer-Gov'tal	Office of the Treasurer	1260010000	2/19/2013	21980	Indemnification Fund	16,413.50 Pursuant to 12 V.S.A. Chapter 10 Subchapter 279 Vermont Financial Access Program.
State Treasurer-Gov'tal	US Forest Sales to Towns	1260110000	2/14/2013	22005	Federal Revenue Fund	314,920.09 Federal Money
State Treasurer-Gov'tal	Bond Issuance Costs	1260120000	8/21/2012	32101	TIB Proceeds Fund	285,930.20 Sale of 2012 Transportation Infrastructure Bonds, bond issuance cost
State Labor Relations Board	State Labor Relations Board	1270000000	12/20/2012	21633	St Labor Relations Bd-Misc Rec	3,823.60 Additional receipts from hosting Labor Relations Conference beyond what was budgeted.
Office of the Attorney General	Attorney General's Office	2100001030	2/21/2013	21500	Inter-Unit Transfers Fund	20,000.00 \$167,501.33 fund transfers from PSD for the Energy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	167,501.33 \$167,501.33 fund transfers from PSD for the Energy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	3/13/2013	21908	Misc Grants Fund	15,000.00 Funds from Commonwealth of Mass/TJX Data Privacy Fund to be used continue the investigation of cyber-security breaches involving protected personal information of Vermont consumers.
Judiciary	Judiciary Appropriation	2120000000	7/10/2012	21500	Inter-Unit Transfers Fund	9,050.00 Grant from the VCCVS to support GAL training.
Judiciary	Judiciary Appropriation	2120000000	12/19/2012	21500	Inter-Unit Transfers Fund	173,785.00 Grant from DPS, GHSP for a DUI Court Implementation pilot program.
State's Attorneys and Sheriffs	State's Attorneys	2130100000	11/20/2012	21891	State's Att & Sheriff-Misc	98,928.11 The Federal Office of Violence Against Women Rural & Community Defined Solutions Grant for Addison County will be funding the hours worked by the domestic & sexual violence prosecutor.
Public Safety	DPS-State Police	2140010000	8/22/2012	21908	Misc Grants Fund	2,954.00 VSP received grant from Commonwealth of Massachusetts
Public Safety	DPS-State Police	2140010000	8/23/2012	22040	ARRA Federal Fund	832,885.00 ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Criminal Justice Services	2140020000	8/23/2012	22040	ARRA Federal Fund	787,069.00 ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Emergency Management	2140030000	7/23/2012	21500	Inter-Unit Transfers Fund	1,470,000.00 Portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Emergency Management	2140030000	3/19/2013	21870	Misc Special Revenue	90,000.00 FEMA disaster assistance money paid to State of Vermont based on the costs that Vermont incurred while assisting New Jersey in the aftermath of hurricanes Sandy.
Public Safety	DPS-Fire Safety	2140040000	3/8/2013	22005	Federal Revenue Fund	298,865.00 The level of Federal receipts was not known at the time of budgeting. Funds to be used for personal services and operating expenses.
Public Safety	DPS-Homeland Security	2140070000	8/23/2012	22040	ARRA Federal Fund	99,897.00 ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Military Department	MIL Admin/TAGO	2150010000	2/27/2013	21500	Inter-Unit Transfers Fund	13,395.50 Reimbursement from May 2011 State Active Duty.
Center of Crime Victims' Serv	Victims Compensation	2160010000	7/10/2012	21500	Inter-Unit Transfers Fund	37,657.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant number: G1001VTCJA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	8/2/2012	21926	Domestic & Sexual Violence	14,521.00 New Beginnings did not draw down their FY10 allocations from Act 174 which was passed in FY09
Center of Crime Victims' Serv	Victims Compensation	2160010000	12/26/2012	21500	Inter-Unit Transfers Fund	74,000.00 MOU between DCF and VCCVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCFAI
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	10/15/2012	22005	Federal Revenue Fund	200,000.00 Grant that was approved by the JFC through the AA1 process last FY but were not spent. Requesting spending authority again in FY13.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	9/14/2012	21889	Risk Manage Ag Producers	10,642.00 Grant from UVM & State Agricultural College - Subaward #25369
Agriculture, Food & Markets	Ag Development Division	2200030000	8/22/2012	21500	Inter-Unit Transfers Fund	110,000.00 MOU with VDH #03420-5920
Agriculture, Food & Markets	Ag Development Division	2200030000	8/23/2012	21493	VT Working Lands Enterprise	1,175,000.00 Act 162 Sec B 1108
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	11,000.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,601.54 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507

FY 2013 Excess Receipts Report - REVISED 03-Cumulative - Run 6-19-13						
Agency/Dept Name	Appropriation Name	Appropriation DeptId	Date	Fund	Fund Name	Comments
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,200.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	21,725.00 FSMIP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	3/7/2013	21866	AF&M-Agricultural Events	600.00 Program donations - See JFO memo dated March 4, 2013
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21866	AF&M-Agricultural Events	2,000.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13, Gm Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/15/13.
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21866	AF&M-Agricultural Events	5,470.00 Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance; see JFO memo dated 3/15/13, Gm Mtn Dairy Discussion Grp - Donations from Milk Specialties & UVM Ext; See JFO memo dated 3/15/13.
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21500	Inter-Unit Transfers Fund	112,825.00 Clean Energy Development Fund dollars transferred to Agriculture from Public Service per 10 VSA 6523(a)(D)(2), from calendar year 2007 through calendar year 2011.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	9/14/2012	21908	Misc Grants Fund	350,000.00 Grant from the Lake Champlain Basin Program to be used as matching funds for the water quality monitoring program to evaluate the effectiveness of agricultural best management practices for controlling runoff.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	11/27/2012	21500	Inter-Unit Transfers Fund	16,500.00 MOU w/ DEC
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	12/20/2012	22005	Federal Revenue Fund	358,575.00 Grant from NRCS to provide federal capital investments. Original JFO approval #2559 dated 4/18/12.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	1/18/2013	21908	Misc Grants Fund	45,000.00 Grant from Lake Champlain Basin Program to provide 100% of the cost to farmers of implementing conservation practices designed to reduce phosphorus pollution in Lake Champlain.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	2/28/2013	21908	Misc Grants Fund	(45,000.00) Reversal of ER00002584 dated 1/18/13. Should have been posted as 'JFO' not 'ER'.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	32,508.00 MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	20,000.00 MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	20,000.00 above original budgeted amount.
Financial Regulation	Insurance Division	2210011000	7/18/2012	21500	Inter-Unit Transfers Fund	187,972.00 FY12 Big Bill; H.441, Sec B 1100 (e)(2)(B). From DVHA for Affordable Care Acts Exchange grant work.
Financial Regulation	Securities Division	2210031000	7/31/2012	21908	Financial Services Education	30,000.00 Settlement funds deposited into the special fund.
Financial Regulation	Health Care Admin, Division	2210040000	7/18/2012	21500	Inter-Unit Transfers Fund	270,000.00 From DVHA for Affordable Care Acts Exchange grant work.
Public Service Department	Regulation & Energy Efficiency	2240000000	9/4/2012	22041	ARRA-SEP-Revolving Loan	994,770.00 Revolving Loan ARRA fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	(6,991.78) ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/14/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	22005	Federal Revenue Fund	1,124,022.00 Federal grant awards issued to sustain Competitive, Sustainable Energy, SEP Base, Pipeline, Gas Safety and SHOPP grants.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	21699	PSD - Billback & EEU pass thru	1,864,000.00 Additional spending authority to cover expenditures for much higher than expected contractual and 3rd party services costs to the Energy/Vermont Yankee case. The request am: is based on the last 4 months of Energy vs Shumlin spending.
Public Service Department	Consumer Affairs & Public Info	2240010000	1/30/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	6,991.78 ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/13/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010000	2/14/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78) ARRA - ARRA Revolving Loan Fund
Human Rights Commission	Human Rights Commission	2280001000	7/26/2012	21692	Human Rights Commission	15,000.00 Settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Liquor Control	DLC - Enforcement & Licensing	2300020000	7/10/2012	21500	Inter-Unit Transfers Fund	7,000.00 Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300020000	7/10/2012	21500	Inter-Unit Transfers Fund	3,504.96 Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300020000	7/31/2012	21500	Inter-Unit Transfers Fund	6,000.00 Amendment #4 is increasing the amount of grant by another 6000. Total grant is now 24,000. Request to spend total anticipated excess receipts in FY13 is now 11,504.96
Liquor Control	DLC - Enforcement & Licensing	2300020000	8/22/2012	21870	Misc Special Revenue	34,756.00 Income from Education sections online classroom, net of expenses for FY12

FY 2013 Excess Receipts Report - REVISED Q3 Cumulative - Run 6-19-13						
VT_EXCESS_RECEIPT_RPT 167						
Agency/Dept Name	Appropriation Name	Appropriation Daptd	Date	Fund	Fund Name	Comments
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/23/2012	21870	Misc Special Revenue	10,000.00 Grant from National Alcohol Beverage Control Assoc to be sued for designing, printing and distributing a teen information guide.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	5,275.19 Transfer of funds was more than budgeted for this program; negotiations for the additional transfer occurred too late in FY12 to plan any more START details.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/26/2012	21500	Inter-Unit Transfers Fund	29,725.00 MOU between VDH and LC for annual START grant.
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	12,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00 New agreement with DPS for FY11 Homeland Security Grant Program
Department of Mental Health	Mental Health	3150070000	1/11/2013	21525	Conference Fees & Donations	13,000.00 DMH holds conferences for which registration fees are charged.
Vermont Veterans' Home	VERMONT VETERANS' HOME	3300010000	8/2/2012	21782	Vermont Medicaid	450,000.00 Retroactive rate adjustment and timing of projects.
Green Mountain Care Board	Green Mountain Care Board	3330010000	7/29/2012	21908	Misc Grants Fund	167,654.00 Grant was provided to Green Mtn Care Board from the Robert Wood Johnson Foundation to be sued for the purpose of implementing payment reforms to improve health care quality and lower costs in VT.
Green Mountain Care Board	Green Mountain Care Board	3330010000	11/2/2012	21500	Inter-Unit Transfers Fund	524,897.12 Funds were transferred to GMCBC from the Dept of Financial Regulation in FY12 for contracts. Contract funds were not spent in FY12.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	67,500.00 \$6000 - CF from FY12 \$67,500 - Anticipated receipts of contributions from nonprofits that host Vists volunteers
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	6,000.00 \$6000 - CF from FY12
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	40,000.00 \$67,500 - Anticipated receipts of contributions from nonprofits that host Vists volunteers
Human Services Central Office	Rate Setting	3400008000	9/23/2012	21500	Inter-Unit Transfers Fund	712.00 CF of special funds from SFY12
Human Services Central Office	Develop Disabilities Council	3400009000	9/20/2012	21870	Misc Special Revenue	2,000.00 FEMA funds for Irene related expenses.
Human Services Central Office	Develop Disabilities Council	3400009000	2/1/2013	21525	Conference Fees & Donations	1,000.00 Donation from University of Vermont Center on Disability and Community Inclusion to sponsor the 2012 VT Leadership Series. Funds were carried over from FY12.
Health	Public Health Appropriation	3420021000	9/4/2012	21897	Emergency Medical Services Fnd	150,000.00 Participants of the VT Leadership Series were asked to contribute money to help defray costs.
Health	Public Health Appropriation	3420021000	10/15/2012	40200	Vermont Sanatorium Fund	15,000.00 Emergency Medical Services special fund established under 18 V.S.A. Section 908
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Adverts	(88,000.00) Interest accrued on the VT Sanatorium Fund
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Adverts	88,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Public Health Appropriation	3420021000	11/6/2012	21912	Evidence-Based Educ & Adverts	88,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/19/2012	21912	Evidence-Based Educ & Adverts	(15,000.00) Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	10/18/2012	21912	Evidence-Based Educ & Adverts	15,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420060000	11/6/2012	21912	Evidence-Based Educ & Adverts	15,000.00 Special Fund 21912 Yearly receipts consistently exceed costs.
Children and Family Services	DCFS Admin & Support Services	3440010000	3/20/2013	21870	Misc Special Revenue	321,317.00 Green Mountain Power Energy Assistance/Utility Discount Program-Eligibility Contract- Contract period 11/30/12-9/30/14. Funds for 5 positions in ESD-LIHEAP.
Children and Family Services	DCFS - 3Squares VT Cashout	3440070000	9/20/2013	22040	ARRA Federal Fund	5,500,000.00 ARRA-SNAP ARRA was extended until 9/30/13. Orig end date was 9/30/11. ARRA Funds are available for 20.02% of Food Stamp Cash Out expenditures.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	21210	Home Heating Fuel Asst Trust	8,600,000.00 Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	22005	Federal Revenue Fund	7,871,236.00 Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	12/31/2012	22005	Federal Revenue Fund	1,695,864.00 DCF received a FEMA grant aware in May 2012
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	3/20/2013	22005	Federal Revenue Fund	500,292.00 Emergency Solutions Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Of Economic Opp	3440100000	3/25/2013	22005	Federal Revenue Fund	296,266.00 Community Services Block Grant award higher than anticipated.
Children and Family Services	DCFS - OEO Weatherization	3440110000	12/14/2012	22005	Federal Revenue Fund	447,003.00 CF from last 5 year award.
Aging and Independent Living	Vocational Rehab Grants	3460040000	1/11/2013	22005	Federal Revenue Fund	3,400,000.00 VR received a reallocation of Section 110 funds on 8/23/12 of \$5.8 million.
Corrections	Corrections - Education	3480003000	3/8/2013	21500	Inter-Unit Transfers Fund	365,000.00 Funds from DOE through Federal Title I program. Increase in funds from DOL for Harley Davidson program. Excess receipts also needed to access approved CF balance from FY12.
VT Department of Labor	VT Department of Labor	4100500000	11/16/2012	22040	ARRA Federal Fund	150,000.00 ARRA funded NEG OJT project.
Education	Education Services	5100070000	8/20/2012	22040	ARRA Federal Fund	190,870.54 ARRA
Education	Education Services	5100070000	8/14/2012	22040	ARRA Federal Fund	2,294,712.70 ARRA
Education	Education Jobs Fund	5100891101	7/31/2012	21500	Inter-Unit Transfers Fund	8,621,561.06 Education Jobs Fund

FY 2013 Excess Receipts Report - REVISED Q3 Cumulative - Run 6-19-13						
VT EXCESS RECEIPT RPT						
167						
Agency/Dept Name	Appropriation Name	Appropriation Detail	Date	Fund	Fund Name	Comments
Fish & Wildlife	PW Support & Field Services	6120000000	2/27/2013	21500	Inter-Unit Transfers Fund	500,000.00 Receipts for reimbursement from FEMA through AOT related to Hurricane IRENE expenses.
Forest, Parks & Recreation	Administration	6130010000	7/23/2012	21500	Inter-Unit Transfers Fund	850,500.00 VTrans - Spring flood event & Tropical Storm Irene, F&W to cover work on approved projects on DPW owned lands, Reimbursement for work done on the Natural Resources Mapping Project from ANR Central Office.
Forest, Parks & Recreation	Administration	6130010000	7/31/2012	21525	Conference Fees & Donations	30,000.00 Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation, Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Administration	6130010000	9/28/2012	21550	Lands and Facilities Trust Fd	50,000.00 Receipts from the Land & Facilities Trust Fund are available from unexpected project balances from FY's.
Forest, Parks & Recreation	Forestry	6130020000	7/31/2012	21625	Conference Fees & Donations	5,000.00 Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation, Forestry Approp - Urban & Community Forestry workshop fees and misc grant & donations, including Arbor Day donations.
Forest, Parks & Recreation	Parks	6130030000	8/29/2012	21500	Inter-Unit Transfers Fund	130,350.00 MOU with DEC for the management of and contracting for the removal of significant volumes of hazardous materials & for the cleanup of buildings and site debris located on Law Island, Lake Champlain Colchester.
Forest, Parks & Recreation	Lands Administration	6130040000	8/3/2012	21778	FPR-Laura Burnham Estate	6,000.00 Funds are available for acquisition of state lands for natural areas.
Forest, Parks & Recreation	Lands Administration	6130040000	9/19/2012	21500	Inter-Unit Transfers Fund	50,000.00 The receipts in the interdepartmental transfer fund are available because of an MOU with DEC to support the acquisition of 28 acres adjacent to the Green River Reservoir State Park.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	22005	Federal Revenue Fund	1,109,000.00 \$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	21500	Inter-Unit Transfers Fund	63,000.00 \$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Vt Youth Conservation Corps	6130080000	7/31/2012	21779	FPR-Youth Conservation Corps	175,000.00 Youth Conservation Corps fund to cover payroll costs of corp members.
Commerce & Community Dev Admin	Administration Division	7100000000	1/11/2013	21500	Inter-Unit Transfers Fund	70,000.00 AIC grant via Agency of Agriculture for Made in VT Program was formalized in August 2012.
Housing & Comm Development	Housing & Community Affairs	7110010000	8/22/2012	21330	Municipal & Regional Planning	22,455.21 Funds are available due to final closeout of grants with unused remaining grant balances, return of funds previously paid to municipalities.
Housing & Comm Development	Housing & Community Affairs	7110010000	9/19/2012	21085	Captive Insurance Reg & Suprv	100,000.00 Captive tax revenue collected is in excess of projected budget spend.
Housing & Comm Development	Housing & Community Affairs	7110010000	10/24/2012	21500	Inter-Unit Transfers Fund	30,000.00 AOT Funds transferred to DHP for archeology center move and for costs associated with DHP taking over the Archeology Month events.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/4/2012	21820	ACCD-Miscellaneous Receipts	2,000,000.00 Match from VHCS for Hazard Mitigation Grant Program property buyouts at a 25% level as a priority per the Admin.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/12/2012	21820	ACCD-Miscellaneous Receipts	30,000.00 Reimbursement of TIF analysis from two additional towns.
Housing & Comm Development	Community Develop. Block Grant	7110030000	7/1/2012	22040	ARRA Federal Fund	13,000.00 ARRA - FY12 unexpended balances to be used for admin closeout costs.
Housing & Comm Development	Community Develop. Block Grant	7110030000	12/28/2012	22005	Federal Revenue Fund	15,577,200.00 US Dept of Housing & Urban Development Community Development Block Grant Disaster Recovery funding associated with the Approp Act 2012.
Housing & Comm Development	Downtown Transp. & Capital Imp	7110090000	8/22/2012	21575	Downtown Trans & Capital Impro	13,846.05 FY12 unexpended balances to be used for project and admin costs.
Housing & Comm Development	STEM Incentive	7110891204	7/23/2012	21982	Next Generation Initiative Fnd	23,500.00 Carry forward from FY2012
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	11/14/2012	21500	Inter-Unit Transfers Fund	75,000.00 Cooperative Marketing opportunity with Agriculture
Agency of Transportation	TH State Aid Federal Disasters	8100001000	12/12/2012	20135	Transportation FHWA Fund	1,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	2/19/2013	20135	Transportation FHWA Fund	2,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Program Development	8100001100	8/14/2012	20180	ARRA FHWA Fund	400,000.00 Funds are available from Program Development/Roadway - Brandon project #NH 019-3(495).
Agency of Transportation	Program Development	8100001100	9/6/2012	20180	Transportation Local Fund	2,000,000.00 Funds are from the NY local share of project - Alburgh-Rouses Point BHF MEMB(24)
Agency of Transportation	Rest Areas	8100001700	11/16/2012	20105	Transp-Fund - Nondedicated	202,375.28 These funds are available because of a transaction/correction which resulted in a credit to state funds (20105).

FY 2013 Excess Receipts Report - REVISED Q3 Cumulative - Run 6-19-13						
VT EXCESS RECEIPT RPT 167						
Agency/Dept Name	Appropriation Name	Appropriation Dept	Date	Fund	Fund Name	Comments
Agency of Transportation	Maintenance	8100002000	7/23/2012	20135	Transportation FHWA Fund	6,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	12/12/2012	20135	Transportation FHWA Fund	5,000,000.00 Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Department of Motor Vehicles	8100002100	1/8/2013	20170	Transportation-NHTSA Fund	12,000.00 Funds are available from two grant agreements with the Dept of Public Safety.
Agency of Transportation	Rail	8100002300	7/23/2012	20155	Transportation-FRA Fund	35,000.00 Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	7/23/2012	20160	Transportation Local Fund	80,000.00 Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	10/10/2012	20155	Transportation-FRA Fund	385,000.00 Funds are available for three VT Railway Bridges - Leicester BR228, Middlebury BR233 and New Haven BR243
Agency of Transportation	Rail	8100002300	2/28/2013	20191	TR Infrastructure Bond Fund	1,500,000.00 Transfers/Corrections which resulted in a credit to TIB funds (20191) to be used for RAIL Program. Request pursuant to VISION Procedure #6/11 - prior year refund of exp.
Agency of Transportation	Rail	8100002300	3/22/2013	20105	Transp Fund - Nondedicated	1,066,645.00 Funds to be used for Rail Program. Funds available due to transfers/corrections which resulted in a credit to Transportation funds (20105). Request pursuant to VISION Procedure #6/11 - prior year refund of expenditure.
Agency of Transportation	Town Highway Bridge	8100002600	10/25/2012	20160	ARRA FHWA Fund	10,000.00 Funds are available from two Town Highway Bridge ARRA projects.
Agency of Transportation	Public Transit	8100005700	9/11/2012	20182	ARRA FTA Fund	15,737.78 Funds are available from an amended grant with Addison County Transit Resources and will be used towards the purchase of a bus.
AOT Proprietary Funds	Central Garage	8110000200	7/23/2012	57100	Highway Garage Fund	2,689,994.14 Funds are the unexpected balance in the equipment replacement account at the end of FY12. Funds will be used for equipment purchases per Title 19 Sec 13(c).
Buildings & Gen Serv-Capital	Duxbury/Moretown Land Sale	9610200000	2/19/2013	21600	BGS-Duxbury/Moretown	25,000.00 Replenish spending authority as of 6/30/12 for advertising, appraisals, recording fees, and environmental studies in order to sell property.



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Jim Reardon, Commissioner

MEMORANDUM

TO: Joint Fiscal Committee
FROM: Jim Reardon, Commissioner of Finance & Management
DATE: June 12, 2013
RE: Excess Receipts Report – 32 VSA Sec 511

In accordance with 32 VSA Sec 511, please find attached the report on Excess Receipts approved for the third quarter (cumulative) of FY 2013 (7/1/2012 through 3/30/13).

Attachment

FY 2013 Excess Receipts Report - Q3 Cumulative - Run 6-11-2013

Agency/Dept Name	Appropriation Name	Appropriation Dept#	Date	Fund	Fund Name	Amount	Comments
VT_EXCESS_RECEIPT_RPT	345						
Buildings & Gen Serv-Capital	Health/Public Safety Lab	0705200030	2/14/2013	31100	General Gov Projects Fund	120,826.59	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No 052 Sec 3 Acts of 2007 and No 200 Sec 31(c) (d).
Buildings & Gen Serv-Capital	Building #617 - Essex	0620000030	2/14/2013	31100	General Gov Projects Fund	46,438.00	Receipts available from transfer that was done for prior year expenditures for the Public Safety building renovation. Original approp No. 200 Sec 3 Acts of 2008.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0620300200	7/17/2012	22005	Federal Revenue Fund	(145,305.89)	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0620300200	7/17/2012	22005	Federal Revenue Fund	145,305.89	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Vet's Home-HVAC Renovations	0620300200	7/16/2012	22005	Federal Revenue Fund	145,305.89	Re-establish spending authority for the unspent balance of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	(246,644.99)	Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/17/2012	21500	Inter-Unit Transfers Fund	246,644.99	Re-establish spending authority for the unspent balance as of June 30, 2012.
Buildings & Gen Serv-Capital	Public Safety-Various Projects	0904300110	7/18/2012	21500	Inter-Unit Transfers Fund	246,644.99	Re-establish spending authority for the unspent balance as of June 30, 2012.
Agency of Admin Sec Office	Secretary of Administration	1100010000	6/22/2012	21020	Lw-Inv Radioactive Waste Cmpct	12,500,000.00	Assessment to generators
Agency of Admin Sec Office	Secretary of Administration	1100020000	7/12/2012	22005	Federal Revenue Fund	9,019,681.15	Replenish spending authority as of 8/30/12. Act 3 Sec 56 FY2011 BAA
Agency of Admin Sec Office	Secretary of Administration	1100020000	3/28/2013	21500	Inter-Unit Transfers Fund	388,093.13	Soc. of Admin entered into an MOU with DVHA for a Health Care Exchange Grant. Funds to pay invoices from Mintz Levin for legal services related to the MOU.
Buildings & Gen Serv-Capital	BGS - Various Projects	1104000022	10/10/2012	21908	Misc Grants Fund	6,883,581.00	BGS has entered into a contract to build the new capital district heat plant in Montpelier. City of Montpelier will fund some of this project. BGS will pay the contractor and then bill the city for their portion.
Finance & Management	Dept of Finance and Management	1110090000	1/30/2013	21875	Armed Services Scholarship Fnd	18,338.00	Spending Authority is approved solely through excess receipts.
Finance & Management	Dept of Finance and Management	1110090000	10/10/2012	21875	Armed Services Scholarship Fnd	18,338.00	Spending Authority is approved solely through excess receipts.
Finance & Management	Budget Development System	1110003500	1/13/2012	21065	FMS System Development Fund	3,150,000.00	Act 3 of 2011 Section B,1103(a) - Budget Development System
Finance & Management	Vt Council on the Arts	1110013000	8/14/2012	21445	Art Acquisition Fund	27,500.00	Acquisition of Art in State Buildings special fund for VT Arts Council to acquire art on behalf of the state.
Personnel-Governmental	PERSONNEL OPERATIONS	1120010000	6/15/2012	21500	Inter-Unit Transfers Fund	50,000.00	More positions for the project were created during FY12, increasing the billing to the project.
Personnel-Proprietary	Employee Benefits & Wellness	1125000000	6/15/2012	55300	Life Insurance Fund	2,000.00	Internal Service Funds - Revenues have exceeded our expenditures
Libraries	Department of Libraries	1130030000	7/31/2012	21906	Misc Grants Fund	9,687.45	Grant was provided to Libraries from Google Inc that was to be used to purchase computer software for 100 computers, also donated by Google Inc, the delivery of these computers and any other related costs.
Libraries	Department of Libraries	1130030000	2/28/2013	21870	Misc Special Revenue	1,334.00	OneClick Digital - Libraries negotiated a contract with vendor (Recorded Books) to make service available to Public Libraries.
Libraries	Department of Libraries	1130030000	2/11/2013	21870	Misc Special Revenue	460.55	DOL is working with DII to create a new Wide Area Network to handle internet service for the 43 public libraries in the Vermont FiberConnect project.
Libraries	Department of Libraries	1130030000	12/31/2012	21870	Misc Special Revenue	187.82	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project, DOL is working with Sovemet Communications and E-Rate Elite Services, Inc. Reimb check from Sovemet received.
Libraries	Department of Libraries	1130030000	12/18/2012	21870	Misc Special Revenue	1,854.49	DOL is working with DII to create a WAN to handle internet service for 43 public libraries in the Vermont FiberConnect project, DOL is working with Sovemet Communications and E-Rate Elite Services, Inc. Reimb check from Sovemet received.
Libraries	Department of Libraries	1130030000	9/7/2012	21893	Gates Foundation Grants	299,273.24	FY 11 remaining amount of Opportunity Online Broadband Grant from the Bill & Melinda Gates Foundation.
Tax	Tax Operation Costs	1140010000	6/14/2012	21500	Inter-Unit Transfers Fund	285,000.00	Local Option receipts collected were higher than anticipated. Tax Offset fees were not anticipated for FY12.
Tax	Tax Operation Costs	1140010000	6/14/2012	21591	Tax-Local Option Process Fees	85,000.00	Local Option receipts collected were higher than anticipated. Tax Offset fees were not anticipated for FY12.
Buildings & Gen Serv-Gov'tal	BGS-Recycling Efforts	1150060000	8/3/2012	21604	BGS-Recycling Efforts	86,000.00	Funds are collected from the disposition of recycling materials. Proceeds are deposited into the fund and can only be used for recycling efforts statewide.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	2/20/2013	21911	Sarcoidosis Benefit Trust Fund	20,000.00	Fund established by General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	1/29/2013	21911	Sarcoidosis Benefit Trust Fund	80,000.00	Fund was established by the General Assembly to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund approps.
Buildings & Gen Serv-Gov'tal	BGS-Sarcoidosis Claims	1150110000	7/10/2012	21911	Sarcoidosis Benefit Trust Fund	312,275.28	Fund to handle special payments to claimants of Sarcoidosis attributed to the Bennington State Office Building. Funding will be from future general fund appropriations.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	8/3/2012	21603	Motorist Aid Refreshment Prog	90,000.00	Funds are paid by motorists at the Info Centers by donation for coffee. Funds are authorized for use to both offset the cost of the coffee as well as the costs associated with running the Info Centers.

FY 2013 Excess Receipts Report - Q3 Cumulative - Run 6-11-2013

Agency/Dept Name	Appropriation Name	Appropriation Depld	Date	Fund	Fund Name	Amount	Comments
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDTourism & Marketing Broch	215,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount changed is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/17/2012	21822	ACCDTourism & Marketing Broch	215,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount changed is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	7/16/2012	21822	ACCDTourism & Marketing Broch	215,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount changed is now part of the annual fee bill request.
Buildings & Gen Serv-Gov'tal	BGS-Information Centers	1150400000	5/11/2012	21822	ACCDTourism & Marketing Broch	45,000.00	Vendors pay BGS to store & display their business brochures at the State Info Centers. The amount changed is now part of the annual Fee bill request.
Buildings & Gen Serv-Prop	BGS-State Surplus Property	1160250000	6/14/2012	58500	State Surplus Property Fund	25,000.00	Internal Service Funds - Revenues have exceeded our expenditures
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	11/30/2012	58700	Property Management Fund	8,000,000.00	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Property Management	1160300000	5/10/2012	58700	Property Management Fund	1,028,225.00	FY11 Journal for Bond Principal & Interest for the Property Mgt Bids being purchased through the Treasurer's Office was misused. It is now being processed in FY12 along with the FY12 amount.
Buildings & Gen Serv-Prop	BGS-Insurance-Workers' Comp	1180450000	6/14/2012	58100	Workers' Compensation Fund	50,000.00	Internal Service Funds - Revenues have exceeded our expenditures
Buildings & Gen Serv-Prop	BGS-Fee For Space	1180550000	11/30/2012	58800	Facilities Operations Fund	20,000,000.00	Insurance/FEMA reimb for Tropical Storm Irene is expected to cover the stabilization costs of the Aug 28-29 storm destruction to state facilities.
Buildings & Gen Serv-Prop	BGS-Fee For Space	1180550000	8/19/2012	58800	Facilities Operations Fund	3,000,000.00	Projections for June activity for FFS customer agreements
State Treasurer-Gov'tal	Office of the Treasurer	1280010000	8/15/2012	21520	Treas Retirement Admin Cost	250,000.00	Previous years contributions not yet expended.
State Treasurer-Gov'tal	Office of the Treasurer	1280010000	2/19/2013	21980	Indemnification Fund	16,413.50	Persuant to 12 V.S.A. Chapter 10 Subchapter 279 Vermont Financial Access Program.
State Treasurer-Gov'tal	Office of the Treasurer	1280010000	11/14/2012	21980	Indemnification Fund	1,216.00	Persuant to V.S.A. Sec 279, VT Financial Access Fund
State Treasurer-Gov'tal	Office of the Treasurer	1280010000	10/5/2012	21824	Vermont Veterans Fund	49,106.00	Taxpayer donations through Tax Dept checkoff on tax returns.
State Treasurer-Gov'tal	Office of the Treasurer	1280010000	1/18/2012	21924	Vermont Veterans Fund	43,330.00	Taxpayer donations through Tax Dept checkoff on tax returns
State Treasurer-Gov'tal	US Forest Sales to Towns	1280110000	2/14/2013	22005	Federal Revenue Fund	314,820.09	Federal Money
State Treasurer-Gov'tal	US Forest Sales to Towns	1280110000	3/18/2012	22005	Federal Revenue Fund	339,626.00	Federal land woodlot sales for schools per VSA 557
State Treasurer-Gov'tal	Bond Issuance Costs	1280120000	8/21/2012	32101	TIE Proceeds Fund	285,830.20	Sale of 2012 Transportation Infrastructure Bonds, bond issuance cost
State Treasurer-Gov'tal	Bond Refunding Cost	1280126000	3/20/2012	21886	Treas-Refunding Bond Issue	437,123.15	Sale of 1012 Series D Refunding Bonds
State Treasurer-Fiduciary	Teachers' Retirement	1285010000	8/15/2012	60300	State Teachers' Retirement	300,000.00	Additional earnings on investments
State Treasurer-Fiduciary	Municipal DBP-Health Savings	1265030000	8/15/2012	60400	Mt Muni Employees' Retirement	100,000.00	Additional earnings on investments
State Labor Relations Board	State Labor Relations Board	1270000000	6/8/2012	21500	Inter-Unit Transfers Fund	4,749.00	Meinda Moz-Knight dual employment with VOSHA Review Board and Labor Relations Board.
State Labor Relations Board	State Labor Relations Board	1270000000	5/3/2012	21500	Inter-Unit Transfers Fund	411.60	Additional receipts from publication sales and training beyond what we budgeted.
State Labor Relations Board	State Labor Relations Board	1270000000	1/6/2012	21500	Inter-Unit Transfers Fund	4,618.00	Half the cost for benefits for Meinda Moz-Knight. She is a dual employee of both VOSHA Review Board and Labor Relations Board.
State Labor Relations Board	State Labor Relations Board	1270000000	12/20/2012	21633	St Labor Relations Bd-Misc Rec	3,823.60	Additional receipts from hosting Labor Relations Conference beyond what was budgeted.
State Labor Relations Board	State Labor Relations Board	1270000000	4/30/2012	21633	St Labor Relations Bd-Misc Rec	1,018.43	Additional receipts from publication sales and training beyond what we budgeted.
VOSHA Review Board	VOSHA Review Board	1280000000	8/15/2012	21500	Inter-Unit Transfers Fund	5,000.00	Billings to DOL for half of our expenses.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	20,000.00	\$167,501.33 fund transfers from PSD for the Entergy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	2/21/2013	21500	Inter-Unit Transfers Fund	167,501.33	\$167,501.33 fund transfers from PSD for the Entergy vs. Shumlin case. \$20,000 from DMH per 2011-2012 Act 79, Sec. 34.
Office of the Attorney General	Attorney General's Office	2100001000	3/13/2013	21808	Misc Grants Fund	15,000.00	Funds from Commonwealth of Mass/TJX Data Privacy Fund to be used continue the investigation of cyber-security breaches involving protected personal information of Vermont consumers.
Office of the Attorney General	Attorney General's Office	2100001000	5/22/2012	21870	Misc Special Revenue	200,000.00	Reimbursements received from the National Assoc of Attorneys General for payments made for contracted legal services relative to VT vs RJ Reynolds Tobacco Company.
Office of the Attorney General	Attorney General's Office	2100001000	2/14/2012	21870	Misc Special Revenue	3,000.00	Balance of a 2004 grant from the National Association of Attorneys General received as reimbursement for contractual payments made for database management services relative to VT v RJ Reynolds Tobacco Company.
Office of the Attorney General	Attorney General's Office	2100001000	5/17/2012	21372	AG-Tobacco Settlement	18,000.00	Receipts are from attorney fees collected pursuant to the original Tobacco Settlement and are used for one time infrastructure needs of the office.
Office of the Attorney General	Court Diversion	2100002000	5/17/2012	21639	AG-Court Diversion	83,450.71	Funds are receipts from the Court Diversion program client fees collected by Court Diversion organizations. Fees received are higher than budgeted due to elevated caseloads.
Judiciary	Judiciary Appropriation	2120000000	12/19/2012	21500	Inter-Unit Transfers Fund	473,785.00	Grant from DPS, GHSP for a DUI Court Implementation pilot program.
Judiciary	Judiciary Appropriation	2120000000	7/10/2012	21500	Inter-Unit Transfers Fund	9,050.00	Grant from the VCCVS to support GAL training.
State's Attorneys and Sheriffs	State's Attorneys	2130100000	11/20/2012	21891	State's Att & Sheriff-Misc	39,929.11	The Federal Office of Violence Against Women Rural & Community Defined Solutions Grant for Addison County will be funding the hours worked by the domestic & sexual violence prosecutor.
Public Safety	DPS-State Police	2140010000	4/2/2012	21135	Vt Law Telecommunications	62,000.00	Revenue from Alarm registration and false alarm response is higher than anticipated at time of budgeting.

Agency/Dept Name	Appropriation Name	Appropriation Detail	Fund	Fund Name	Amount	Comments
Public Safety	DPS-State Police	2140010000	6/30/2012 22005	Federal Revenue Fund	1,300,000.00	GHSP was budgeted in CIBS approp in FY12. In Oct 2011 GHSP was transferred to YSP approp per the Commissioner of Public Safety. Request is for Oct 2011 through June 2012 actuals/subbudgeted expenses.
Public Safety	DPS-State Police	2140010000	6/4/2012 21500	Inter-Unit Transfers Fund	174,000.00	GHSP grants funds to YSP for DUI Mobilization, Equipment, Community Education, Public Education and the CARE Program. These grants were not in place during the budgeting process. Amount of workzone details were also not known during budgeting.
Public Safety	DPS-State Police	2140010000	8/22/2012 21500	Inter-Unit Transfers Fund	2,984.00	YSP received grant from Commonwealth of Massachusetts
Public Safety	DPS-State Police	2140010000	8/15/2012 21925	Restitution Special Fund	500.00	Restitution Special Fund - Items for damages to YSP property.
Public Safety	DPS-State Police	2140010000	3/8/2012 21505	Boating Property	17,000.00	Revenue in the Boating Safety fund comes from boating registrations deposited by DMV
Public Safety	DPS-State Police	2140010000	8/15/2012 21584	Boating Property	400,000.00	The amount of surplus property came in at a higher rate than anticipated.
Public Safety	DPS-State Police	2140010000	11/3/2012 21584	Surplus Property	400,000.00	Surplus Property came in at a higher rate than anticipated.
Public Safety	DPS-State Police	2140010000	8/15/2012 21651	PS-Share of Photos	8,500.00	Revenue from sales of DUI video tapes.
Public Safety	DPS-State Police	2140010000	8/23/2012 22040	ARRA Federal Fund	862,665.00	ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Criminal Justice Services	2140020000	3/21/2012 21656	PS-Programs Fees	64,000.00	Program fees came in at a higher rate than expected.
Public Safety	DPS-Criminal Justice Services	2140020000	2/16/2012 21870	Registration Fee Fund	20,000.00	Madagascar Registry applicants is increasing.
Public Safety	DPS-Criminal Justice Services	2140020000	8/23/2012 22040	ARRA Federal Fund	787,069.00	ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Public Safety	DPS-Emergency Management	2140030000	7/23/2012 21500	Inter-Unit Transfers Fund	1,470,000.00	Portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Emergency Management	2140030000	3/26/2012 21600	Inter-Unit Transfers Fund	1,841,513.00	A portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Emergency Management	2140030000	3/22/2012 21500	Inter-Unit Transfers Fund	1,245,935.85	A portion of costs associated with Tropical Storm Irene will be reimbursed by the FEMA Public Assistance Grant.
Public Safety	DPS-Emergency Management	2140030000	3/19/2013 21870	Misc Special Revenue	80,000.00	FEMA disaster assistance money paid to State of Vermont based on the costs that Vermont incurred while assisting New Jersey in the aftermath of hurricane Sandy.
Public Safety	DPS-Emergency Management	2140030000	5/9/2012 21125	Haz Chem & Subst Emerg Resp	255,000.00	To cover the spending of the CF balance from FY11.
Public Safety	DPS-Fine Safety	2140040000	3/8/2013 22005	Federal Revenue Fund	296,685.00	The total of federal receipts was not known at the time of budgeting. Funds to be used for personal services and operating expenses.
Public Safety	DPS-Fine Safety	2140040000	3/8/2012 21584	Surplus Property	21,000.00	Auction surplus property
Public Safety	DPS-Fine Safety	2140040000	6/5/2012 21120	Fire Service Training Council	95,000.00	CF from SFY11
Public Safety	DPS-Fine Safety	2140040000	8/15/2012 21901	File Prov/Supp/Inspect Sp Fund	154,000.00	CF of cash from PY
Public Safety	DPS-Administration	2140060000	8/15/2012 22005	Federal Revenue Fund	4,000.00	Grants Management Unit in DPS in the Admin Approp had positions reclassified. This was not known at the time of the previous ER request.
Public Safety	DPS-Administration	2140060000	3/22/2012 22005	Federal Revenue Fund	66,000.00	A centralized Grants Management Unit was created in DPS. Positions were reallocated from other DPS divisions to Admin thereby increasing the need for spending authority in this approp.
Public Safety	DPS-Administration	2140060000	2/17/2012 22005	Federal Revenue Fund	107,000.00	A centralized Grants Management Unit was created in DPS Admin Approp. Positions were reallocated from other DPS divisions to Admin thereby increasing the need for spending authority.
Public Safety	DPS-Homeland Security	2140070000	8/23/2012 22040	ARRA Federal Fund	39,807.00	ARRA - 2009 ARRA Justice Assistance Grant (formal) from the Dept of Justice
Military Department	Mil. Admin/TAGO	2150010000	2/27/2013 21500	Inter-Unit Transfers Fund	13,395.50	Reimbursement from May 2011 State Admin Duty.
Center of Crime Victims' Serv	Victims Compensation	2160010000	12/28/2012 21500	Inter-Unit Transfers Fund	74,000.00	MOU between DCF and VCOVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCFA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	7/10/2012 21500	Inter-Unit Transfers Fund	37,857.00	MOU between DCF and VCOVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant number G1001VTCJA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	5/7/2012 21600	Inter-Unit Transfers Fund	74,000.00	MOU between DCF and VCOVS for Children's Justice Act Grant from US Dept of Health & Human Services. Grant #G1001VTCJA1
Center of Crime Victims' Serv	Victims Compensation	2160010000	8/2/2012 21926	Domestic & Sexual Violence	14,321.00	New Beginnings did not draw down their FY10 allocations from Act 174 which was passed in FY09
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	10/15/2012 22005	Federal Revenue Fund	200,000.00	Grant that was approved by the JFC through the AA1 process last FY but were not spent. Requesting spending authority again in FY13.
Criminal Justice Trng Council	Criminal Justice Trng Council	2170010000	6/7/2012 21500	Inter-Unit Transfers Fund	32,000.00	Funds are available as a result of TSE billings done to other departments for services the CJTC provides for them.
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	5/23/2012 22005	Federal Revenue Fund	176,000.00	Federal Meat Inspection Program
Agriculture, Food & Markets	Food Safety/Consumer Assurance	2200020000	9/14/2012 21689	Risk Manage Ag Producers	10,842.00	Grant from UVM & State Agricultural College - Subaward #25369
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013 21688	AFAM-Agricultural Events	2,000.00	Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance. See JFO memo dated 3/15/13. Gen Inv Duty Discussion Grp - Donations from Milk Specialties & UVM Etc (See JFO memo dated 3/15/13).

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VT_EXCESS_RECEIPT_RPT	345						
Agency/Dept Name	Appropriation Name	Appropriation Dept/Id	Date	Fund	Fund Name	Amount	Comments
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21866	AF&M-Agricultural Events	5,470.00	Farm Safety - donations from Farm Credit Northeast Ag Enhancement Program & Coop Insurance, see JFO memo dated 3/15/13. Grn Mtn Dairy Discussion Gp - Donations from Milk Speculation & UAM Ex; See JFO memo dated 3/15/13.
Agriculture, Food & Markets	Ag Development Division	2200030000	3/7/2013	21866	AF&M-Agricultural Events	600.00	Program donations - See JFO memo dated March 4, 2013
Agriculture, Food & Markets	Ag Development Division	2200030000	1/18/2012	22005	Federal Revenue Fund	230,395.00	Additional Specialty Crop Block Grant Program funds were awarded to purchase activities enhancing the competitiveness of specialty crops produced in VT.
Agriculture, Food & Markets	Ag Development Division	2200030000	1/18/2012	22005	Federal Revenue Fund	300,000.00	CF from FY11 was more than had been anticipated at the time the budget was prepared.
Agriculture, Food & Markets	Ag Development Division	2200030000	3/27/2013	21500	Inter-Unit Transfers Fund	112,625.00	Clean Energy Development Fund dollars transferred to Agriculture from Public Service per 10 VSA 6525 (a)(2)(D), from calendar year 2007 through calendar year 2011.
Agriculture, Food & Markets	Ag Development Division	2200030000	8/22/2012	21500	Inter-Unit Transfers Fund	110,000.00	MOU with VDH #03420-6820
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	11,000.00	FSMNP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	8,801.54	FSMNP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	6,200.00	FSMNP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	1/11/2013	21889	Risk Manage Ag Producers	21,728.00	FSMNP - Matchings funds from VT Sustainable Jobs Fund, High Meadows Fund, MA Dept of Agr, NH Dept of Agr and NOFA-VT toward JFO approved grant #2507
Agriculture, Food & Markets	Ag Development Division	2200030000	2/1/2012	21889	Risk Manage Ag Producers	25,000.00	Additional funds are available to supplement the existing work on fruits and vegetables with a new focus on beef for the institutional market.
Agriculture, Food & Markets	Ag Development Division	2200030000	8/23/2012	21493	VT Working Lands Enterprise	1,175,000.00	Act 182 Sec B 1105
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	12/20/2012	22005	Federal Revenue Fund	358,575.00	Grant from NRCS to provide federal capital investments, Original JFO approval #2559 dated 4/18/12.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	32,508.00	MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/22/2013	21500	Inter-Unit Transfers Fund	20,000.00	MOU with Health \$20,000 above original budgeted amount; MOU with Public Safety \$32,508 above original budgeted amount.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	11/27/2012	21500	Inter-Unit Transfers Fund	16,500.00	MOU w/ DEC
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	3/27/2012	21500	Inter-Unit Transfers Fund	50,000.00	MOU with Health Dept for West Nile Virus program
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	9/14/2012	21908	Misc Grants Fund	350,000.00	Grant from the Lake Champlain Basin Program to be used as matching funds for the water quality monitoring program to evaluate the effectiveness of agricultural best management practices for controlling runoff.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	2/28/2013	21908	Misc Grants Fund	45,000.00	Reversal of ER00002584 dated 1/18/13. Should have been posted as 'JFO' not 'ER'.
Agriculture, Food & Markets	Plant Industry, Labs & CA Div	2200040000	1/18/2013	21908	Misc Grants Fund	45,000.00	Grant from Lake Champlain Basin Program to provide 100% of the cost to farmers of implementing conservation practices designed to reduce phosphorus pollution in Lake Champlain.
Agriculture, Food & Markets	Loan Forgiveness Program	2200891206	1/9/2013	21992	Next Generation Initiative Fnd	30,000.00	FY12 Big Bill H.441, Sec B 1100 (a)(2)(B)
Financial Regulation	Banking Division	2210001000	8/22/2012	21065	Financial Institut Supervision	50,000.00	Needed to post payroll
Financial Regulation	Insurance Division	2210011000	7/18/2012	21500	Inter-Unit Transfers Fund	187,872.00	From DVHA for Affordable Care Acts Exchange grant work
Financial Regulation	Insurance Division	2210011000	4/2/2012	21500	Inter-Unit Transfers Fund	150,000.00	Interdepartmental Transfer from DVHA, Exchange Grant
Financial Regulation	Captive Insurance Division	2210020000	8/22/2012	21065	Captive Insurance Reg & Suprv	70,000.00	Needed to post payroll
Financial Regulation	Securities Division	2210031000	8/22/2012	21060	Securities Regulatory & Suprv	88,359.00	Needed to post payroll
Financial Regulation	Securities Division	2210031000	7/31/2012	21908	Financial Services Education	30,000.00	Settlement funds deposited into the special fund.
Financial Regulation	Health Care Admin. Division	2210040000	7/18/2012	21500	Inter-Unit Transfers Fund	270,000.00	From DVHA for Affordable Care Acts Exchange grant work.
Financial Regulation	Health Care Admin. Division	2210040000	3/20/2012	21500	Inter-Unit Transfers Fund	270,767.00	Interdepartmental transfer from DVHA for VHURES contract work, DVHA exchange grant expense, rent from GMCB
Secretary of State	Secretary of State	2230010000	5/29/2012	21150	Prof Regulatory Fee Fund	200,000.00	OPR collects fees from applicants/licensees in order to pay for cost of doing business. Additional funding subsidy is needed to cover cost of temporary help needed.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	22005	Federal Revenue Fund	1,124,322.00	Federal grant awards issued to sustain Competitive, Sustainable Energy, SEP Base, Pipeline, Gas Safety and SHOPP grants.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/14/2013	22041	ARRA-SEP-Revolving Loan	6,991.78	ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	9/4/2012	22041	ARRA-SEP-Revolving Loan	994,770.00	Revolving Loan ARRA fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	(6,991.78)	ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	2/13/2013	22040	ARRA Federal Fund	6,991.78	ARRA - ARRA Revolving Loan Fund
Public Service Department	Regulation & Energy Efficiency	2240000000	4/30/2012	22040	ARRA Federal Fund	2,406,000.00	ARRA - \$2.4M is the final estimate of balance remaining that could be spent in FY12.

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Agency/Dept Name	Appropriation Name	Appropriation Dept	Date	Fund	Fund Name	Amount	Comments
Public Service Department	Regulation & Energy Efficiency	2240000000	3/8/2012	21452	Fuel Efficiency Fund	25,898.81	Heating and Process Fuel Efficiency Program through 2006 Act 92 (30 VSA 235). Source of funding is revenue from the sale of credits under the Regional Greenhouse Gas Initiative cap and trade program. Additional spending authority to cover expenditures for much higher than expected contractual and 3rd party services costs to the Entergy/Vermont Yankee case. The request amt is based on the last 4 months of Entergy vs Shumlin spending.
Public Service Department	Regulation & Energy Efficiency	2240000000	2/20/2013	21699	PSD - Billback & EEU pass thru	1,884,000.00	PSD - Billback & EEU pass thru
Public Service Department	Consumer Affairs & Public Info	2240010030	2/14/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78)	ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010030	2/13/2013	22041	ARRA-SEP-Revolving Loan	6,991.78	ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010030	2/13/2013	22041	ARRA-SEP-Revolving Loan	(6,991.78)	ARRA - ARRA Revolving Loan Fund
Public Service Department	Consumer Affairs & Public Info	2240010030	1/30/2013	22041	ARRA-SEP-Revolving Loan	6,991.78	ARRA - ARRA Revolving Loan Fund
Enhanced 911 Board	Enhanced 911 Board	2280001030	8/15/2012	21711	Enhanced 9-1-1 Board	968,000.00	PY Carry Forward
Enhanced 911 Board	Enhanced 911 Board	2280001000	5/18/2012	21584	Surplus Property	8,925.77	Sale of E911 Vehicles through State Surplus Property
Human Rights Commission	Human Rights Commission	2280001030	7/26/2012	21692	Human Rights Commission	15,000.00	Settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous fiscal years.
Human Rights Commission	Human Rights Commission	2280001000	8/30/2012	21692	Human Rights Commission	6,682.75	Settlements of successful lawsuits taken on by the Human Rights Commission from the current and previous FY's.
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/15/2012	20300	Liquor Control Fund	83,888.00	FY12 Receivables that have been billed but have not yet been received.
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00	New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	12,000.00	New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	3/14/2013	21500	Inter-Unit Transfers Fund	15,000.00	New agreement with DPS for FY11 Homeland Security Grant Program
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/28/2012	21500	Inter-Unit Transfers Fund	5,275.18	Transfer of funds was more than budgeted for this program. negotiations for the additional transfer occurred too late in FY12 to plan any more START details.
Liquor Control	DLC - Enforcement & Licensing	2300002000	11/28/2012	21500	Inter-Unit Transfers Fund	29,725.00	MCU between VDH and LC for annual START grant.
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/31/2012	21500	Inter-Unit Transfers Fund	6,000.00	Amendment #4 is increasing the amount of grant by another 6000. Total grant is now 24,000. Request to spend total anticipated excess receipts in FY13 is now 11,504.96
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	7,000.00	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	7/10/2012	21500	Inter-Unit Transfers Fund	3,504.96	Balance of funds not spent in FY12, plus additional \$7000 increase in grant which was also extended to Dec 2012
Liquor Control	DLC - Enforcement & Licensing	2300002000	5/17/2012	21500	Inter-Unit Transfers Fund	3,000.00	DPS has amended it's Subgrant to LC to a total amount of \$11,000.
Liquor Control	DLC - Enforcement & Licensing	2300002000	2/28/2012	21500	Inter-Unit Transfers Fund	3,000.00	DPS has amended it's subgrant to LC to a total of \$8,000
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/23/2012	21870	Misc Special Revenue	10,000.00	Grant from National Alcohol Beverage Control Assoc to be used for designing, printing and distributing a lean information guide.
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/22/2012	21870	Misc Special Revenue	34,756.00	Income from Education sections online classroom, net of expenses for FY12
Liquor Control	DLC - Enforcement & Licensing	2300002000	8/8/2012	21870	Misc Special Revenue	20,875.25	Counties and towns reimbursing LC for employee OT and travel costs paid while working START details during FY12.
Liquor Control	DLC - Administration	2300003000	6/15/2012	50300	Liquor Control Fund	102,731.00	FY12 Receivables that will not be entered until Tuesday following year end.
Liquor Control	Warehousing & Distribution	2300007000	6/15/2012	50300	Liquor Control Fund	41,250.00	FY12 Receivables that will not be entered until Tuesday following year end.
Department of Mental Health	Mental Health	3150070000	8/15/2012	21500	Inter-Unit Transfers Fund	2,000.00	Hurricane Irene
Department of Mental Health	Mental Health	3150070000	1/11/2013	21525	Conference Fees & Donations	13,000.00	DMH holds conferences for which registration fees are charged.
Department of Mental Health	Vermont State Hospital	3150080000	8/13/2012	21500	Inter-Unit Transfers Fund	1,200,000.00	Hurricane Irene
Department of Mental Health	Vermont State Hospital	3150080000	6/14/2012	21870	Misc Special Revenue	3,000.00	VSH receives funds from staff time and supply costs to produce photo copies of medical records.
Department of Mental Health	Vermont State Hospital	3150080000	6/14/2012	21525	Conference Fees & Donations	2,000.00	VSH received funds from VPOHC to educate staff around infection control issues, as well as funds which will be used to educate social work staff.
Vermont Veterans' Home	VERMONT VETERANS' HOME	3300010000	8/22/2012	21782	Vermont Medicaid	450,000.00	Retroactive rate adjustment and timing of projects.
Vermont Veterans' Home	VERMONT VETERANS' HOME	3300010000	4/8/2012	21782	Vermont Medicaid	250,000.00	Retroactive rate increases and timing of projects.
Green Mountain Care Board	Green Mountain Care Board	3330010000	11/2/2012	21500	Inter-Unit Transfers Fund	524,897.12	Funds were transferred to GMCB from the Dept of Financial Regulation in FY12 for contracts. Contract funds were not spent in FY12.
Green Mountain Care Board	Green Mountain Care Board	3330010000	7/26/2012	21908	Misc Grants Fund	167,654.00	Grant was provided to Green Mtn Care Board from the Robert Wood Johnson Foundation to be used for the purpose of implementing payment reforms to improve health care quality and lower costs in VT.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	6/14/2012	21500	Inter-Unit Transfers Fund	720,000.00	Changes made among the IDT and Federal funds in BAA were greater than needed.
Human Services Central Office	Secretary's Office Admin Costs	3400001000	10/4/2012	21870	Misc Special Revenue	40,000.00	CF of special funds from SFY12
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	87,500.00	\$8000 - CF from FY12 \$87,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers

Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Human Services Central Office	Secretary's Office Admin Costs	3400001000	9/21/2012	21870	Misc Special Revenue	6,000.00	\$8000 - CF from FY12
Human Services Central Office	Secretary's Office Admin Costs	3400001000	8/14/2012	21870	Misc Special Revenue	5,000.00	\$67,500 - Anticipated receipts of contributions from nonprofits that host Vista volunteers
Human Services Central Office	Raise Billing	3400008000	8/23/2012	21500	Inter-Unit Transfers Fund	712.00	Unanticipated grant award for SerVernon from the VT Community Foundation.
Human Services Central Office	Develop Disabilities Council	3400009000	9/20/2012	21870	Misc Special Revenue	2,000.00	FEMA funds for Irene related expenses.
Human Services Central Office	Develop Disabilities Council	3400009000	2/1/2013	21825	Conference Fees & Donations	1,000.00	Donation from University of Vermont Center on Disability and Community Inclusion to sponsor the 2012 VT Leadership Series. Funds were carried over from FY12.
Department of VT Health Access	DMHA	3410010600	8/28/2012	22040	ARRA Federal Fund	150,000.00	Participants of the VT Leadership Series were asked to contribute money to help defray costs.
Health	Administration	3420010000	8/8/2012	21500	Inter-Unit Transfers Fund	7,133.22	ARRA ONC 3013 award
Health	Administration	3420010000	3/15/2012	21470	Medical Practice	20,000.00	Funds are available due to the FEMA claim related to Irene.
Health	Administration	3420010000	2/7/2012	22040	ARRA Federal Fund	60,000.00	Funds are available due to indirect earnings associated with the Medical Practice Board
Health	Public Health Appropriation	3420021000	5/23/2012	22005	Federal Revenue Fund	1,400,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Public Health Appropriation	3420021000	10/15/2012	40200	Vermont Sanatorium Fund	15,000.00	Grants awarded from CDC and HRSA
Health	Public Health Appropriation	3420021000	5/22/2012	40200	Vermont Sanatorium Fund	15,000.00	Interest accrued on the VT Sanatorium Fund
Health	Public Health Appropriation	3420021000	6/8/2012	21500	Inter-Unit Transfers Fund	84,000.00	Funds are available due to the investment income earned on the VT Sanatorium Fund
Health	Public Health Appropriation	3420021000	8/4/2012	21897	Emergency Medical Services Fnd	150,000.00	Funds are available due to the FEMA claim related to Irene.
Health	Public Health Appropriation	3420021000	11/6/2012	21912	Evidence-Based Educ & Advertis	88,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	88,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Public Health Appropriation	3420021000	10/18/2012	21912	Evidence-Based Educ & Advertis	88,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Public Health Appropriation	3420021000	5/23/2012	22040	ARRA Federal Fund	125,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Public Health Appropriation	3420021000	2/7/2012	22040	ARRA Federal Fund	260,000.00	ARRA - Grants awarded from US Centers for Disease Control and Prevention
Health	Alcohol & Drug Abuse	3420080000	5/23/2012	22005	Federal Revenue Fund	358,337.00	Grants awarded from SAMHSA
Health	Alcohol & Drug Abuse	3420080000	11/6/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420080000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Health	Alcohol & Drug Abuse	3420080000	10/18/2012	21912	Evidence-Based Educ & Advertis	15,000.00	Special Fund 21912 Yearly receipts consistently exceed costs.
Children and Family Services	DCFS Admin & Support Services	3440010000	5/11/2012	22005	Federal Revenue Fund	4,000,000.00	LIHEAP admin available due to increase in award (and CF), additional SNAP bonus award available and increased SNAP admin earnings are expected.
Children and Family Services	DCFS Admin & Support Services	3440010000	6/15/2012	21500	Inter-Unit Transfers Fund	40,000.00	Expected mileage reimb from FEMA for Irene
Children and Family Services	DCFS Admin & Support Services	3440010000	6/5/2012	21500	Inter-Unit Transfers Fund	145,528.00	Rollover funds from SFY11
Children and Family Services	DCFS Admin & Support Services	3440010000	3/20/2013	21870	Misc Special Revenue	321,317.00	Green Mountain Power Energy Assistance/Utility Discount Program-Eligibility Contract-Contract period 11/30/12-6/30/14. Funds for 5 positions in ESD-LIHEAP.
Children and Family Services	DCFS Admin & Support Services	3440010000	5/11/2012	21985	Animal Spay/Neutering Fund	50,000.00	Taking over program from VT Agency of Agriculture in SFY12 per Act 57 (S 0074). Expenditures higher than expected, but CF receipts are available.
Children and Family Services	DCFS - Family Services	3440020000	6/5/2012	21500	Inter-Unit Transfers Fund	100,000.00	Rollover funds from SFY11 and more earnings in SFY12 than budgeted
Children and Family Services	DCFS - Child Development	3440030000	5/23/2012	22005	Federal Revenue Fund	1,668,844.00	New federal award, Pregnant and Parenting Teens grant
Children and Family Services	DCFS - Child Development	3440030000	3/9/2012	22040	ARRA Federal Fund	810,273.00	ARRA - Part C ARRA funds not fully expended in SFY11
Children and Family Services	DCFS - Child Development	3440030000	3/9/2012	22040	ARRA Federal Fund	546,778.00	ARRA - Head Start ARRA awarded after creation of budget.
Children and Family Services	DCFS - 3Squares VT Cashout	3440070000	3/20/2013	22040	ARRA Federal Fund	5,500,000.00	ARRA-SNAP ARRA was extended until 9/30/13. Orig end date was 9/30/11. ARRA Funds are available for 20.02% of Food Stamp Cash Out expenditures.
Children and Family Services	DCFS - 3Squares VT Cashout	3440070000	4/18/2012	22040	ARRA Federal Fund	5,500,000.00	ARRA - SNAP ARRA was extended until 9/30/12.
Children and Family Services	DCFS - Reach Up	3440080000	8/5/2012	21903	PATH-Misc Fund	1,300,000.00	Increased Earned Income Tax Credit claims (EITC)
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	21210	Home Heating Fuel Asst Trust	8,800,000.00	Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - LIHEAP	3440090000	6/5/2012	21210	Home Heating Fuel Asst Trust	130,000.00	Interest earned in the LIHEAP account.
Children and Family Services	DCFS - LIHEAP	3440090000	1/13/2012	21210	Home Heating Fuel Asst Trust	6,150,000.00	Funds being moved from Weatherization Trust Fund to State GF to cover LIHEAP.
Children and Family Services	DCFS - LIHEAP	3440090000	11/13/2012	22005	Federal Revenue Fund	7,871,336.00	Federal release of LIHEAP block grant and funds available from State Admin.
Children and Family Services	DCFS - CEO Of Economic Opp	3440100000	3/25/2013	22005	Federal Revenue Fund	298,286.00	Community Services Block Grant award higher than anticipated.
Children and Family Services	DCFS - CEO Of Economic Opp	3440100000	3/20/2013	22005	Federal Revenue Fund	503,292.00	Emergency Solutions Grant award higher than anticipated.
Children and Family Services	DCFS - CEO Of Economic Opp	3440100000	12/31/2012	22005	Federal Revenue Fund	1,695,884.00	DCF received a FEMA grant aware in May 2012
Children and Family Services	DCFS - CEO Of Economic Opp	3440100000	5/7/2012	22005	Federal Revenue Fund	242,204.00	Community Service Block Grant award higher than anticipated.
Children and Family Services	DCFS - CEO Weatherization	3440110000	6/5/2012	21233	Home Weatherization Asslet	400,000.00	Weatherization Trust Fund receipts were higher than anticipated
Children and Family Services	DCFS - CEO Weatherization	3440110000	12/14/2012	22005	Federal Revenue Fund	447,003.00	CF from last 5 year award.
Children and Family Services	DCFS - CEO Weatherization	3440110000	1/18/2012	22040	ARRA Federal Fund	1,552,582.00	ARRA - Weatherization ARRA funds budgeted in FY's but not used are available.
Children and Family Services	DCFS - Woodside Rehab Center	3440120000	6/5/2012	21500	Inter-Unit Transfers Fund	25,000.00	Eames School Lunch and Title 1 receipts from SOV-DOE for SFY11 that weren't used.
Aging and Independent Living	Administration & Support	3460010000	6/8/2012	22005	Federal Revenue Fund	500,000.00	Higher than planned earned federal receipts.
Aging and Independent Living	Administration & Support	3460010000	5/23/2012	22005	Federal Revenue Fund	550,000.00	Higher than planned federal receipts
Aging and Independent Living	Administration & Support	3460010000	3/2/2012	21813	VR Fees	450,000.00	Higher than anticipated receipts from the EAP due to new companies enrolled in the program.

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Agency/Dept Name	Appropriation Name	Appropriation Detail	Date	Fund	Fund Name	Amount	Comments
VT_EXCESS_RECEIPT_RPT	345						
Aging and Independent Living	Advocacy & Indep Living Grants	3460020000	5/18/2012	22005	Federal Revenue Fund	910,000.00	Higher than planned federal earned receipts
Aging and Independent Living	Advocacy & Indep Living Grants	3460020000	4/12/2012	21213	PATH-Civil Monetary Fund	25,895.00	Civil Money Penalty Funds - Penalties to Nursing Homes for a variety of violations that have built up in the fund over a number of years.
Aging and Independent Living	Advocacy & Indep Living Grants	3460020000	3/2/2012	21502	ARRA Inter-Unit Subaward Fund	36,800.00	Balance of unspent federal award carried into FY12 for expenditure.
Aging and Independent Living	DBVI Grants	3460030000	5/11/2012	22005	Federal Revenue Fund	65,000.00	Higher than planned DBVI Section 110 receipts due to supplemental awards
Aging and Independent Living	DBVI Grants	3460030000	5/11/2012	22040	ARRA Federal Fund	2,286.00	ARRA - ARRA funds for DBVI's Independent Living Program Part B and ARRA Section 110
Aging and Independent Living	DBVI Grants	3460030000	5/11/2012	22040	ARRA Federal Fund	653.00	ARRA - ARRA funds for DBVI's Independent Living Program Part B and ARRA Section 110
Aging and Independent Living	Vocational Rehab Grants	3460040000	1/11/2013	22005	Federal Revenue Fund	3,400,000.00	VR received a reallocation of Section 110 funds on 8/23/12 of \$5.8 million.
Aging and Independent Living	Vocational Rehab Grants	3460040000	6/5/2012	22005	Federal Revenue Fund	250,000.00	VR received a reallocation of Section 110 funds on 8/8/11 of \$4,500,000. Until FY11 the usual allotment for VR was \$500,000.
Aging and Independent Living	Vocational Rehab Grants	3460040000	5/11/2012	22005	Federal Revenue Fund	1,100,000.00	VR received a reallocation of Section 110 funds on 9/8/11 of \$4.5 million. Until FY11 the usual allotment for VR was \$500,000.
Aging and Independent Living	Vocational Rehab Grants	3460040000	2/27/2012	22005	Federal Revenue Fund	2,000,000.00	VR received a reallocation of Section 110 funds.
Aging and Independent Living	Vocational Rehab Grants	3460040000	6/5/2012	21500	Inter-Unit Transfers Fund	100,000.00	Increased dollar amount of agreements with DCF-TANF dollars
Aging and Independent Living	Vocational Rehab Grants	3460040000	5/11/2012	21500	Inter-Unit Transfers Fund	200,000.00	Increased dollar amount of agreements with DCF-TANF dollars
Aging and Independent Living	Vocational Rehab Grants	3460040000	1/8/2012	21500	Inter-Unit Transfers Fund	2,827,000.00	Increased dollar amount of agreements with DCF - TANF dollars
Aging and Independent Living	Developmental Services Grants	3460050000	2/27/2012	21500	Inter-Unit Transfers Fund	51,000.00	VDH has a federal Autism Grant and DAHL has agreed to help with oversight and management of the grant (#6 H5MMC20326-01-02, CFDA #93.110)
Corrections	Corrections - Education	3480030000	3/8/2013	21500	Inter-Unit Transfers Fund	385,000.00	Funds from DOE through Federal Title I program. Increase in funds from DOL for Harley Davidson program. Excess receipts also needed to access approved CF balance from FY12.
Corrections	Corrections - Education	3480030000	5/22/2012	21500	Inter-Unit Transfers Fund	210,000.00	The Community High School of VT increased Title 1 work in FY12 and is earning more revenue than originally budgeted. Funds come from the VT Dept of Ed through the Federal Title 1 program
Corrections	Correc-Correctional Services	3480040000	3/28/2012	21500	Inter-Unit Transfers Fund	20,920.00	Amount of grant funding from Public Safety unknown at budget development time. Cash balance carry forward (Tapestry portion)
Corrections	Correc-Correctional Services	3480040000	3/28/2012	21500	Inter-Unit Transfers Fund	93,978.00	Amount of grant funding from Public Safety unknown at budget development time. Cash balance carry forward (Tapestry portion)
Corrections	Correc-Correctional Services	3480040000	3/26/2012	21500	Inter-Unit Transfers Fund	20,088.00	Amount of grant funding from Public Safety unknown at budget development time. Cash balance carry forward (Tapestry portion)
Corrections	Correc-Correctional Services	3480040000	6/5/2012	21843	COOR-Supervision Fees	130,000.00	Cash carry forward from FY11 and collections exceeding spending authority.
VT Offender Work Program	Admin - VT Offender Work Prog	3675001000	6/14/2012	59100	Correctional Industries Fund	125,000.00	Sales have increased this year due to increased demand and a memo released by the Sec of Admin relate to print purchasing in VT state gov't
VT Department of Labor	VT Department of Labor	4100500000	6/22/2012	21085	Passenger Tramways	8,000.00	Collection through passenger tramway program
VT Department of Labor	VT Department of Labor	4100500000	11/16/2012	22040	ARRA Federal Fund	150,000.00	ARRA funded NEG OJT project
VT Department of Labor	Grants for Adult Technical Edu	410080703	3/16/2012	21982	Next Generation Initiative Fnd	200,000.00	Nex Gen approps 2007 & 2008 funds were awarded simultaneously. Subgrantees have not completely expended grant funds within the year awarded. Request use of previous years spending authority to reimburse these expenses in the current year.
Education	Administration	5100010000	6/14/2012	21764	ED-Medicaid Reimb-Admin	48,900.00	Medicaid Special Fund
Education	Education Services	5100070000	8/5/2012	21250	General Education Development	3,500.00	Revenue from GED transcripts
Education	Education Services	5100070000	9/14/2012	22040	ARRA Federal Fund	2,294,712.70	ARRA
Education	Education Services	5100070000	8/20/2012	22040	ARRA Federal Fund	190,870.54	ARRA
Education	Education Services	5100070000	4/18/2012	21764	ED-Medicaid Reimb-Admin	300,000.00	Medicaid Special Funds
Education	Education Services	5100070000	6/5/2012	21848	ED-Private Sector Grants	18,000.00	Carrier of private funds - IBM and NetSc Atee
Education	Transportation	5100100000	6/14/2012	20205	Education Fund	14,484.00	Education fund
Education	Small School Grant	5100110000	6/14/2012	20205	Education Fund	157,511.00	Education fund
Education	Education Jobs Fund	5100891101	7/31/2012	21350	Inter-Unit Transfers Fund	6,821,581.06	Education Jobs Fund
Fish & Wildlife	PW Support & Field Services	6120000000	6/14/2012	20305	F&W Fund - Nondedicated	1,046,000.00	5 unbudgeted land purchases. Funding is available from motorboat registrations, donated in-kind match and federal funds.
Fish & Wildlife	PW Support & Field Services	6120000000	2/27/2013	21500	Inter-Unit Transfers Fund	500,000.00	Receipts for reimbursement from FEMA through AOT related to Hurricane IRENE expenses.
Fish & Wildlife	PW Support & Field Services	6120000000	6/14/2012	21500	Inter-Unit Transfers Fund	206,000.00	Receipts are from FEMA and are due to hurricane Irep
Fish & Wildlife	PW Support & Field Services	6120000000	6/14/2012	20320	Duck Stamp Fund	70,000.00	Duck Stamp fund has a balance of \$2.5 M
Forest, Parks & Recreation	Administration	6130010000	2/27/2012	22005	Federal Revenue Fund	700,000.00	National Recreation Trails program and the Land and Water Conservation fund program.
Forest, Parks & Recreation	Administration	6130010000	7/23/2012	21500	Inter-Unit Transfers Fund	850,500.00	VTtrans - Spring flood event & Tropical Storm Irene, F&W to cover work on approved projects on DFW owned lands, Reimbursement for work done on the Natural Resources Mapping Project from ANR Central Office
Forest, Parks & Recreation	Administration	6130010000	5/7/2012	21440	All Terrain Vehicles	100,000.00	21440 - ATV fines & registrations. Funds are passed through to VASA via a grant.
Forest, Parks & Recreation	Administration	6130010000	5/7/2012	21440	All Terrain Vehicles	100,000.00	21495 - Snowmobile fines & registrations. Funds are passed through to VAST via a grant.

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Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Forest, Parks & Recreation	Administration	6130010000	5/7/2012	21495	Snowmobile Trails	100,000.00	21440 - ATV fines & registrations. Funds are passed through to VASA via a grant. 21495 - Snowmobile fines & registrations. Funds are passed through to VAST via a grant.
Forest, Parks & Recreation	Administration	6130010000	9/28/2012	21550	Lands and Facilities Trust Fd	50,000.00	Receipts from the Land & Facilities Trust Fund are available from <u>unexpended project</u> balances from FY's.
Forest, Parks & Recreation	Administration	6130010000	7/31/2012	21525	Conference Fees & Donations	30,000.00	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation, Forestry Approp - Urban & Community Forestry workshop fees and <u>misc grant & donations, including Arbor Day donations.</u>
Forest, Parks & Recreation	Administration	6130010000	6/15/2012	22040	ARRA Federal Fund	12,000.00	ARRA - Available due to indirect costs related to our ARRA grant from the US Forest Service for Invasive <u>species education, survey and control.</u>
Forest, Parks & Recreation	Forestry	6130020000	6/15/2012	22005	Federal Revenue Fund	200,000.00	United States Federal fund are from US Forest Service programs. Program expenditures have been <u>higher than originally planned.</u>
Forest, Parks & Recreation	Forestry	6130020000	4/18/2012	22005	Federal Revenue Fund	100,000.00	21525 - Receipts from multiple sources (including JFO2426, JFO2379 & JFO2445). 22005 - Receipts are from an incoming grant from Natural Resources Conservation Service
Forest, Parks & Recreation	Forestry	6130020000	7/31/2012	21525	Conference Fees & Donations	5,000.00	Admin Approp - Project Learning Tree workshop fees and grants, including grant from American Forest Foundation and French Foundation, Forestry Approp - Urban & Community Forestry workshop fees and <u>misc grant & donations, including Arbor Day donations.</u>
Forest, Parks & Recreation	Forestry	6130020000	4/18/2012	21525	Conference Fees & Donations	50,000.00	21525 - Receipts from multiple sources (including JFO2426, JFO2379 & JFO2445). 22005 - Receipts are from an incoming grant from Natural Resources Conservation Service
Forest, Parks & Recreation	Parks	6130030000	6/22/2012	21270	State Forest Parks Fund	500,000.00	Revenues into the Parks special fund have been higher than anticipated. In addition there is a balance in the fund from prior years.
Forest, Parks & Recreation	Parks	6130030000	8/29/2012	21500	Inter-Unit Transfers Fund	130,350.00	MOU with DEC for the management of and contracting for the removal of significant volumes of hazardous materials & for the cleanup of buildings and site debris located on Law Island, Lake Champlain Colchester.
Forest, Parks & Recreation	Parks	6130030000	4/18/2012	21584	Surplus Property	30,000.00	Sale of surplus property through BGS auction.
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	22005	Federal Revenue Fund	1,109,000.00	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Lands Administration	6130040000	4/18/2012	22005	Federal Revenue Fund	2,000,000.00	\$2,000,000 received from USFS for Forest Legacy acquisitions. \$165,000 was received from VHCB towards the Morrisville Water & Light addition
Forest, Parks & Recreation	Lands Administration	6130040000	10/25/2012	21500	Inter-Unit Transfers Fund	63,000.00	\$1,109,000 - Received from USFS/Forest Legacy & \$63,000 - Received from VT Housing & Conservation Board for land acquisitions.
Forest, Parks & Recreation	Lands Administration	6130040000	9/19/2012	21500	Inter-Unit Transfers Fund	50,000.00	The receipts in the interdepartmental transfer fund are available because of an MOU with DEC to support the acquisition of 28 acres adjacent to the Green River Reservoir State Park.
Forest, Parks & Recreation	Lands Administration	6130040000	4/18/2012	21500	Inter-Unit Transfers Fund	165,000.00	\$2,000,000 received from USFS for Forest Legacy acquisitions. \$165,000 was received from VHCB towards the Morrisville Water & Light addition
Forest, Parks & Recreation	Lands Administration	6130040000	8/3/2012	21778	FPR-Laure Burnham Estate	6,000.00	Funds are available for acquisition of state lands for natural areas.
Forest, Parks & Recreation	Vt Youth Conservation Corps	6130080000	7/31/2012	21779	FPR-Youth Conservation Corps	175,000.00	Youth Conservation Corps fund to cover payroll costs of corp members.
Forest, Parks & Recreation	Vt Youth Conservation Corps	6130080000	4/18/2012	21779	FPR-Youth Conservation Corps	80,000.00	Spending Authority is needed in the VYCC fund to cover payroll costs of corp members. FPR will be reimbursed at the end of the year to cover these costs by VYCC.
Environmental Conservation	Environmental Assistance Div	6140020000	5/11/2012	22005	Federal Revenue Fund	100,000.00	DEC was not given portions of FFY11 fed grant allocations (PPG - US EPA) until Aug 2011 (SFY12). Expenses that should have occurred in SFY11 did not occur until SFY12. SFY12 expenses are greater than they should be due to this.
Environmental Conservation	Air & Waste Management Approp	6140030000	5/11/2012	22005	Federal Revenue Fund	150,000.00	DEC was not given portions of FFY11 fed grant allocations (PPG - US EPA) until Aug 2011 (SFY12). Expenses that should have occurred in SFY11 did not occur until SFY12. SFY12 expenses are greater than they should be due to this.
Environmental Conservation	Water Programs Appropriation	6140040000	5/11/2012	22005	Federal Revenue Fund	200,000.00	DEC was not given portions of FFY11 fed grant allocations (PPG - US EPA) until Aug 2011 (SFY12). Expenses that should have occurred in SFY11 did not occur until SFY12. SFY12 expenses are greater than they should be due to this.
Commerce & Community Dev Admin	Administration Division	7100000000	1/11/2013	21500	Inter-Unit Transfers Fund	70,000.00	AIC grant via Agency of Agriculture for Made in VT Program was formalized in August 2012.
Commerce & Community Dev Admin	Administration Division	7100000000	8/28/2012	22040	ARRA Federal Fund	30,211.02	ARRA - Remaining obligated ARRA balance spending authority needed to cover the final ARRA project. Will close the federal program.
Commerce & Community Dev Admin	Administration Division	7100000000	2/2/2012	22040	ARRA Federal Fund	94,000.00	ARRA - FY11 unexpended balances to be used for project and admin costs.
Housing & Comm Development	Housing & Community Affairs	7110010000	9/19/2012	21085	Captive Insurance Reg & Suprv	100,000.00	Captive tax revenue collected is in excess of projected budget spend.
Housing & Comm Development	Housing & Community Affairs	7110010000	8/22/2012	21330	Municipal & Regional Planning	22,455.21	Funds are available due to final closeout of grants with unused remaining grant balances, return of funds previously paid to municipalities.
Housing & Comm Development	Housing & Community Affairs	7110010000	10/24/2012	21500	Inter-Unit Transfers Fund	30,000.00	AOT Funds transferred to DHP for archeology center move and for costs associated with DHP taking over the Archeology Month events.

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Agency/Dept Name	Appropriation Name	Appropriation Depld	Date	Fiscal	Fund Name	Amount	Comments
Housing & Comm Development	Housing & Community Affairs	7110010000	12/21/2012	21820	ACCD-Miscellaneous Receipts	30,000.00	Reimbursement of TIF analysis from two additional towns.
Housing & Comm Development	Housing & Community Affairs	7110010000	12/4/2012	21820	ACCD-Miscellaneous Receipts	2,000,000.00	Match from VHCB for Hazard Mitigation Grant Program property buyouts at a 25% level as a priority per the Admin.
Housing & Comm Development	Community Develop. Block Grant	7110030000	12/28/2012	22005	Federal Revenue Fund	15,577,200.00	US Dept of Housing & Urban Development Community Development Block Grant Disaster Recovery funding associated with the Approp Act 2012.
Housing & Comm Development	Community Develop. Block Grant	7110030000	7/1/2012	22040	ARRA Federal Fund	13,000.00	ARRA - FY12 unexpended balances to be used for admin closeout costs.
Housing & Comm Development	Community Develop. Block Grant	7110030000	6/5/2012	22040	ARRA Federal Fund	(13,000.00)	ARRA - FY12 unexpended balances to be used for admin closeout costs.
Housing & Comm Development	Community Develop. Block Grant	7110030000	6/5/2012	22040	ARRA Federal Fund	13,000.00	ARRA - FY12 unexpended balances to be used for admin closeout costs.
Housing & Comm Development	Downtown Transp. & Capital Imp	7110080000	8/22/2012	21575	Downtown Trans & Capital Impro	13,846.05	FY12 unexpended balances to be used for project and admin costs
Housing & Comm Development	STEM Incentive	7110891204	7/23/2012	21982	Next Generation Initiative Fnd	23,500.00	Carry forward from FY2012
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	11/14/2012	21500	Inter-Unit Transfers Fund	75,000.00	Cooperative Marketing opportunity with Agriculture
Tourism & Marketing	Dept. of Tourism & Marketing	7130000000	4/30/2012	21900	Inter-Unit Transfers Fund	20,000.00	Cooperative Marketing opportunity with Agriculture.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	2/19/2013	20135	Transportation FHWA Fund	2,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	TH State Aid Federal Disasters	8100001000	12/12/2012	20135	Transportation FHWA Fund	1,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Program Development	8100001100	9/5/2012	20160	Transportation Local Fund	2,000,000.00	Funds are from the NY local share of project - Alburgh-Rouses Point BHF MEMB(24)
Agency of Transportation	Program Development	8100001100	8/14/2012	20160	ARRA FHWA Fund	400,000.00	Funds are available from Program Development/Roadway - Brandon project #NH 019-3(495).
Agency of Transportation	Rest Areas	8100001700	11/18/2012	20105	Transp Fund - Non-dedicated	202,375.28	These funds are available because of a transaction/correction which resulted in a credit to state funds (20105)
Agency of Transportation	Maintenance	8100002000	12/12/2012	20135	Transportation FHWA Fund	5,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	7/23/2012	20135	Transportation FHWA Fund	8,000,000.00	Funds are available from formula funds that have been redirected to Tropical Storm Irene related ER projects.
Agency of Transportation	Maintenance	8100002000	5/7/2012	20135	Transportation FHWA Fund	10,000,000.00	Funds are available from DOT grants.
Agency of Transportation	Maintenance	8100002000	4/5/2012	20135	Transportation FHWA Fund	10,000,000.00	Funds are available from two DOT Grants
Agency of Transportation	Maintenance	8100002000	2/15/2012	20135	Transportation FHWA Fund	20,000,000.00	Funds are available from two DOT Grants
Agency of Transportation	Department of Motor Vehicles	8100002100	3/27/2012	21500	Inter-Unit Transfers Fund	30,000.00	Funds are available from a grant agreement with Public Safety GHSP
Agency of Transportation	Department of Motor Vehicles	8100002100	1/8/2013	20170	Transportation-NHTSA Fund	12,000.00	Funds are available from two grant agreements with the Dept of Public Safety.
Agency of Transportation	Department of Motor Vehicles	8100002100	2/21/2012	20198	VT Strong Commemorative Plate	200,000.00	Funds available from the sale of a new commemorative motor vehicle plate - See legislative bill S.249
Agency of Transportation	Department of Motor Vehicles	8100002100	2/14/2012	20198	VT Strong Commemorative Plate	50,000.00	Sale of a new commemorative motor vehicle plate - See legislative bill S.249
Agency of Transportation	Rail	8100002300	3/22/2013	20105	Transp Fund - Non-dedicated	1,056,845.00	Funds to be used for Rail Program. Funds available due to transfers/corrections which resulted in a credit to Transportation funds (20105). Request pursuant to VISION Procedure #6/III - prior year refund of expenditure.
Agency of Transportation	Rail	8100002300	10/10/2012	20155	Transportation-FRA Fund	385,000.00	Funds are available for three VT Railway Bridges - Leicester BR229, Middlebury BR233 and New Haven BR243
Agency of Transportation	Rail	8100002300	7/23/2012	20155	Transportation-FRA Fund	35,000.00	Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	7/23/2012	20180	Transportation Local Fund	80,000.00	Funds are available for the NY-VT Bi-State Intercity Passenger Rail Study
Agency of Transportation	Rail	8100002300	2/28/2013	20191	TR Infrastructure Bond Fund	1,500,000.00	Request is pursuant to VISION Procedure #6/III - prior year refund of exp.
Agency of Transportation	Town Highway Bridge	8100002800	8/11/2012	20180	Transportation Local Fund	300,000.00	Local reimbursement for payroll and other charges to locally participating projects.
Agency of Transportation	Town Highway Bridge	8100002800	5/29/2012	20180	Transportation Local Fund	230,000.00	Local reimbursement for payroll and other charges to locally participating projects.
Agency of Transportation	Town Highway Bridge	8100002800	10/25/2012	20180	ARRA FHWA Fund	10,000.00	Funds are available from two Town Highway Bridge ARRA projects.
Agency of Transportation	Public Assistance Program	8100005500	8/28/2012	20150	Transportation FEMA Fund	2,000,000.00	FEMA disaster declarations. ERAF funds provide state match for the FEMA funds and there is sufficient balance remaining
Agency of Transportation	Public Assistance Program	8100005500	6/27/2012	20150	Transportation FEMA Fund	3,000,000.00	FEMA disaster declarations. ERAF funds provide state match for the FEMA funds and there is sufficient balance remaining.
Agency of Transportation	Public Assistance Program	8100005500	8/18/2012	20150	Transportation FEMA Fund	5,000,000.00	FEMA Disaster declarations. ERAF funds provide state match for the FEMA funds and there is a sufficient balance remaining.
Agency of Transportation	Public Assistance Program	8100005500	8/11/2012	20150	Transportation FEMA Fund	7,000,000.00	FEMA disaster declarations. ERAF funds provide state match for the FEMA funds.
Agency of Transportation	Public Assistance Program	8100005500	4/9/2012	20150	Transportation FEMA Fund	14,500,000.00	FEMA disaster declaration FEMA-4022-DR-VT.
Agency of Transportation	Public Assistance Program	8100005500	2/23/2012	20150	Transportation FEMA Fund	20,000,000.00	FEMA disaster declaration FEMA-4022-DR-VT.
Agency of Transportation	Public Assistance Program	8100005500	1/8/2012	20150	Transportation FEMA Fund	14,166,687.00	ER Request was submitted with the funds/amounts switched. So when Jason Aronowitz requested funds to be released, the amount released from each fund was incorrect. This is an adjustment to ER03003088 dated 12/18/11

FY 2013 Excess Receipts Report - Q3 Cumulative - Run 6-11-2013

Agency/Dept Name	Appropriation Name	Appropriation Deptid	Date	Fund	Fund Name	Amount	Comments
Agency of Transportation	Public Assistance Program	810005500	1/8/2012	21553	Emergency Relief & Assist Fd	(14,166,667.00)	ER Request was submitted with the funds/amounts switched. So when Jason Aronowitz requested funds to be released, the amount released from each fund was incorrect. This is an adjustment to ER00003088 dated 12/16/11.
Agency of Transportation	Public Transit	810005700	9/11/2012	20182	MTA-FTA Fund	15,737.76	Funds are available from an amended grant with Addison County Transit Resources and will be used towards the purchase of a bus.
AOT Proprietary Funds	Central Garage	811000200	7/23/2012	57100	Highway Garage Fund	2,689,994.14	Funds are the unexpected balance in the equipment replacement account at the end of FY12. Funds will be used for equipment purchases per Title 19 Sec 13(c).
AOT Proprietary Funds	Central Garage	811000200	5/11/2012	57100	Highway Garage Fund	1,000,000.00	Funds are available from increased rental revenues associated with Tropical Storm Irene.
Vt Housing & Conserv Board	Housing & Conservation Board	915000000	1/18/2012	90630	Federal Fund - VHCB	712,315.00	PY Grants that are disbursed over several years - CF from FY11.
Vt Housing & Conserv Board	Housing & Conservation Board	915000000	1/18/2012	90630	Federal Fund - VHCB	1,485,101.00	PY Grants that are disbursed over several years - CF from FY11.
Vt Housing & Conserv Board	Housing & Conservation Board	915000000	1/18/2012	90630	Federal Fund - VHCB	1,405,158.00	PY Grants that are disbursed over several years - CF from FY11.
Vt Housing & Conserv Board	Housing & Conservation Board	915000000	1/18/2012	90630	Federal Fund - VHCB	1,338,660.00	PY Grants that are disbursed over several years - CF from FY11.
Vt Housing & Conserv Board	Housing & Conservation Board	915000000	1/18/2012	90630	Federal Fund - VHCB	2,650,339.00	PY Grants that are disbursed over several years - CF from FY11.
Buildings & Gen Serv-Capital	Duxbury/Moretown Land Sale	951020000	2/19/2013	21600	BGS-Duxbury/Moretown	25,000.00	Replenish spending authority as of 6/30/12 for advertising, appraisals, recording fees, and environmental studies in order to sell property.



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: January 1, 2013
Subject: Small Grant & Gift Quarterly Report

In accordance with the provisions of 32 V.S.A. § 5(a)(3), the Joint Fiscal Office is required to submit quarterly reports for small grant and gift requests with a value of \$5,000 or less.* For the quarter ending December 31, 2012, the Joint Fiscal Office received notification of the following items:

1. \$3,200 grant from Ben & Jerry's to the Vermont Agency of Agriculture. This funding will support the Farm First program's efforts to provide Vermont dairy producers support and resources for a range of issues, including legal, financial, and family issues.
[JFO received 10/05/12]
2. \$5,000 grant from the Vermont Sustainable Jobs Fund to the Vermont Agency of Agriculture. This funding will support the Scaling Up Value Added Meat Industry program.
[JFO received 11/01/12]
3. \$5,000 grant from the High Meadows Fund to the Vermont Agency of Agriculture. This funding will support the Scaling Up Value Added Meat Industry program.
[JFO received 11/01/12]
4. \$5,000 grant from the Massachusetts Department of Agricultural Resources to the Vermont Agency of Agriculture. This funding will support the Scaling Up Value Added Meat Industry program.
[JFO received 11/01/12]
5. \$4,500 grant from the New Hampshire Department of Agriculture, Markets & Food to the Vermont Agency of Agriculture. This funding will support the Scaling Up Value Added Meat Industry program.
[JFO received 11/01/12]
6. \$2,225 grant from the Northeast Organic Farming Association of Vermont to the Vermont Agency of Agriculture. This funding will support the Scaling Up Value Added Meat Industry program.
[JFO received 11/01/12]
7. \$3,000 grant from the New York Center for Agricultural Health and Medicine to the Vermont Agency of Agriculture. This funding will support the Vermont Farm Safety Program..
[JFO received 12/21/12]

* Act 146 of the Acts of 2009 Adj. Session (2010), Sec. B.15 amended 32 V.S.A. § 5(a)(3) to permit the Department of Forests, Parks and Recreation to accept grants with a value of up to \$15,000 under the "small grants" procedure. This change was part of the "Challenges for Change" initiative.

32 V.S.A. § 5(a)(3):

(3) This section shall not apply to the acceptance of grants, gifts, donations, loans, or other things of value with a value of \$5,000.00 or less, or to the acceptance by the department of forests, parks and recreation of grants, gifts, donations, loans, or other things of value with a value of \$15,000.00 or less, provided that such acceptance will not incur additional expense to the state or create an ongoing requirement for funds, services, or facilities. The secretary of administration and joint fiscal office shall be promptly notified of the source, value, and purpose of any items received under this subdivision. The joint fiscal office shall report all such items to the joint fiscal committee quarterly.



STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: April 1, 2013
Subject: Small Grant & Gift Quarterly Report

In accordance with the provisions of 32 V.S.A. § 5(a)(3), the Joint Fiscal Office is required to submit quarterly reports for small grant and gift requests with a value of \$5,000 or less.* For the quarter ending March 31, 2013, the Joint Fiscal Office received notification of the following items:

1. \$2,000 donation from Daniel Scott to the State of Vermont. This funding was deposited into the General Fund in order to satisfy the terms of the contributor's plea agreement.
[JFO received 2/15/13]
2. \$300 grant from Poulin Grain to the Vermont Agency of Agriculture. This funding will support the Green Mountain Dairy Discussion Group program to offer Vermont dairy producers support via monthly meetings.
[JFO received 3/04/13]
3. \$300 grant from Lawes Agricultural Services, Inc. to the Vermont Agency of Agriculture. This funding will support the Green Mountain Dairy Discussion Group program.
[JFO received 3/04/13]
4. \$1,500 grant from Milk Specialties to the Vermont Agency of Agriculture. This funding will support the Green Mountain Dairy Discussion Group program.
[JFO received 3/15/13]
5. \$500 grant from UVM Extension to the Vermont Agency of Agriculture. This funding will support the Green Mountain Dairy Discussion Group program.
[JFO received 3/15/13]
6. \$2,000 grant from Farm Credit Northeast Ag Enhancement Program to the Vermont Agency of Agriculture. This funding will support the Vermont Rural Road Safety program.
[JFO received 3/15/13]
7. \$3,470 grant from Cooperative Insurance Company to the Vermont Agency of Agriculture. This funding will support the Vermont Rural Road Safety program.
[JFO received 3/15/13]

* Act 146 of the Acts of 2009 Adj. Session (2010), Sec. B.15 amended 32 V.S.A. § 5(a)(3) to permit the Department of Forests, Parks and Recreation to accept grants with a value of up to \$15,000 under the "small grants" procedure. This change was part of the "Challenges for Change" initiative.

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STATE OF VERMONT
JOINT FISCAL OFFICE

MEMORANDUM

To: Joint Fiscal Committee Members
From: Nathan Lavery, Fiscal Analyst
Date: July 1, 2013
Subject: Small Grant & Gift Quarterly Report

In accordance with the provisions of 32 V.S.A. § 5(a)(3), the Joint Fiscal Office is required to submit quarterly reports for small grant and gift requests with a value of \$5,000 or less.* For the quarter ending June 30, 2013, the Joint Fiscal Office received notification of the following items:

1. \$4,500 grant from High Meadows Fund to the Vermont Department of Environmental Conservation. This funding will support the development of a Focus on Floods website.
[JFO received 4/17/13]
2. \$900 grant from Altech to the Vermont Agency of Agriculture, Food and Markets. This funding will support the Vermont Rural Road Safety program.
[JFO received 5/13/13]
3. \$2,520 grant from Farm to Institution New England to the Vermont Agency of Agriculture, Food and Markets. This funding will support the Vermont Farm to Institution project.
[JFO received 5/22/13]
4. \$900 grant from Altech to the Vermont Agency of Agriculture, Food and Markets. This funding will support the Vermont Rural Road Safety program.
[JFO received 6/12/13]
5. \$500 grant from Vermont Dairy Industry Association to the Vermont Agency of Agriculture, Food and Markets. This funding will support Vermont Dairy of Distinction program.
[JFO received 5/13/13]

32 V.S.A. § 5(a)(3):

(3) This section shall not apply to the acceptance of grants, gifts, donations, loans, or other things of value with a value of \$5,000.00 or less, or to the acceptance by the department of forests, parks and recreation of grants, gifts, donations, loans, or other things of value with a value of \$15,000.00 or less, provided that such acceptance will not incur additional expense to the state or create an ongoing requirement for funds, services, or facilities. The secretary of administration and joint fiscal office shall be promptly notified of the source, value, and purpose of any items received under this subdivision. The joint fiscal office shall report all such items to the joint fiscal committee quarterly.

* Act 146 of the Acts of 2009 Adj. Session (2010), Sec. B.15 amended 32 V.S.A. § 5(a)(3) to permit the Department of Forests, Parks and recreation to accept grants with a value of up to \$15,000 under the "small grants" procedure. This change was part of the "Challenges for Change" initiative.



Vermont Telecommunications Authority

To: House Committee on Commerce and Economic Development
 House Committee on Corrections and Institutions
 Senate Committee on Economic Development, Housing and General Affairs
 Senate Committee on Finance
 Senate Committee on Institutions
 Joint Fiscal Committee
 Secretary of the Administration
 Secretary of the Agency of Commerce and Community Development (Challenge Lead)
 Chief, Connect Vermont

From: Vermont Telecommunications Authority

Date: July 12, 2013

Re: Quarterly Report per Section 49(i) of the FY2012 Capital Bill

Per Section 49(i) of the FY2012 Capital Bill starting on October 1, 2011, the Vermont Telecommunications Authority (VTA) is required to submit a report on investments made or grants awarded in furtherance of the goals stated in 30 V.S.A. § 8060(b), using the telecommunications measures established pursuant to No. 146 of the Acts of the 2009 Adj. Sess. [2010], (an act relating to implementation of challenges for change).

The purpose of this report is to track the progress made in attaining those goals through the aforementioned investments and grants.

This progress report reflects the outcomes and measures applied under Outcome 2, Measures 2, of the Economic Development Challenge (see Challenges for Change, Quarterly Progress Report, p. 97-98, July 2011) to projects funded under Section 49 ("The VTA Capital Appropriations Provision"), as follows:

- i. Percentage of residences and businesses with broadband access, using the current Vermont definition of broadband
- ii. Percentage of cellular coverage on major roads
- iii. Percentage of cellular coverage on minor roads
- iv. Percent of State where public safety radios work

This progress report also includes location-specific information on the progress of deployment of telecommunications technology that does not require the utilization of towers, as expressly required by the VTA Capital Appropriations Provision.

Measure 2(i): Progress made in attaining broadband telecommunications goals

**Summary: Statutorily-required Public Comment Period completed;
Statutorily-required Competitive Solicitation completed;
Broadband grants awarded;
Investments in fiber-optic infrastructure in process.**

The standard used to measure broadband coverage is currently identified as availability of service at e911 locations with a minimum threshold speed of least 768 KBPS download and 200 KBPS upload. This includes broadband service delivered by cable, DSL, fiber optic and wireless broadband (fixed and mobile). Satellite-based coverage is not included.

In making grants available, the VTA is required to coordinate with the need analysis of Connect VT. Connect VT's strategic plan identifies four service-level goals with respect to broadband availability for each e911 location:

1.0 = one connection available (other than satellite) at the minimum threshold of 768/200 kbps or better

Goal date: 100% complete by December 31, 2013

2.0 = two connections available (other than satellite) at a minimum threshold 768/200 kbps or better, where at least one option is fixed and one is mobile or fixed

Goal date: substantially complete by December 31, 2013

3.0 = one available connection (other than satellite) at a minimum threshold of 4/1 mbps

Goal date: Future

4.0 = two connections available (other than satellite) at a minimum threshold 4/1 mbps, where at least one option is fixed and one is mobile

Goal date: Future

In order to make baseline and progress measurements of these goals, Connect VT analyzes Vermont Broadband Mapping Initiative (BMI) data with two lenses: a survey of coverage with mobile broadband availability and another without mobile as an option for broadband delivery. Coverage across the state is expressed as the percentage of e911 locations with broadband, and several maps are generated.

Based on preliminary analysis of the December 2012 BMI data, 96.1% of e911 addresses have broadband availability. The VTA verifies unserved addresses through methods such as direct mailing and analysis of service provider data. The VTA is currently seeking to develop projects to provide service to these unserved areas through VTA2013-NGF-137 (Broadband Notice of Grant Funding Availability), described below.

The VTA began the competitive process for distribution of grants from the FY2012 capital appropriations in early 2012, awarding the first \$625,000 in grants in the second quarter. Additional grants awarded in the third quarter of 2012 brought the overall total grants to \$2,302,340, with the four awardees' projects covering 545 unserved locations in 52 communities. Almost all unserved areas targeted in the grant rounds receiving a funded project.

In addition, the VTA moved forward on a fiber-optic project to be funded with FY2012 capital appropriations, the 36-mile Orange County Fiber Connector along state routes 110, 113, and 132. Areas along the proposed route were identified as "Target Communities" for broadband service expansion by the Agency of Administration and as Target Grant Areas ["TGAs"] by the VTA.

The VTA determined that a fiber cable can efficiently support multiple uses for multiple communications providers:

- as backhaul service for mobile voice providers;
- as a last-mile broadband service to premises along the route;
- as a middle-mile transport facility for broadband companies.

The VTA will make dark fiber available at competitive rates to broadband and mobile voice communication companies, consistent with the VTA Capital Appropriation Provision of subsection (d), which requires that VTA investments "be available for use by as many retail service providers as technology will permit to prevent the state from establishing a monopoly service territory for one provider..."

Eleven miles of fiber-optic cable for the 36-mile Orange County Fiber Connector project route have been hung, successfully tested and put in service along VT Routes 110 and 113. Two service providers have licensed strands and are connecting subscribers along the tested section of this route.

The VTA has also allocated \$50,000 of the FY2012 capital appropriation to the 41-mile Hardwick-to-Newport Fiber Project, 40 miles of which have been completed beginning in Newport and currently built into northern Hardwick.

In addition, engineering is substantially complete and next steps are in progress on three broadband projects. These projects focus on TGAs located in eight towns: Barnard, Bradford, Braintree, Pownal, Rochester, Ryegate, Shaftsbury, and Topsham. During the second quarter of 2013, two TGAs have been completed. Make-ready work is underway on the remaining TGAs and all are on schedule to be completed before December 31, 2013.

In the first quarter of 2013, the VTA issued NGF VTA 2013-137 Broadband: Notice of Grant Funding Availability (See <http://www.telecomvt.org/rfp/137>) seeking proposals for grant-assisted projects to extend respondent's networks to reach additional designated unserved locations. The VTA initially targeted \$881,000 in FY2012 capital appropriations plus \$119,074 in recaptured funds to this initiative, but at the end of March 2013 the VTA allocated an additional \$1,347,840 in FY2012 capital appropriation funding to NGF 137. These additional funds

were made available by VTA's termination of a 2012 award to VTel Wireless, and so the VTA also added TGAs from 22 communities that were to have been served by the cancelled award to the TGA list for NGF 137.

In the fourth quarter of FY2013, the VTA awarded grants to two new broadband projects that will serve 31 locations in the towns of Bradford, Norwich, Rupert and Thetford. Funding totaled \$349,569.

Measure 2(ii) & 2(iii): Progress made in attaining mobile telecommunications goals

**Summary: Statutorily-required Public Comment Period Completed;
Statutorily-required Competitive Solicitation completed;
First cellular investment contract awarded;
First cellular tower lease signed.**

Based on 2010 drive-test data collected through BMI, the VTA estimated that 87% of major roads and 76% of minor roads have mobile telecommunications coverage (“roads” are defined as roads that are part of the federal aid highway system, not city streets or residential neighborhoods). Coverage of both major air interface platforms for cellular phones, GSM (used by AT&T and T-Mobile) and CDMA (used by Verizon Wireless, Sprint, and US Cellular) was examined. The reported numbers reflect the coverage for GSM phones, which was more extensive (the CDMA estimate is 55% of major roads and 44% of minor roads). It should be noted that these relatively high coverage percentages do not reflect low coverage or gaps in coverage that can result in dropped calls or inadequate signal transmission.

As part of its duties under 3 V.S.A. § 2222b (b) (1), the Agency of Administration is charged with developing an inventory of locations at which mobile telecommunications and broadband services are not available within the state. The VTA understands that, as part of that initiative, Connect VT plans to commission a new independent and comprehensive evaluation of mobile voice and data coverage in 2013. The VTA will report on cellular coverage utilizing the data that becomes available at that time.

Section 49 of the Fiscal Year 2012 Capital Bill requires a competitive solicitation, as well as a Request for Public Comment, to enlist the cell-phone user experience of Vermont residents. The Request for Public Comment had been completed previously and results posted on the VTA’s web site (see <http://www.telecomvt.org/resources/public-comments/2011-A01.php>). The competitive solicitation process was also completed.

As a result of that process, the VTA executed two contracts for expansion of cellular service, one with VTel Wireless, an affiliate of Vermont Telephone of Springfield, VT, and another with Vanu CoverageCo, an affiliate of Cambridge, MA – based Vanu, Inc., a developer of software-defined radio equipment which supports the deployment of multiple standards used by cellular operators on a single platform.

Vanu CoverageCo seeks to provide wholesale service to CDMA and GSM carriers that allow those carriers to expand cellular service to their customers through micro-cell equipment mounted on utility pole tops or other available existing structures within Target Corridors. VTel Wireless seeks to add support for 2G/3G cellular voice and data services to its planned Wireless Open World (WOW) network, a 4G LTE mobile data network that is deployed on traditional communications towers and other types of existing structures. VTel’s service will pro-

vide wholesale coverage to existing providers, and to provide a new retail cellular offering to residents and businesses in the state.

The VTA awarded a contract for \$2,644,093 to VTel Wireless for the purchase of core equipment and software that will ultimately enable VTel to add cellular voice capability to its \$116 million stimulus-funded Wireless Open World [WOW] 4G/LTE broadband network.

In the second quarter of 2012, the VTA and CoverageCo signed an agreement to expand cellular service. The VTA will purchase the equipment to serve nearly 90 miles of unserved roadway in three sections of the state at a cost of \$500,000. CoverageCo will lease the equipment from the VTA and operate it as part of their overall network. In addition to the 90 miles sponsored by the VTA through this contract, CoverageCo has proposed to cover an additional 125 road miles throughout the State as part of its initial build, funded through private capital investment. The VTA-funded project will touch 17 towns, covering the following routes:

- Route 110 in Washington, through Chelsea, into part of Tunbridge
- From Route 110 in Chelsea along the East Randolph Road into a part of Randolph
- Route 25 from Orange, through Topsham, through Corinth, into a part of Bradford
- From Route 25 in East Corinth along the Topsham-Corinth Road and Powder Spring Road through Topsham to Route 302 in Groton
- Route 302 east of Orange through Topsham through Groton to South Ryegate
- Six miles along Route 15 in Wolcott
- Route 108 in Bakersfield through East Fletcher into Jeffersonville and Cambridge
- Route 15 from Jeffersonville to Johnson

Deployment of the project will continue through the fourth quarter of 2013. Engineering and design work has been completed for the original funded target corridors. Delivery of production units has begun. Backhaul provider agreements for all corridors [with one exception] are confirmed and a backup plan is being developed for the exception.

During the second quarter of 2013, a demonstration corridor on Route 110 was successfully tested. As part of the “additional 125 road miles... funded through private capital investment” in a state-identified Target Corridor, 15 sites were installed on Route 30 between Newfane and Jamaica. They have been tested and are ready for commercial use.

In addition, in August 2012 the VTA submitted an application for a U.S. Economic Development Administration (EDA) Disaster Recovery Grant in the amount of \$1,601,800 with a VTA match of \$400,450. The EDA awarded this grant to the VTA in April, 2013, and the VTA has begun drafting RFPs for the project, as described below.

The EDA grant project will focus on areas hardest hit by Tropical Storm Irene and the May 2011 floods: Northeast Kingdom (Essex County), East Central Vermont (Windsor County and parts of Washington, Addison and Orange Counties) and Windham County. Corridors were selected based on the damage impact from the storm, lack of cellular communication infrastructure,

and documented gaps in radio coverage from the Agency of Transportation and the Department of Public Safety.

The project is an effort to address the lack of resiliency in cellular infrastructure revealed in the 2011 disasters and to address the long-term economic need for extended coverage and greater resiliency in these areas. The scope of the grant is approximately 120 road miles which will leverage an additional 120 miles of coverage funded by private investment.

In addition to the road coverage, nine communities will receive resiliency communication hotspots. The resiliency component consists of backup solar and generator power on town property along with satellite backhaul backup should existing backhaul systems fail in an emergency.

The VTA completed construction of its Bethel Cell Tower on schedule from October – December 2012 after successfully negotiating a lease with AT&T for tower space. AT&T went on the air in the spring of 2013 with Governor Shumlin formally announcing that the service was fully operational in mid-May.

While the VTA also completed a rigorous competitive process to select a tower construction and management vendor for additional cell towers, as previously reported, ultimately tower construction and management did not attract a vendor commitment to additional towers in VTA-targeted corridors in the 2012-2013 timeframe.

However, the VTA has been approached by a tower manager on behalf of a national wireless carrier which it is developing a construction plan for deployment of services in at least one target corridor. The VTA has been asked to consider infrastructure funding for this additional coverage. These discussions are ongoing and may result in a promising initiative for expanding mobile voice coverage.

Additional information under Section 49(i):

Deployment of telecommunications technology that does not require utilization of towers, including location-specific information

Summary: Contract with non-tower-based cellular service provider in deployment

A May 2012 contract between the VTA and CoverageCo [see page 5.] calls for the VTA to fund CoverageCo's deployment of a network using "small cell" equipment. The small cell equipment will be small enough to be mounted on utility poles or other available existing structures, with each small cell covering about one mile along the Target Corridor.

The radio equipment for the project will be provided by CoverageCo's affiliated company, Vanu, Inc. The Vanu "CompactRAN" equipment used in the project is being newly introduced in 2012 and builds on previous generations of Vanu software-defined radio technology deployed commercially in locations as diverse as Texas, Alaska, Nepal, and India, as well as for the U.S. Dept. of Defense.

Measure 2(iv): Percentage of State where public safety radios work

Summary: Co-development and co-location opportunities being pursued as available.

While the VTA is not charged with expanding service territories for public service radios, there has been significant collaboration with the Department of Public Safety in the utilization of State lands at proposed communication facility sites. At lands controlled by the Agency of Natural Resources on Okemo Mountain, in Mount Holly, utilized by Okemo Mountain Resort, the VTA has negotiated a license agreement that will provide for consolidation of equipment on a tower that may be constructed in the future by the Department of Public Safety for public safety radio transmission.

In addition, the licensee, in its construction of a facility, is required to accommodate municipal public safety users such as first responders. The collocation of users and consolidation of equipment not only provides for efficient use of State lands but expands local public safety radio coverage and reserves the opportunity of the Department of Public Safety for future safety radio deployment.

Finally, VTA is participating in the newly-created Public Safety Broadband Network Commission. This Commission is responsible for planning and coordinating state and local efforts in Vermont with the federal efforts associated with FirstNet, the nationwide public safety broadband network currently being planned.



OFFICE OF THE CLERK/TREASURER

City of Burlington

City Hall, Room 20, 149 Church Street, Burlington, VT 05401

Voice (802) 865-7000

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661V

July 15, 2013

Representative Martha Heath, Chair
Vermont Legislative Joint Fiscal Committee
One Baldwin Street
Montpelier, VT 05633-5701

Dear Representative Heath:

The City of Burlington (the City) is filing their fourth annual Tax Increment Financing (TIF) District report in accordance with the Joint Fiscal Committee approved requirements. There are four requirements, which are addressed as follows:

- 1) The City's annual payment to the Education Fund, calculated as 25% of the new tax increment, is due to the State of Vermont. The new tax increment on the Waterfront District TIF #1 is calculated as the difference in appraised values using April 1, 2010 as the extended base value compared to the April 1, 2013 grand list appraised value. The calculation is shown in Exhibit A. Also, the amount due to the State of Vermont includes the \$40,000 payment due pursuant to S.37, Section 1(c)(1) of the new TIF legislation passed in 2013. The City of Burlington will remit a total of \$43,839.55 to the State of Vermont by December 1, 2013. Consistent with prior year, increment on properties located at 25 Cherry Street (i.e. the Marriott Hotel) and 41 Cherry Street (i.e. the Hotel Vermont) are excluded from the annual payment calculation.
- 2) TIF 1: A listing of each parcel located within the Waterfront TIF District, the 1996 original taxable value, the 2010 extended base value, and the most recent values for all homestead and nonresidential properties is attached as Exhibit B. This listing also specifies which properties are exempt from the new calculation.
- 3) A history of the TIF #1 Waterfront TIF District revenue and debt service payments is attached as Exhibit C.
- 4) There was no new debt and/or leases authorized and issued related to the Waterfront District TIF #1 during fiscal year 2013.

Sincerely,

A handwritten signature in cursive script that reads "Julie Laplume".

Julie M. Laplume, CIA, CPA, CFE, CGMA
Senior Accountant, City of Burlington

Enc.

EXHIBIT A

City of Burlington Waterfront District (TIF #1) Payment Calculation

New increment determined in Exhibit B	\$	956,600.00
Multiplied by the education non-homestead rate		1.6055%
Education tax revenue		15,358.21
Multiplied by 25%		25%
Subtotal		3,839.55
Add: payment due pursuant to S.37, Section 1(c)(1)		40,000.00
Annual payment due to the State of Vermont by December 1, 2012	\$	43,839.55

EXHIBIT B							
City of Burlington Waterfront District (TIF #1) Calculation							
All new increment is subject to the New Formula which is under the current State Laws.							
Parcel ID	Location & Description	Property Owners	1996 Original Base Values	April 1, 2010 Base Values	Old Formula Increment	April 1, 2013 Grand List Appraised Value	New Increment
HOMESTEAD PROPERTIES							
043-4-009-001	216 Lake St Unit 101	CARPENTER ANN	76,000	178,300	102,300	178,300	-
043-4-009-003	216 Lake St - Unit 103	WEISS GAYLE	75,000	178,300	103,300	178,300	-
043-4-009-007	216 Lake St - Unit 107	SANTACROCE KRISTINE	75,000	178,300	103,300	178,300	-
043-4-009-010	216 Lake St - Unit 110	DUFRESNE, JANET H	80,000	178,300	98,300	178,300	-
043-4-009-013	216 Lake St - Unit 303	CUNNINGHAM GRACE	75,000	178,300	103,300	178,300	-
043-4-009-014	216 Lake St - Unit 304	Eichhorn WILLIAM	75,000	178,300	103,300	178,300	-
043-4-009-015	216 Lake St - Unit 305	DRINKWINE USCHI	90,000	207,300	117,300	207,300	-
043-4-010-002	200 Lake St UNIT2	LOCKWOOD JR WILLIAM	-	568,600	568,600	568,600	-
043-4-010-005	200 Lake St UNIT5	HOROWITZ NORMAN	-	561,100	561,100	561,100	-
043-4-010-007	200 Lake St UNIT 7	MCGLENN THOMAS D	191,800	560,100	368,300	560,100	-
043-4-010-010	200 Lake St UNIT 10	VAN WEES JOHANNES	191,800	576,900	385,100	576,900	-
043-4-010-011	200 Lake St UNIT11	STARK, DON C	-	609,600	609,600	609,600	-
043-4-010-012	200 Lake St UNIT 12	GREENBERG DAVID	-	617,400	617,400	617,400	-
043-4-010-013	200 Lake St UNIT 13	DACYSHYN GREGORY ANNE MARIE	-	610,600	610,600	610,600	-
043-4-010-016	200 Lake St UNIT16	SLANINA AND JAECKLE	-	698,000	698,000	698,000	-
049-1-080-003	1 Steele St #3	PIPER ADAM D	-	167,000	167,000	167,000	-
049-1-080-004	1 Steele St #4 RES CONDO	STEWART CARYL J	-	265,000	265,000	265,000	-
049-1-080-006	3 Main Street Apt. #2	DAVIS J STAIGE	-	466,600	466,600	466,600	-
049-1-080-007	1 Main Street Unit 2	WALLMAN ELIZABETH ROSALIE &	-	459,800	459,800	459,800	-
SUBTOTAL HOMESTEAD VALUES			929,600	7,437,800	6,508,200	7,437,800	-
HOMESTEAD PROPERTIES EXCLUDED FROM APRIL 1, 2010 TIF #1 BASELINE							
044-2-145-202	35 Cherry ST 202	Wilson, Marcus	-	369,500	369,500	369,500	-
044-2-145-204	35 Cherry ST 204	KAPLAN, DANIEL N	-	511,000	511,000	511,000	-
044-2-145-303	35 Cherry ST 303	DUCKMAN, SHARON	-	442,600	442,600	442,600	-
044-2-145-304	35 Cherry ST 304	Patterson, John and Melinda	-	498,100	498,100	498,100	-
044-2-145-401	35 Cherry ST 401	Brown, Margaret	-	531,600	531,600	531,600	-
044-2-145-403	35 Cherry ST 403	Starr, Robert	-	536,300	536,300	536,300	-
044-2-145-502	35 Cherry ST 502	MCNAMARA MICHAEL	-	537,900	537,900	537,900	-
044-2-145-503	35 Cherry ST 503	ROBINSON OLIN	-	537,900	537,900	537,900	-
044-2-145-601	35 Cherry ST 601	Church, NED	-	619,300	619,300	619,300	-
044-2-145-603	35 Cherry ST 603	BEILSTEIN, THOMAS AND LISA	-	579,500	579,500	579,500	-
044-2-145-604	35 Cherry ST 604	Bolanis Andrew and Anne	-	674,800	674,800	674,800	-
044-2-145-701	35 Cherry ST 701	Wertheimer Allan and Susan	-	652,200	652,200	652,200	-
044-2-145-702	35 Cherry ST 702	HYDE, MERCY R	-	629,000	629,000	629,000	-
044-2-145-804	35 Cherry ST 804	Lawrence, BRADLEY	-	760,700	760,700	760,700	-
044-2-145-901	35 Cherry ST 901	RUSSELL JUDITH T	-	873,800	873,800	873,800	-
SUBTOTAL HOMESTEAD PROPERTIES EXCLUDED FROM 4/1/10 TIF #1 BASELINE			-	8,754,200	8,754,200	8,754,200	-
TOTAL HOMESTEAD			929,600	16,192,000	15,262,400	16,192,000	-

EXHIBIT B							
City of Burlington Waterfront District (TIF #1) Calculation							
All new increment is subject to the New Formula which is under the current State Laws.							
Parcel ID	Location & Description	Property Owners	1996 Original Base Values	April 1, 2010 Base Values	Old Formula Increment	April 1, 2013 Grand List Appraised Value	New Increment
NON-HOMESTEAD PROPERTIES							
043-3-208-001	300 Lake St - Apts 40 units	Waterfront Housing Limited	-	2,259,800	2,259,800	2,259,800	-
043-4-009-002	216 Lake St - Unit 102	Mowery Danielle	75,000	178,300	103,300	178,300	-
043-4-009-004	216 Lake St Unit 104	GLASER Adam T	75,000	178,300	103,300	178,300	-
043-4-009-005	216 Lake St - Unit 105	Peter Pryor	80,000	178,300	98,300	178,300	-
043-4-009-006	216 Lake St Unit 106	Taicher Christopher	80,000	178,300	98,300	178,300	-
043-4-009-008	216 Lake St - Unit 108	Jager Eli	75,000	178,300	103,300	178,300	-
043-4-009-009	216 Lake St Unit 109	BRODMAN DOROTHY	75,000	178,300	103,300	178,300	-
043-4-009-011	216 Lake St - Unit 301	RIMASH Tamare	80,000	178,300	98,300	178,300	-
043-4-009-012	216 Lake St - Unit 302	BRODMAN DOROTHY	75,000	178,300	103,300	178,300	-
043-4-010-000	Initial value before being subdivided	HARBOR INVESTMENTS (Mossman)	-	-	-	-	-
043-4-010-001	200 Lake St UNIT1	Grant MELVIN	490,000	570,400	80,400	570,400	-
043-4-010-003	200 Lake St UNIT3	Mcnelly John & Christine	-	570,400	570,400	570,400	-
043-4-010-004	200 Lake St UNIT 4	HILL John	-	570,400	570,400	570,400	-
043-4-010-006	200 Lake St UNIT6	LEMLEY BARRY M	191,800	565,900	374,100	565,900	-
043-4-010-008	200 Lake St UNIT8	JANSEN, CAROL	191,800	561,100	369,300	561,100	-
043-4-010-009	200 Lake St UNIT 9	ROTH CHARLES D	191,800	561,100	369,300	561,100	-
043-4-010-014	200 Lake St UNIT14	GARDNER VIRGINIA	-	695,800	695,800	695,800	-
043-4-010-015	200 Lake St UNIT15	DAY JOHN JANE	-	665,000	665,000	665,000	-
044-2-001-000	102 Lake Street	Lake & College LLC	805,700	1,575,300	769,600	1,575,300	-
044-2-002-000	112 Lake Street	Haigh Mill Associates	1,765,900	2,014,100	248,200	2,014,100	-
044-2-003-000	86 Lake Street	Lake Street Associates	1,743,800	1,712,400	(31,400)	1,712,400	-
044-2-014-001	67 Burlington Square - MACY'S	The May Department Stores Co	3,100,000	11,123,400	8,023,400	11,123,400	-
044-2-014-004	25 Cherry Street	Burlington Community Development Corp	-	524,900	524,900	524,900	-
044-4-004-000	49 Church Street - MALL	The Burlington Town Center LLC	18,244,300	20,837,900	2,593,600	20,837,900	-
044-4-004-001	5 Burlington Square	The Burlington Town Center LLC	2,800,000	4,117,100	1,317,100	4,117,100	-
044-4-005-000	37 Church ST - Old Navy Clothing Store	Pomerleau Antonio	1,600,800	3,437,200	1,836,400	3,437,200	-
044-4-033-000	75 Cherry St. Land	The Burlington Town Center	-	-	-	956,600	956,600
049-1-075-000	197-209 Battery Street	Stone Store Holdings LLC	2,525,400	3,138,100	612,700	3,138,100	-
049-1-076-000	181 Battery Street (Restaurant)	Spillane Llowell & Susan G	396,700	851,300	454,600	851,300	-
049-1-078-000	0 King ST - Ferry dock	Lake Champlain Transportation	1,782,500	3,816,400	2,033,900	3,816,400	-
049-1-079-000	171 - 177 Battery ST - Office Building	Tarwood NV	866,400	1,555,600	689,200	1,555,600	-
049-1-080.000	1 Main Street - Commercial Office Bldg	Main Street Landing Company	2,300,200	5,858,200	3,558,000	5,858,200	-
049-1-080-001	1 Steele St - 1 RES CONDO	Hart Richard	-	270,000	270,000	270,000	-
049-1-080-002	1 Steele St #2 RES CONDO	LAWRENCE JOHANNA & EMILY	-	167,000	167,000	167,000	-

EXHIBIT B							
City of Burlington Waterfront District (TIF #1) Calculation							
All new increment is subject to the New Formula which is under the current State Laws.							
Parcel ID	Location & Description	Property Owners	1996 Original Base Values	April 1, 2010 Base Values	Old Formula Increment	April 1, 2013 Grand List Appraised Value	New Increment
049-1-080-005	1 Main St. - Com.Office Bldg	Main Street Landing Company	-	471,500	471,500	471,500	-
049-1-080-008	1 Main St. - Com.Office Bldg	Main Street Landing Company	-	474,000	474,000	474,000	-
049-1-081-000	Cornell Trading Upgrade	Cornell Main Street LP	845,400	1,080,800	235,400	1,080,800	-
049-1-082-000	117 Battery ST - conv store	Spillane Llowell & Susan G	422,300	923,400	501,100	923,400	-
049-1-086-000	60 Lake ST	Lake and College LLC	603,500	7,754,500	7,151,000	7,754,500	-
SUBTOTAL NON-HOMESTEAD VALUES			41,483,300	80,149,400	38,666,100	81,106,000	956,600
NON-HOMESTEAD PROPERTIES EXCLUDED FROM APRIL 1, 2010 TIF #1 BASELINE							
044-2-145-001	35 Cherry Street Parking condo	Westlake PARKING LLC	-	129,700	129,700	129,700	-
044-2-145-201	35 Cherry ST 201	Levitt, Michael	-	379,200	379,200	379,200	-
044-2-145-203	35 Cherry ST 203	Stonehenge Investment Corporation INC., Pension Plan	-	437,900	437,900	437,900	-
044-2-145-301	35 Cherry ST 301	KATZ HENRY	-	479,900	479,900	479,900	-
044-2-145-302	35 Cherry ST 302	EVERETT ANNE M	-	463,200	463,200	463,200	-
044-2-145-402	35 Cherry ST 402	PODESTA, CAROLE L	-	517,700	517,700	517,700	-
044-2-145-404	35 Cherry ST 404	Krinsky, Terry and Laquer Brenda	-	552,700	552,700	552,700	-
044-2-145-501	35 Cherry ST 501	NORMSEL DEVELOPMENT SIXTEEN LLC	-	566,400	566,400	566,400	-
044-2-145-504	35 Cherry ST 504	Hubbell, Richard and Dale, Rosemary	-	609,500	609,500	609,500	-
044-2-145-602	35 Cherry ST 602	KESSEL KERRY R	-	610,500	610,500	610,500	-
044-2-145-703	35 Cherry ST 703	COUCH II, EDWARD	-	629,100	629,100	629,100	-
044-2-145-704	35 Cherry ST 704	Carolyn A Chandler Revocable Trust	-	696,600	696,600	696,600	-
044-2-145-801	35 Cherry ST 801	Marier Robert and Joanne	-	684,000	684,000	684,000	-
044-2-145-802	35 Cherry ST 802	McDonnell James and Marion	-	665,700	665,700	665,700	-
044-2-145-803	35 Cherry ST 803	Westlake Residential Partners LLC	-	665,700	665,700	665,700	-
044-2-145-902	35 Cherry ST 902	Russell, George	-	839,500	839,500	839,500	-
044-2-145-904	35 Cherry ST 904	Dousecvicz Carol	-	1,092,200	1,092,200	1,092,200	-
044-2-146-000	25 Cherry Street	Burlington Harbor Hotel GP	-	16,833,400	16,833,400	16,833,400	-
044-2-147-001	41 Cherry ST.	41 CHERRY STREET LLC	-	12,452,500	12,452,500	12,452,500	-
SUBTOTAL NON-HOMESTEAD PROPERTIES EXCLUDED FROM 4/1/10 TIF #1 BASELINE			-	39,305,400	39,305,400	39,305,400	-
TOTAL NON-HOMESTEAD			41,483,300	119,454,800	77,971,500	120,411,400	956,600
TOTAL HOMESTEAD & NON-HOMESTEAD			42,412,900	135,646,800	93,233,900	136,603,400	956,600

EXHIBIT C
City of Burlington Tax Increment Financing (TIF) District #1 - Waterfront District
Schedule of TIF Revenues and TIF Debt Service payments

	FY 1999	FY 2000	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY2013	Total
REVENUES:																
TIF Revenue	-	-	-	282,634	646,294	618,581	821,877	614,141	905,051	1,221,466	1,369,839	1,488,197	1,547,093	1,560,880	1,618,521	12,694,574
Returned TIF to VT (Gilabane)	-	-	-	-	-	-	-	-	(163,177)	-	-	-	-	-	-	(163,177)
Vt Trans. Revenue	-	500,000	250,000	250,000	-	-	750,000	250,000	250,000	250,000	-	-	-	-	-	2,500,000
Vt Sales Tax Reallocation	-	-	159,653	-	69,845	-	-	-	-	-	-	-	-	-	-	229,498
Developer's Payments	-	-	-	-	-	144,037	-	-	-	-	-	-	-	-	-	144,037
Total Revenues	-	500,000	409,653	532,634	716,139	762,618	1,571,877	864,141	991,874	1,471,466	1,369,839	1,488,197	1,547,093	1,560,880	1,618,521	15,404,932
DEBT SERVICE & OPERATING EXP.:																
Section 108 Lake St Reconstruction	20,000	90,948	95,856	93,432	110,167	116,518	107,893	108,847	100,049	163,304	14,692	72,694	71,741	70,382	68,656	1,305,179
Sect 108 Lake St Housing	-	-	-	-	-	12,765	26,410	26,446	26,411	26,411	26,411	26,411	26,411	26,411	26,411	250,498
COPS Urban Reserve	25,304	104,943	103,367	106,680	104,855	102,980	105,957	106,470	106,470	104,026	106,447	108,604	105,591	107,373	108,845	1,507,912
COPS Lakeview Garage	98,423	236,216	476,316	505,716	523,559	535,131	535,884	545,816	540,031	548,529	551,104	298,067	299,640	295,664	296,094	6,286,190
COP Fishing Pier	-	-	22,329	33,924	34,250	34,040	33,802	33,537	33,247	32,930	34,035	33,585	33,590	33,542	32,958	425,769
COPS Lakeview & Westlake Garages	-	-	-	-	-	-	-	-	330,194	635,194	632,994	635,393	632,193	633,593	634,394	4,133,955
Lease - College St Garage Repairs	-	-	-	-	-	-	-	-	-	-	-	-	-	83,447	83,447	166,894
Prof. & Consultant Contractual Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,625	3,625
Fees for Services	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,751	3,751
Bank fees	-	-	-	-	-	-	-	-	-	3,195	-	3,125	2,875	3,000	3,000	15,195
Total Expenditures	143,727	432,107	697,868	739,752	772,831	801,434	809,946	821,116	1,136,402	1,513,589	1,365,683	1,177,879	1,172,041	1,253,412	1,261,181	14,098,968
Net (Revenue Less Expense)	(143,727)	67,893	(288,215)	(207,118)	(56,692)	(38,816)	761,931	43,025	(144,528)	(42,123)	4,156	310,318	375,052	307,468	357,340	1,305,964
Cumulative Net Revenue	(143,727)	(75,834)	(364,049)	(571,167)	(627,859)	(666,675)	95,256	138,281	(6,247)	(48,370)	(44,214)	266,104	641,156	948,624	1,305,964	

Debt Service includes the following: Portions of HUD Section 108 and Certificates of Participation debt service in TIF District and Lease financing

MEMORANDUM

TO: Joint Fiscal Committee; Health Access Oversight Committee

CC: Mark Larson, Commissioner, Department of Vermont Health Access
Douglas A. Racine, Secretary, Agency of Human Services

FROM: Nancy Hogue, Pharm.D., Director of Pharmacy Services

DATE: July 12, 2013

RE: Legislative report: 33 V.S.A. §1998(c)(6) for Quarters 1, 2, 3 and 4 of State Fiscal Year 2013 (July 1, 2012, through June 30, 2013)

33 VSA § 1998. Pharmacy best practices and cost control program established

(c)(1) The director may implement the pharmacy best practices and cost control program for any other health benefit plan within or outside this state that agrees to participate in the program. For entities in Vermont, the director shall directly or by contract implement the program through a joint pharmaceuticals purchasing consortium.

(c)(6) The director, the commissioners, and the secretary shall report quarterly to the health access oversight committee and the joint fiscal committee on their progress in securing Vermont's participation in such joint purchasing agreements.

* * * *

Please accept this memo as the response to the legislative requirement under 33 V.S.A. §1998(c)(6) to report on the establishment of a state drug purchasing consortium.

The Department of Vermont Health Access (DVHA) has identified and met with other state departments who may have interest in participating in a drug purchasing consortium. Discussions with the Department of Corrections (DOC), the Department of Mental Health (DMH) and the Department of Human Resources (DHR) has confirmed that the current drug purchasing arrangements provides each agency the best price available.

DVHA participates in the Federal Omnibus Budget Reconciliation (OBRA) drug rebate program and negotiates additional state supplemental rebates that cannot be shared with other state programs.

These are unique purchasing arrangements with advantages to one population that are not available to the other populations. This negates the value of establishing a consortium for the purpose of leveraging price.

Please contact me with any questions regarding this report summary.

State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 056209-0201
www.adm.state.vt.us

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

To: Members, Joint Fiscal Committee
From: Jeb Spaulding, Secretary of Administration
Date: July 11, 2013
Subject: Report of Completed Transfers – Act 1 Sec. 90



Per Act 1 “An Act relating to Fiscal year 2013 Budget Adjustment” Sec .90 which states:

(b) In fiscal year 2013, the secretary of administration may, upon recommendation of the secretary of human services, transfer unexpended funds between the respective appropriations for correctional services and for corrections services – out –of-state beds. At least three days prior to any such transfer being made, the secretary of administration shall report the intended transfer to the joint fiscal office and shall report any completed transfers to the joint fiscal committee at its next scheduled meeting.

The Joint Fiscal Office was informed by my office on June 6, 2013 that a transfer of \$920,074 from Correctional Services Appropriation to Correctional Services – out of State Beds Appropriations. This was requested by AHS Secretary Racine. The transfer occurred on June 12, 2013.

If you have any questions please contact Aimee Pope, Budget Analyst at 828-6454 or aimee.pope@state.vt.us

CC: Andrew Pallito, Commissioner, Department of Corrections





State of Vermont
 Department of Corrections
 103 South Main Street
 Waterbury, VT 05671-1001
 www.doc.state.vt.us

[phone] 802-241-2442
 [fax] 802-241-2565

Agency of Human Services

To: Jeb Spaulding, Secretary, Agency of Administration
 From: Andrew Pallito, Commissioner, Department of Corrections
 Through: Doug Racine, Secretary, Agency of Human Services
 Dated: June 4, 2013
 Subject: Appropriation Transfer

The Department of Corrections is requesting \$920,074 be transferred from the Correctional Services (3480004000) Appropriation to the Correctional Services – Out of State Beds (3480006000) appropriation. The legislative language supporting this request resides within Act 1 “An Act Relating to Fiscal Year 2013 Budget Adjustment” Sec .90, which states:

(b) In fiscal year 2013, the secretary of administration may, upon recommendation of the secretary of human services, transfer unexpended funds between the respective appropriations for correctional services and for correctional services – out-of-state beds. At least three days prior to any such transfer being made, the secretary of administration shall report the intended transfer to the joint fiscal office and shall report any completed transfers to the joint fiscal committee at its next scheduled meeting.

The Department is requesting these funds be transferred no later than June 10, 2013, in order to establish the necessary actions within VISION to pay May invoices from our out of state bed contractor.

APPROVED

If there are any questions, please do not hesitate to call.

Jeb Spaulding
 Secretary of Administration

Cc: Jim Giffin, CFO, Agency of Human Services
 Sarah Clark, Financial Director, Department of Corrections

Date:

JUN 05 2013






State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.aoa.vermont.gov

[phone] 802-828-3322
[fax] 802-828-3320

Jeb Spaulding, Secretary

MEMORANDUM

TO: Steve Klein, Chief Fiscal Officer
FROM: Jeb Spaulding, Secretary of Administration 
DATE: June 6, 2013
RE: DOC Transfer between Correctional Services and Correctional services Out of State Beds Appropriations in FY2013

Attached is a memo from DOC through AHS Secretary Racine that requests a transfer of \$920,074 in unexpended funds from Correctional Services Appropriation to Correctional Services- Out of State Beds Appropriation in FY2013. This transfer is to occur on June 10, 2013. I have approved this transfer in accordance with Act 1 section 90 (2013 Legislative Session).



original
~~within~~

State of Vermont
Agency of Administration
Office of the Secretary
Pavilion Office Building
109 State Street
Montpelier, VT 05609-0201
www.aoa.vermont.gov

[phone] 802-828-3322
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Building, Secretary

MEMORANDUM

TO: Steve Klein, Chief Fiscal Officer
FROM: Jeb Spaulding, Secretary of Administration
DATE: June 6, 2013
RE: DOC Transfer between Correctional Services and Correctional services Out of State Beds Appropriations in FY2013

Attached is a memo from DOC through AHS Secretary Racine that requests a transfer of \$920,074 in unexpended funds from Correctional Services Appropriation to Correctional Services- Out of State Beds Appropriation in FY2013. This transfer is to occur on June 10, 2013. I have approved this transfer in accordance with Act 1 section 90 (2013 Legislative Session).



State of Vermont
Department of Corrections
 103 South Main Street
 Waterbury, VT 05671-1001
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Agency of Human Services

To: Jeb Spaulding, Secretary, Agency of Administration
 From: Andrew Pallito, Commissioner, Department of Corrections
 Through: Doug Racine, Secretary, Agency of Human Services
 Dated: June 4, 2013
 Subject: Appropriation Transfer

The Department of Corrections is requesting \$920,074 be transferred from the Correctional Services (3480004000) Appropriation to the Correctional Services – Out of State Beds (3480006000) appropriation. The legislative language supporting this request resides within Act 1 “An Act Relating to Fiscal Year 2013 Budget Adjustment” Sec .90, which states:

(b) In fiscal year 2013, the secretary of administration may, upon recommendation of the secretary of human services, transfer unexpended funds between the respective appropriations for correctional services and for correctional services – out-of-state beds. At least three days prior to any such transfer being made, the secretary of administration shall report the intended transfer to the joint fiscal office and shall report any completed transfers to the joint fiscal committee at its next scheduled meeting.

The Department is requesting these funds be transferred no later than June 10, 2013, in order to establish the necessary actions within VISION to pay May invoices from our out of state bed contractor.

APPROVED

If there are any questions, please do not hesitate to call.

Cc: Jim Giffin, CFO, Agency of Human Services
 Sarah Clark, Financial Director, Department of Corrections

Date: _____

JUN 05 2013





NR

State of Vermont

ANR Office of Planning & Legal Affairs

1 National Life Drive

Davis 2

Montpelier, VT 05620-3901

[phone] 802-595-0900

Agency of Natural Resources

TO: The Legislative Joint Fiscal Committee
FROM: Billy Coster, Senior Planner and Policy Analyst
DATE: July 12, 2013
SUBJECT: Annual Report on FERC Bill-Backs

In accordance with Title 30 VSA, subsection 20(a)(2)(C), the Vermont Agency of Natural Resources (Agency) is required to report annually on all personnel costs authorized under that subsection, which were charged to applicants involved in proceedings before the Federal Energy Regulatory Commission (FERC).

For the past fiscal year of July 1, 2012 through June 30, 2013 the Agency has not authorized costs or charged expenditures as authorized under 30 VSA 20(a)(2)(C).

The Agency has, however, incurred costs and authorized expenses to applicant Green Mountain Power in connection with the water quality certification processing for GMP's Waterbury Hydroelectric Project (FERC Project No. 2090). Bill-back authority for water quality certification processing rests in Title 3 VSA 2809(a)(1)(B) not in the Title 30 authority, which is the subject of this report. The total cost of that bill back did not exceed \$6,500.

Please feel free to contact me with any question or with requests for additional information.

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July 12, 2013

STATE OF VERMONT
LEGISLATIVE JOINT FISCAL COMMITTEE
ONE BALDWIN STREET
MONTPELIER, VT 05633-5701

To: The Legislative Joint Fiscal Committee

Enclosed is the Annual Report of costs and expenditures for proceedings of the Federal Energy Regulatory Commission [30 V. S. A. § 20 (b)(9)] covering the period from July 1, 2012 through June 30, 2013.

For this period the Department had costs or expenditures of \$89,877.71 for proceedings at the Federal Energy Regulatory Commission.

Respectfully submitted,



Christopher Recchia
Commissioner
Public Service Department

Enclosure



**Public Service Department Expenditures
Related to Proceedings
At the
Federal Energy Regulatory Commission
For the period
July 1, 2012 through June 30, 2013**

General Description of Activity

The Department takes action at FERC to protect the interest of Vermont ratepayers in many different proceedings. We have FERC counsel on contract to monitor general FERC actions and proceedings and to also represent Vermont's interests in particular proceedings. For example, the Department has been active at FERC in ensuring fairness in cost allocations for utility projects and in ensuring Vermont's interests are represented in New England transmission projects. The issues vary from quarter to quarter but it is crucial to Vermont consumers that the Public Service Department intervenes at FERC when necessary to ensure that the costs flowing back to Vermont ratepayers as a result of FERC activity and proceedings are true, accurate, just and reasonable. The expenditures reported below are consistent with prior periods and are in line with expectations.

Expenditures

For FERC related activity affecting Vermont ¹	\$ 89,574.61
Indirect Expenditures ²	\$ <u>303.10</u>
Total Expenditures ³ for the FY 2013	\$ <u>89,877.71</u>

¹In accordance with Title 30, § 20 (b) (9) the department of public service provides the following quarterly report for expenditures related to FERC proceedings affecting the State of Vermont Utilities for the period July 1, 2012 through June 30, 2013.

§20. Particular proceedings; personnel

(b) Proceedings, including appeals there from, for which additional personnel may be retained are:

(9) Proceedings in the Federal Energy Regulatory Commission which involve Vermont utilities or which may affect the interests of the state of Vermont. Costs under this subdivision shall be charged to the involved electric or natural gas companies pursuant to section 21(a) of this title. In cases where the proceeding is generic in nature the costs shall be allocated to electric or natural gas companies in proportion to the benefits sought for the customers of such companies from such advocacy. The public service board and the department of public service shall report quarterly to the joint fiscal committee all costs incurred and expenditures charged under the authority of this subsection, and the purpose for which such costs were incurred and expenditures made;

²Indirect expenditures include telephone, postage and copying expense.

³ Expenditures include amounts actually paid for the quarter.

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[ttd] 802-253-0191

Agency of Transportation

TO Members, Joint Transportation Oversight Committee
FROM Brian Searles, Secretary of Transportation
DATE 06/27/2013
SUBJECT Purchase of rail bridge inspection vehicle pursuant to Act No 153 of 2012

The Agency of Transportation is proceeding with the purchase of a rail bridge inspection vehicle pursuant to the following authority established by Sec. 13 (c) of Act No. 153 of 2012 which states:

Sec. 13 PURCHASE OF RAIL BRIDGE INSPECTION VEHICLE

(a) A new project is added to the fiscal year 2012 and 2013 transportation program – rail programs for the purchase of a servi-lift rail bridge inspection vehicle (“inspection vehicle”)

(b) Notwithstanding the authorized program spending within the fiscal year 2012 and 2013 transportation program – rail programs, the secretary is authorized to purchase an inspection vehicle using any federal grant funds received for its purchase.

(c) If a federal grant for the purchase of the inspection vehicle is not received or is not pending, notwithstanding the authorized project or activity spending within the fiscal year 2012 and 2013 transportation program – rail programs, the secretary is authorized to use up to a total of \$500,000 00 in transportation funds appropriated to the rail program for the purchase of the inspection vehicle, provided that the purchase does not delay the work schedule of a project or activity programmed in the fiscal year 2012 or 2013 rail programs

(d) The agency shall promptly report any action taken under the authority granted in subsection (b) or (c) of this section to the joint fiscal office and to the house and senate committees on transportation when the general assembly is in session and, when the general assembly is not in session, to the joint transportation oversight committee.

cc: Neil Schickner, Joint Fiscal Office

Paper gone
to Sen. Mazza
6/27.
Email the others
6/27

Theresa Utton-Jerman - JFO #2625 Update

Agenda

From: Nathan Lavery
To: JFC members
Date: 6/11/2013 4:03 PM
Subject: JFO #2625 Update
CC: Klein, Steve; Utton-Jerman, Theresa

Hello Joint Fiscal Committee members,

Per request by Rep. Ancel, the following item will be held for review at the July Joint Fiscal Committee meeting. You may still submit questions related this item to me in the interim, and I forward them to Office of Child Support or VIC for response.

JFO #2625 – Request to establish a \$5 fee per online child support payment to allow non-custodial parents and employers to submit electronic credit card payments via the internet. Joint Fiscal Committee approval of this fee request is required in accordance with 22 V.S.A. § 953 (c)(2).
[JFO received 06/06/13]

Nathan Lavery
Fiscal Analyst
Legislative Joint Fiscal Office
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STATE OF VERMONT
HOUSE OF REPRESENTATIVES
115 STATE STREET
MONTPELIER, VT
05633-5201

March 29, 2013

Rep. Martha Heath, Chair
Sen. M. Jane Kitchel, Vice Chair
Joint Fiscal Committee
Vermont General Assembly

Just File

Not on Agenda
or Web

RE: Northeast Kingdom Economic Impact Analysis

Dear Representative Heath and Senator Kitchel:

As you are well aware, as a result of several high-profile development projects that are in process or in early planning stages, Newport and Orleans County are poised to experience significant growth over the next several years. As a result of a site visit to the area last January, the House Committees on Commerce and Economic Development and on Transportation, working with Speaker Shap Smith, the Joint Fiscal Office, the Agency of Commerce and Community Development, the Department of Labor, and Northeastern Vermont Development Association, have initiated a process to systematically evaluate the potential impacts of projected growth in the region.

The response supported by the Committees is to commission a "Northeast Kingdom Economic Impact Analysis" to be conducted by Tom Kavet, per the attached memorandum. The goal of the analysis is to create a general purpose model that may be used by state and local government bodies and economic development and planning organizations to address long-term planning and public spending issues that may arise.

We write now to formally request that the Joint Fiscal Committee authorize an amount up to \$19,500.00 in funding for the analysis to supplement the funding commitments of our regional partners in this project. We thank you in advance for your consideration. Please do not hesitate to contact us should you have questions or concerns in this matter.

Sincerely,

Rep. William Botzow, Chair
House Commerce and Economic Development Comm.

Rep. Patrick Brennan, Chair
House Transportation Comm.

Rep. Michael Marcotte, Vice Chair
House Commerce and Economic Development Comm.

Rep. David Potter, Vice Chair
House Transportation Comm.

cc: Shap Smith, Speaker, Vermont House of Representatives
John Campbell, President Pro Tempore, Vermont Senate
Steve Klein, Chief Fiscal Officer, Joint Fiscal Office



Kavet, Rockler & Associates, LLC

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Williamstown, Vermont 05679-9003 U.S.A.
Telephone: 802-433-1360
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Cellular: 802-433-1111
E-Mail: tek@kavet.net
Website: www.kavetrockler.com

Memorandum

To: Steve Klein, Chief Fiscal Officer, Joint Fiscal Office
From: Tom Kavet
CC: Rep. Bill Botzow, Chair, House Commerce Committee; Jeff Carr, EPR
Date: February 28, 2013
Re: Northeast Kingdom Economic Impact Analysis

Per our recent telephone conversation and e-mail exchanges with Rep. Botzow, I have outlined below approximate costs and timing for analyzing the economic and demographic impacts associated with the series of proposed and/or currently underway economic development projects in the Northeast Kingdom.

These projects, as reported in the media, include:

- A 75,000-square-foot research tower in Newport for AnC Bio, a South Korean biotechnology firm that will produce stem cells, vaccines and possibly artificial organs. The tower will be located on a 40-acre campus that includes the former Bogner clothing plant, a 90,000-square-foot facility that will begin manufacturing and distributing AnC Bio products in the spring of 2013. To date, a reported \$50 million has been raised for the project, which will cost a total of \$104 million.
- A high-end window manufacturing plant, will also be located on the 40-acre AnC Bio campus. Menck Window Systems, based in Hamburg, Germany, which designs energy efficient windows will locate an operation in Newport that will employ 140 workers. The estimated cost of the plant is \$20 million.
- A marina and grand hotel on Lake Memphremagog in Newport, located near I-91 and a walkable distance from downtown, will feature restaurants, retail space and conference facilities. The 150-suite hotel will accommodate 1,200 people and cost \$100 million to build. The land, now occupied by a retail strip mall, is owned by Burlington real estate developer and Newport native Tony Pomerleau.
- A \$70 million investment in the Renaissance Block, a four-story residential and commercial space near the Orleans County Courthouse in downtown

Newport. The new building would take the place of a row of late 19th century offices and shops on Main Street now owned by the Spates family.

- A new \$22 million, 150,000 square foot Wal-Mart superstore planned for Derby.
- The \$20 million Newport Airport expansion, which will include a 1,000-foot extension of the runway, new hanger space for regional passenger service, a private aviation light plane manufacturing and repair facility, an expanded terminal and a bonded warehouse for free trade zone goods. The expansion will pave the way for small jet (20-seat) service in Newport.
- The Burke Mountain Resort will get a makeover that will include the construction of four "rustic" lodges that will house as many as 1,250 people on the mountain. This fall the company will invest \$1 million in snowmaking upgrades at the ski area. Total investment: \$108 million.
- Jay Peak Resort will receive another \$170 million investment for the West Bowl ski area which will have 15 trails and three lifts and the Stateside project, which includes an 84-unit hotel, 100 dwellings and a medical center.

As requested, this analysis will be coordinated with the State Economist for the Agency of Administration, Jeff Carr, at Economic & Policy Resources, Inc. (EPR), so as to develop consensus estimates.

The primary analytic work and project coordination will be performed by KRA and will be contingent upon receipt of detailed project input data from the developers. This includes construction costs, employment expectations, operational expenditures and phase-in timing. Much of this data already exists by virtue of the funding requirements for the EB-5 program being used to finance all of the above developments except the airport and Wal-Mart store.

If the developer can provide access to the EB-5 data inputs used for the largest project components¹, or a comparable set of descriptive data inputs, either one of which could be maintained as entirely confidential, we can complete the analysis within 6 to 8 weeks at a total cost of not more than \$19,500, including both KRA and EPR costs². If these data cannot be provided by the developer, it could cost as much as \$6-\$10K in additional data collection and processing costs in order to properly specify the models.

¹ It should be noted that we have worked extensively with the economists at the Economic Development Research Group who reportedly prepared the EB-5 analysis for these projects. With approval from the developer, we could access much of the necessary data directly from EDRG.

² Depending upon the status of the REDYN model at the time the analysis is needed, total costs could be as much as \$3K below this maximum.

The analysis will result in a general purpose model that may be used to address longer term planning and public spending issues that may arise as these developments come to fruition, as well as immediate questions such as:

- What are the likely aggregate economic and demographic impacts of these developments on the State and region?
- What are the likely population impacts of these developments and how might they impact area schools and other public institutions?
- What types of jobs will these developments create and how might this impact job training programs?
- How might population changes and in-migration affect local housing development and over what period of time?
- How might local public transit and other transportation infrastructure needs be affected?

Please let me know if you or others have any questions regarding any aspect of this proposed work or would like to discuss further.

Theresa Utton-Jerman - Child Support Payment Web Portal Fee

From:
Date: 7/10/2013 1:14 PM
Subject: Child Support Payment Web Portal Fee

Dear Representative Heath, I understand that on July 23rd, the Joint Fiscal Committee will be discussing a proposal for a web portal convenience fee of \$5 which will enable the Office of Child Support (OCS) through the Vermont Information Consortium (VIC) to offer child support payers and employers the opportunity to make child support payments by credit or debit card. Currently the only way non-custodial parents (NCPs) can pay child support is by cash or check. (OCS receives an average of 17,648 checks per month from both NCP's and employers. Employers can also pay through an electronic funds transfer process.) We support this option and ask that the committee approve this recommendation. By implementing this fee, the OCS hopes to achieve the following: * Provide an additional method for both NCPs and Employers to pay child support utilizing a credit/debit card and an ACH process on-line. * Provide an on-line process for OCS employees to accept a credit/debit card payment at the Regional Office or at Court minimizing the number of cash transactions. * Reduce the current cost of accepting checks. * Modestly increase the amount of child support collected on behalf of children by providing an additional payment method not otherwise available. * Other considerations: * The fee is purely a convenience fee for parents or employers who want to pay child support by credit card. The fee is solely for purposes of offsetting the cost of credit/debit card transactions; the State is not making money from the fee. * For those who do not select this payment option, there is no State fee charged for child support payments made by check, money order, or cash. * In some cases allowing parents to pay by credit/debit card can help them avoid court sanctions or surcharges on unpaid child support balances which could be far more than the fee. OCS feels that the \$5 transaction fee is a reasonable fee for this service for those who would prefer to pay by credit card. This additional service will in the long run also somewhat reduce the costs incurred by the Division for processing paper checks through the lockbox system and the need for OCS staff to handle cash payments in the regional offices and in court. Please feel free to contact me or Roberta Garrand at (802) 279-3879 or via email at Roberta.Garrand@state.vt.us if you have any questions or concerns. The Office of Child Support would appreciate your support in voting yes to the proposed convenience fee. Thank you for your consideration. Sincerely, Jeff Cohen Director, Vermont Office of Child Support 802 769-2128



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 Department of Vermont Health Access
 312 Hurricane Lane Suite 201
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Agency of Human Services

MEMORANDUM

TO: Joint Fiscal Committee; Health Care Oversight Committee

CC: Mark Larson, Commissioner, Department of Vermont Health Access
 Douglas A. Racine, Secretary, Agency of Human Services

FROM: Nancy Hogue, Pharm.D., Director of Pharmacy Services

DATE: July 26, 2013

RE: Follow-up on Memo Dated July 12, 2013; Legislative report: 33 V.S.A. §1998(c)(6) for Quarters 1, 2, 3 and 4 of State Fiscal Year 2013 (July 1, 2012, through June 30, 2013)

On July 12, 2013, the Department of Vermont Health Access (DVHA) submitted a memo to the Joint Fiscal Committee and the Health Care Oversight Committee regarding reporting required in 33 V.S.A. §1998(c)(6). Several committee members raised questions about the DVHA’s efforts to explore a joint pharmaceuticals purchasing consortium. This memo provides a response to those questions.

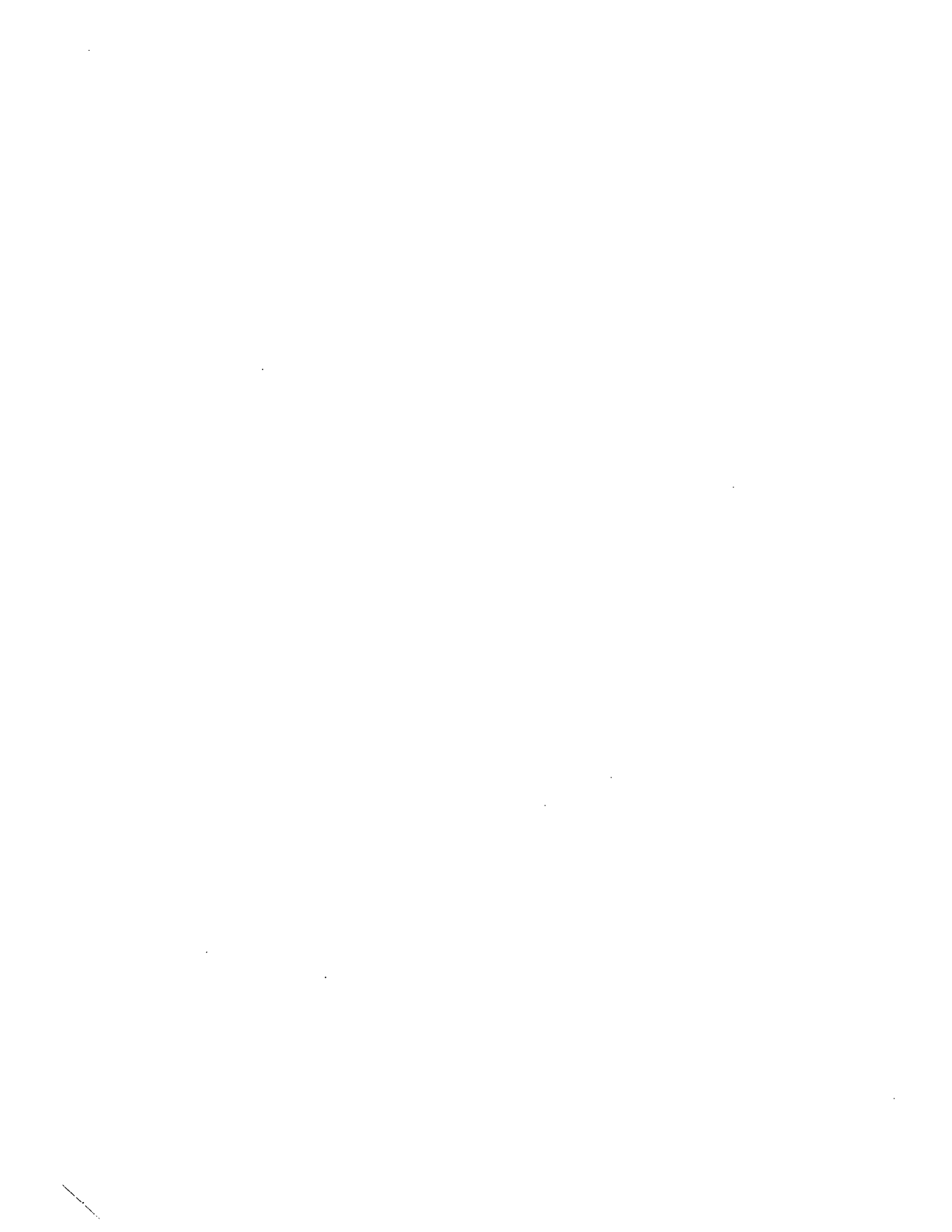
1) Why can’t the OBRA program be used by other state programs within AHS? What is the barrier? Should that be reexamined or questioned?

Authority for DVHA’s pharmacy programs falls under Title 19 of the Social Security Act and applies only to beneficiaries who are eligible for Title 19 programs (http://www.ssa.gov/OP_Home/ssact/title19/1900.htm). This Act dictates that manufacturers who participate in Title 19 (Medicaid) must sign a rebate agreement with CMS and provide rebates back to the states using a pre-defined formula set by the federal government (Chapter 1927). For Vermont, this means that anyone not covered under the Global Commitment Waiver is not eligible to receive drugs under this special pricing methodology

2) How does a population specific arrangement negate the value of a establishing a consortium for the purpose of leveraging price? Who defines the “population”?

The drug needs of each of the state's drug programs (DOC, DMH/VSH, VDH, and DVHA) is quite unique and complex. It is difficult to imagine a state-established consortium that would work to secure more competitive pricing for the state than the current open and competitive bidding process that occurs today. DVHA’s unique drug pricing arrangements are not applicable to other areas within the state due to federal prohibitions.

DVHA believes there are possible opportunities for cost savings through better coordination of care between Departments, for example, between DOC and DVHA to better manage substance abuse in Medicaid eligible populations. Currently, DVHA works collaboratively with VDH and DMH to manage certain conditions and programs (such as drug treatment for mental health



disorders, and the coordination of vaccine programs).

- 3) What is the actual data? I expected there would be an analysis and comparison of costs completed on the most frequent drugs used/ prescribed. Was there?**

Since there was no real opportunity to consolidate purchasing, a financial analysis was not performed.

- 4) Each agency or department has its own drug purchasing program, each presumably paying different (at least for many drugs) prices for the same drugs, but is this overall in the best financial interest of the agencies and departments? And the feds prohibit other agencies and depts from utilizing the DVHA deal with the feds?**

Yes, this is correct. The areas within the state that were examined in 2009 included Corrections, Department of Health, Department of Mental Health (including VSH), and State Employees. Each contracts with specialty pharmacy vendors or purchasing groups specific to the site of service. The Corrections and State Employees contracts with pharmacy vendors were obtained through an open and competitive bidding process with other vendors who specialize in those unique services. VSH participated in hospital-based group purchasing consortiums, or hospital group purchasing organizations (GPO's). VDH obtains vaccines through special pricing obtained through the Centers for Disease Control. There are many niche players in the pharmacy world. For example, there are pharmacy vendors who specialize in managing the Corrections population since they have unique and specific needs. These same vendors are not likely to offer pharmacy services to the commercial or Medicaid populations. The most likely area for consolidation of vendors (and therefore joint purchasing) is between the DVHA Global Commitment populations and the State Employees. Under the single payer plan, it is envisioned that single payer would encompass those insured through DVHA as well as State Employees. This would however need to be negotiated through the bargaining unit.

- 5) Is there a way to allow all departments of state government to get in on DVHA's program, if it actually gets the lowest prices? What prohibits this from happening?**

As mentioned previously, the authority for DVHA's pharmacy programs falls under Title 19 of the Social Security Act and applies only to beneficiaries who are eligible for Title 19 programs http://www.ssa.gov/OP_Home/ssact/title19/1900.htm. This Act dictates that manufacturers who participate in Title 19 (Medicaid) must sign a rebate agreement with CMS and provide rebates back to the states using a pre-defined formula set by the federal government (Chapter 1927). For Vermont, this means that anyone not covered under the Global Commitment Waiver is not eligible to receive drugs under this special pricing methodology.

- 6) Is the intent of this requirement that the legislature be sure that DHVA pursues all possible avenues for cost savings?**

Yes. However, this is a complex system, and not easily consolidated.