



Board/2019/[01]

WORLD INVESTOR WEEK 2018

PUBLIC REPORT

CONTENTS

INTRODUCTION	04
THE WORLD INVESTOR WEEK	05
WIW 2018 RESULTS	06
SUPPORTERS	07
HOW TO PARTICIPATE	09
ABOUT IOSCO	09
REPORTS OF JURISDICTIONS	11
ARGENTINA	12
AUSTRALIA	30
BAHAMAS	33
BANGLADESH	37
BELGIUM	41
BRAZIL	43
BRUNEI	47
CAYMAN ISLANDS	53
CHILE	57
CHINA	62
CHINESE TAIPEI	74
COLOMBIA	78
ECUADOR	82
EGYPT	87
FRANCE	98
GERMANY	101
GIBRALTAR	103
HONG KONG	106
HUNGARY	111
INDIA	115
INDONESIA	121
ISLE OF MAN	132
ISRAEL	137
ITALY	144
JAPAN	148
JERSEY	152

KAZAKHSTAN	154
KENYA	161
LEBANON	165
MALAYSIA	181
MALDIVES	186
MEXICO	195
MOROCCO	198
NEPAL	204
NEW ZEALAND	209
ONTARIO	212
PALESTINE	215
PANAMA	221
PARAGUAY	227
PERU	230
PORTUGAL	234
QATAR	239
QUEBEC	246
ROMANIA	250
RUSSIA	256
RWANDA	264
SINGAPORE	274
SOUTH AFRICA	278
SPAIN	281
SWEDEN	283
UNITED ARAB EMIRATES	285
UNITED STATES	290
ZAMBIA	293
FPSB	297
MEMBERS OF COMMITTEE 8	301

INTRODUCTION

The World Investor Week (WIW) is an initiative of the International Organization of Securities Commissions (IOSCO)¹ that was conceived to raise awareness about the importance of investor education and protection. The project, organized and implemented by IOSCO's Committee 8 on Retail Investors (C8), consists of up to a week of outreach activities carried out by participating IOSCO member jurisdictions. A key objective of the WIW is to highlight the importance of investor education and protection, including in resource-constrained jurisdictions.

By using the IOSCO network to facilitate a coordinated and, therefore, a more powerful approach, the WIW also provides a framework for individual jurisdictions to publicize their investor education and protection initiatives and to deliver more tailored messaging within their jurisdictions.

In October 2018, IOSCO, with the support of other organizations, carried out the second edition of WIW and achieved encouraging results that exceeded the initial goals.

¹The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda.

THE WORLD INVESTOR WEEK

World Investor Week is a week-long, global campaign promoted by IOSCO to raise awareness of the importance of investor education and protection and to highlight the various initiatives of securities regulators in these two critical areas. From 1-7 October 2018, IOSCO securities regulators and other IOSCO members on six continents undertook a range of activities, such as offering investor-focused information and services, promoting contests to increase awareness of investor education initiatives, organizing workshops and conferences, and conducting local/national campaigns in their jurisdictions.

WIW 2018 had two primary objectives, namely (i) to disseminate key messages that support investor education, investor protection and financial literacy; and (ii) to foster learning opportunities for investors.

The campaign also aimed at strengthening collaboration among IOSCO members on investor education and protection initiatives, and raising IOSCO's visibility among key international stakeholders.

At the international level, the 2018 edition of WIW had the following set of objectives (and goals):

- achieving considerable participation of IOSCO members (at least 60); and
- engaging international organizations/forums in the campaign (minimum of 3), by enlisting supporters that endorse the campaign and/or promote events and activities.

A considerable participation of 76 IOSCO jurisdictions was achieved in 2018. One of those jurisdictions has two IOSCO ordinary members, which sums 77 IOSCO members (28.33% above the established goal) participating in WIW 2018. Besides 77 IOSCO members, WIW 2018 had the participation of 8 non-IOSCO jurisdictions. That means a total of 84 different jurisdictions engaged in WIW 2018.

Nine international organizations supported WIW(G20, International Forum for Investor Education, World Bank Group, Inter-American Development Bank (IDB), International Network – Financial Services Ombudsman Schemes, OECD, GFLEC, Sustainable Stock Exchanges (SSE), IFRS), in addition to seven participating regional and global organizations (CFA Institute, Financial Planning Standards Board, Global Financial Literacy Excellence Center (GFLEC), International Forum for Investor Education (IFIE), IFIE Americas Caribbean Working Group, IFRS Foundation, and Union of Arab Securities Authorities (UASA)).

IOSCO members also were encouraged to set their objectives and goals at the local level.

Local members conducted the work in each jurisdiction, and determined the scope and timing of their participation. Only non-commercial activities were permitted and all information was required to be unbiased, with no recommendations made on specific investment products or services.

Non-members were allowed to participate if invited to do so by an IOSCO member. At the national level, self-regulatory organizations, industry associations and market participants, as well as non-governmental organizations (NGOs) were allowed to participate, at the discretion of each jurisdiction.

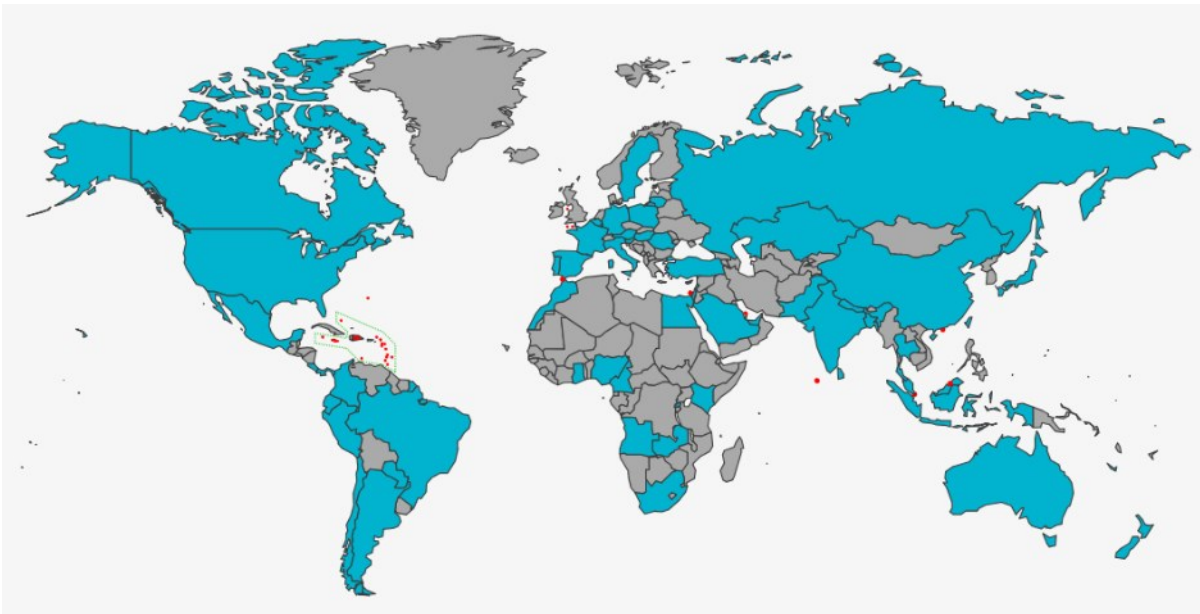
Third parties were barred from supporting the WIW financially, and were required to follow rules laid down in the implementation plan if they wished to take part in the campaign.

WIW 2018 RESULTS

IOSCO asked national coordinators of WIW to fill in an online survey. The results showed encouraging numbers, with 84 participating jurisdictions. In WIW 2019 we had an increase in events promoted and the engagement of more institutions. The response in social media was also noteworthy: websites dedicated to the campaign received more than three times the number of visits in the previous year.

Participating jurisdictions were asked to prepare a public report summarizing the activities they carried out. Those reports are part of this Public Report.

On the map below, the jurisdictions (84) in blue are those that participated in the 2018 WIW².



Participating jurisdictions of WIW 2018. Source: <http://www.worldinvestorweek.org>

²Angola, Anguilla, Antigua & Barbuda, Argentina, Australia, Austria, Bahamas, Bahrain, Bangladesh, Barbados, Belgium, Bermuda, Brazil, British Virgin Islands, Brunei, Cameroon, Cayman Islands, Chile, China, Colombia, Costa Rica, Curacao & Saint Martin, Dominica, Dominican Republic, Ecuador, Egypt, France, Germany, Ghana, Gibraltar, Grenada, Guernsey, Hong Kong, Hungary, India, Indonesia, Isle of Man, Israel, Italy, Jamaica, Japan, Jersey, Kazakhstan, Kenya, Lebanon, Lithuania, Malaysia, Maldives, Mexico, Montserrat, Morocco, New Zealand, Nepal, Nigeria, Ontario (Canada), Pakistan, Palestine, Panama, Paraguay, Peru, Poland, Portugal, Qatar, Quebec (Canada), Romania, Russia, Rwanda, Saudi Arabia, Singapore, Slovenia, South Africa, Spain, Sri Lanka, St. Kitts & Nevis, St. Lucia, St. Vincent & the Grenadines, Sweden, Chinese Taipei, Thailand, Trinidad & Tobago, Turkey, United Arab Emirates, United States, and Zambia.

SUPPORTERS

The original goal was to win the support of at least three international organizations/forums for the campaign, but WIW 2018 surpassed expectations by attracting nine. G20, World Bank Group, International Forum for Investor Education (IFIE), Inter-American Development Bank (IDB), Organization for Economic Cooperation and Development (OECD), International Network of Financial Services Ombudsman Schemes (INFO Network), Sustainable Stock Exchanges (SSE), Global Financial Literacy Excellence Center (GFLEC), and IFRS embraced the World Investor Week project, showing the world that financial education matters and must be taken seriously.

G20

The Group of Twenty (G20) is the premier forum for its members' international economic cooperation and decision-making. Its membership comprises 19 countries plus the European Union. G20 leaders meet annually. In addition, Finance Ministers and Central Bank Governors meet regularly during the year to discuss ways to strengthen the global economy, reform international financial institutions improve financial regulation and implement the key economic reforms that are needed in each member economy.

World Bank

The World Bank is a vital source of financial and technical assistance to developing countries around the world. It is not a bank in the ordinary sense but a unique partnership to reduce poverty and support development. The bank provides low-interest loans, zero to low-interest credits, and grants to developing countries. These support a wide array of investments in such areas as education, health, public administration, infrastructure, financial and private sector development, agriculture, and environmental and natural resource management. Some of its projects are co-financed with governments, other multilateral institutions, commercial banks, export credit agencies, and private sector investors.

IFIE

The International Forum for Investor Education — IFIE — is a unique alliance chartered in 2005 that brings together private sector and public sector providers of investor education to improve the effectiveness of investor education programs around the world. The Forum serves as a clearinghouse for the exchange of information and ideas among providers of investor education from different jurisdictions, including organizations that are just developing or planning to develop investor education programs.

IDB

The Inter-American Development Bank works to improve the lives of people in Latin America and the Caribbean. Through financial and technical support for countries working to reduce poverty and inequality, it helps improve health and education, and advance infrastructure. IDB's aim is to achieve development in a sustainable, climate-friendly way. The Bank's current focus areas include three development challenges – social inclusion and inequality, productivity and innovation, and economic integration – and three cross-cutting issues – gender equality and diversity, climate change and environmental sustainability, and institutional capacity and the rule of law.

OECD

The mission of the Organization for Economic Co-operation and Development (OECD) is to promote policies that will improve the economic and social well-being of people around the world. The OECD provides a forum in which governments can work together to share experiences and seek solutions to common problems. It works with governments to understand what drives economic, social and environmental change. Currently, the OECD is focused on helping governments around the world to restore confidence in markets and in the institutions behind them; return public finances to health as a basis for future sustainable economic growth; foster and support new drivers of growth through innovation, environmentally friendly 'green growth' strategies and the development of emerging economies; and assist people of all ages to develop the skills to work productively and enthusiastically in the jobs of tomorrow.

INFO Network

The International Network of Financial Services Ombudsman Schemes (the INFO Network) is the worldwide association for financial services ombudsmen — independent and impartial out-of-court schemes and offices that resolve complaints brought by consumers (and, in some cases, small businesses) against banks, insurers and/or other financial services providers. Formalized in 2007, the INFO Network facilitates co-operation among its members to build expertise in external dispute resolution by exchanging experiences and information in areas such as scheme/office structures, functions and governance models; scheme/office codes of conduct; use of information technology; handling of systemic issues; cross border referral of complaints; and staff training and continuing education.

SSE

The Sustainable Stock Exchange is a UN Partnership Program of the UN Conference on Trade and Development (UNCTAD), the UN Global Compact, the UN Environment Program Finance Initiative (UNEP FI), and the Principles for Responsible Investment (PRI). Launched in 2009 by the UN Secretary General, it convenes Partner Exchanges from around the world who join the SSE by signing a voluntary public commitment. SSE has a vision of a world where capital market signals align with public policy goals on sustainable development.

Its mission is to build the capacity of stock exchanges and securities market regulators to promote responsible investment in sustainable development and advance corporate performance on environmental, social and governance issues.

GFLEC

The Global Financial Literacy Excellence Center (GFLEC) envisions a world in which individuals have the financial knowledge they need to fully participate in the economy and build secure futures. In working toward that vision, GFLEC has positioned itself as the world's leading incubator for financial literacy research, policy, and solutions.

GFLEC launched in 2011 at the George Washington University School of Business in Washington, D.C. Since then, it has pioneered breakthrough tools to measure financial literacy, developed and advised on educational programs, and crafted policy guidelines aimed at advancing financial knowledge in the United States and around the globe.

IFRS

The IFRS Foundation is a not-for-profit, public interest organization established to develop a single set of high-quality, understandable, enforceable and globally accepted accounting standards—IFRS Standards—and to promote and facilitate adoption of the standards. IFRS Standards are set by the IFRS Foundation’s standard-setting body, the International Accounting Standards Board.

Accounting standards are a set of principles companies follow when they prepare and publish their financial statements, providing a standardized way of describing the company’s financial performance. Publicly accountable companies (those listed on public stock exchanges) and financial institutions are legally required to publish their financial reports in accordance with agreed accounting standards.

HOW TO PARTICIPATE

WIW 2019 will again take place during the second week of October, with participating jurisdictions having the flexibility to organize their activities around this week. Organizations interested in joining WIW can contact the national coordinator in their jurisdiction. A full list of participating jurisdictions and lead organization in each one is available online at <http://www.worldinvestorweek.org/participants.html>.

A website for WIW (www.worldinvestorweek.org) contains useful information for participating jurisdictions and supporting organizations, including press releases and the WIW Implementation Guide.

ABOUT IOSCO

The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda.

IOSCO believes the need for investor education and financial literacy has never been greater than today. As the financial marketplace continues to evolve and innovate, investment products are becoming increasingly complex and financial services increasingly diverse. Retail investors require a greater understanding of key financial concepts to comprehend and evaluate the choices available to them and to avoid financial fraud. Strengthening investor education and financial literacy programs also is essential at a time when responsibility for saving and investing for retirement is shifting from the employer to the individual in many jurisdictions.

In response to these challenges, the IOSCO Board approved the establishment of the Committee 8 on Retail Investors in June 2013. The Committee's primary mandate is to conduct IOSCO's policy work on retail investor education and financial literacy. Its secondary mandate is to advise the IOSCO Board on emerging retail investor protection matters and conduct investor protection policy work as directed by the IOSCO Board. Annex I shows the C8 structure.

WIW is an IOSCO initiative coordinated by Committee 8 with the support of IOSCO's General Secretariat. Committee 8 established a WIW Working Group³ to take the project forward and to act as regional coordinators.

The General Secretariat, in turn, is the point of contact for all IOSCO members, committees and task forces, and is responsible for the day-to-day functioning of IOSCO.

The Secretary General and the Deputy Secretary General manage and coordinate the Secretariat's work, and perform duties of high-level representation with institutions such as the Financial Stability Board (FSB), the Basel Committee on Banking Supervision (BCBS), and the Committee on Payments and Market Infrastructures (CPMI). They also provide support to members and ensure the smooth and effective functioning of the Board, the Growth and Emerging Markets Committee, the Affiliate Members Consultative Committee, the regional and policy committees and the task forces. The Secretary General and his deputy seek to ensure transparency, inclusivity and accountability throughout the organization. They engage regularly with political and industry representatives.

CONTACT:

<http://www.worldinvestorweek.org/contact.php>

³ Members of the working group include: CVM Brazil and US SEC (co-chairs), CNBV (Mexico), CNMV (Spain), CNV (Argentina), CONSOB (Italy), CSRC (China), FSA (Japan), IFSA (Indonesia), ISA (Israel), US FINRA, JSDA (Japan) and SC (Malaysia).

REPORTS OF JURISDICTIONS

ARGENTINA

WORLD INVESTOR WEEK 2018

National Coordinator: María Geandet

IOSCO member: Comisión Nacional de Valores (CNV)

Other participants:

1. ASOCIACIÓN CONCIENCIA
2. ASOCIACIÓN DE BANCOS ARGENTINOS (ADEBA)
3. ASOCIACIÓN DE BANCOS DE LA ARGENTINA (ABA)
4. ASOCIACIÓN DE BANCOS PÚBLICOS Y PRIVADOS DE LA REPUBLICA ARGENTINA (ABAPPRA)
5. BAHÍA BLANCA SOCIEDAD DE BOLSA S.A. (ALYC-PROPIO N° 120)
6. BALANZ CAPITAL VALORES S.A.U. (ALYC-PROPIO N° 210)
7. BANCO GALICIA
8. BECERRA BURSÁTIL S.A. (ALYC-PROPIO N° 177)
9. BOLSA DE COMERCIO DE BAHÍA BLANCA
10. BOLSA DE COMERCIO DE BUENOS AIRES
11. BOLSA DE COMERCIO DE ENTRE RIOS
12. BOLSA DE COMERCIO DE LA PLATA
13. BOLSA DE COMERCIO DE ROSARIO
14. BOLSA DE COMERCIO CONFEDERADA S.A.
15. BOLSA DE COMERCIO MAR DEL PLATA S.A.
16. BOLSAS Y MERCADOS ARGENTINOS (BYMA)
17. BANCO DE VALORES S.A.
18. BANCO PATAGONIA S.A.
19. BANCO SANTANDER RIO S.A.
20. CAJA DE VALORES S.A.
21. CÁMARA ARGENTINA DE AGENTES DE NEGOCIACIÓN (CADANE)
22. CÁMARA DE AGENTES Y SOCIEDADES DE BOLSA DE ROSARIO

23. CENTRO DE INNOVACIÓN Y DESARROLLO DE EMPRESAS Y ORGANIZACIONES (CIDEM)
24. CLUB MAS FINANZAS
25. COLEGIO DE GRADUADOS EN CIENCIAS ECONÓMICAS DE TUCUMÁN
26. CONFEDERAR NEA S.G.R.
27. CONSEJO PROFESIONAL DE CIENCIAS ECONÓMICAS DE SAN JUAN
28. CUYO AVAL S.G.R.
29. ESCUELA DE NEGOCIOS, FACULTAD DE CIENCIAS ECONÓMICAS - UCA
30. FINGURU
31. FUNDACIÓN BOLSA DE COMERCIO DE BAHÍA BLANCA
32. FUNDACIÓN BOLSA DE COMERCIO DE BUENOS AIRES
33. FUNDACION BOLSA DE COMERCIO MAR DEL PLATA
34. FUNDACIÓN MATBA
35. FUNDACIÓN UADE
36. GUAPALETAS
37. HAVANNA HOLDING S.A.
38. INSTITUTO ARGENTINO DE MERCADO DE CAPITALS (IAMC)
39. INTEGRAR S.A. (ALYC-PROPIO N° 261)
40. J.P. MORGAN ARGENTINA S.A.
41. LEIVA HERMANOS S.A. (ALYC-PROPIO N° 630)
42. MAR DEL PLATA BURSATIL S.A. (ALYC-PROPIO N° 140)
43. MERCADO A TÉRMINO DE BUENOS AIRES (MATBA)
44. MERCADO ARGENTINO DE VALORES (MAV)
45. PORTFOLIO S.A. (AP N° 493)
46. RESEARCH FOR TRADERS
47. ROFEX S.A.
48. ROFEX TRADING SCHOOL (TRS)

49. SAN JUAN BURSÁTIL S.A (ALYC-PROPIO N° 342)
50. UNIVERSIDAD AUSTRAL
51. UNIVERSIDAD ARGENTINA DE EMPRESAS (UADE)
52. UNIVERSIDAD CATÓLICA ARGENTINA (UCA)
53. UNIVERSIDAD DE BUENOS AIRES – FACULTAD DE CIENCIAS ECONOMICAS
54. UNIVERSIDAD DE PALERMO
55. UNIVERSIDAD DE SAN ANDRÉS
56. UNIVERSIDAD DEL ACONCAGUA
57. UNIVERSIDAD DEL CEMA (UCEMA)
58. UNIVERSIDAD DEL SALVADOR (USAL)
59. UNIVERSIDAD NACIONAL DEL SUR
60. UNIVERSIDAD NACIONAL DE TRES DE FEBRERO (UNTREF)
61. UNIVERSIDAD SIGLO XXI – ESCUELA DE NEGOCIOS
62. UNTREF - AGENTE DE CALIFICACIÓN DE RIESGO UNIVERSIDAD PÚBLICA
63. YPF S.A.

CNV Argentina coordinated the WIW 2018 Agenda, which included 38 activities carried out by 63 institutions including capital market actors (stock exchanges and brokers), universities and associations. The activities took place in 14 cities across the country and by internet.

School and university students, young professionals and young entrepreneurs, participated in the activities. The activities included: seminars, workshops, talks and conferences given by market specialists, and educational videos.

The topics promoted during the WIW 2018 considered the Capital Market role; investment instruments, investor protection, SME´s; etc.

Furthermore, CNV perform the official launch of WIW 2018 at the Centro Cultural de las Ciencias del Ministerio de Ciencia, Tecnología e Innovación Productiva. The event included talks, games and videos for 430 students from 9 public and private high schools.

With regard to the disclosure of the WIW agenda, the CNV disseminated these activities through the website designed for this purpose.

On the other side, the participating institutions disseminated the campaign through: graphic communications media, radio programs, e-mails, social networks (Twitter, Facebook, Instagram, LinkedIn, YouTube) through newsletter and posts, web pages, press releases, virtual flyers, etc.

All the institutions which decided to participate in the WIW, promoted the campaign and the financial education activities from Argentina.

The total attendance to the activities reached 7.760 people and by the social network they reached more than 300.000 people.

WIW 2018 increased the participation from 2017, meaning a successful way to disseminate through Argentina the importance on financial education and inclusion of young people, giving them the tools and knowledge to be better prepared for their future.

It is important to highlight the support of the G20 Argentina and the Ministry of Treasury, to the activities carried out in the WIW 2018.

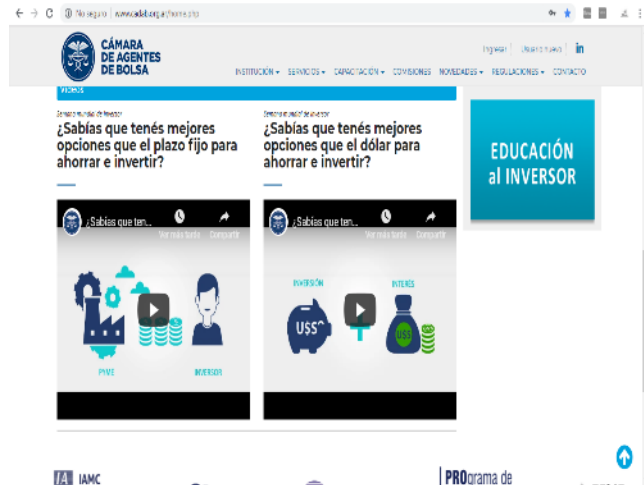














 **Franco Cipriani** @CiprianiFranco · 1 h

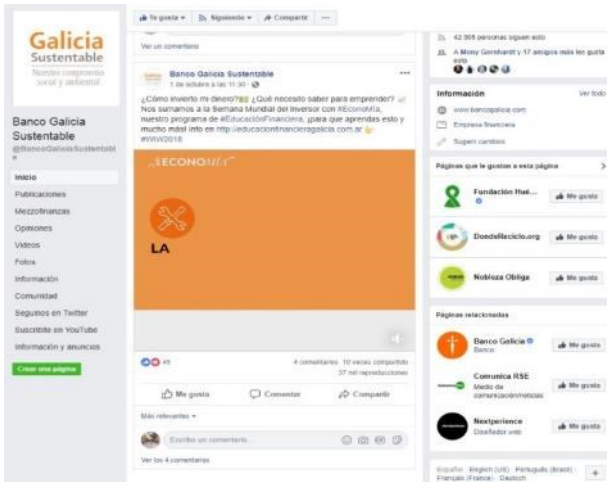
Jointly with @BalanzCapital we are proud to be a part of this event. Special thanks to @CNVArgentina who is making an amazing work organizing it in Argentina. Undoubtedly it will be a week to remember. @IOSCOWIW #IOSCOWIW #WIW2018 #worldinvestorweek



World Investor Week @IOSCOWIW
 IOSCO members around the world to celebrate World Investor Week Oct.1-7 worldinvestorweek.org
 #IOSCOWIW #WIW2018 #worldinvestorweek

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¿Cómo invierto mi dinero? ¿Qué necesito saber para emprender? Nos sumamos a la Semana Mundial del Inversor con #EconoMía, nuestro programa de #EducaciónFinanciera, para que aprendas esto y mucho más! Info en <http://educacionfinancieragalicia.com.ar> #WIW2018

ECONOMÍA
LA

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Galicia Sustentable @GaliciaRSC · 5 oct.

Nos sumamos a la Semana Mundial del Inversor con #EconoMía, nuestro programa de #EducaciónFinanciera, y hoy estuvimos en Pilar y Córdoba contando ¿Cómo invierto mi dinero? ¿Qué necesito saber para emprender? entre otros temas! Conocé más en educacionfinancieragalicia.com.ar #WIW2018



Galicia Sustentable @GaliciaRSC · 3 oct.

EconoMía, el taller de #EducaciónFinanciera de @BancoGalicia se suma a la #SemanaDelInversor

CNV Argentina @CNVArgentina
 Hay actividades en varios puntos del país con propuestas novedosas. No dejes de consultar la agenda para aprender más sobre el mercado de capitales #EducaciónFinanciera #Futuro...

Galicia Sustentable @GaliciaRSC · 1 oct.

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MERCADOS | El mercado celebra la Semana Mundial del Inversor

La Organización Internacional de Comisiones de Valores (IOSCO) organiza a partir de mañana y hasta el 7 de octubre la Semana Mundial del Inversor, un evento que busca sensibilizar sobre la importancia de la educación y la protección de los inversores en todo el mundo.

Esta segunda edición de la Semana Mundial del Inversor cuenta con el apoyo de la presidencia Argentina del G20 además de varias instituciones internacionales como el Banco Mundial, el Banco Interamericano de Desarrollo (BID) y la Organización para la Cooperación y el Desarrollo Económico (OCDE).

La IOSCO, organización en la que se integra la Comisión Nacional del Mercado de Valores (CNMV), ha explicado que la colaboración de estas organizaciones internacionales complementará los eventos, talleres, conferencias y otras actividades que los miembros de la Organización han programado para esta semana.

La CNMV también desarrollará alguna iniciativa entre el 8 y el 15 de octubre.

Home > ESTILO
Educación financiera

La presidencia del G20 y las organizaciones internacionales apoyan la Semana Mundial del Inversor

08:24 | 04/10/2018

incluye: | INVERSIÓN DE | COMERCIALIZACIÓN DE INSTRUMENTOS FINANCIEROS DE ALTO RIESGO EN SU PAÍS DE ORIGEN



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MI DINERO

Mendoza celebra la Semana Mundial del Inversor

Miércoles, 3 de octubre de 2018

Por Sección Economía



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mdz

ARGENTINA

Mendoza no se queda afuera de la Semana Mundial del Inversor

Durante la primera semana de octubre se está llevando a cabo este evento internacional para dar a conocer las ventajas que brinda el Mercado de Capitales como herramienta de ahorro e inversión.

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Vinculación Extensión

Jornada sobre Mercado de Capitales: abiertas

25 septiembre, 2018

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"Mercado de Capitales: Inversión, Cobertura y Financiamiento" se trata de una Jornada llevada adelante en el marco de la Semana Mundial del Inversor, organizada por IOSCO (International Organization of Securities Commissions) y en Argentina por la Comisión Nacional de Valores (CNV).

La misma se llevará adelante el **miércoles 3 de Octubre de 8:30 a 13:30 hs** en el **Hotel Howard Johnson** de la ciudad de Paraná (Av. Luis Etcheverre 331). Se contará con la presencia de disertantes de BYMA, ROFEX, MAV y MATBA, quienes expondrán sobre sus modalidades de operatoria, y también de CNV.

Aquellos interesados en participar deben enviar correo electrónico a extension@fcoco.uner.edu.ar hasta el **jueves 27/9** detallando apellido, nombre y DNI.

Finanzas Públicas Fondos comunes de inversión Hitocomisos Financiero Empresas Entidades Financieras

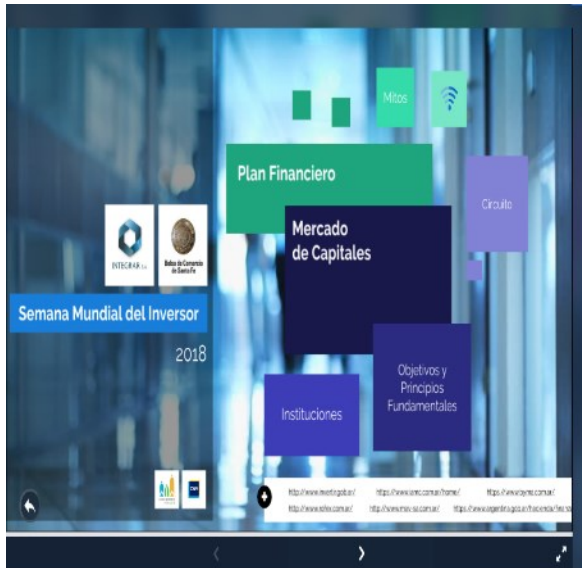
UNTREF EN LA SEMANA MUNDIAL DEL INVERSOR 2018 ORGANIZADA POR IOSCO-CNV

Ver videos

DOCUMENTOS

1. Estructura y Organización del Mercado de Capitales Presentación SMI 2018 Boris Ramos
2. Sanción del reglamento y los agentes Presentación SMI 2018 Mabeludame
3. UNTREF como Agente de Calificación de Riesgos Presentación SMI 2018 Fabian Kissner
4. Verificas de Inversión Presentación SMI 2018 Walter Seelstro
5. Calificación de Riesgo Presentación SMI 2018 Mercedes Sola

Denunciar abuso | Política de Privacidad | Finanzas Públicas | Fondos Comunes de Inversión | Hitocomisos Financiero | Empresas | Grupos de Con



Plan Financiero

Mercado de Capitales

Credito

Objetivos y Principios Fundamentales

Instituciones

Semana Mundial del Inversor 2018

IOSCO

Comisión Nacional de Valores

<https://www.iosco.org/> <https://www.cnv.com.ar/home/> <https://www.cnv.com.ar/> <https://www.iosco.org/af/afcomunicacion/>

CONSEJO PROFESIONAL DE ECONOMISTAS DE SAN JUAN



INSTITUCIONAL SECRETARÍA TÉCNICA MATRICULACIÓN CAPACITACIONES Y EVENTOS INFORMACIÓN SERVICIOS CONTACTO

JORNADA DE CAPACITACIÓN POR LA SEMANA MUNDIAL DEL INVERSOR EN EL CPCE



Evento lanzamiento Semana Mundial del Inversor

2 de octubre 14:30 hs
Centro Cultural de la Ciencia
Godoy Cruz 2270 PB Salón Auditorio

Envía mail para inscribirte a SMI-Argentina@cnv.gov.ar

Aprende sobre el mercado de capitales
para proyectar tu futuro

Los esperamos!

Seguí el evento en redes y compartí

◆ #IOSCOWIW2018 ◆ #World Investor Week ◆ #WIW2018



AUSTRALIA

WORLD INVESTOR WEEK 2018

National Coordinator:Australian Securities & Investments Commission

Other IOSCO members:

Other participants:

ASIC's MoneySmart website: ASIC's MoneySmart homepage promoted the '[Invest Smarter](#)' webpage (see attached website screengrab). The webpage was ASIC's MoneySmart most popular homepage feature during World Investor Week, with 221 clicks (just over 30% of total).

ASIC's MoneySmart investing content was viewed almost 40,000 times during World Investor Week, with the following pages being the most popular content:

- [Smart ways to invest \\$10,000](#)
- [How to buy and sell shares](#)
- [Invest smarter](#)
- [Exchange traded funds](#)
- [Choosing a managed fund](#)

ASIC's MoneySmart Tips newsletter promoted the Invest Smarter article. It was the most popular item for October with 1,149 clicks.

Social media: ASIC's MoneySmart shared a number of posts on Facebook and Twitter during the week, highlighting investment information. The social posts included information on:

- The golden rules of investing;
- Improving understanding of investment concepts;
- Knowing the signs of an investment scam;
- Working out your financial goals and allocating a time frame to achieve each goal;
- An explanation of different types of investments and how to build an investment portfolio;

The social posts reached over 52,000 people and generated more than 6,000 engagements with our content. A sample of Twitter posts and the MoneySmart homepage are provided below.



[Managing your money](#) | [Borrowing & credit](#) | [Insurance](#) | [Superannuation & retirement](#) | [Investing](#) | [Savings](#) | [Life events & you](#) | [Teaching](#) | [Calculators & resources](#)

Getting the best deal on your mortgage?
[Check the switching calculator](#)

Must reads

<p>Life insurance through super</p>	<p>Invest smarter</p>	<p>Streamline your credit card debt</p>	<p>Stay on top of a rate rise</p>
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Guidance and support

<p>Build your wealth Guidance for investors and savers</p>	<p>Resources for teachers How teachers can use MoneySmart</p>
<p>Debt help Tips if you're struggling with money</p>	<p>Supporting older Australians How to help seniors with money decisions</p>
<p>Get on track How to get your money organised</p>	<p>Indigenous Money tips for Aboriginal and Torres Strait Islander people</p>

<p>Top calculators</p> <ul style="list-style-type: none"> Budget planner Mortgage calculator Income tax calculator Compound interest calculator Personal loan calculator Retirement planner Superannuation calculator 	<p>Search</p> <ul style="list-style-type: none"> Unclaimed money Calculators & apps Financial advisers Financial counsellors Unlicensed companies ASIC lists Financial infographics 	<p>Quick links</p> <ul style="list-style-type: none"> Publications Videos How to complain Other languages Under 25s Over 55s Indigenous 	<p>Viewed recently</p> <ul style="list-style-type: none"> Sending money overseas Logos Copyright Home Mortgage switching calculator
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MoneySmartTeam @MoneySmartTeam · Oct 3

How much do you know about risk and diversification when investing? Take our investing challenge to test yourself and your friends. #WIW2018

Invest smarter | ASIC's Money Smart
 Do you want to learn how to invest smarter? ASIC's MoneySmart website explains how to invest and grow your portfolio.
moneysmart.gov.au

| 9 | 11 |

BAHAMAS

WORLD INVESTOR WEEK 2018

National Coordinator: Securities Commission of The Bahamas

Other IOSCO members: N/A

Other participants: N/A

The Securities Commission of The Bahamas participated in an array of activities to formally celebrate World Investor Week (WIW) 2018. During this period the Commission sought to: (i) raise awareness about the role of the regulator as the guardian of the capital markets; (ii) improve the Commission's social media presence and engagement and; (iii) disseminate timely investor education and protection messages.

Proclamation from the Prime Minister, Dr. the Most Hon. Hubert A. Minnis, declaring the week 1-7 October 2017 "Investor Education Week" in The Bahamas

The Prime Minister issued a proclamation designating the week of 1-7 October 2018 "Investor Education Week" to bring about awareness of and sensitise the public to the importance of investor education and to support the Securities Commission's investor education efforts during WIW. The proclamation was published in the local newspapers and to the Commission's website and Facebook page. During the month of October, the Proclamation was viewed on Facebook by approximately 199 persons. The newspapers estimated circulation of around 18,000 people throughout the archipelago, which has a population of around 390,000.

Click the link below to access a copy of the official proclamation:

<http://www.scb.gov.bs/documents/2018%20proclamation.pdf>

The Launch of the "How I Did It! Video Trilogy

The Commission launched a video trilogy that focussed on prominent Bahamians sharing key steps, attitudes, and experiences that were essential to their financial success. The featured Bahamians were Mr. Gowon Bowe, CFO, Fidelity Bank and Trust; Ms. Wendy Warren, Founder and Managing Director, Caystone Solutions; and Sir. Franklyn Wilson, KCMG, Chairman, Sunshine Holdings Limited all of whom have contributed to the success of the financial services industry in The Bahamas. The video trilogy had a total reach of 46,183 Facebook users. The average video watch time was approximately 12.67 seconds, total views were 22,255 and the total minutes viewed was 14,764.



The links below provide access to each of the videos:

<https://www.facebook.com/securitiescommission.bah/videos/312791319302494/>

<https://www.facebook.com/securitiescommission.bah/videos/689825791392613/>

<https://www.facebook.com/securitiescommission.bah/videos/1731328483642958/>

The Physical and Electronic Launch of the “Make Your Money Work For You: A Guide to Investing”



During WIW the Commission launched physical and electronic copies of the “Make Your Money Work For You: A Guide to Investing” publication. It was created to give young Bahamians information to improve their financial literacy and become more knowledgeable about investing. The step-by-step guide to investing, covers the fundamental principles of investing from saving and budgeting, to investment strategies and investment products. The publication was published to the Commission’s website and Facebook page. The publication was accessed 199 times via the Commission’s Facebook page. Additionally, approximately 140 copies of the publication were distributed to a local junior investor programme.

The publication may be accessed at the link below:

<http://www.scb.gov.bs/documents/Making%20Your%20Money%20Work%20For%20You.pdf>

Voices of the Caribbean

The Commission collaborated with the other members of the International Forum for Investor Education (IFIE) Americas Chapter, Caribbean Working Group to produce a video entitled “Voices of the Caribbean” that focused on the importance of investing, and financial literacy and resilience at all stages in the life cycle. This initiative allowed regulators across the Caribbean to share capacity and resources while simultaneously garnering Caribbean wide exposure and engagement. The Voices of the Caribbean video has amassed a total of 120 views via the Commission’s Facebook page.

Below are photos of Commission staff holding message boards that were incorporated into the Voices of the Caribbean video as part of the Commission’s contribution to the project.



The link below provides access to the Voices of the Caribbean video.



https://www.youtube.com/watch?v=ilqeyDfhyZQ&feature=player_embedded

Daily Investor Education Posts to the Commission's Facebook page

During WIW two daily posts were made to the Commission's official Facebook page. The messages used were provided in the IOSCO's WIW resource kit. Total reach, engagement and reactions to the posts are approximately 21,197, 734 and 145, respectively.

The messages the Commission focused on were:

- "A smart investor verifies that an investment professional is verified"
- "A smart investor avoids "get rich quick" and "can't lose" schemes"
- "A smart investor understands that risk exists in all investments"
- "A smart investor conducts research on a product before investing"
- "A smart investor assesses the impact of fees when choosing an investment"
- "A smart investor recognizes the importance of diversification"
- "A smart investor does not forget about the importance of due diligence when considering investments in online and digital environments"
- "A smart investor recognizes the red flag warning signs of online investment fraud"

BANGLADESH

WORLD INVESTOR WEEK 2018

Bangladesh Securities and Exchange Commission (BSEC) has successfully observed the 'World Investor Week 2018' during October 7-11. All the associated organizations actively participated in the week-long program. As per IOSCO guideline, the program was mainly for retail investors and we got huge responses from their end in terms of participation as well as enthusiasm. Bangladesh capital market is mainly dominated by retail investors. The percentage of retail investors is quite high in comparison with any other capital market of the world. In addition, as BSEC has started its Nationwide Financial Literacy Program since January 2017, we can undoubtedly say that this initiative of IOSCO has a tremendous impact on the capital market of Bangladesh and its investors. Like last year, the WIW really creates positive resonance amongst the investors. Inclusion of investors, government officials, experts etc made the week worthy which has sustainable effect on capital market.

BSEC arranged a press conference on 04 October 2018 to highlight the week-long program. Mr. Abul Maal Abul Muhith, MP, Hon'ble Finance Minister, Government of People's Republic of Bangladesh has inaugurated the "World Investor Week 2018" on October 7, 2018. In the inauguration ceremony, the Hon'ble Finance Minister reminded about the risk of investing in capital market and asked investors to know, understand and analyse the market before investing money. Other invited speakers echoed the voice of Finance Minister and focused on taking calculative risk by thorough assessment. Secretary of Financial Institutions Division of the Ministry of Finance was also present in the program.

BSEC Chairman Dr. M. Khairul Hossain said it is a matter of joy that all stakeholders of Bangladesh capital market are actively participating in the World Investor Week program of IOSCO with other countries of the world. He asked investors to increase their awareness regarding capital market by participating in different Financial Literacy Programs of BSEC. He also stated that the matters relating to Financial Literacy have been taken into highest consideration and these will be reached throughout the country by short term and long term programs of BSEC.

Meeting, Workshop, Seminar etc. regarding Investor education and protection at Dhaka and other cities were arranged by different stakeholders, professional bodies and universities throughout the week. Different types of issues like Importance of Financial Literacy, Investors' protection, Investors' Right, Investor awareness program etc were discussed during this period.

Chairman, BSEC also expressed his gratefulness to all who were associated with this weeklong program and made this event a truly successful one.





BELGIUM

WORLD INVESTOR WEEK 2018

National Coordinator: Financial Services and Markets Authority (FSMA)

Other IOSCO members:

Other participants:

The Financial Services and Markets Authority (FSMA) communicated about World Investor Week to the public and to the media in Belgium.

The FSMA published two press releases on its website to announce the launch of World Investor Week and the end of this thematic week.

The FSMA was also active on social media (Twitter and LinkedIn) to help widely disseminate the message of World Investor Week. It posted several items during the week and shared the WIW's official posts.

The FSMA also took part in the campaign 'Trop beau pour être vrai/Te mooi om waar te zijn' (which means 'too good to be true'). This campaign was carried out at the national level and was conducted in collaboration with various partners. The first stage of this campaign focused on fraud with cryptocurrencies and the second stage was devoted to investment fraud. The campaign was in line with the objective of World Investor Week in the sense that it aimed at increasing investors' awareness of financial fraud.

Finally, the FSMA delivered two TV/radio interviews in which it mentioned the importance of financial education and awareness-raising of the general public about financial fraud.



BRAZIL

WORLD INVESTOR WEEK 2018

National Coordinator:

Comissão de Valores Mobiliários - CVM
(Securities and Exchange Commission of Brazil)

Other IOSCO members:

Other participants: B3, Sicoob, CIEE, Escola de Educação Financeira, Planejar, PUC, Faculdade Anhembi Morumbi, UNEB, UFPE, UEPA, Biblioteca João do Rio, UEMA, Faculdade Santa Marcelina, UNIFOR, UFRRJ, Unigranrio.

During World Investor Week, from October 1st to 7th, several events and activities took place aiming at spreading IOSCO's key messages. Activities carried out in Brazil include:

- 82 presential events that reached 4,298 people.
- 10 online events with an audience of over 14,993 people.
- Some presential events exceeded the mark of one thousand attendees along the week, as seen at Espaço Raymundo Magliano Filho, where 1,482 visitors enjoyed a data-rich promenade about the history of stock markets.



De 1 a 7 de outubro.




A World Investor Week é uma campanha mundial de uma semana promovida pela IOSCO para aumentar a conscientização sobre a importância da educação e proteção dos investidores, e destacar as várias iniciativas dos reguladores de valores mobiliários de diversos países nessas áreas.

No Brasil, a World Investor Week 2018 é coordenada

pela CVM com a promoção de iniciativas com foco na proteção e educação do investidor, bem como educação financeira, incluindo a realização de palestras, workshops, cursos presenciais e à distância, divulgação de mensagens por redes sociais, lançamento de projetos educacionais e divulgação de vídeos com temas de interesse do público.

Todas as atividades são gratuitas.
Venha participar da WIW 2018.

Confira a programação em:
semanadoinvestidor.cvm.gov.br



PROMOVIDO PELA IOSCO De 1 a 7 de outubro.

WORLD
INVESTOR
WEEK 2018

Na Semana Mundial do Investidor, a CVM oferece diversos eventos gratuitos sobre educação financeira e proteção do investidor. Confira.

Eventos na CVM/SP

1 OUT
Prevenção a lavagem de dinheiro 10h às 12h

1 OUT
Pecados do investidor 18h às 20h

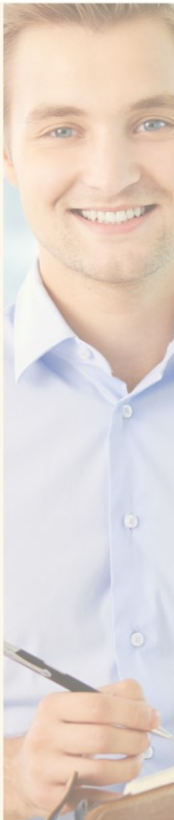
3 OUT
Formação de preferência em decisões de investimento financeiro 12h às 13h

3 OUT
Novas formas de investimentos 15h às 17h

3 OUT
Psicologia econômica e Ciências Comportamentais: produção acadêmica no Brasil 17h30 às 19h30




4 OUT
Criptoativos podem ser valores mobiliários? A emissão de tokens e os desafios para a regulação 14h30 às 17h

5 OUT
As plataformas de negociação de criptoativos e a regulação do mercado secundário de valores mobiliários 14h30 às 17h



Todas as palestras são gratuitas!
Inscreva-se e participe. Vagas limitadas.
semanadoinvestidor.cvm.gov.br

A World Investor Week é uma campanha mundial de proteção e educação dos investidores, bem como educação financeira. Promovida pela Organização Internacional das Comissões de Valores (IOSCO), a iniciativa congregará a oferta de oportunidades educacionais à população de diversos países, oferecidas por todos os membros da organização.

- The Securities and Exchange Commission of Brazil (CVM), through its Financial Education for Youngsters project, offered lectures for hundreds of students, given by enthusiastic and charismatic speaker Mr. Fly, among others. A successful art director in Brazil's biggest communication network, who was born in poverty, succeeded as a professional dancer, almost bankrupted due to financial mismanagement, but eventually survived (as a rich man) to tell his story to the new generations.
- Activities during World Investor Week covered a wide range of topics. During the 1st College Week of the Business School of PUCRS, stock market themes such as Fintech, Blockchain and Crypto-assets were discussed with professors, students and professionals from the industry. The event was possible through a partnership of Sebrae, PUC and CVM.

- Aiming at increasing the awareness of general public to the advantages of stock market, CVM promoted the first edition of a Stock Market face-to-face course, with 20 hours of workload and a total of 40 participants. All of them received a certificate of attendance. The course took place on October 1st to 5th, at CVM Headquarters, in Rio de Janeiro. Participants had the opportunity to become more aware about stock markets and related themes.



- Online activities (10 out of 92) provided a broader reach in terms of public. In fact, those activities reached 3 times as much public as presential activities: 14,993 online participants, compared with 4,298 in presential activities. As an example, the Personal Finances lecture, held at CVM Headquarters had 1,900 people watching online and 19 people in the face-to-face activities. Solid social media repercussion of this event was tracked in the profiles of Planejar and Anbima, two important partners, among many others, in financial education.



BRUNEI

WORLD INVESTOR WEEK 2018

National Coordinator: Autoriti Monetari Brunei Darussalam

Other IOSCO members:

Other participants: Brunei Darussalam Capital Market Association and Financial Planning Association of Brunei Darussalam

The Autoriti Brunei Darussalam (“AMBD”) is pleased to have participated in World Investor Week 2018 (“WIW2018”) from 1st to 7th October 2018. Together with industry associations such as the Brunei Darussalam Capital Market Association and the Financial Planning Association of Brunei Darussalam, AMBD had carried out five different activities to promote investor education and awareness in Brunei Darussalam with the theme “Smart Investments: Invest with Confidence”.

1) Roadshow to the Prime Minister’s Office, Brunei Darussalam.

On 2nd October 2018, AMBD had conducted a roadshow to the Prime Minister’s Office, receiving approximately 100 participants. There were four sharing sessions delivered to all participants, with topics including an introduction to AMBD as the central bank and financial regulator, the importance of financial health, basics of investments and tips on protecting oneself from financial scams. There were also booth set-ups by participating organisations including AMBD, members of the Brunei Darussalam Capital Market Association and members of the Financial Planning Association of Brunei Darussalam. The booth set-ups facilitated the one-to-one session where participants had the opportunity to obtain financial health checks and more information on the availability of investment products and services in Brunei Darussalam.





2) Music Video release

The second initiative is the publication of a Music Video titled “Smart Investments”. This initiative is intended to educate on capital market products (shares, bonds and mutual funds) in a simple yet impactful way to a wide range of age groups. The Smart Investments music video had been posted on AMBD’s Social Media account. It was also disseminated to other relevant stakeholders in order to leverage on their respective communication and social media channels.



3) Social Media Awareness

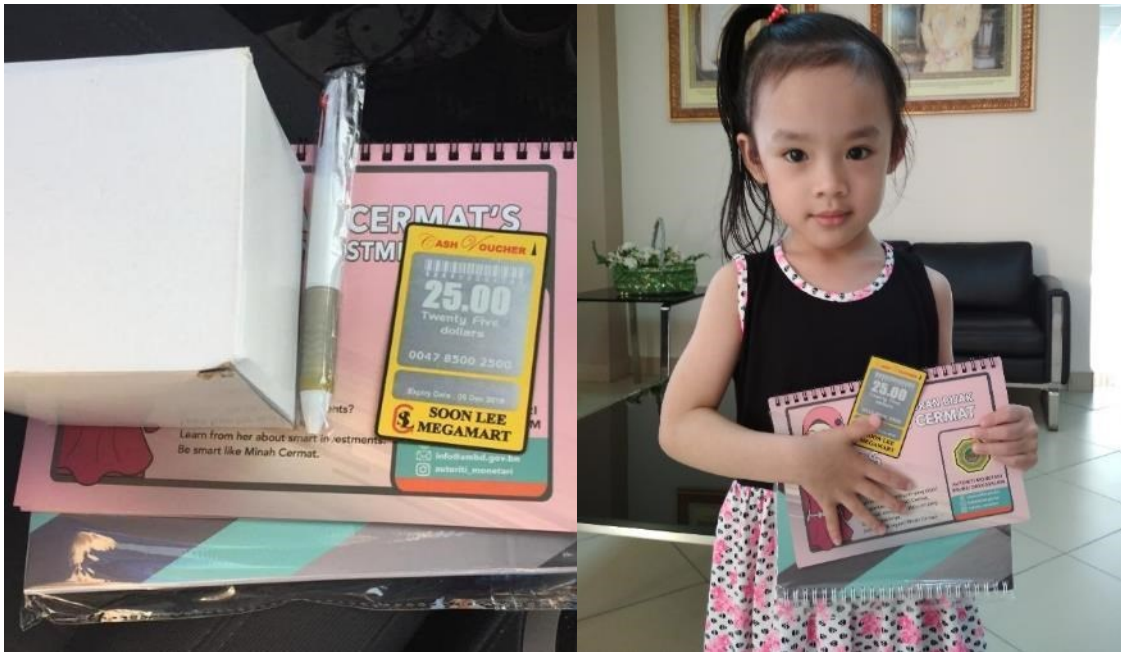
Given that Brunei Darussalam was ranked the world's third highest in terms of social media usage, AMBD leveraged on this by publishing graphical educational posters on its Instagram page, throughout the World Investor Week. Minah Cermat⁴ posters were published daily providing brief information on the key elements of Brunei Darussalam capital market ecosystem, including World Investor Week, International Organisation of Securities Commissions (IOSCO), AMBD as the supervisor of investment companies and investment products, the need to invest with licensed entities and the need to protect oneself from financial scams. The posts successfully captured the attention of approximately 3,000 followers.



⁴ Minah Cermat is the AMBD's investor awareness character. The Minah Cermat Smart Investment series was first published in June 2015. Since then, there has been a total of 17 Minah Cermat series issued in the local newspaper and other publication materials.

4) Radio Announcement and Quiz

The Financial Planning Association of Brunei Darussalam held daily radio announcements and quizzes on two of the major radio stations in Brunei Darussalam from 1st to 6th October 2018. The topics covered basics of investments and diversifying risks in investments where a total of 12 winners were announced.



5) BCMA Investment Challenge 2018

The Brunei Darussalam Capital Market Association launched the BCMA Investment Challenge 2018 with the aim of generating greater interest amongst younger generation in the workings of the capital market, providing opportunity to demonstrate their investment and analytical skills and stimulate their interest in considering future career goals in the capital markets. The Investment Challenge competition ran from 1st October until 19th October 2018 where the students applied their knowledge and skills in strategic planning and making buy or sell decisions in the equities market on a virtual trading platform based on real market information. The top five teams will need to present their Strategy Paper during the Grand Finale, which will take place in December 2018. The BCMA additionally conducted roadshows to each participating higher learning institutions to give a brief basic introduction on investments and to promote the competition. The competition successfully received 109 registered teams from the various participating higher learning institutions whom will compete to be the ultimate winner. The winner will not only receive cash prizes but also a work internship program at one of the licensed capital market intermediaries in Brunei Darussalam where the students can earn valuable experience prior to entering the job market.

BCMA INVESTMENT CHALLENGE 2018

ARE YOU A BULL OR A BEAR?



20-28 SEP REGISTRATION AND TRIAL PERIOD

1-19 OCT 3-WEEK COMPETITION AND TRADING PERIOD
Weekly prizes for top 3 winners

19-23 NOV PRESENTATION OF STRATEGY PAPER

6 DEC ANNOUNCEMENT OF WINNER AND PRIZE PRESENTATION CEREMONY

WINNING PRIZES

- CHAMPION \$1,000** + Work Internship at Balcourt Capital Sdn Bhd + Progresif 1-Year Unlimited Data Mobile Plans worth over \$1,000 each
- 1ST RUNNER UP \$600**
- 2ND RUNNER UP \$400**
- 3RD RUNNER UP \$300**
- 4TH RUNNER UP \$200**

Top 10 winners will be awarded Commemorative Coins

This challenge is for students only, aged between 17 to 25 years old, from the following academic institutions: Universiti Brunei Darussalam, Universiti Teknologi Brunei, Universiti Islam Sultan Sharif Ali, Institute of Brunei Technical Education, Politeknik Brunei, Kemaja Institute, Lokasmana College of Business, International Graduate Studies College and Cosmopolitan College of Commerce and Technology.

ORGANIZED BY  IN COLLABORATION WITH  STRATEGIC PARTNER 

For further details and registration, please visit www.bcma.org.bn



CAYMAN ISLANDS

WORLD INVESTOR WEEK 2018

National Coordinator: Cayman Islands Monetary Authority (“CIMA” or “the Authority”)

Other IOSCO members:

Other participants:

Overview: The Cayman Islands Monetary Authority took part in World Investor Week on a larger scale than last year. The Authority segmented the target audience into three groups – the general public, industry stakeholders, and local government employees. The Authority then leveraged cost-effective/high impact initiatives which consisted of the dissemination of the WIW educational messages via social media, a press release, and articles in the Authority’s staff and industry newsletters. In addition, online banners were created to help direct persons to the WIW section of the Authority’s website.

A different investor tip along with short and easy to understand content was disseminated each day of World Investor Week. The investor tips were:

- Tip #1: A smart investor conducts research on a product before investing;
- Tip #2: A smart investor assesses the impact of fees when choosing an investment;
- Tip #3: A smart investor understands that risk exists in all investments;
- Tip #4: A smart investor avoids “get rich quick” and “can’t lose” schemes; and
- Tip #5: A smart investor plans for and invests according to his/her future needs and goals.

A sample of an investor tip can be viewed below.

The Authority’s World Investor Week activities are detailed below.

Social Media: The majority of activities commemorating WIW 2018 took place via social media. The investor tips and the supporting content for each tip were disseminated via the local government’s Facebook page, CIMA’s LinkedIn page, and MailChimp (an email marketing platform utilized by the CIMA). Information aimed at encouraging stakeholders to formulate WIW activities were delivered via LinkedIn. In summary:

- A total of 860 people viewed the investor tips on the local government’s Facebook page;
- The tips were successfully delivered to 30% of the email contacts with an open rate of 12%; and
- There was an average of 739 impressions (views) for the week. In total, the posts received 3,695 impressions.

Press Release: The Authority issued a press release entitled “CIMA Celebrates World Investor Week 2018” on October 2nd. The objective of this particular initiative was to inform the general public and also encourage industry stakeholders to take part in WIW activities. The press release was disseminated within the Authority, to industry associations and to the general public. It was also posted on the Authority’s website and can be viewed at <https://www.cima.ky/cima-celebrates-world-investor-week-2018>. Approximately 500 people viewed the press release online.

Online Banners: The Authority utilized two different types of online banners to help increase the WIW campaign visibility in October – 1) a banner on the homepage of the Authority’s website (“website banner”) and 2) a banner attached to the email signatures of all members of staff (“email banner”). Both banners displayed the WIW logo and a slogan. Each type of banner was posted on the first day of WIW and remained for two weeks.

For the website banner, visitors were able to click through to the WIW page with an additional link to the IOSCO WIW webpage where they could find more information. The objective for the website banner was to generate at least 300 click-throughs to the Authority’s WIW messages. In total, there were approximately 400 clicks.

Regarding the email banner, all of the Authority’s outgoing emails displayed the banner at the bottom of staff email signatures. Email recipients were directed to go to the Authority’s website to view the WIW messages. See below for a sample of the email signature banner and the website banner

CIMA Newsletters: The Authority produces a monthly staff newsletter and a quarterly industry newsletter. The newsletters provide staff and external stakeholders with pertinent information and developments at the Authority. Articles via this medium were engaging by providing more details, some recap, and relevant updates to readers regarding the WIW initiative. The overall objective was to garner staff and stakeholder interest and further promote the initiative. A copy of the article in the staff newsletter can be viewed below. The industry newsletter is distributed to over 500 contacts. The industry newsletter is located at https://www.cima.ky/upimages/publicationdoc/TheNavigator-Octo_1542375416.pdf

Video: The Cayman Islands Monetary Authority joined over 16 Caribbean jurisdictions in a regional video entitled “Voices of the Caribbean: Empowering the Caribbean toward Financial Independence and Resilience”, presented investor tips and information on financial resiliency. The Authority participated by providing a clip with the investor tip “A smart investor conducts research on a product before investing.” The Authority provided a link from its WIW page to the full length video on IOSCO’s World Investor Week website.



A smart investor
Plans for
and invests
according to
his/her future
needs and
goals

From 1 to 7 October



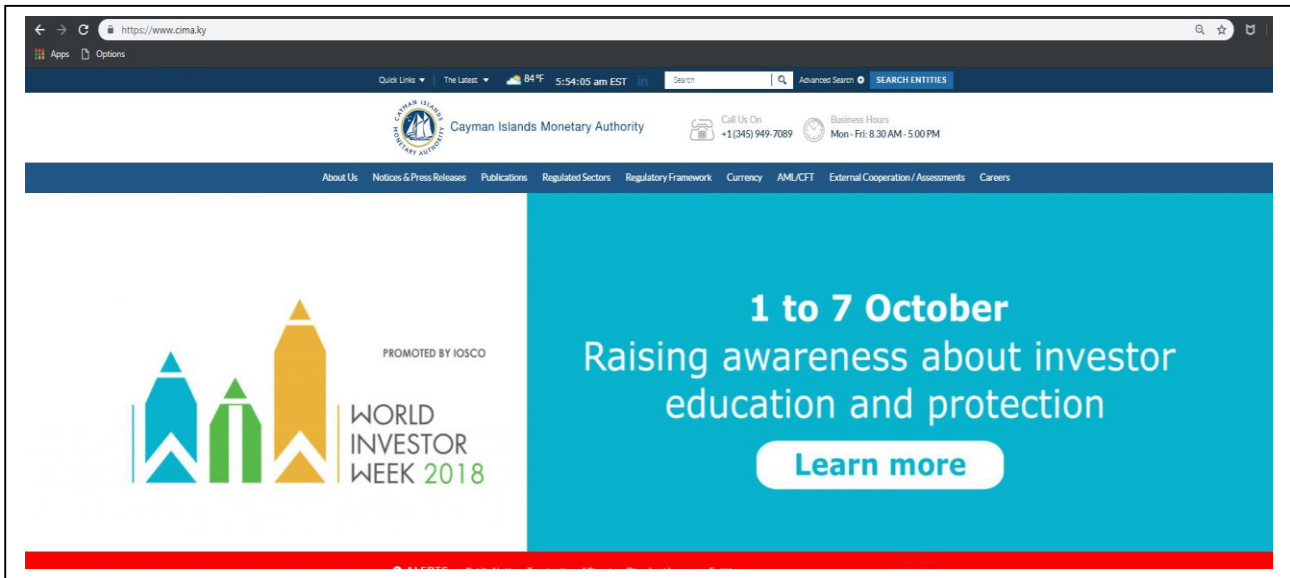
PROMOTED BY IOSCO
WORLD INVESTOR WEEK 2018



PROMOTED BY IOSCO
WORLD INVESTOR WEEK 2018

1 to 7 October
Raising awareness about investor
education and protection

Visit CIMA.KY



https://www.cima.ky

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PROMOTED BY IOSCO
WORLD INVESTOR WEEK 2018

1 to 7 October
Raising awareness about investor
education and protection

[Learn more](#)

Supporting World Investor Week

Once again, the Authority is proud to join the International Organisation of Securities Commissions (IOSCO) and, regulators from over 80 jurisdictions to celebrate World Investor Week (WIW). This year's global campaign takes place from 1 through 7 October 2018.

WIW involves a week of activities carried out by participating IOSCO member jurisdictions. A key objective during the campaign is to highlight the importance of investor education and protection, and to foster learning opportunities for investors, given today's rapidly changing environment of online and technological innovations. Many members also leverage the event by organising their own promotional activities throughout the year.

As a member of IOSCO, the Policy and Development Division has teamed up with the Public Relations Unit to disseminate various key messages to the local public and stakeholders via social media and email marketing on behalf of the Authority. Such information will encourage investors and consumers to make "smart" choices. Staff may also notice a new look to your email signature.

So keep an eye and ear out, and feel free to spread these important tips to friends and family!

Join us in celebrating World Investor Week!



PROMOTED BY IOSCO
WORLD INVESTOR WEEK 2018

Raising awareness about
investor education and
protection

CHILE

WORLD INVESTOR WEEK 2018

National Coordinator: Comisión para el Mercado Financiero - CHILE

Other IOSCO members: Comisión para el Mercado Financiero - CHILE

Other participants: Ministerio de Hacienda, Banco Central; Superintendencia de Bancos e Instituciones Financieras; Superintendencia de Pensiones; Servicio Nacional del Consumidor; Chile Compras; Bolsa de Comercio de Santiago; Asociación de Fondos Mutuos; Depósito Central de Valores; Universidad de Valparaíso; Instituto Superior de Comercio Eduardo Frei Montalva, y el Colegio República de Siria.

Between the 1st and the 7th of October, 2018, the Financial Market Commission (CMF) organized in our country the World Investor Week, WIW 2018, promoted by IOSCO for the second time in a row, initiative in which the main Chilean financial institutions took part of.

During WIW 2018, the CMF organized talks, meetings between retail investors and students, and an intense campaign in the media and social networks whose purpose was to promote the financial education among investors and those who are interested to become ones, incentivizing a greater consciousness on alternatives and risks when it comes to investing.

Along with the inauguration of the WIW, the “Month of Financial Education” was launched and took place on October, equally promoted by the Ministry of Finance, the Central Bank, the Superintendence of Banks and Financial Institutions, the Superintendence of Pensions, the National Consumer Service, Chile Compras and the CMF.

The WIW inauguration and the Month of Financial Education (MFE) were led by the Minister of Finance, Felipe Larraín and the highest authorities of CMF and other participating entities, along with 150 junior and senior high school students from the Eduardo Frei Montalva Higher Institute of Commerce; event that was broadcasted and advertised by the written press, digital media, television and radio.

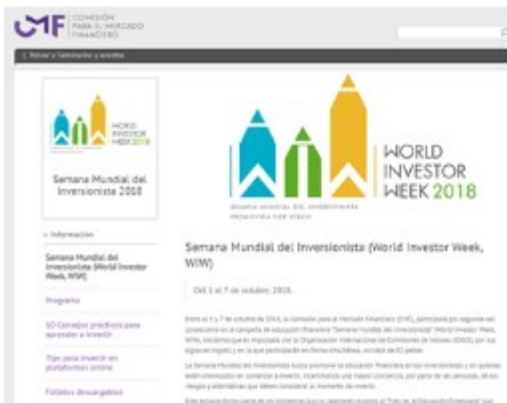
During WIW, the CMF spread various tips and advices that people can use when investing and to increase the awareness of the importance of investor education, published in its website and social media (Twitter and LinkedIn). The CMF also diffused the importance of financial literacy among investors via interviews and opinion columns and widespread through radio, digital and written media, reaching an audience of 1,1 million people approximately.

Within the activities headed by the CMF, the first “Ring The Bell for the Financial Education” was launched in the Santiago Stock Exchange (Bolsa de Comercio de Santiago). The CMF joined forces with the Association of Mutual Funds (Asociación de FondosMutuos) to conduct several financial education workshops to elementary, middle and high school students in Concepcion and in the ColegioRepública de Siria. A total of 500 attendantscollectivelyparticipated in these workshops.

The CMF also organized a seminar along with the University of Valparaiso to 150 undergraduates of commercial engineering about tips and advices when investing, and the CMF also participated on the seminar titled “The future of financial markets and the technology”, organized by the Depósito Central de Valores.

The Month of Financial Education and the WIW alike, culminated with the Financial Education Fair (Feria de EducaciónFinanciera), which was carried out in a popular spot of Central Santiago, to which thousands of people attended to. The Fair was unveiled by the Minister of Finance and the main authorities of the CMF and the other participating entities. During the inauguration of the fair, the Minister of Finance announced the formation of a work group to develop a National Strategy of Financial Inclusion and to deploy the National Strategy of Financial Education.

The CMF launched a special site for the WIW (www.cmfchile.cl) and its financial educationwebsite, www.cmfeduca.cl, withonline education resources andthe messagespromoted by IOSCO, reaching almost 220 thousands visits). The CMF also created a new social network account (@CMFEduca_) with financial education contents; and made a special edition of the CMF Financial Education Bulletin, about WIW, with diverse online resources and financial education products.



Educación financiera y protección de inversiones

El 17 de octubre, en más de 100 países celebramos simultáneamente la Semana Mundial del Inversionista, iniciativa liderada en Chile por la Comisión para el Mercado Financiero (CMF) e impulsada a nivel mundial por la Organización Internacional de Comisiones de Valores (IOSCO), que tiene por objetivo promover la educación financiera y la protección de los inversionistas.



RICARDO ECHEVERRÍA

El acceso a las plataformas de inversión en línea, la cual puede aumentar las asimetrías de información que enfrentan los inversionistas y la necesidad de tomar conciencia de los riesgos y alternativas que se deben considerar al momento

de tomar decisiones de ahorro, inversión, endeudamiento o la contratación de un seguro.

“Tenemos desafíos pendientes en este ámbito. Una mejor inclusión financiera no implica solamente avanzar en el acceso a instrumentos y servicios financieros, sino también contar con adecuados estándares de protección de los consumidores y seguir los niveles de alfabetización financiera.

Creemos que el desarrollo de una cultura de educación financiera es que las personas cuenten con capacidades para planificar y tomar decisiones de ahorro, endeudamiento e inversión, conscientes y bien informadas, también puede contribuir a cambiar el comportamiento y alertar a las personas ante alertas tentativas y posibles estafas que conllevan a instalar o evitar la inversión en productos excesivamente riesgosos para su perfil o necesidades.

En la CMF estamos conscientes de la importancia de asumir esfuerzos públicos y privados en educación financiera, conscientes que el desarrollo significativo del sistema financiero en Chile se ha traducido en una mayor oferta y acceso a productos y servicios financieros, una expansión del acceso a créditos y medios de pago y una mayor tenencia de activos financieros a nivel de hogares. Lo que evidencia la importancia de que las personas cuenten con herramientas que les permitan enfrentar de mejor manera las múltiples alternativas que se les ofrecen.

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Es por ello que es un enorme desafío promover la competencia y sofisticación de los productos financieros, la rápida evolución de las tecnologías tecnológicas y una mayor facilidad de

acceso a las plataformas de inversión en línea, la cual puede aumentar las asimetrías de información que enfrentan los inversionistas y la necesidad de tomar conciencia de los riesgos y alternativas que se deben considerar al momento de tomar decisiones de ahorro, inversión, endeudamiento o la contratación de un seguro.

Visite el sitio web de la Comisión para el Mercado Financiero

4 TIPS PARA INVERTIR EN PLATAFORMAS FINANCIERAS ONLINE

- Al considerar inversiones que se ofrecen a través de plataformas online o digitales, es importante investigar acerca de los productos financieros y quiénes los ofrecen.
- Antes de invertir en criptoactivos u otros tipos de productos financieros no regulados, es necesario informarse y comprender los riesgos asociados a este tipo de productos.
- Estar alerta para reconocer los señales de posibles fraudes al realizar inversiones en línea.
- Nunca invertir basado únicamente en que una persona famosa o conocida le da su respaldo a un determinado producto financiero.

CMF Educa @CMF_Educa · Oct 16
Antes de invertir en criptoactivos u otros productos financieros no regulados, es necesario informarse sobre los riesgos asociados a este tipo de productos. #EducaciónFinanciera #W2018 #CMF #CMF_Educa #SemanaMundialDelInversionista #IOSCO #W #W2018 #WorldInvestorWeek

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CHINA

Jurisdictional Coordinator: China Securities Regulatory Commission (CSRC)

IOSCO affiliate members: Shanghai Securities Exchange (SSE) and Shenzhen Securities Exchange (SZSE)

As a typical representative of global emerging capital markets, China's financial market has a large number of investors and most of them are retail investors who have potential limitations in terms of professional knowledge and risk tolerance. During the World Investor Week 2018, China Securities Regulatory Commission (CSRC) proactively launched various campaigns to improve Chinese investors' investment expertise and risk awareness, together with Shanghai Securities Exchange (SSE), Shenzhen Securities Exchange (SZSE) and associations.

Shanghai Stock Exchange (SSE)

CSRC and Shanghai Stock Exchange (SSE) have long endeavored to strengthen investor services and promote investor education, in order to protect the legitimate rights and interests of investors. In conjunction with the World Investor Week 2018 (WIW 2018), SSE held investor education events featuring the theme of "Studying before Investing" and offered a "feast" of financial literacy for investors during the National Day holiday of China.

To meet investors' needs of learning investment knowledge during their fragmented spare time, SSE goes beyond traditional text media and takes full advantage of various tools at "Internet+" times. SSE launched a series of audio-based investor education products named **SSE Investor Education Afternoon Tea**. During WIW 2018, investors could easily access the audio programs by subscribing to "sse_edu" WeChat official account and listen to rich contents including the introduction to WIW, the history of stock exchanges, equity options, investment risk awareness, investor suitability, interests protection for elderly investors, and SSE investor education base. In addition, SSE also produced a video in the setting of traditional Chinese Opera to promote rational investment.





(Video Screenshots)


To facilitate the integration of investor education into the national education system, and cater to the specific needs of diverse investor groups such as the elderly and new entrants to securities markets, the events designed tailored programs for different target audience, so as to enhance the effectiveness of the delivery of investment knowledge.



(Event Banner and Poster)

世界投资者周特别节目（一） | WIW，我们来了

原创：上交所 上交所投教 2018-10-01



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！




世界投资者周，我们来了
来自上交所投教

00:00 05:49

主播：宇思、赵夫

世界投资者周特别节目（二） | 走近证券交易所

原创：上交所 上交所投教 2018-10-02



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！




走近证券交易所
来自上交所投教

00:00 05:43

主播：子涵、赵夫

世界投资者周特别节目（三） | 一起来了解股票期权

原创：上交所 上交所投教 2018-10-03



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！



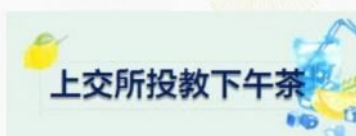
一起来了解股票期权
来自上交所投教

00:00 07:11

主播：子涵、赵夫


世界投资者周特别节目（四） | 远离投机活动，警惕投资风险

原创：上交所 上交所投教 2018-10-04



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！



远离投机活动，警惕投资风险
来自上交所投教

00:00 05:31

主播：子涵、赵夫

世界投资者周特别节目（五） | 适当投资要记牢，自身权益保护好

原创：上交所 上交所投教 2018-10-05



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！

适当投资要记牢自身权益保护好
来自上交所投教
00:00 08:05

主播：俪俪、小奕

世界投资者周特别节目（六） | 老年人投资理财，如何避开这些“坑”

原创：上交所 上交所投教 2018-10-06



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！

老年人投资理财如何避开这些坑
来自上交所投教
00:00 05:29

世界投资者周特别节目（七） | 上交所投教基地——你的投资知识“嘉年华”

原创：上交所 上交所投教 2018-10-07



上交所投教下午茶

大家下午好！“上交所投教下午茶”栏目在10月1日至7日，将持续为您推出世界投资者周（WIW）特别节目——“要投资，先求知”系列有声读物，让您在国庆长假也能随时聆听上交所投教之声！

上交所投教基地投资知识嘉年华
来自上交所投教
00:00 05:47

(Podcast Screenshots)

The events were well received by investors and attracted a total of around 500,000 online visits during WIW 2018. “The content of the programs is well designed to suit investors’ needs and we really enjoy listening to it while we are on the go.” Investors commented, “It helps us to gain competencies for investing, raise awareness of investment risks and ultimately cultivate the culture of rational investment. It reminds us to study thoroughly before we invest.”

Through participating in WIW and sharing of investor education initiatives among IOSCO members, SSE has also gained valuable insights learning from its international peers to improve its future work in investor education and protection.

The event preview may be accessed at the link below:

Audio: <https://mp.weixin.qq.com/s/CFitybPUjDbgWt5TNTVVsQ>

Video: <https://mp.weixin.qq.com/s/9Mv-ZdaNyA7879fhZgwwSA>

Poster: <https://mp.weixin.qq.com/s/NkPaKbo6ofN7EGKp50CLtA>

E-flyer: <https://s.wcd.im/v/2puhpZ38/>

The audio series may be accessed at the link below:

Oct.1st: WIW, We are Ready!

<https://mp.weixin.qq.com/s/qVjaLW5btasgaWI3CD6CCA>

Oct.2nd: Introduction of Stock Exchanges

<https://mp.weixin.qq.com/s/SFzV6vebvGCgDyTqqhsOHg>

Oct.3rd: Knowledge of Equity Options

<https://mp.weixin.qq.com/s/LfQG8v8t4Ym92VsZCkD9ow>

Oct.4th: Beware of Investment Risks

<https://mp.weixin.qq.com/s/iNa7viNP-pR0ozhMMhQ0SA>

Oct.5th: Investor Suitability and Self-protection

<https://mp.weixin.qq.com/s/ygFMtNt8usdWRsxim-sFOQ>

Oct.6th: Financial Literacy for the Elderly

https://mp.weixin.qq.com/s/pRD_LNiYxmtHu9VxEfXL2w

Oct.7th: Welcome to SSE Investor Education Base

<https://mp.weixin.qq.com/s/u4pm1Tv-AgqhL3Ko0I-Fdw>

The video clip may be accessed at the link below:

<https://v.qq.com/x/page/f0832i9ff59.html?pcsharecode=qYzlfu7O>

Shenzhen Securities Exchange (SZSE)

1. CSRC Investor Protection Bureau and SZSE completed China's first investor educational public service advertisement (PSA), which was continuously aired on China Central Television's (CCTV) main channels with an estimated outreach of 200 million viewers. The PSA was also widely viewed on Internet, receiving positive responses from the public.



(Screenshot of China's First Investor Educational Public Service Advertisement)

2. To support the remote areas where the level of investor education was relatively lower, SZSE carried out 12 educational activities in specific provinces, which included "Listed Companies' Open House Program", "Broker Business Departments' Open House Program", "Lecture Hall of Investor Education", "Rational Investment in Universities" and forums. The activities were focused on macro-economic trends and market risks, philosophy of rational investment and investors' rights and interests. 1,400 investors, securities practitioners and college students participated, and 6,400 investor educational leaflets and brochures were distributed.



("Listed Companies' Open House Program" in Ningxia Province, China)



("Rational Investment in Universities" in Gansu Province, China)

3. For elderly investors, SZSE stepped into local communities and spread securities basic knowledge to help the elderlies with financial planning and caution them against common scams. For white collar investors, SZSE stepped into office buildings and introduced common cases of Internet illegal securities frauds to guide the white collars correctly understand investment and financial planning.



(SZSE's Investor Educational Activity for White Collar Investors)

4. In addition to offline activities, SZSE released investor educational special column articles and comics, covering financial analysis, accounting standards, cash dividend, stock delisting mechanism, convertible bonds, ETF and etc. The articles and comics were designed in plain language to help Chinese investors fully understand the content and develop their investment expertise.

热线问答

- 2019-04-17 投资者咨询, 股票质押回购的回购期限为多长?
- 2019-04-17 投资者咨询, 股票质押回购的交易时间?
- 2019-04-17 股票质押式回购业务中, 融入方应满足哪些适当性标准?
- 2019-04-10 中小板上市公司是否要披露业绩快报?
- 2019-04-10 中小板上市公司业绩预告的披露时间有何要求?
- 2019-04-10 中小板上市公司在哪些情况下需要披露业绩预告?

更多 > 风险教育

- 2018-11-30 财务知识一点通系列之二十: 毛利变动有蹊跷 报表分析巧...
- 2018-11-22 财务知识一点通系列之十九: 财务指标多维看, 审计意见...
- 2018-11-16 财务知识一点通系列之十八: 打开“潘多拉的魔盒”, 手...
- 2018-11-08 财务知识一点通系列之十七: 盈利突增要警惕, 纸面富贵...
- 2018-10-25 财务知识一点通系列之十六: 业绩拐点要当心, 抽丝剥茧...
- 2018-10-22 财务知识一点通系列之十五: 培养敏锐嗅觉 练就排雷专家

图片漫画



漫话股市之《回购细则》规则解读

- 漫话股市 漫话股市之《回购细则》规则解读
- 图文解读 没错! 债券质押式回购交易时间延长了!
- 漫话股市 《停牌指引》规则解读
- 漫话股市 《高送转指引》规则解读
- 大小说 重大违法强制退市

更多 >

(Investor Educational Special Column Articles & Comics on SZSE's Website)

5. To guide members properly process investors' demands and improve Front Office personnel capability, SZSE held a special seminar for members focusing on how to process investors' demands, conciliation and arbitration of securities disputes and etc. On the seminar, SZSE also awarded certain members for excellent cases.



(SZSE Members Seminar of Processing Investors' Demands)

CHINESE TAIPEI

WORLD INVESTOR WEEK 2018

National Coordinator:Morgan Chen; Aven Li

Other IOSCO members:Taiwan Stock Exchange Corp.; Taipei Exchange;
Taiwan Futures Exchange

Other participants:Securities & Futures Institute; Securities and Futures
Investors Protection Center

FSC takes part in IOSCO's 2018 World Investor Week

The FSC participated in the World Investor Week (WIW) held by International Organization of Securities Commissions (IOSCO). The aim of the event was, through the participation of competent authorities from around the world, to educate investors and enhance their self-protection competencies.

Joining with the other 83 countries and seven international organizations to take part in WIW, the FSC played an active role in promoting investors awareness. Investor education and protection events and activities were planned by the Securities & Futures Institute (SFI) and held in cooperation with other self-regulatory organizations (SROs), key achievements include:

1. SROs held 37 different kinds of investor education activities, including financial knowledge and investment lectures, online quizzes with prizes and investor rights and interests conferences.
2. Investor education and protection related information and documents were posted on dedicated webpages, including: FSC's Anti-money Laundering and Countering Terrorism Financing of Securities and Futures Sector, TWSE's Investor Knowledge Network, the Securities Investment Trust and Consulting Association's (SITCA) "Taiwan Equity Funds Promotion Area" and the Chinese National Futures Association's Futures Trust Fund Observation Platform.
3. Educational documents were published, including the Taiwan Depository & Clearing Corporation's "TDCC Bimonthly" magazine and "TDCC Quarterly" magazine, the Taiwan Securities Association's "Warrants Problem Discuss" e-book, and SITCA's "Key Information for Sales of Mutual Funds - Know KYC and KYP well, and Create Win-win Situations with Customers" handbook and "the opinion of the supervision system of investment trust companies",
4. To raise public awareness of WIW, a lucky draw was held on Facebook.

2018 WIW webpages for references:

SFI: <http://www.sf.org.tw/wiw/index.asp>

IOSCO: <http://www.worldinvestorweek.org>



TDCC held classes on financial employment for college students.



Taiwan Securities Association held classes on investments and financial management .



Taipei Exchange held securities market training camp for college students.



SFI

財團法人中華民國
證券暨期貨市場發
展基金會

@sfi.org.tw

首頁
關於
貼文
相片
證基會頻道 (YouTube)
服務內容
影片
社群
工作機會
資訊和廣告
證基會_人才培訓中心

已說讚 追蹤中 分享

2018年世界投資者週(World Investor Week, WIW) 活動，即將於2018年10月1日至7日盛大舉行，為響應國際證券管理機構組織(IOSCO)所主導策劃之「世界投資者週」活動，金管會亦配合推動下列投資者教育活動，歡迎民眾共襄盛舉，踴躍參與。

- 舉辦投資者教育活動，如講座、會議、競賽及研習營。
- 提供投資者教育及保護相關資訊之宣導影音製品或網站專區。
- 出版投資者教育宣導文件，如手冊、海報及電子書。

2018「世界投資者週」專區：<http://www.sfi.org.tw/wiw/index.asp>

#金管會
#世界投資者週
#國際證券管理機構組織

IOSCO World Investor Week 2018

活動日期：2018/10/1-2018/10/7

主辦單位：金管會證券期貨委員會證券期貨局

SFI posted "IOSCO World Investor Week 2018" information on its Facebook.

COLOMBIA

WORLD INVESTOR WEEK 2018

National Coordinator: Superintendencia Financiera de Colombia – SFC.

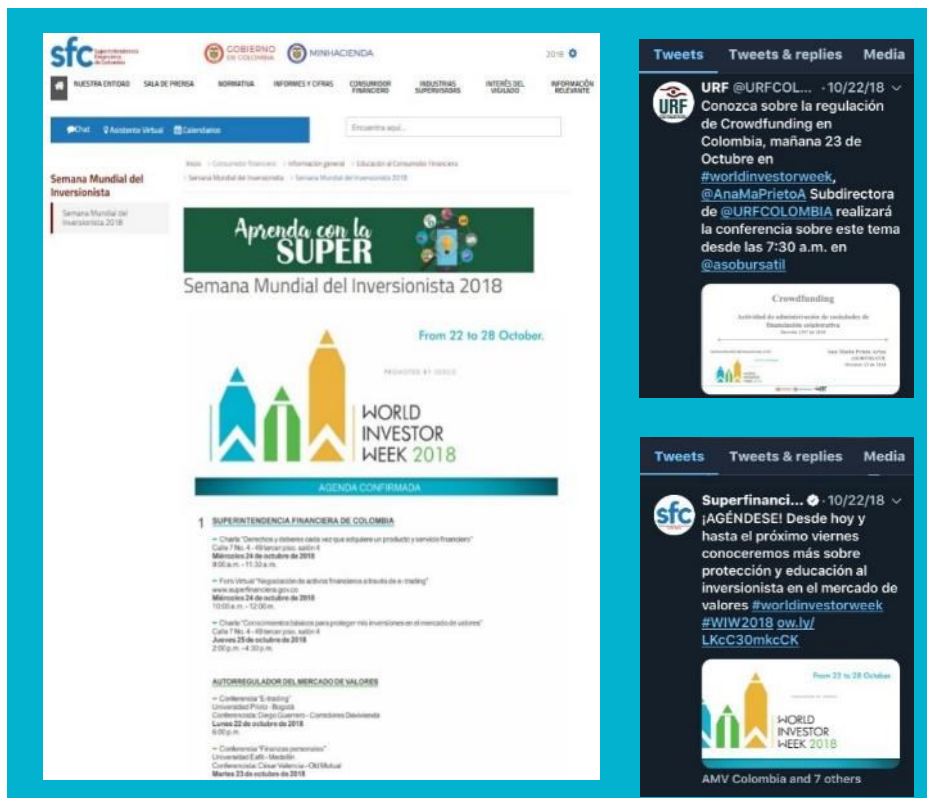
Other IOSCO members: Self-regulator of the Stock Market – AMV.

Other participants: Unit of Normative Projection and Studies of Financial Regulation -URF-, Ministry of Finance and Public Credit, Stock Exchange of Colombia -BVC-, Association of Fiduciaries of Colombia -ASOFIDUCIARIAS-, Stock Market Association -ASOBURSATIL-, Chamber of Commerce of Bogotá and the Universities: Externado, EAFIT, La Salle, Autónoma de Bucaramanga -UNAB-, Los Andes, Piloto and Colegio de Estudios Superiores de Administración -CESA-.

During the World Investor Week 2018 promoted by the International Organization of Securities Commissions - IOSCO, held from October 22nd to 26th, the Financial Superintendence of Colombia, in coordination with different actors of the financial system, developed various activities that included talks, conferences, discussions, virtual forums and webinars.

In view of the importance that technology has acquired in the lives of people from all ages, we encouraged the participants to develop activities related to the protection and education of investors in the stock market, particularly with products that operate through digital platforms where they also find a varied services offering.

All the activities were promoted through the website of the Financial Superintendence of Colombia www.superfinanciera.gov.co and other participants, and through social networks Twitter and Facebook.



The image shows a screenshot of the SFC website and two tweets. The website screenshot displays the 'Semana Mundial del Inversionista 2018' banner, which includes the text 'Aprenda con la SUPER' and 'Semana Mundial del Inversionista 2018 From 22 to 28 October'. Below the banner is a 'AGENDA CONFIRMADA' section listing various events and conferences.

The two tweets are as follows:

- Tweet 1:** From URF @URFCOL, dated 10/22/18. Text: 'Conozca sobre la regulación de Crowdfunding en Colombia, mañana 23 de Octubre en #worldinvestorweek, @AnaMaPrietoA Subdirectora de @URFCOLOMBIA realizará la conferencia sobre este tema desde las 7:30 a.m. en @asobursatil'. Includes a 'Crowdfunding' infographic.
- Tweet 2:** From SFC Superfinanci..., dated 10/22/18. Text: '¡AGÉNSESE! Desde hoy y hasta el próximo viernes conoceremos más sobre protección y educación al inversionista en el mercado de valores #worldinvestorweek #WIW2018 ow.ly/LKcC30mkcCK'. Includes a 'WORLD INVESTOR WEEK 2018' infographic.

In addition, during the event the participants presented different pedagogical tools, in order to facilitate the understanding of the most relevant topics in terms of protection and education of the investors in the stock market.

As National Coordinator, the Financial Superintendence of Colombia published an Infographic about the new models of information technologies for trading in financial asset markets. On the other hand, the University of Bucaramanga as participant published another Infographic showing “How to invest and not die in the attempt”, as well as they shared videos related with “Stock Market”, “Risk Profiles”, “Investment Experiences” and “Guiding Principles for the Financial Consumer”.



SEMANA MUNDIAL DEL INVERSIONISTA 2018

¿Cuáles son los nuevos modelos de las tecnologías de la información para la negociación en los mercados de activos financieros?

SFC
Superintendencia Financiera de Colombia

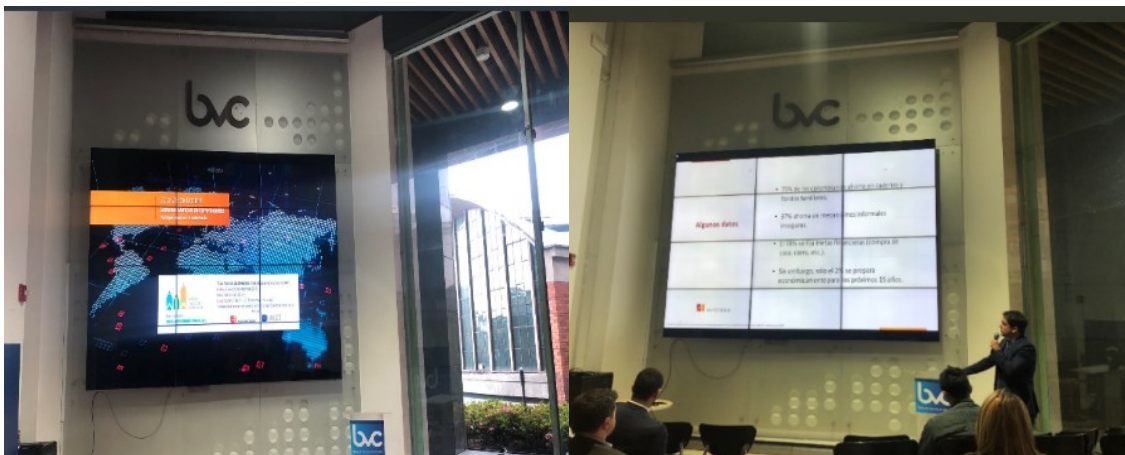
Sistema Electrónico de Ruteo de Ordenes o E-TRADING
Sistema electrónico de ruteo de órdenes de compra y venta de activos financieros en línea y en tiempo real, de forma fácil, rápida y confiable.

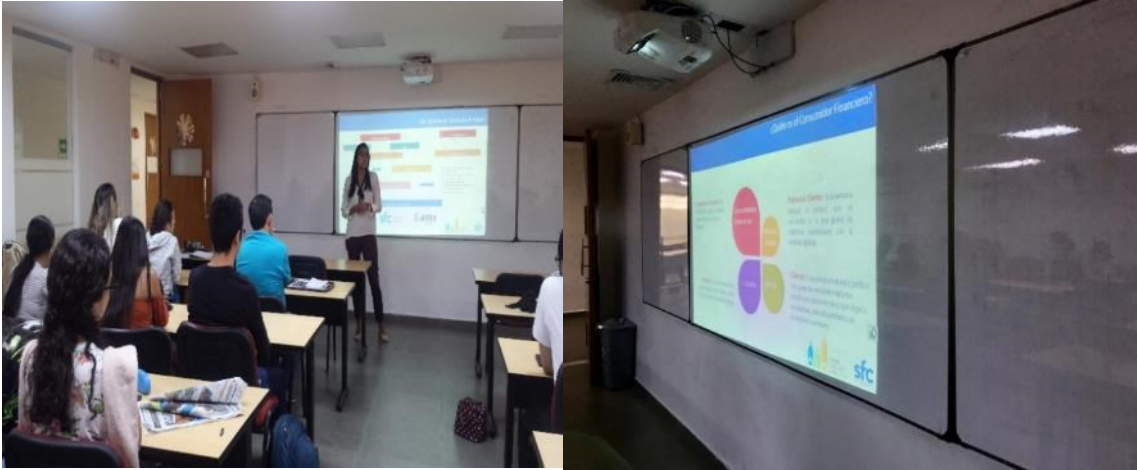
Actividad de financiación colaborativa o Crowdfunding
Es una infraestructura electrónica, que puede incluir interfaces, plataformas, páginas de internet u otro medio de comunicación electrónica, a través de la cual se ponen en contacto un número plural de aportantes con receptores que solicitan financiación en nombre propio para destinarlo a un proyecto productivo de inversión.

Asesoría de inversión automatizada o Robo-advisoring
Servicio virtual que usa un software especializado para ayudar a la administración de finanzas e inversión. Con información básica y perfil de riesgo del cliente. Por medio de algoritmos, pueden distribuir las inversiones o el dinero de la mejor forma posible.

Apps en el Mercado de Valores
Programa de software que puede ser instalado en dispositivos móviles y computadores para que el usuario realice labores concretas, en estos casos, interactuar con el mercado de activos financieros.

Within the themes developed in the activities, is important to highlight the rights and duties of the investors when they acquire products and services to operate in the stock market, the operation of the collaborative financing of the products through values -Crowdfunding-, e-trading, collective investment funds in the digital world, among others.





The second version of this campaign shows that it is necessary to continue offering the different actors of the Colombian financial asset markets, the opportunity to create spaces to raise awareness about the importance of educating investors and potential investors, in order to improve their financial welfare and of the industry in general.

ECUADOR

WORLD INVESTOR WEEK 2018

SEMANA MUNDIAL DEL INVERSOR 2018

National Coordinator: Carlos Murillo Cabrera- Intendente Nacional de Mercado de Valores

Other participants: Bolsa de Valores de Guayaquil y Bolsa de Valores de Quito.

Casas de Valores de Guayaquil

Gremios: Asociación de Casas de Valores ASOCAVAL

Asociación de Compañías Administradoras de Fondos y Fideicomisos Mercantiles

Universidades

Instituciones del Sector Público

Medios de Comunicación

Público en general

La Semana Mundial del Inversor 2018 impulsada por la Organización Internacional de Comisiones de Valores (IOSCO) y promovida en el Ecuador por la Superintendencia de Compañías, Valores y Seguros como Miembro Ordinario de dicha organización, en la semana del 2 al 5 de octubre se desarrollaron varias actividades tanto en la ciudad de Guayaquil como en Quito, para concienciar a la ciudadanía sobre la importancia de la educación financiera y la protección al inversor.

Esta celebración contó con la participación de varios entes del mercado de valores de las ciudades de Guayaquil y Quito tales como: Bolsas de Valores; Casas de Valores de Guayaquil, Gremios: Asociación de Casas de Valores ASOCAVAL; Asociación de Administradoras de Fondos y Fideicomisos Mercantiles; universidades instituciones del sector público y, público en general..

Entre las actividades desarrolladas para celebrar la Semana Mundial del Inversor se llevaron a cabo las siguientes:

Charlas sobre mercado de valores y protección al inversionista orientadas a estudiantes de centros universitarios de las ciudades de Guayaquil y Quito con quienes se desarrollaron simulacros bursátiles las instituciones académicas que participaron fueron: Universidad de Especialidades Espíritu Santo UESS; ECOTEC, ESPOL, Humane Escuela de Negocios; Instituto Técnico Superior Juan Bautista; e Instituto Tecnológico Euroamericano en la ciudad de Quito asistieron estudiantes de las Universidades Internacional del Ecuador y Salesiana.

Se organizaron charlas para funcionarios del sector público tanto de la Superintendencia de Compañías, Valores y Seguros de los ámbitos (Societario y Seguros) así como también para funcionarios de varias Subsecretarías del Gobierno Nacional.

Se desarrolló un efectivo plan de medios que contó con la participación de los voceros de la institución quienes difundieron e invitaron a participar de la celebración de la Semana Mundial del Inversor. Con tal finalidad se visitaron emisoras de la ciudad de Guayaquil y Quito, se transmitieron mensajes en canales de TV, se difundieron boletines de prensa, se publicaron artículos sobre la Semana Mundial del Inversor en periódicos de importancia de Guayaquil, se debe indicar que se hizo presencia en las redes sociales de la Superintendencia de Compañías, Valores y Seguros.

El evento de clausura de la Semana Mundial del Inversor estuvo organizado por la Superintendencia de Compañías, Valores y Seguros en coordinación con la Bolsa de Valores de Guayaquil, compañía que el viernes 5 de octubre abrió sus instalaciones con una Feria de Inversionistas para todo el público

en la que participaron las Casas de Valores de Guayaquil, empresarios, estudiantes y demás público en general.

Se debe mencionar que en la Semana del 2 al 5 de octubre donde se celebró La Semana Mundial del Inversor participaron aproximadamente un total de 1.300 personas, independientemente de aquellas que conocieron de este evento por los medios de comunicación físicos y tecnológicos de que disponemos.










Tema: Semana Mundial del Inversor

- Jueves 4 de Octubre
- 🕒 07h00
- 📍 Radio Atalaya

Washington Arellano
Especialista de Mercado de Valores

Superintendencia de Compañías Ecuador
 @SuperciasEC
 Supercias Ecuador



EGYPT

WORLD INVESTOR WEEK 2018

National Coordinator: Financial Regulatory Authority (FRA)

Other IOSCO members:

Other participants: Egyptian Stock Exchange, iScore, Investor Protection Fund, AL Tamimi & CO. Law Firm, Misr for Central Clearing, Depository and Registry.

The Financial Regulatory Authority conducted several activities in celebration of World Investor Week 2018 from 14-20 October to raise awareness about FRA's role as a regulator of the capital markets, and to disseminate investor education and protection messages.

During the course of the week FRA carried out different activities in cooperation with other institutions, these included:

1- Awareness Sessions at Public and Private Universities:

FRA launched the World Investor Week 2018 week by visiting a number of Public and Private Universities in different governorates including: the Arab Academy for Science, Technology and Maritime Transport (Giza governorate – Private University), Mansoura University (Dakahlyia governorate – Public University), Egyptian Chinese University (Cairo Governorate – Private University), Georgia Accredited International program at Faculty of Commerce, Cairo University. FRA promoted this by giving a number of awareness sessions highlighting the importance of investor awareness and protection. Also focusing on the role of securities regulators and how they supervise the activities of capital markets, and how they offer information and services to increase the investor education before investing to avoid wrong Practices and financial crimes. (Figures 1,2,3,4,8,9,13,14, 19)

2- Distributing Flyers and Educational Leaflets:

FRA also distributed informative flyers and educational leaflets during the events, to help the public better understand risks and assess important investment decisions in their future.

These flyers include Brief about FRA Regulatory Role, Do's and Don'ts in Stock Market, Different Types of Security Electronic Signature, Mutual Fund Types, Steps to Invest In the Stock Market, Subscription Laws, How to Follow your Investments, Investment Risks, Invest Safely (Investor Protection Fund).

3- Joint activity workshops with Student Activity Simulation Models:

Awareness campaign and sessions all year long with university students, helping them understand more about future and life decisions through simulation models like the Egyptian Stock Exchange Model. (Figure 21, 22)

4- Launching of the Investor Awareness website:

In the framework of the Financial Regulatory Authority (FRA) role in supervising non-banking financial institutions, and spreading investor protection messages, and with regard to the world investor week initiative, FRA has launched the investor awareness website www.iinvest.gov.eg in its new form as part of participating in WIW 2018 campaign. This website includes awareness leaflets, videos of workshops, awareness sessions at universities to better the understanding of investor awareness and protection of all individuals. (Figure 16)

5- Visits/Tours:

a) Ringing the bell at the Egyptian Stock Exchange with University Students:

In cooperation with the Egyptian Stock Exchange, FRA organized a visit to the stock exchange where top students from different universities rang the bell to the closing of the trading session.

b) Egyptian Stock Exchange Museum:

University students visited the museum containing the history of the stock exchange and what stock certificates used to look like historically.

(Figures 5)

6- Closing Event:

a) 1.5k Marathon and sports day for university students, capital market institutions, and other normal citizens including football and volleyball activities:

FRA organized a closing event for the world investor week including a sports day and a 1.5k marathon, where university students, Non-Banking Financial Institutions, Non-Banking Financial Services participants, and all the citizens of Cairo and different governorates participated to attain Financial Inclusion (youth, women, and children).

(Figures 6,7,11,18)

b) Stakeholder Exhibition:

A chance for Market participants and Non-Banking Financial Services participants to give a broad idea on their line of work and how they help better understand of investor awareness and protection of all individuals.

The Exhibitors attending were given a booth to distribute their informative flyers and answer questions. (Figures 12,15)

- 1- **iScore:** a company that includes a database of credit information about individuals, small and medium-sized enterprises, and banks. The company's database includes nearly 100% of the credit information of individuals, small and medium-sized companies, commercial banks in Egypt. Thus providing the lender community with a clear indicator and a trustful financial community and environment.
- 2- **Investor Protection Fund:** A special fund that was established with a moral personality to secure the non-commercial risks arising from the activities of companies operating in the field of securities.
- 3- **Al-Tamimi Law Firm:** a law firm.

7- Video at Cultural Venue:

Awareness event at El Sawy Culture Wheel. It's a venue for cultural events targeting all the citizens of Cairo to attain Financial Inclusion. The video of the event was uploaded on youtube channel during the world investor week.

Link : <https://www.youtube.com/watch?v=sn8lXQEmq5o>

8- Workshop

FRA hosted a workshop on "Cumulative Voting". This workshop gave a full background on cumulative voting system, meanwhile, focusing on defining cumulative voting, its objectives, its legal framework, and practical examples on how to apply cumulative voting system. Cumulative voting system aims at protecting the rights of minority investors, which is one of the main mandates of FRA during the world investor week. (Figure 20)

9- Media Coverage:

a) Press Releases	3 Press Releases
b) News and Tv Coverage	2Tv Show 16 Newspapers
c) Videos on YouTube channel	1 video
d) Emails	Emails sent out to all Capital Market companies about the world investor week and how to reach out for investors and help them better understand the financial services given to them and protect them from wrong practices.

(Figures 10,17)



Figure 1
Awareness team sessions at the Egyptian Chinese University



Figure 2



Figure 3



Figure 4

Surveillance Department giving a presentation about trading surveillance methods at Georgia Accredited International Program at Faculty of Commerce, Cairo University



Figure 5

Ringing the Bell at the ending of the Trading Session at the Egyptian Stock Exchange with University Students



Figure 6



Figure 7

FRA Chairman Participating in the Sports Day and Marathon



Figure 8



Figure 9

Awareness Session at ArabAcademyfor Science, Technology and Maritime Transport



Figure 10

Media Coverage at Sports Day with FRA Chairman



Figure 11

Mansoura Universitywith the FRA Deputy Chairman



Figure 12

Al- Tamimi and Co. Law Firm (StakeholderExhibitor)



Figure 13

Awareness Session at Mansoura University



Figure 14

Mansoura University Students



Figure 15

Investor Protection Fund Booth (StakeholderExhibitor)



Figure 16

Relaunching of the InvestorAwarenesswebsite in its new form :
www.iinvest.gov.eg



Figure 17

TV Show announcing the World Investor Week



Figure 18

MCDR Football Professional Team



Figure 19

Georgia Accredited International Program Students at Faculty of Commerce, Cairo University



Figure 20

Presentation on Cumulative Voting given at workshop during World Investor Week



Figure 21

Workshop Activity with University Simulation Models



Figure 22

Workshop Activity with University Simulation Models



Figure 23

Copy of Flyers Distributed during the World Investor week

FRANCE

WORLD INVESTOR WEEK 2018

National Coordinator: Florence Corne

Other IOSCO members:

Other participants: AMAFI, ANACOFI, ANSA, The Banque de France, Cliff, Euronext, INC, La finance pour tous, OAA

L'Autorité des marchés financiers (AMF) and its partners participated actively for the second edition of "World Investor Week".

The AMF published a press release to inform about the event, its involvement and activities carried out in France, and to review the data related to its mobile app "FinQuiz" launched during the World Investor Week 2017. It generated 87 web, social media and press mentions. The internet users' total audience having been able to see the information was 61 151 and the number estimated of Internet users having been reached by the publications was 4 503.

The AMF found partners to support the initiative (AMAFI, ANACOFI, ANSA, The Banque de France, Cliff, INC, La finance pour tous, OAA). Partners also had to communicate on this week with their internal public (banks, asset-management companies, teachers, members...) or general public.

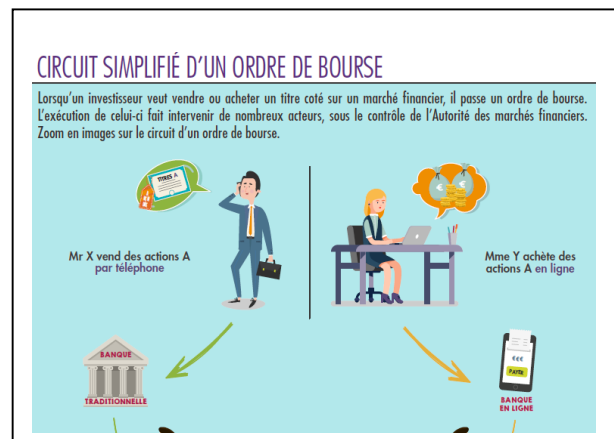
The AMF led a campaign of visibility on the social networks on Twitter and Facebook. The AMF posted a post every day on its Facebook page during the week. The reach of these posts was 83 147 people with 683 clicks, reactions, comments or shares.



The AMF created specific multi-channel content and organized several meetings during this week:

- For the 2nd time, the AMF created a radio campaign conducted in partnership with INC and proposing 1.5 min educational broadcasts on many topics: preparing for retirement, employee savings, investment scams. The campaign was broadcasted by more than 110 national and local radios from 1 to 19 October and stays available as a podcast on the following channel: <https://soundcloud.com/info-placement>. The audience of this campaign is expected to reach more than 1 200 000 people.

- The AMF organized meetings with individuals:
 - A meeting on IPOs and capital increase in partnership with F2IC (French Federation of Investment Individuals and Clubs) at the Banque de France in Paris on 1 October. More than 100 people attended this event;
 - A web conference on 4 October on the supervision of financial market with 150 people.
- In addition to the substantial content for investors already available on its website, the AMF created new content on investments:
 - An infographics to better understand the path of an exchange order from the seller to the buyer (and otherwise)
 - A dynamic infographics to explain how to read a new mandatory document (KID) prior to invest in specific products.
 - A video in partnership with the French youtuber “Heu?reka” about the traps to avoid when investing in crypto-currencies. The video is available on its YouTube channel: <https://www.youtube.com/watch?v=vCjPbij3gmw>. So far, the video has been seen almost 23 000 times.



GERMANY

WORLD INVESTOR WEEK 2018

National Coordinator: Annette von Diest

Other IOSCO members:

Other participants:

For BaFin and in particular its Directorates for Consumer Protection and for Communications, taking part in World Investor Week again in 2018 was a great opportunity to draw more public attention to its activities for financial consumers.

The incredible effort put in by all the staff involved made the following activities possible:

At the beginning of WIW on 1 October 2018 BaFin published two new brochures in the format of mini-encyclopedias on the BaFin website. These brochures explain important banking and insurance terms from A-Z in simplified German. Explaining banking and insurance terms in simplified German makes the contents easier to understand for people with learning or reading difficulties as well as for people not fluent in German. However, everyone can benefit from the simple language used in the brochures. Special attention is paid to wording, sentence structure, spelling and format, thereby facilitating understanding and supporting individuals' self-determination. The brochures are also available in printed form to be handed out to interested consumers, for example at trade fairs.

Furthermore, in cooperation with an organisation for elderly citizens BaFin organised a "digital discussion forum" about the topic "big data and artificial intelligence" on 2 October 2018. BaFin experts gave consumers – in this case elderly people – a presentation and useful advice about how big data works and what the benefits and risks of big data are for consumers of financial services in a Skype conference call. Consumers were also given the opportunity to address their questions directly to BaFin experts.

During World Investor Week, BaFin was also represented at the trade fair "Börsentag" in Berlin on 6 October 2018. At this trade fair BaFin provided a variety of information and publications for investors, including brochures and BaFin's annual report. BaFin experts also gave visitors the opportunity to ask their questions in one-on-one discussions. Consumers asked the BaFin experts questions concerning the regulation of bitcoins and the impacts of MiFID II on investment advice, among other things.



GIBRALTAR

WORLD INVESTOR WEEK 2018

National Coordinator: Heidi Bocarisa

Other IOSCO members: Gibraltar Financial Services Commission (GFSC)

Other participants: Gibraltar Resolution and Compensation Unit

Throughout World Investor Week (WIW), the GFSC worked with a local media outlet [Your Gibraltar TV](#) (YGTV) to author, produce and publish five short, informative videos in addition to one summary video touching on the subject of safe investments via both the GFSC and YGTV social media platforms.

These videos were aimed at young professionals and recent university graduates who may start to consider investment options as they begin to earn salaries. Due to the target audience, the GFSC focused its approach using its online social media platforms as well as YGTV's webpage and social media platforms. The short video clips lasting between 45 – 60 seconds feature well-known local personalities from Gibraltar and covered subjects such as; diversification of investments, risk awareness and stressed the importance of gathering getting as much information as possible on both investments and investment firms prior to deciding to invest. Through these clips, the public can learn how best to recognise, understand and avoid investment frauds.

The short clips were posted on the GFSC and [Moneywise](#) (the GFSC's Consumer Education dedicated website) social media platforms, [Facebook](#), [Twitter](#), and [LinkedIn](#) page every working morning during WIW as well as a summary of Top Tips from each video on Saturday morning.

In addition to this stream of work, the Gibraltar Resolution and Compensation Unit, which sits within the GFSC but is operationally separate from the Commission, set up stands in locations around Gibraltar over the course of the week to provide information about the [Gibraltar Deposit Guarantee Scheme](#) to the public by way of roll-up banners, the provision of leaflets and branded products allowing the public to approach the team in a relaxed manner and ask questions.



Gibraltar Financial Services Commission
Published by Frank Smith-Cooper [?] · 3 October · 🌐

On the third day of #WIW2018 Christian Santos & Tanya Santini asks you the question. "Is your investment firm Regulated? Who Regulates them??" #IOSCO #IOSCOWIW #WorldInvestorWeek #GFSC #SAFEINVESTING #TheChimneyTapasBar Gibraltar Football Association #TheBaseTrainingClubGibraltar #GAMPA Your Gibraltar TV (YGTV)



Gibraltar Financial Services Commission
Published by Frank Smith-Cooper [?] · 4 October · 🌐

Day four of #WIW2018, Anthony Hernandez asks you: "How much would you risk? Are you being risky with your investments?" #IOSCO #IOSCOWIW #WorldInvestorWeek #GFSC #SAFEINVESTING The Chimney Tapas Bar Gibraltar Football Association The Base Training Club Gibraltar Academy of Music and Performing Arts Your Gibraltar TV (YGTV)



Gibraltar Financial Services Commission
Published by Frank Smith-Cooper [?] · 5 October · 🌐

On day five of #WIW2018, Henry Sacramento asks you: "Do you have all your Investments in one place?" #IOSCO #IOSCOWIW #WorldInvestorWeek #GFSC #SAFEINVESTING The Chimney Tapas Bar Gibraltar Academy of Music and Performing ArtsYour Gibraltar TV (YGTV) Gibraltar Football Association



Gibraltar Financial Services Commission
Published by Delén Valerino Sánchez [?] · 6 October · 🌐

For the last of our videos, here are your TOP TIPS of the Week to be a SMART INVESTOR #IOSCO #IOSCOWIW #WorldInvestorWeek #GFSC #SAFEINVESTING Your Gibraltar TV (YGTV) @Gibraltar Football Association Gibraltar Academy of Music and Performing ArtsThe Chimney Tapas Bar



Gibraltar Deposit Guarantee Scheme
3 October · 🌐

The #RCU team on day two of their Roadshow at Morrisons! Tomorrow they will be at The Piazza, come down and say hello and find out all about the scheme and how you are protected! #GibraltarDepositGuaranteeScheme #GDGS



Gibraltar Deposit Guarantee Scheme
4 October · 🌐

The #RCU team were busy this morning at The Piazza chatting to the public on the #GibraltarDepositGuaranteeScheme. Feel free to pop into the #GFSC offices to find out more on how you are protected!



HONG KONG

WORLD INVESTOR WEEK 2018

National Coordinator: Investor and Financial Education Council, subsidiary of the Securities and Futures Commission

IOSCO affiliate members: Hong Kong Exchanges and Clearing Limited

Other participants: CFA Institute, Hong Kong Society of Financial Analysts, HKU School of Professional and Continuing Education, Financial Dispute Resolution Centre, Institute of Financial Planners of Hong Kong, and Hong Kong Polytechnic University - Institute of Active Aging.

The Investor and Financial Education Council (IFEC) stepped up its efforts for this year's WIW, from an online campaign in 2017 to a combined approach which comprised of both resources provided through digital channels and in-person investor seminars. More stakeholders were invited to participate, from two organizations last year to seven this year.

The IFEC and various stakeholders organized the following activities to promote investor education messages, as well as to help consumers make well informed decisions and become smart investors:

1. Investor seminars

Three investor seminars were co-hosted by the IFEC and various stakeholders across a range of topics. Around 500 investors participated in these seminars and the feedback have been very positive.

Seminar to discuss the impact of weighted voting right shares on investors



Seminar to discuss macro-economic trends and associated risks, risk management and investment attitudes in a volatile market, and new economy investing.



Seminar on investor protection and disputes resolutions



2. Survey

The Institute of Financial Planners of Hong Kong conducted the “Financial Goals and Retirement Planning of Hongkongers” survey to gather insights into the financial attitudes and retirement planning behavior of the general public. The research was intended to raise consumer awareness and improve understanding of the value of financial planning. [Survey findings](#) were announced in conjunction with World Financial Planning Day on 3 October 2018.

3. Online education resources

The IFEC launched [the Chin Family Investor Portal](#) during WIW 2017 and we updated and enhanced the content in line with the themes of WIW 2018. The Investor Portal is a one-stop resource for both novice and experienced investors to learn more about the breadth of financial products, and the principles of trading and investing. Other information include smart tips, scam alerts, as well as regulatory updates for smart investor protection.

New online resources in conjunction with WIW 2018 include:

- Infographics showing the traits of [smart investors](#) and [smart digital and online investors](#).
- A series of [animation videos](#) with interactive elements to help investors acquire fundamental knowledge in stocks and funds. Investors can put their knowledge to the test by answering the questions in the videos and clicking on the hyperlinks to learn more.
- Website polling (From 24 Sep – 7 October 2018)

It has been 10 years following the global financial crisis. How has the crisis affected your investment attitude?

Poll participants: 2,765

- More aggressive (10%)
- More cautious and prudent (55%)
- Not affected (12%)
- Did not experience the crisis (23%)

We promoted our WIW initiatives and messages via online channels, including e-newsletter, Facebook fan page, and paid adverts on social media and financial news platforms, and encourage the public to visit our Investor Portal to learn more.

During 1st to 7th October, the Chin Family website had 77,604 page views; 41,231 visits of 33,140 users; while the online poll received 2,765 votes. On Facebook, six feeds received 1,487 likes and had 61,062 fans. The click through rate of the e-mail was 8.9% and the open rate was 28.5%.

HUNGARY

WORLD INVESTOR WEEK 2018

National Coordinator: The Central Bank of Hungary (MNB)

Other IOSCO members:

Other participants: Budapest Stock Exchange

The Central Bank of Hungary (MNB) participated actively in World Investor Week 2018, promoting information and resources for investors via its Consumer Protection website and a short communication campaign.

The main message of the campaign was about the importance of seeking information before choosing an investment, checking the service provider with an online search application of the Central Bank. The other message for retail investors was to regularly compare investment account statements issued by the investment service provider with the balance statements reported by the service provider to MNB (on an anonymized basis) through the MNB's dedicated online application. The design of the campaign was created by an agency, with the headline: "Be clear about your investment!" and "Gather information about investment offers and providers!"

Ca. 500 posters of the campaign got installed and educational short-films were broadcasted at selected post offices.

The online media campaign ran from 1 to 7 October, MNB's WIW-advertisements and contents had 2,5 million adviws. The dedicated landing page on the MNB's website had 2.206 unique views during the campaign, so at this period that was the most visited page on MNB's Consumer Protection website. An innovative addition to the communication campaign was that MNB published 3 questions on investment into a popular online quiz application⁵ from 1 October, as a result of an ongoing partnership, reaching 50.000 users per question monthly for 3 months.

As part of the WIW-activities special lectures were organized with presentations by MNB senior experts and Budapest Stock Exchange's sales and marketing director for university students at 7 cooperating universities after last year's success at 5 educational institutions.

⁵ Honfoglaló (<https://www.honfoglalo.hu/>)

pénzügyinavigátor
MAGYAR NEMZETI BANK

TÁJÉKOZDJON A BEFEKTETÉSI AJÁNLATOKRÓL!

Ellenőrizze az ajánlatot adó szolgáltatót az MNB új intézménykeresőjével!



www.mnb.hu/penzuginavigator
utat mutat a pénzügyekben

pénzügyinavigátor

LÁSSON TISZTÁN, HA A BEFEKTETÉSÉRŐL VAN SZÓ!

Ellenőrizze, hogy a szolgáltatójától kapott adatok megegyeznek-e az MNB nyilvántartásával!



utat mutat a pénzügyekben

pénzügyinavigátor
MAGYAR NEMZETI BANK

LÁSSON TISZTÁN, HA A BEFEKTETÉSÉRŐL VAN SZÓ!

Ellenőrizze, hogy a szolgáltatójától kapott adatok megegyeznek-e az MNB nyilvántartásával!



utat mutat a pénzügyekben

ORIGO ITTHON NAGYVILÁG GAZDASÁG SPORT TÉVÉ FILM TUDOMÁNY MÉG TÖBB ▾

Nem minden arany, ami fénylik

PR CIKK | 2018.09.28. 08:01

Ajánlom 0 Tweet G+

Befektetési döntéseink előtt tájékozódjunk a szolgáltatókról!

pénzügyinavigátor

TÁJÉKOZDJON A BEFEKTETÉSI AJÁNLATOKRÓL!

Ellenőrizze az ajánlatot adó szolgáltatót az MNB új intézménykeresőjével!



utat mutat a pénzügyekben

FORRÁS: MNB

A szokatlanul magas hozam gyakran önmagában is felkelti a befektetők figyelmét, ám a gyakorlottabbak tudhatják, hogy a gyors meggazdagodás ígérését sosem adják ingyen. A kockázat nagysága és a hozam mértéke kéz a kézben jár, magából a befektetési formából adódik, ezért különösen gyanús lehet, ha egy cég bombabiztos ajánlattal, irreálisan magas hozammal kecsegtet.

MOST

- 12:48 Titokban összeházasodott Nacsa Olivér és párja
- 12:45 A fényvédők is felelősek a korallpusztulásért Thaiföld strandjánál
- 12:44 Tiltakozott a migránerőszak ellen, lenécsiták, megrongálták a házákat
- 12:31 Hazugságszpirálban a Jobbik: így lettek Soros György pártja
- 12:22 Virusfertőzött rovarok milliói árasztják el a földeket
- 12:20 Gödörben a Bayern, az elnök reagált az edző kirúgásáról szóló pletykákra
- 12:18 Megvédte a lányát az erőszakoló mészárlástól, nyomoznak ellene

TOP 12 ÓRA

TOP LIKE

OLVASTA MÁR?





INDIA

WORLD INVESTOR WEEK 2018

National Coordinator: Securities and Exchange Board of India (SEBI)

IOSCO Affiliate Members: BSE Limited (BSE), National Stock Exchange of India Limited (NSE), Multi Commodity Exchange of India Limited (MCX)

Other Participants: National Securities Depository Limited (NSDL), Central Depository Services (India) Limited (CDSL), National Commodity & Derivatives Exchange Limited (NCDEX)

Activities carried out during WIW-2018

During WIW-2018, conducted from October 01 - 07, 2018, SEBI co-ordinated in conducting programs related to investor and financial education across India. Apart from SEBI; Stock Exchanges, Commodity Derivatives Exchanges, Depositories, SEBI recognized Investor Associations, and SEBI empanelled Resource Persons also conducted various investor awareness activities which are described below:

1. Display of Banners and Tickers

- i. **BANNER:** Bilingual banners about celebration of WIW-2018 were exhibited in all offices of SEBI, viz. Head office in Mumbai, four regional offices in New Delhi, Chennai, Kolkata and Ahmedabad, and 16 local offices located in various states in India. Banners were also displayed at all offices of stock exchanges, commodity derivatives exchanges and depositories. The banner displayed is as follows:



- ii. **TICKER:** Ticker about celebration of WIW-2018 was also run on SEBI website (www.sebi.gov.in), investor website (www.investor.sebi.gov.in) as well as the websites of stock and commodity derivatives exchanges and depositories in the following format:

*“World Investor Week Oct 1 - 7, 2018 being
Celebrated under aegis of IOSCO and SEBI.”*

2. Investor Awareness and Financial Education Programs

SEBI along with other participants, viz. stock and commodity derivatives exchanges, depositories, SEBI recognized Investors Associations and SEBI empanelled Resource Persons conducted various investor education and financial education programs as detailed below:

i. Financial Education

a) FINANCIAL EDUCATION THROUGH RESOURCE PERSONS

SEBI has been reaching out to potential investors and masses through an innovative model, namely, Resource Persons ('RPs') to promote financial education across various target groups. During WIW-2018, SEBI empanelled RPs conducted 1,072 programs which were attended by 39,097 participants. These programs covered basic concepts related to various financial sectors, viz. banking, insurance, capital market, pension, caution against Ponzi schemes and other fraudulent practices, various schemes launched by Indian Government related to savings products, investment products, insurance products, financial inclusion schemes, etc. These programs were conducted in local language of the particular area and financial education booklets were distributed free of cost to the participants attending these programs.

b) 'VISIT TO SEBI' PROGRAMS

During WIW-2018, 54 'Visit to SEBI' programs were conducted by SEBI covering 2,611 participants. During these programs, various groups of students from college, schools and professional institutes visited SEBI offices to learn about securities markets, SEBI and its role as regulator of securities markets.

ii. Investor Education

INVESTOR AWARENESS PROGRAMS

During WIW-2018, 556 Investor Awareness programs covering 37,358 participants and 1 program by SEBI recognized Investors Association covering 70 participants were conducted.

The above mentioned Investor Awareness Programs were conducted by SEBI, in association with the Stock Exchanges (NSE and BSE), Commodity Derivatives Exchanges (MCX and NCDEX), and Depositories (NSDL and CDSL). These programs were conducted across the country, mainly, focusing on Tier II and Tier III cities/ towns to promote investor education. In these programs, investors were provided useful information in securities market covering basic concepts like investing on securities market, mutual fund investments, grievance redressal mechanism, etc. The program by Investors Association also covered these topics.

3. Social Media

The information about the various programs conducted during WIW-2018 was made available in the public domain through social media channels like Facebook, Twitter, and through SMSes and e-mails. The details of the same are as under:

Particulars	No. of Views	No. of Likes	Share/ Forwards
Facebook	5,963	149	20
Twitter	9,695	37	16

Particulars	Nos.
SMS	478,000
E-mails	9.9 Million

4. Snapshot of all the programs conducted during WIW-2018 in India

In a nutshell, following programs were conducted by SEBI and other participants in India during the celebration of WIW-2018:

	Number	Participants
RP Programs	1,072	39,097
Visit to SEBI	54	2,611
Investors Association Programs	1	70
Investor Awareness Programs	556	37,358
TOTAL	1,683	79,136

5. Photographs of various programs conducted during WIW-2018



Resource Person Program conducted for females in rural areas for creating financial education.



Regional Seminar conducted in association with commodity derivative exchanges.



Investor Awareness Program conducted during WIW-2018 ↓



Banners and Standees about celebration of WIW-2018 displayed at various locations. ↓



INDONESIA

WORLD INVESTOR WEEK 2018

National Coordinator:

Other IOSCO members:

Other participants:

Indonesia Financial Services Authority (IFSA) held the World Investor Week (WIW) 2018 in collaboration with Indonesia Stock Exchange (IDX), Indonesian Central Securities Depository (KSEI), Indonesian Clearing and Guarantee Corporation (KPEI), and capital market industry from 1st-7th October 2018. The event consisted of 2 stages, which are pre-event (building people's awareness on investing in capital market industry through campaign on social media and popular radio channels) and the main event (capital market education and Investival/ Indonesia Investment Festival).

Detailed informations of the event are as follows:

A. Pre-Event

1. Financial education talk shows on popular radio channels in Jakarta
 - a. Trax 101.4 FM Jakarta on September 26th, 2018, which targeted youth (18-25 years old who has ABC Social Economic Status (SES) with total 119.000 listener*.
 - b. i Radio 89,6 FM Jakarta on September 28th, 2018, which targeted professionals (20-35 years old who has ABC Social Economic Status (SES) with total 949.000 listener*. (*Datas are based on Nielsen Radio Audience Measurement 2018)

We received positive responses from the radio listeners, and their enthusiasm by asking further related information about investing in capital market and sharia capital market (how to make a transaction, how to buy a product (stocks and mutual funds), the benefits and risk of investing in capital market, etc).

B. Main Event

1. Financial education talk shows on popular radio channels:
 - a. K.Lite Bandung 107.1 FM on October 5th, 2018.
 - b. Radio Metro FM Jambi and Radio Elria Buana on October 5th, 2018.
2. Capital market education by Sekolah Pasar Modal (SPM) or Capital Market School to college students of the Syarif Hidayatullah Islamic University which aims to introduce and enhance students' understanding of the capital market industry, which still have a low understanding and are not even familiar with capital market products. The activity was attended by 89 students from Faculty of Economics and Business.
3. We also held a Stocklab game simulation which was guided directly by the Stocklab maker, namely Ryan Filbert. The activity held on October 4th, 2018 was attended by ±30 OJK employees. Stocklab is a game to introduce the capital market using card media, through this game participants will more easily understand how to invest in the capital market which includes mechanisms, benefits, risks and results in investing. This education aims to make participants more interested in investing in the capital market.

4. Social Media Campaign on Facebook, Instagram and Twitter. We developed education campaign materials, in order to rise public's awareness targeted to millennials generation. The campaign in

social media developed with a simple content along with an interesting illustration, infographic, and quizzes. We also created a national hashtag with all stakeholders in the capital market, i.e: #IOSCOWIW, #WIW2018 and #WorldInvestorWeek2018. Detailed information about response of the audiences for this campaign during are as follows:

No	Social Media	Reach*	Engagement**	Impression***
1	Facebook	1.900	177	2.404
2	Twitter	-	125	8.130
3	Instagram	26.844	1.361	34.473
Total		28.744	1.663	45.007

Note:

*Reach: the number of unique account who saw the post .

**Engagement: the number of times the post was liked, saved, and commented on

***Impression: the total number of times the post has been seen.

5. We held educational activities regarding the capital market to students of the Faculty of Economics and Business of the State Islamic University (UIN) Sunan Kalijaga Yogyakarta on October 5th, 2018. This activity collaborated with IDX and securities company which was attended by around 100 college students. Participants have also opened a securities account through securities company.

6. Sharia capital market talk show at Youth Islamic Festival on October 6th, 2018 to 300 participants.

7. PT Indonesia Stock Exchange (IDX), Indonesian Clearing and Guarantee Corporation (KPEI), Indonesian Central Securities Depository (KSEI), and supported by the Indonesia Financial Services Authority (OJK) from 5 to 7 October 2018 held Indonesia Investment Festival (Investival) 2018 at Summarecon Mal Bekasi. Investival 2018 that has jargon of "Investing is Easy" is one of the steps taken by IDX to introduce the ease of investing in the capital market to the general public, especially the people in Bekasi City. Through this activity BEI seeks to introduce the ease of stock investing through talk shows that present practitioners, leaders, and public figures who have become investors in capital market to share their experiences that investing in capital market is an easy thing to do. Investival 2018 activity also presents an exhibition of securities companies and investment managers to facilitate visitors who interested in starting to invest in capital market. The details of participations in Investival 2018 activities are as follows:

- Participant Booth: 40 Booth
- Number of Visitors: 8,114 People
- Account Opening Number: 1,377 Securities Accounts
- Number of Transactions: 1,692,452,290, IDR

8. Capital market education to 48 students of 2 Senior High School, Banjar, West Java on October 9, 2018.

9. Launch of Methodist Indonesia University of Mobile Investment Gallery (GIM) on October 11th, 2018, in Medan. The program is a collaboration between OJK and IDX, and Methodist Indonesia University Investment Gallery. The launching activity continued with a seminar themed "Capital

Markets in Indonesia" in the form of a panel discussion. The activity was attended by approximately 168 participants from various backgrounds, i.e: woman association, professional, business people, lecturer and college students.

10. Training of Trainers about sharia capital market attended by 63 lecturers in Sorong, West Papua. The event was held on 11-12 October 2018 with the material related to the regulation and products of the Islamic capital market, Islamic mutual fund investment practices, practices of stock investment through an online sharia trading system;

11. The Sharia Investment Workshop was held on October 13, 2018 and was attended by 200 participants consisting of administrators, teachers, and students of the Al-Izzah Sorong Islamic School and organizer of the West Papua Integrated Islamic School. The material provided is the regulation and products of the Islamic capital market, Islamic mutual fund investment practices, the practice of stock investment through an online sharia trading system.

WIW event will be an exciting annual activity, it just needs a better preparation to be held in a more massive and varied activities. In the case of Indonesia, social media campaign is an effective delivery channel media that can attract more audiences since nowadays people use their gadgets very often to find trending and up to date informations.







INDEKS HARGA SAHAM GABUNGAN (IHSG) 2002 - 2018

5,976.55 +47.34 (0.80%) ↑
 Sep 28, 4:15 PM GMT+7 Disclaimer

1 day 5 days 1 month 6 months YTD 1 year 5 years Max

8,000
6,000
4,000
2,000
0

2002 2008 2010 2014 2018

Open High 5,930.60 5,976.55 Low 5,930.60

*Data per tanggal 26 September 2018

View Insights Promote

129 likes

sikapiuangmu Grafik Indeks Harga Saham Gabungan (IHSG) tahun 2002-2018

Sobat Sikapi apakah kalian tau bagaimana sejarah pasar modal di Indonesia? "Kenali Sejarahnya dan Yakin Berinvestasi di Pasar Modal Indonesia"

Nah, untuk Sobat Sikapi yang berminat untuk menjadi seorang investor di pasar modal, ada baiknya kalian mempelajari sejarah pasar modal di Indonesia. Hal ini penting agar kalian mengetahui apa yang bisa kalian lakukan saat pasar modal sedang dalam kondisi tertentu, karena mungkin saja kondisi tersebut pernah



sikapiuangmu

Investasi SAHAM SYARIAH

Efek atau surat berharga yang memiliki konsep penyertaan modal dengan hak bagi hasil usaha tidak bertentangan dengan prinsip syariah

Saham-saham perusahaan yang memenuhi kedua kriteria tersebut, dimuat dalam Daftar Efek Syariah (DES) yang ditetapkan oleh OJK.

- Sikapi Uangmu -

View Insights Promote

Liked by pasar_modal_syariah and 154 others

sikapiuangmu Yuk ! Kenali Saham Syariah & Tips Berinvestasi dengan Saham Syariah

Sobat Sikani. nada dasarnya saham syariah sama

PASAR MODAL INDONESIA

Pasar modal memegang peranan penting dalam sektor keuangan Indonesia. Selain sebagai sumber pendanaan bagi perusahaan dan pengembangan infrastruktur negara, pasar modal juga mampu menjadi media investasi bagi masyarakat Indonesia.

4,4 % Indeks Literasi Penduduk tentang Pasar Modal

721 rb Single Investor Identification (SID) terdaftar di BEI

PERKEMBANGAN PERDAGANGAN SAHAM SEKTORAL 2018 (NILAI DALAM MILYAR / VOLUME DALAM JUTA)

IHSG	8,611.84 / 10,461.23	KELUANGAN	2,179.03 / 1,152.27
INFRASTRUKTUR	1,191.07 / 1,273.99	PERDAGANGAN	1,135.23 / 2,162.75
PERTAMBANGAN	1,038.12 / 1,512.98	KONSUMSI	900.87 / 849.00
PROPERTI & REAL ESTATE	857.78 / 2,477.60	INDUSTRI DASAR	752.22 / 523.17
ANEKA INDUSTRI	411.76 / 289.15	PERTAMBAH	145.74 / 220.33

SUMBER : OTORITAS JASA KEUANGAN, BEI

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134 likes

sikapiuangmu Pasar modal memegang peranan penting dalam sektor keuangan Indonesia. Selain sebagai sumber pendanaan bagi perusahaan dan pengembangan infrastruktur negara, pasar modal juga mampu menjadi media investasi bagi masyarakat Indonesia. Berikut infografis keadaan pasar modal di Indonesia.
#WIW2018
#WorldInvestorWeek2018
#IOSCOWIW

PASAR MODAL INDONESIA

Sudah berapa lama berinvestasi di pasar modal dan produk pasar modal apa saja yang kalian gunakan hingga saat ini? Tuliskan alasannya di kolom komentar!

View Insights Promote

90 likes

sikapiuangmu Halo Sobat Sikapi, kuis minggu ini coba ceritakan sudah berapa lama Sobat Sikapi berinvestasi di pasar modal dan produk pasar modal apa saja yang kalian gunakan hingga saat ini?
Tuliskan alasannya di kolom komentar ya.
#KuisSikapiuangmu
View All 9 Comments
4 OCTOBER · SEE TRANSLATION

Sikapi Uangmu
Oct 01 at 05:00

INVESTOR DI INDONESIA

Indonesia menjadi favorit investor dalam negeri maupun investor asing, terbukti dari meningkatnya peringkat Indonesia dari peringkat 14 ke 9. Hal ini tentu memberi dampak yang positif. Cek infografis berikut mengenai investor di Indonesia.



The infographic displays the following data:

- PERINGKAT INDONESIA MENINGKAT DARI 14 KE 9. HAL INI MEMBUKTIKAN BAHWA INDONESIA FAVORIT INVESTOR DALAM NEGERI MAUPUN INVESTOR ASING.
- PROVINSI BERDASARKAN JUMLAH INVESTOR TAHUN 2018: DKI Jakarta (193,678), Jawa Barat (721,266), Jawa Tengah (291), and others.
- JUMLAH INVESTOR: RATA-RATA 21,214; MAKSIMUM 193,678 (DKI JAKARTA); MINIMUM 291 (DIYANEGARI BARAT); TOTAL 721,266 INVESTOR.

Sikapi Uangmu
Sep 28 at 20:27

KENALI SEJARAHNYA DAN YAKIN BERINVESTASI DI PASAR MODAL INDONESIA

<https://sikapiuangmu.ojk.go.id/FrontEnd/CMS/Article/10463>

Sobat Sikapi, taukah kalian jika bulan Oktober... See more



The chart shows the IHSG index from 2002 to 2018. Key data points include:

- Current Value: 5,976.55 (+47.34 (0.80%))
- Open: 5,930.60
- High: 5,976.55
- Low: 5,930.60
- Max: 5,973.27 (Sep 26, 2018)

Tweets | Tweets & replies | Media | Likes

SiKAPI @sikapiuangmu · 02 Oct
Untuk Sobat Sikapi yang berminat menjadi seorang investor di pasar modal, ada baiknya kalian mempelajari sejarah pasar modal di Indonesia.

Simak artikelnya di sikapiuangmu.ojk.go.id/FrontEnd/CMS/A...
[#KenaliInvestasi](#)

SiKAPI @sikapiuangmu · 02 Oct
Nah, pasar modal itu apa sih? Pasar modal bisa menjadi alternatif berinvestasi dengan pilihan beragam. Sayangnya, alternatif investasi ini masih asing untuk masyarakat. Kesan sulit dipelajari dan rumit dalam berinvestasi membuat pasar modal jarang dilirik.
[#KenaliInvestasi](#)

Tweets | Tweets & replies | Media | Likes

SiKAPI @sikapiuangmu · 12 Oct
Sekarang, pembukaan awal reksa dana bisa dimulai cukup dengan Rp 10.000 saja. Yang pasti pilihlah Agen Penjual Reksa dana yang sudah terdaftar di Otoritas Jasa Keuangan (OJK) ya agar lebih aman dan terjamin.

SiKAPI @sikapiuangmu · 12 Oct
Karena reksa dana ini dikelola oleh pihak profesional serta berpengalaman yang disebut dengan Manajer Investasi (MI). MI akan mengatur porsi penempatan dana kita pada pasar uang, saham atau surat utang dan mereka pula yang akan menentukan komposisi saham apa yang dibeli.







ISLE OF MAN

WORLD INVESTOR WEEK 2018

National Coordinator: Isle of Man Financial Services Authority (IOMFSA)

Other participants: Personal Finance Society (PFS) and London Institute of Banking and Finance (LIBF)

In line with the World Investor Week 2018 key message the IOMFSA asked Isle of Man consumers to consider

“What is a smart investor and how do I become one?”

The IOMFSA used World Investor Week 2019 as an important opportunity to focus attention on consumers’ investment needs and knowledge. This followed on from the valuable work that the IOMFSA was already engaged in to promote sound information on Pensions and school outreach to upskill local teenagers on financial fundamentals.

Building on the World Investor Week Key messages and working with professional bodies we provided an insightful programme to give Isle of Man consumers information they need to understand how to invest safely, supporting sound investment decisions.

The IOMFSA worked with local professional bodies to deliver the IOSCO Key Messages.

A Smart Investor

- Checks that an investment adviser is qualified and works for a regulated firm
- Conducts research on a product before investing
- Assesses the impact of fees when choosing an investment
- Understands that risk exists in all investments
- Avoids "get rich quick" and "can't lose" schemes
- Recognises the power of compound interest
- Recognises the importance of diversification
- Plans for and invests according to his/her future needs and goals
- Recognises the benefit of long-term, regular and diversified investment.

Additionally a Smart Investor investing in initial coin offerings, digital assets and other online investments:

- Understands the risks that are associated with initial coin offerings and crypto-assets in general and is careful in deciding whether to invest in these products
- Does not forget about the importance of due diligence when considering investments in online and digital environments
- Recognises the red flag warning signs of online investment fraud
- Never invests based solely on a celebrity endorsement
- Knows that most legitimate firms do not allow their customers to use credit cards to make investments.




Isle of Man events across World Investor Week

To get the WIW message out to consumers the IOMFSA prepared new consumer information and worked with professional bodies to prepare the WIW Events. The launch event was developed in conjunction with the Personal Finance Society.

Family Finance Day

Isle of Man World Investor Week was launched at a local High School Sixth Form Centre on 29 September 2018. This was an interactive day, promoting the concept of sound financial advice and planning focussing on improving customer outcomes.



Family Finance Day

Free Presentations

9:30 Initial Coin Offerings: What's all the fuss?

10:10 Investment Matters – Top tips for a Smart Investor

11:20 Scam Man – How to be scam aware

12:00 Pension Matters – Planning for your future

Free Personal Financial Guidance
Private 30 minute sessions with regulated advisers who are members of the Personal Finance Society.

10:30 Discover Fortunes
Find the fortune, win the prizes
Up to 6 per team - 13-18 year olds

All morning – Meet the Regulator over refreshments

Book your FREE place Go to www.eventbrite.com and search for Isle of Man World Investor Week

Isle of Man launch
from 9:15 – 13:15
29 September 2018
Ballakermeen 6th Form Centre

WORLD INVESTOR WEEK 2018
PROMOTED BY IOSCO

ISLE OF MAN FINANCIAL SERVICES AUTHORITY

Presentations

During the day consumers were able to attend free presentations looking at:

- Initial Coin Offerings: What's all the fuss?
- Investment Matters – Top tips for a Smart Investor
- Scam Man – How to be scam aware
- Pension Matters – Planning for your future

The presentations were videoed and are available in edited shorts as a resource for the future.

Financial Guidance

There was the opportunity for consumers to have one to one Pro Bono Guidance. To support the event a number of financial advisers from Isle of Man regulated firms agreed to provide free half hour sessions where consumers could get generic guidance on their financial plans. All participating advisers were members of the Personal Finance Society.

Discover Fortunes

Teenagers (13-18 year olds) had the opportunity to compete in teams of up to 6 in the Discover Fortunes Challenge. In this interactive game the teams that found the fortune, won the prizes.

Meet the Regulator – Meet the Regulator over refreshments

Across the day staff from the IOMFSA were available to meet consumers and promote guidance available for consumers.

Feedback for the day from participants was very positive.

Cyber Risk Management

An informative lunchtime seminar was held discussing how to minimise cyber risks to individuals and businesses. This event was supported by the London Institute of Banking and Finance. Again participants found the event very enlightening and gave positive feedback.



The flyer is divided into two columns. The left column features the World Investor Week 2018 logo (three stylized buildings in blue, green, and orange) and text: 'WORLD INVESTOR WEEK 2018', 'PROMOTED BY IOSCO', and the Isle of Man Financial Services Authority logo. Below this, it says 'To attend come to Eagle Labs, Victoria Street, Douglas, 12.00 to 13.00, Friday 5 October'. The right column has a green header 'Cyber Risk Management for You and Your Business', followed by 'Free Presentation', 'This event will appeal not only to individuals but also business owners.', 'Join us for a talk by Colin Tansley, MD of Intellect Solutions on how to minimise cyber risks to individuals and businesses.', 'This event is hosted by Barclays and supported by the London Institute of Banking and Finance.', and 'Places are available on a first come basis.'

New consumer information

The IOMFSA wanted to utilise World Investor Week as a focal point for the launch of new consumer information.

The Isle of Man Consumer Pension Survey

Prior to the summer the FSA commissioned an independent survey of Manx consumers in relation to attitudes to pensions. There was excellent response to the survey, with more than 2% of eligible Manx residents (in work and under age 65) participating across a representative spread of ages and occupations.

The results of the survey were launched as part of World Investor Week and are available on the IOMFSA's website.

Consumer Information

As part of World Investor Week, to complement the pension matters leaflet launched in April, the FSA is launched colourful consumer leaflets on the following topics:

- Consumer Matters – The role of the Financial Services Authority
- Investment Matters
- Investment Scams
- Pension Scams
- Consumer Matters – Initial Coin Offerings and Cryptocurrencies (developed in conjunction with sixth form students from Ballakermeen High School).

All of the leaflets were made available in hard copy and are available in electronic form on the IOMFSA website.

Publicity

As part of World Investor Week the IOMFSA participated in Radio and Local TV Interviews. The Pension Survey Results and World Investor Week Events were also covered in 2 articles in the local press.

Chartered Insurance Institute – Building Public Trust Awards 2019

The consumer outreach work undertaken resulted in nominations in two categories of the CIIs Public Trust Awards:

- The Consumer Marketing and Awareness Award - Winner
- The Consumer Champion Award – Runner-up”



ISRAEL

WORLD INVESTOR WEEK 2018

National Coordinator:ISA – Israel Securities Authority

We published a press release regarding the WIW2018 campaign and the ISA activities to promote responsible financial consumption.

This year, on our main project, we focused on the young generation between ages 20-45 due to the understanding that they surfing the web and the social media that makes them also as a target for investments opportunities. For that reason we build a fake website "[R.N.E Entrepreneurship and investments](#)" to illustrate how easy it is to fall in a fraud and to give the tips and tools to responsible investments. We funded promotion of the fake website through Facebook, Google and major news websites in the Israeli media.

In only five days we reached an exposure of 2.3 million people, more than 17,000 visit the website and 160 fell into the trap.

The publications about the fake website were very positive all over the media (TV, Radio, newspaper and websites) and in the social media.

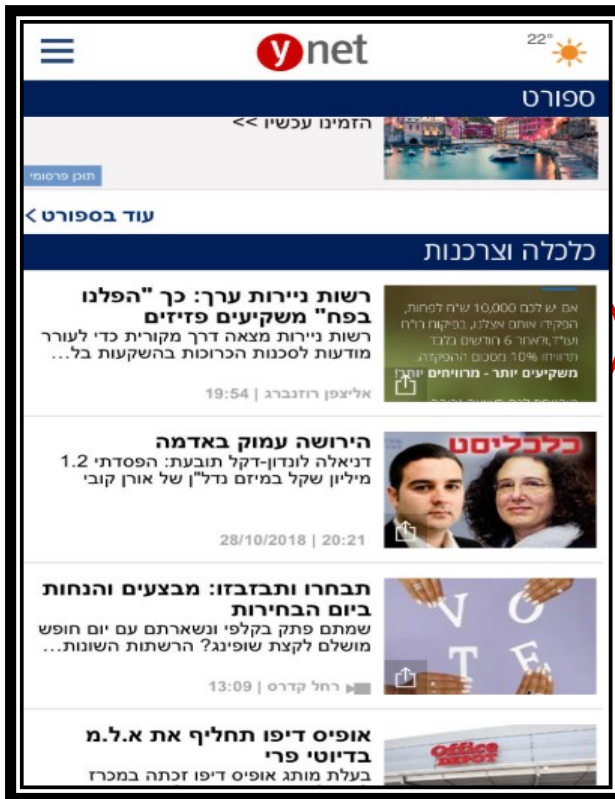
We published in ISA Facebook and LinkedIn posts with the IOSCO WIW2018 campaign.

In addition, we organized an investors meeting to explain about Capital Market from the investors eyes. This event is a joint venture with Tel Aviv Stock Exchange.

Here are some of the articles that were published about **#WIW2018**:

'**YNET**' is the most popular news website in Israel with exposé of millions.

Here you can see the publication at the homepage and the full article.



אתר "התרמית" של רשות ניירות ערך

אחר השקעות מזויף הושק כדי להגביר את מודעות הציבור לחשיבותה של צרכנות פיננסית אחראית

מרטין גוטליב, קובלו התרעה על ג'י
סיון תרמית זו הובילה אותם ללילי
הזרות ולצדדים שהחטבו לנטוש לפני
כל השקעה.

החל משנת 2015 הרשות סיפקה
כרטיס האינטרנט יומי ברוח
הדור. שווה בעקבות סיכומים ותי
לנתח מפרטיו. המדיקה הישילה למ
גדה ולתפקיד פעילות של כ-400 מי
רות ודורשים. יולי רשות ניירות ערך,
ענת נאמט, הבכירה ל"ישראל היום"
כי "כפיית ריבית הימית נמוכה כפי
רף עם ההתפתחות הייג'נליות של
היום, והפכות את תחום ההשק
עוד חלא ממוקדות באינטרנט
לאחד האתגרים המרכזיים של
הרגולטורים".

יולי רשות ניירות ערך
הוסיפה כי "במהלך תהליכי
החלטתו להקים אתר השקעות
מזויף על מנת למשוך את הציבור
המסובלנות" של ציבור השקעות
ולחמושים את חשיבות שבתקנות
הממוקדות על ידי הרשות. אני תקווה
כי צעדים אלו יגבירו את המודעות של הציבור
לחשיבות אחראית".

בניגוד

רשות ניירות ערך השיקה אתר
מזויף כדי להגביר את המודע
נות של הציבור לחשיבותה של צי
פנות סיכומים אחראית.
כפיית המודעות חז נידול נמשך
תי ממוקדות במרחב האינטר
רבת פסגות למטיקים עם סיכומות
שמות מרגמת "השואות נמוכות ירי
וחיים מדורשים".
פעמים רבות מדורם באמצעי לתי
רשות ולתנאות. לכן חללי
הרשות להחמיש לציבור
את המטרה לכניסות תר
כיות והשיקה אתר אינטר
גם מזויף לתקנות.
האתר דומה תחת השק
עוד, ומאפיין וזום לאנשים
המפוזרים בישות.
הוא נועד לזכות את חוותיה הגליליה
כאחרים סגור זה ולחוביל את תנלי
שים לעמוד שוחשי כי מדובר בהשקעה
מזויפת ולתקנות השקעה שעלולות
להחמיש ברוטיה כראות.
הגולשים שהיו עי ענין בקבלת

'Israel Today' is Israeli second national newspaper in terms

שוק ההון 10:37 * הרוג וכפועה אנוש מפגיעת רקטה בבית באשקלון; מטחי הרקטות נמשכים; חמאס מאיים:

רשות ניירות ערך השקעות תרמית בניירות ערך דוח רו ענת נאמט

מדוע רשות ניירות ערך השיקה אתר השקעות מזויף?

בצל עשרות מקרים בהם משקיעים נפלו קורבן לעוקץ השקעות ברשת, ברשות לניירות ערך השיקו אתר השקעות מזויף שמדמה את הקלות בה ניתן להבטיח תשואה • האתר מדמה חברה להשקעות ומציף סימני אזהרה למשקיע • יו"ר רשות ניירות ערך, ענת גואטה: "סביבת ריבית יחסית נמוכה בצירוף עם ההתפתחויות הדיגיטליות של היום, הופכים את תחום ההשקעות הלא ממוקדות באינטרנט לאחד האתגרים המרכזיים של הרגולטורים

שירות גלובס 13:07, 30/10/2018

כמעט ונפלת בתרמית

10% תשואה

עצובן

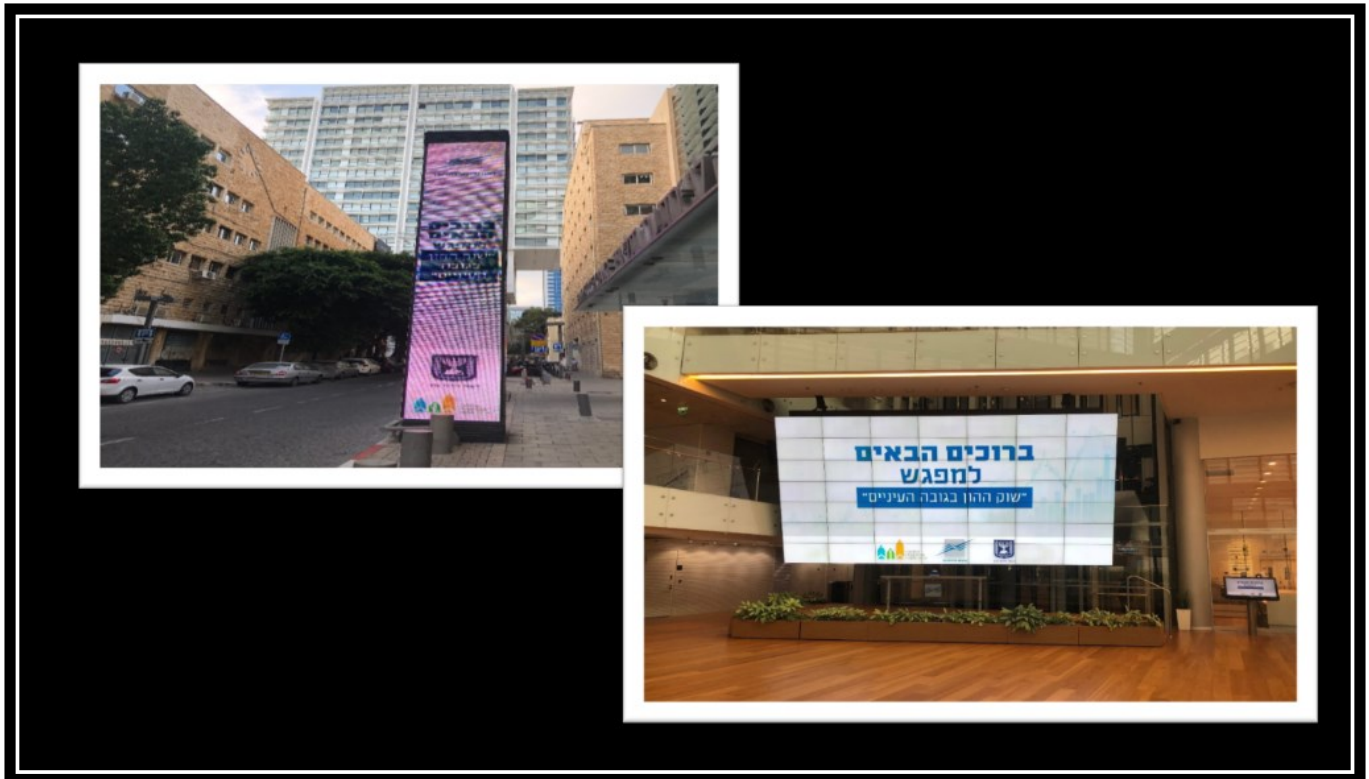
ערוצי שידור | כלים | סיד | תיק | דולר/שקל | אירו/שקל | אירו/דולר | ת"א 125 | נאסד"ק

'GLOBES' one of the most popular Business newspaper and website

Interviews at the two of the TV national channels with the Spokesperson and Head of investors unit.




INVESTORS MEETING



Social Media publications

רשות ניירות ערך
פורסם על ידי Goldfish [?] · 7 באוקטובר

צבירת ידע פיננסי תסייע לכם המשקיעים להתמודד אל מול המגוון העצום של המוצרים, ולא להיסחף אחר הצעות מפתות מבלי להבין את הסיכונים הכרוכים בהשקעות מסוכנות.
#שבוע_משקיעים #IOSCOWIW #WIW2018 #worldinvestorweek



משקיעים חכמים
מבינים שבכל
השקעה קיים סיכון

רשות ניירות ערך
WORLD INVESTOR WEEK 2018

1,424 אנשים שהגעת אליהם
69 מעורבות
קדמי את הפוסט

קדם

- אודות
- תמונות
- מילון המונחים המקיף
- סרטונים
- פוסטים
- אירועים
- שירותים
- קני
- קבוצות
- פתקים
- הצעות
- משרות
- קהילה
- פרטים ומדעות
- קבוצות
- פתקים
- הצעות
- משרות
- קהילה
- פרטים ומדעות

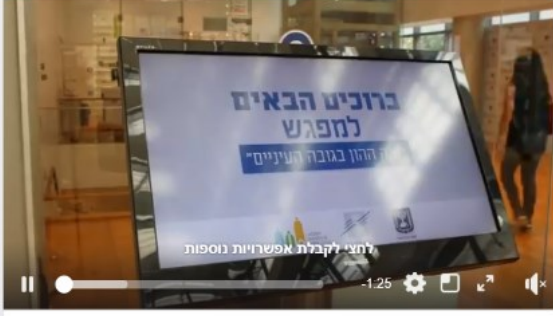
רשות ניירות ערך

דף התראות 5 נתוני שימוש כלי פרסום

ליק - השיבי - הדעה - 5 שבי
כתבי תגובה...

רשות ניירות ערך
פורסם על ידי Goldfish [?] · 10 באוקטובר

משקיעים חכמים, ספספתם את המפגש איתנו בשבוע שעבר? הנה תקציר היום הזה בגובה העיניים 😊 תודה לכל המשתתפים ונתראה בפעם הבאה!
#שבוע_משקיעים #IOSCOWIW #WIW2018 #worldinvestorweek



ברוכים הבאים לחפגש
ההון בגובה העיניים

לחצי לקבלת אפשרויות נוספות

6,517 אנשים שהגעת אליהם
584 מעורבות
קדמי את הפוסט

דף הבית
אודות
תמונות
מילון המונחים המקיף
סרטונים
פוסטים
אירועים
שירותים
קני
קבוצות
פתקים
הצעות

ITALY

WORLD INVESTOR WEEK 2018

National Coordinator: Consob

Other IOSCO members:-

Other participants: Bank of Italy; Adeimf; Anasf; Feduf; GLT Foundation; Museo del Risparmio di Torino; QfinLab-Politecnico di Milano

The 2nd edition of the World Investor Week (WIW) in Italy has been celebrated with a number of initiatives held between Monday 1 and Sunday 7 October.

This year the WIW is also part of the Italian 'Financial Education Month' (October), an event promoted by the *National Committee for the planning and coordination of financial education activities* ("Edufin Committee"). The Committee has been established in 2017 to promote and plan awareness-raising campaigns as well as financial education initiatives and plays a crucial role in laying down and implementing the National Strategy for Financial Education in Italy.

The main goal of the Italian WIW 2018 was to raise awareness about the relevance of financial education to increase understanding ability and independent judgement when assuming financial decisions in real life.

Focused events on dissemination of financial basic knowledge and experiences were the bulk of the WIW, with a specific emphasis on personal financial planning, sound investment process, notions of financial risk, concept of risk diversification and new opportunities/challenges stemming from digitalisation's processes in the financial sector.

Initiatives have been delivered using traditional channels like interactive lectures as well as by means of innovative ways of conveying messages related to investor education e.g. gamification and edutainment.

The Italian WIW was organised by Consob with the endorsement of the Edufin Committee and the participation of many stakeholders active on financial education field as: Adeimf ("Associazione docenti economia, intermediari e mercati finanziari"); Anasf ("Associazione nazionale consulenti finanziari"); Feduf (Fondazione per l'educazione finanziaria e il risparmio"); GLT Foundation; Museo del Risparmio di Torino; QfinLab-Politecnico di Milano. The main Italian Universities were also relevant partners of numerous initiatives listed on the calendar. All partners contributed with their resources, contents and professionalism, whereas Consob interacted with all of them providing guidance and information support to keep a high degree of homogeneity and harmonization with regard to key messages and spirit of the world campaign.

Information and details about the Italian campaign (e.g. official calendar, list of partners, contact references, related documents and media coverage) were provided in a designated area of the Consob investor education portal (<http://www.consob.it/web/investor-education/world-investor-week-2018>), accessible also through the partners' websites by way of dedicated web links.

Natural targets of the initiatives were young students, University students, some categories of adults (i.e. women), small-sized entrepreneurs and trainers.

Events were held in many Italian cities, with a wide covering of the national territory. Universities and schools – among the others – opened their doors to many interactive lectures/seminars on financial education. The official list of all the initiatives is still available at the link <http://www.consob.it/documents/11981/0/Calendario+eventi+WIW+2018/921860b9-7b59-4203-b121-a31013f92194>.

One initiative worthy of consideration for their novelty was, among the others, the launch of a pilot project by Consob - called "Finance: a story to tell. From barter to bitcoin" - teaming with Lombardy's regional education authority, which aims at secondary school students and focuses on teacher training. The project is focused on the creation of an intuitive educational path to help students to better understand economic and financial phenomena and the reasoning behind individual financial choices. The results achieved are under assessment and will form the basis to any review of the project and its proposed extension to other schools on the national territory.

In addition, Consob was active during the whole 'Financial Education Month' through the organization, among the others, of the following events:

- a theatrical show for high school students held by Taxi 1729 focused on behavioral finance and cognitive traps (on Thursday 5 October);
- seminars on investments, competences and decision-making processes alongside the publication of the 2018 Consob Report on financial investments of Italian households (in a number of Italian Universities during the month);
- "Beware of scams", a show-conference inspired by the famous Ponzi scheme's phenomenon which is part of an experimental project of edutainment on financial education called "Finance on stage" (on Tuesday 30 October).

Partners' press offices cooperated with Consob to guarantee clear, shared communication policy and messages throughout the WIW, also by means of social networks.

RAI, the Italian national TV broadcaster, dedicated several services and interviews on the WIW during the week. Radio, web and press also provided wide coverage of the events over the week.

Below a selection of pictures taken during the various initiatives in the calendar.





JAPAN

WORLD INVESTOR WEEK 2018

National Coordinator: Financial Services Agency

Other IOSCO members: Japan Securities Dealers Association

Other participants: Japan Exchange Group

One of the characteristics of Japan's initiatives during the WIW 2018 campaign is the active participation of SROs, namely the Japan Securities Dealers Association (JSDA) and Japan Exchange Group (JPX).

1. Financial Services Agency (JFSA)

On October 1, the JFSA held the Opening Ceremony of the WIW 2018 as part of WIW activities ahead of the rest of the world. The event was a big success, with more than 80 participants from 13 countries joining the ceremony. The ceremony began with a video message from IOSCO C8 Chairman Mr. José Alexandre Vasco, followed by speeches by the FSA Deputy Commissioner for International Affairs Mr. Jun Mizuguchi, the JSDA Chairman and CEO Mr. Shigeharu Suzuki, and the Central Council for Financial Services Information, Chairman Mr. Shinichi Yoshikuni. In addition, a famous financial educator, Mr. Kazuhisa Okamoto gave a lecture about the importance of financial education.

For more information, please see <https://www.fsa.go.jp/en/20181009-2.html>

Just before the WIW, the JFSA published its strategic priorities for 2018-2019. The JFSA continued to tackle investor education and investor protection, for example by doing the following:

- Produce video clips on investor education in collaboration with relevant stakeholders
- Dispatch its staff to schools to deliver lectures to students
- Encourage enrichment of school curricula on financial education
- Publish leaflets and issue necessary warnings for user protection about fraudulent practices and non-registered entities
- Operate an inquiry counter to deal with questions by investors
- Promote Dollar-Cost Averaging NISA

2. Japan Securities Dealers Association (JSDA)

As with last year, the WIW logo and the key messages in Japanese were displayed on the JSDA's website. The WIW logo and key messages were also disseminated through various events related to investor education held in 26 locations across Japan.

The JSDA also conducted various activities related to investor protection and investor education as a whole during the week, including

- activities to prevent investment scams (including distributing PR tools such as informative leaflets or desk memos outlining warnings and alerts for potential victims, and conducting public awareness campaigns)

For more information, please see

http://www.jsda.or.jp/sonaeru/inv_alerts/toushisagi/index.html (Japanese)

- activities for financial and securities education (including providing online content, producing and publishing materials, sponsoring seminars & events, and dispatching lecturers)

For more information, please see <http://www.jsda.or.jp/manabu/index.html> (Japanese)

Specifically, this year, the JSDA held asset building seminars for beginners in all prefectures in Japan, and hosted a special course at the University of Tokyo called "The University for 100 Years, Faculty of Money".

For more information on the special course, please visit <https://100univ.com/>(Japanese).

3. Japan Exchange Group (JPX)

A seminar was held in Osaka in conjunction with JSDA's Securities Investment Day on October 4. Held jointly with JSDA, the seminar enjoyed overwhelming response, and 400 retail investors had the opportunity to hear about trends in the Japanese economy from an industry expert.

During the week, JPX also welcomed visits from schools and the general public, and held seminars under ongoing programs as part of its efforts to promote investor education and financial literacy.





JERSEY

WORLD INVESTOR WEEK 2018

National Coordinator: Joanna Verrechio, Jersey Financial Services Commission

Other IOSCO members:

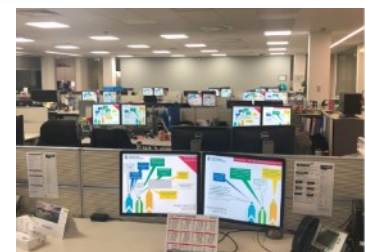
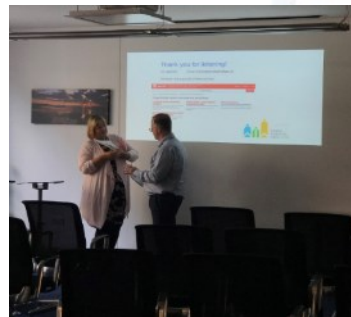
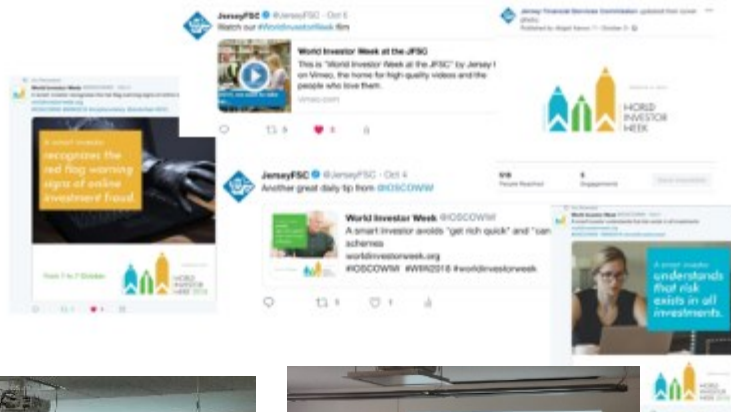
Other participants: States of Jersey Trading Standards Department

On 1 October 2018, the Jersey Financial Services Commission (JFSC) issued a press release entitled “World Investor Week 2018”. This document celebrated the fantastic world-wide success of the inaugural World Investor Week in 2017 and informed readers of the JFSC’s commitment to promoting Financial Education in the Island.

On Wednesday 3 October 2018, Alison de Bourcier, the Director of Trading Standards, delivered a breakfast briefing to all staff at the JFSC. Alison’s presentation was in relation to the new Consumer Protection (Unfair Practices) (Jersey) Law 2018 which came into force in June 2018. While this new law has a very wide remit and could affect financial services businesses, the focus of Alison’s talk was on how it affects everyone on an individual and personal level, as a consumer.

Also on 3 October 2018, the Bailiwick Express⁶ ran an article on its online local news site highlighting the JFSC’s support of WIW 2018.

Throughout the week, there was daily activity on social media focusing on the key messages and sharing and re-tweeting messages from other jurisdictions and institutions. The JFSC produced and circulated a short video clip⁷ about the work it does on Financial Education all year round.



⁶[Bailiwick Express Article 3 October 2018](#)

⁷<https://vimeo.com/293190592>

KAZAKHSTAN

AFSA Report to IOSCO on outcomes of 2018 WIW in AIFC (as per IOSCO template)

National coordinator: National Bank of Kazakhstan

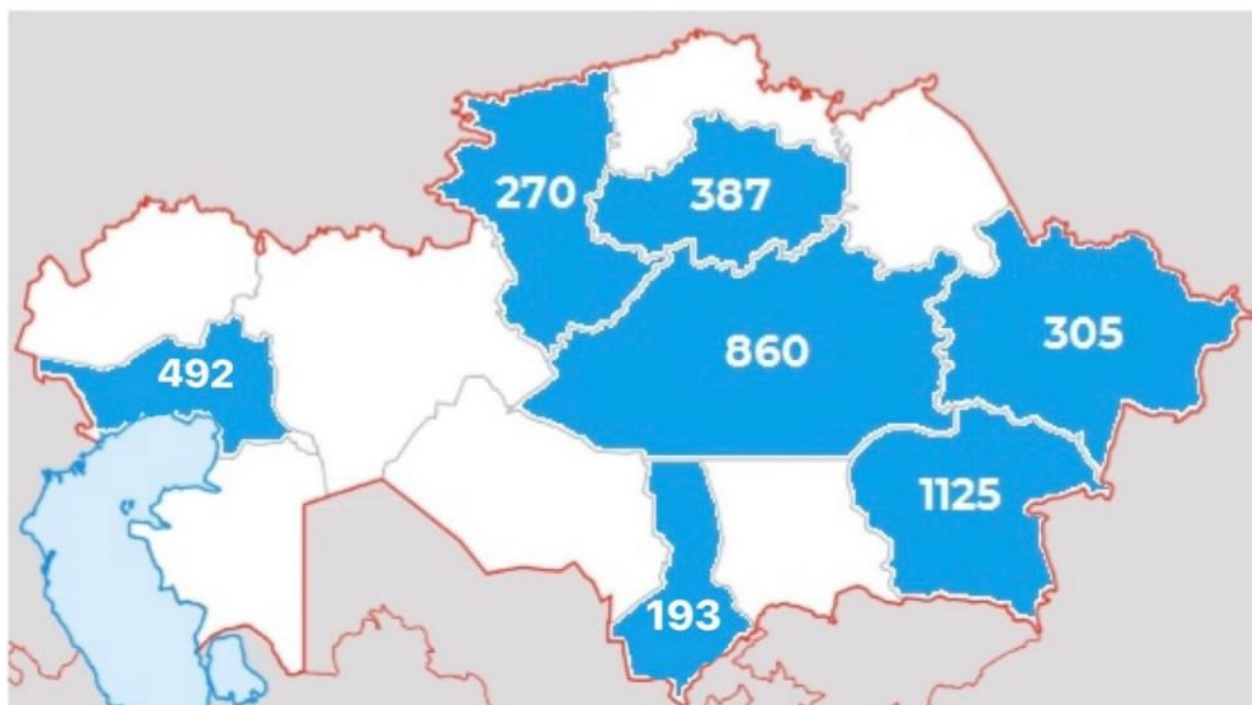
IOSCO member: Astana Financial Services Authority


Other participants:

1. Akimat*of cityof Astana - *(municipal government)
2. Akimat of Almaty city
3. Akimat of cityof Shymkent
4. Akimatof Karaganda city
5. Akimatof Atyrau city
6. Akimat of cityof Oskemen
7. Akimat of city of Kostanay
8. The National Chamber of Entrepreneurs“Atameken”
9. Kazakhstan’s Association of Financiers
10. Damu Entrepreneurship Fund (Almaty, Atyrau, Shymkent, Oskemen)
11. Nazarbayev University
12. Eurasian National Universitynamed after L.N. Gumilyov
13. Kazakh State University of Law and Jurisprudence
14. Almaty Management University
15. Narxoz University
16. Atyrau State University
17. Atyrau University of Oil and Gas
18. Karaganda State Technical University
19. Karaganda Economic University of Kazpotrebsoyuz
20. Karaganda State University named after Y.Buketov
21. Kazakh-British Technical University
22. KIMEP University
23. South Kazakhstan State University named after M. Auezov
24. East Kazakhstan State University named afterS.Amanzholov
25. University of International Business
26. Suleyman Demirel University
27. Alma University
28. Al-Farabi Kazakh National University
29. The Republican Physics and Mathematics School
30. Nazarbayev Intellectual School Atyrau
31. Nazarbayev Intellectual School Karaganda
32. Bilim Innovation LyceumAtyrau
33. Bilim Innovation Lyceum Karaganda

In support of WIW 2018, the Astana Financial Services Authority initiated a series of investor protection and education events under a programme “2018 World Investor Week in Astana International Financial Centre (AIFC)”. Different AIFC bodies, including AIFC Authority, International Exchange of AIFC, Bureau for Continued Professional Development and Media Centre has joined hands with AFSA in order to hold 37 different events under the programme “2018 WIW in AIFC”.

These events aimed at investor education, raising awareness on investments fundamentals and consumer protection took place from September 29 until October 10 and covered 7 cities (Astana, Almaty, Shymkent, Atyrau, Karaganda, Kostanay and Ockemen), 15 universities and 5 schools with overall 3632 participants.



 - regions of Kazakhstan involved in the activities of AIFC within WIW 2018

The Programme of 2018 WIW in AIFC consisted of following 6 initiatives:

(1) Regional Investment Literacy program

A number of information sessions and guest lectures were organized under the Initiative in the universities and schools of cities of Almaty, Karaganda and Atyrau. Agenda of events covered investor education and capacity building courses offered by AIFC, including professional programs such as CFA, ACCA, FRM, Islamic Finance and HRCL.



(2) AIFC Investment Cup

In order to stimulate thinking on investor protection and consumer education, the AIFC organized a debate tournament among English leagues of Astana's leading universities. This event gathered together the English debate clubs of Nazarbayev University, L.N.Gumilyov Eurasian National University, Kazakh State University of Law and Jurisprudence, and Nazarbayev Intellectual Schools, to build the financial and investment culture among the youth.



(3) Financial Literacy Roadshow

The Roadshow covered 5 largest cities of Kazakhstan, including Almaty, Atyrau, Shymkent, Kostanay and Oskemen. Typical agenda consisted of investor education focused presentations for academic circles in first half of a day and for business circles in second half of a day, allowing different regions to access relevant knowledge and information through the AIFC experts.



(4) Capital Market and Investment Protection in AIFC event

The outreach session in Almaty City for brokers, traders and dealers of Kazakhstan has allowed to present legal and regulatory framework of AIFC that demands high standards of behavior and creates a fair and safe environment in which investors have confidence and business is trusted. The speakers from two important institutions in the AIFC ecosystem – AFSA, a regulatory body of the AIFC and Astana International Exchange (AIX), the first authorised market institution in the AIFC discussed the capital market and investor protection.



(5) Investor's day Conference

The Investor's Day conference was aimed at fostering debate on investor protection and consumer education. Besides representatives of financial circles, the international experts from the UK, Australia, France and Italy has also attended. The conference has been officiated by Mr. Pasquale Munafo, vice-chair of IOSCO C8. Official opening of the first Examination Center of the British Royal Institute for Securities and Investments (CISI) in Astana has been held in the margins of the conference, This CISI is a global institution for professionals in the financial and investment sectors.



(6) Islamic Finance week

The second annual Islamic Finance Week Conference on Islamic Finance was held as part of the 2018 WIW in AIFC. The speakers were experts from the UK, Egypt, Malaysia, Bahrain and Kazakhstan, including Sheikh Bilal Khan, the leading figure in the global Islamic finance industry. The agenda of this year's professional event raised the most pressing issues on the development of the Islamic finance industry in Kazakhstan and around the world, and also created an interactive platform for industry professionals, academic and business circles.



Media coverage of the 2018 WIW in AIFC:

In order to provide broadest possible media coverage following has been completed:

- 86 articles
- 22 press-releases
- 222 posts in social media.

This has allowed 3 245 563 views. Average coverage per message has reached 132 145.

Short video on outcomes of 3 out of 6 initiatives, including AIFC Investment Cup, Capital Markets and Investor Protection and Financial Literacy Road-Show is available here - <http://www.afsa.kz/news/the-aifc-and-the-afsa-supported-world-investor-week-2018-in-kazakhstan>.

KENYA

CAPITAL MARKETS AUTHORITY KENYA WORLD INVESTOR WEEK 2018 PARTICIPATION

The Capital Markets Authority (CMA) participated for the second time in the annual World Investor Week (WIW) 2018 from 1-8 October, 2018. Inline with the new strategic approach for the Authority, the following initiatives were undertaken

- a) **WIW Official Launch**- The official Launch of the WIW for Kenya was held on October 1, 2018 at an event that was attended by 77 participants comprising the intermediaries, stakeholders and CMA Board and management at the Authority's offices. The launch included media interviews, presentations as well as a press release of the WIW. The impact was felt as demonstrated by the media coverage both in print and electronic that followed during the week.
- b) **Edutainment and Social Media Campaign** – CMA leveraged technology by developing infographics, animations and short educational messages on opportunities, products and services within the capital markets and investor protection techniques that were shared and boosted on Social Media. This created a platform for discussions on Social Media targeting the youth between 18 and 34 years old. In addition, CMA hosted two Facebook conferences specifically targeting the youth to specifically address avenues of investments from betting winnings. In addition, the use of influencers and bloggers were pertinent to communicating the messages. This was impactful as demonstrated by social media discussions and the 20,000 likes received on Facebook and 17,000 new followers over a period of 3 weeks.
- c) **Resource Centre Portal Campaign from October 1-7, 2018** – following the success of the scavenger hunt in 2017, CMA incorporated a themed topical scavenger hunt during the WIW where participants responded to questions by searching for the answers through our Resource Centre Portal and Website. CMA provided for several prizes that comprised of funds to purchase Government Bonds via mobile phones called M-Akiba Bonds. A total of 500 participated in the scavenger hunt that resulted in 36 entrants getting the above prizes.
- d) **National Radio Campaign** –The Authority involved staff in visiting three vernacular radio stations during the campaign period providing various capital markets information on investing and protection. In addition, radio adverts and infomercials on the themed areas also run throughout the week. This was highly impactful as witnessed by the number of increased calls at 30% that the Authority received from the specific regions on capital markets matters.
- e) **Huduma Centre Initiative** - Staff from CMA also set up desks at County Government offices called Huduma Centres in three counties namely: Trans-Nzoia, Kisii and Nakuru. The Vernacular radio campaigns were effectively utilized to mobilize investors who have questions or and issues on capital markets. A total of 478 investors visited the three centres during the 3 days.
- f) **Bonds, Loans & Sukuk East Africa Conference** - The Authority was represented as panelists on Fintech on October 2nd, 2018 where 200 participants were in attendance.

- g) **Support from Intermediaries** – To complement the social media and other publicity campaigns that were undertaken, CMA sent a circular to intermediaries highlighting the event and encouraging them to participate by focusing their investor education and awareness programmes and marketing efforts during that week and to feature the WIW logo on their respective websites and in their promotion and marketing efforts.

All the events above focused on two main thematic areas as outlined below:

The first thematic area will be ***'Investor Protection'*** to specifically include messages on: Enforcement updates with respect to the capital markets enforcement success stories ; capital Markets Fraud Investigation Unit data and recent developments; the Whistle Blower Portal; Rights and responsibilities of investors; Corporate Governance initiatives and how investors and protected; Complaint handling procedures; How to avoid and detect scams (Red flags);and tips on selecting a capital markets intermediary.

The second theme will be ***'Knowing the Capital Markets Products Available to the Public'*** touching on: Shares and Bonds; capital markets investment opportunities during market volatility cycles; collective Investment Schemes as an alternative investment during market unpredictable cycles; and information on the upcoming Business Incubators and Accelerator on the Listing Experience and how to sign up for participation.



Participants, flanked by Board members in the front row follow the launch of the WIW 2018 on October 1st, 2018



From Right to Left (Chairman of CMA, Mr. James Ndegwa, Chief Executive, Mr. Paul Muthaura, MBS, Board members Ms. Christine Okoth and Dr Freshia Mugo – Waweru at the launch of WIW 2018 on October 1st, 2018



County Huduma Centre initiative during the WIW 2018



CMA moderates a panel discussion on Fintech during the Bonds and Sukuk Conference held at the Kempinski Hotel on October 2nd, 2018

LEBANON

WORLD INVESTOR WEEK 2018

National Coordinator: CMA (Capital Markets Authority)

Other IOSCO members:

Other participants: IFG (Institute for Finance and Governance) and ESA (École Supérieure des Affaires)

World Investor Week (WIW) is a global campaign to raise awareness on the importance of investor protection and education in an effort to highlight the various initiatives of securities regulators and stakeholders in these two critical areas globally.

In its capacity as an independent autonomous securities regulator, the Capital Markets Authority (CMA) in Lebanon has joined the International Organization of Securities Commissions (IOSCO) in this global initiative to promote Investor Protection and Education. The CMA has focused on investor protection as a core principal; reflecting this in the regulations and directives issued to the market. A deeper look showcasing the overhauled regulatory framework at the CMA directly reveals a noticeable and sustainable cultural shift towards a more safe, open, fair, and transparent securities business activities in Lebanon. Being the first event of its kind in Lebanon, the conference held in partnership with the IFG, served as a great occasion to engage with licensed institutions and other stakeholders about the importance of Investor Protection, while also illustrating how CMA regulations and the actions of its Financial Control Unit enhances Investor Protection in Lebanon.

One Day Conference on Promoting Investor Protection and Education:

The Conference targeted Approved Financial Institutions, listed companies, clearing houses, university students and professors, Beirut Stock Exchange, and Media.

This Public Report will highlight the main topics discussed at the event, topics that ranged from investor education to investor protection through a legal and practical lens, including a constructive discussion on how to better nurture a Corporate Governance culture in Lebanon that will enhance the business environment and establish confidence in the market.

At the opening of the First “World Investor Week” event held by the Capital Markets Authority, Chairman of the CMA H.E. Mr. Riad Salame stated that Lebanon will soon launch an Electronic Trading Platform (ETP) which shall increase local and international liquidity in Lebanese markets and contribute in economic development. Chairman Salame declared that “any Financial Institution working in securities business should be licensed and approved by the CMA. Moreover, individuals performing registrable functions as per CMA regulations should be registered with the CMA in order to ensure a safer environment for the Lebanese investor and ensure their protection.

The opening session featured three speeches delivered by Mr. Tarek Zebian Director of the Communication and Research Department, Mr. Hadi El Assaad IFG Coordinator, and Mr. Jalil Tarif Secretary General of UASA. The speeches focused on the importance of the collaboration between academia, regulatory institutions and industry experts to better protect and educate investors. Also, they stressed on the role of regulatory bodies and its importance in growing market transparency and spreading investor awareness through its regulations.

Through a recorded message to the participants in the ‘World Investor Week 2018’ event hosted by the CMA, Mr. Paul Andrews, Secretary General of International Organization of Securities Commissions (IOSCO) praised the initiative done by the CMA in participating in the Global Campaign “World Investor Week” in collaboration with IOSCO. He stressed on how the CMA is contributing to this year’s WIW theme in creating Lebanese smart investors through recently completing a regulatory overhaul and rolling out Implementing Regulations ensuring sustainable capital markets.

The conference featured two panels and one presentation:

Panel 1: “Protecting Investors: The Legal Framework Shaping CMA’s Role in the Market”

Presentation: Financial Control Unit Presentation: “How the FCU’s Product Review Enhances Investor Protection”

Panel 3: “Nurturing a Corporate Governance Culture in Lebanon”







Social Media Campaign

As part of the global initiative to promote Investor Education and Protection, CMA has launched a social media awareness campaign that highlights how its regulations aim to protect Lebanese Investors and their savings in financial securities.



The social media campaign consists of eight slides arranged in three rows. Each slide features a teal and green geometric design with a background image of a modern building. The top row includes the CMA logo and 'WORLD INVESTOR WEEK 2018' branding. The bottom row includes a 'REGISTERED PERSON' icon.

Slide 1 (Top Left): HAVE YOU BEEN APPROACHED FOR AN INVESTMENT OPPORTUNITY? Things to consider.

Slide 2 (Top Middle):

- ✓ Make sure that you have been contacted by a Registered Person in an Approved Institution by the CMA.
- ✓ Always make sure to research and ask around before committing to a financial investment.

Slide 3 (Top Right):

- ✓ Ask about the Approved Institution's track record and the qualifications of the Registered Person handling your potential investment.
- ✓ Always be comfortable with having a conversation with the Registered Person who has contacted you. You have to ask a lot of questions regarding what the person and his institution have to offer.

Slide 4 (Middle Left):

- ✓ Do not restrict yourself to verbal communication and promises, but rather to official written communication forms.
- ✓ Do not sign on blank documents or documents you do not understand.

Slide 5 (Middle Right):

- ✓ Ask the Registered Person about the type of financial activity their Approved Institution is licensed to carry on.

Slide 6 (Bottom Left): QUESTIONS TO ASK THE REGISTERED PERSON HELPING YOU

Slide 7 (Bottom Middle):

- ✓ Are you registered?
- ✓ What is your degree of education and professional experience?
- ✓ How long have you been practicing within this field?

Slide 8 (Bottom Right):

- ✓ Will you suggest plans to help me reach my goals?
- ✓ What kind of account reporting will you provide?
- ✓ How much will your services cost me?

BEFORE YOU INVEST


Consider the following questions to avoid getting scammed.




Is the person / institution you are dealing with **registered with the CMA?**

Yes No


You need to make sure they are allowed to offer this service to you.



Do you know what you are **getting for your money?**

Yes No

You need to know how much this service will cost you and what kind of products / services they are willing to offer.





Do they have **experience in this business?**

Is the investment opportunity **based on facts?**

Have you had **enough time** to make a decision?

Yes No

If you have answered No to any of the questions, investigate further!

CMA has specified 11 Registrable functions for performing tasks related to securities business activities:

CEO, Managing Director or General Manager	Senior Executive Officer	Anti-Money Laundering Reporting Officer	
Director	Finance Officer	Head of Compliance	Risk Management Officer
Internal Audit Officer	Senior Manager	Client Representative	Trader



What is an Approved Institution?

An Approved Institution is an institution or entity that is licensed by the CMA to carry on securities business activities such as:

Dealing	Advising	Arranging
Managing	Custody	



Should the person helping you with your investment requests be registered before the CMA?

YES!

Ask the approved institution you are dealing with. If you need more info, contact the CMA.





Know Your Client

Before opening a trading account, Clients must provide Personal and Financial information that help Approved Institutions better assess your Risk Profile and Investment Objectives.



RESPONSIBILITIES OF APPROVED INSTITUTIONS




Know Your Client

The KYC allows the Approved Institution to **classify the clients** either as a **Customer, Professional Client, or Counterparty** as per CMA regulations.




RESPONSIBILITIES OF APPROVED INSTITUTIONS



An Approved Institution is required to perform a “suitability check” for any potential financial investment.

No investment comes without risk.



RESPONSIBILITIES OF APPROVED INSTITUTIONS



Before conducting any Securities Business with/for a client,

an Approved Institution must enter into an agreement with the client that sets out the terms and conditions of conducting business.



A trading account is subject to a minimum amount in Cash and/or Securities on opening as per CMA regulations.

The amount should be maintained at all times except for any reductions due to investment losses.



A Client Agreement should specify

- ✓ Types of Services that the Approved Institution will provide to the client
- ✓ A risk disclaimer, which is a statement warning that financial investments may expose a client to a significant risk of loss in value, especially an investment that is speculative in nature.



- ✓ If the Approved Institution may act as Principal in a transaction with the client.
- ✓ How the Approved Institution will deal with Dividends, Commissions and other Income and Entitlements accruing to the client.
- ✓ Obligation to provide best execution to the client.



An approved institution must fully disclose the charged fees and commissions required before any services are provided.





An approved institution must disclose to its actual and potential clients that it is **recording all phone calls related to securities transactions**.

Also, that it is saving these recording for future notice for a period of 10 years.

RESPONSIBILITIES OF APPROVED INSTITUTIONS






DOCUMENTS
the Approved Institution should share with you

Transparency in Securities Business.

- A written signed trading account opening agreement.**
- The list of fees and commissions** that the approved institution will charge the investor for their services.





- A statement of account** detailing the transactions executed for the account of the investor.
- A contract note** confirming the execution of each and every transaction.
- The signed Know Your Client KYC form**



UNDERSTANDING RISK

No investment comes without risk.
CMA requires approved institutions to sell 'suitable' financial products to investors.

هيئة الأسواق المالية
CAPITAL MARKETS AUTHORITY

WORLD INVESTOR WEEK 2018



UNDERSTANDING RISK

It is the legal requirement that an investment adviser, broker, or other party act in a way most likely to fit a client's investment goals, and risk profile.

CMA regulations ensure suitability in offers of securities to investors.

هيئة الأسواق المالية
CAPITAL MARKETS AUTHORITY

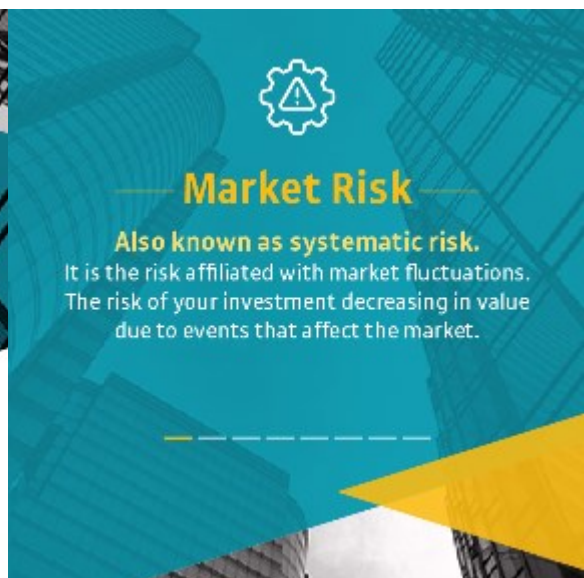
WORLD INVESTOR WEEK 2018



A smart investor understands risk
Types of investment risks

هيئة الأسواق المالية
CAPITAL MARKETS AUTHORITY

WORLD INVESTOR WEEK 2018



Market Risk

Also known as systematic risk.
It is the risk affiliated with market fluctuations. The risk of your investment decreasing in value due to events that affect the market.



Liquidity Risk

Is the risk that stems from the lack of marketability of an investment that cannot be bought or sold quickly enough to prevent or minimize a loss.



Inflation Risk

The risk of having your investment cash flow decline in value due to inflation.

Inflation risk is important if you have invested in bonds.



Concentration Risk

Is the risk of incurring losses due to concentration of your investment in one security.

With diversification your investment risks becomes lower.



Business Risk

Is the measure of risk associated with a particular security.

Business risk refers to the possibility that the issuer of a stock or a bond may go bankrupt.

A common way to avoid Business risk is to diversify your portfolio.



Economic Risk

Is the chance that macroeconomic conditions like exchange rates, government regulation, or political stability will affect an investment.



Interest Rate Risk

Is the possibility that a fixed-rate debt instrument will decline in value as a result of a rise in interest rates.

Investors should consider that the longer the duration of the investment, the more the impact of interest rate fluctuation on the price of the security held.



Exchange Rate Risk

Or Currency Risk is a form of risk that arises from the change in price of one currency against another.

This type of risk is greater for shorter term investments, which do not have time to level off like longer term foreign investments.



A smart investor recognizes the importance of planning

6 steps to create your investment plan



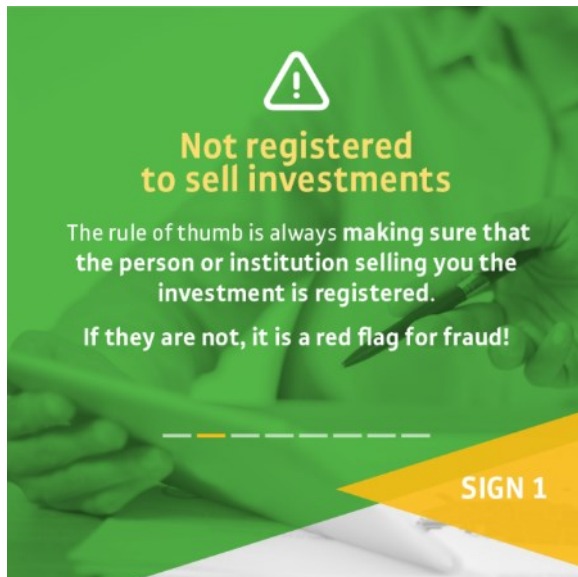
A smart investor avoids get-rich quick scams

7 types of investment fraud



Investment fraud appears in many forms.

The following are various signs you can look out for to determine whether the investment you are interested in is legitimate or not.



Not registered to sell investments

The rule of thumb is always making sure that the person or institution selling you the investment is registered.

If they are not, it is a red flag for fraud!

SIGN 1



Promising Inconceivable profits

“Get rich quick, invest now!” approach.

There is always a risk-return trade off.

No real investment is built on quick, illogical and high profits.

SIGN 2



Hot insider tips

A person approaches you with news of privileged and important inside information that if acted upon will secure a hefty profit, in order to push you into investing.

Beware that acting on such information is both fraudulent and illegal.

SIGN 3



The Pump and Dump ^{1/2}

In a typical pump and dump scam, you receive an email or phone call promoting an incredible deal on a low-priced stock.

What you don't know is that the person or company contacting you owns a large amount of this stock.

SIGN 4



The Pump and Dump ^{2/2}

As more and more investors buy shares, the value skyrockets.

Once the price hits a peak, the scam artist sells their shares and the value of the stock plummets.

You're left holding worthless stocks.

SIGN 4




Pushy salesperson or sales tactics

Avoid being pressured to make a quick purchase at a 'low price' guarantee.

Always avoid promoters who don't give you time to think or ask questions.

SIGN 5




Catchy & Luring Advertisements

These scams often find their victims through ads placed in newspapers or on Internet sites. The ads look legitimate and offer you an exciting opportunity to invest your money.

You are always promised a high return.
Don't trust every advertisement you read!

SIGN 6



Offshore Investment Scams

The fraudster will promise you a high return from an investment in an offshore market.

These types of scams are common, once you transfer your money overseas you will have zero control over it

Be careful!

SIGN 7

Scammers can approach you in many different ways; stay alert !

Investment seminars

Email

By a stranger on the phone

Through a friend, neighbor or co-worker

Pop-ups online ads

A smart investor understands the importance of diversification


Decreasing your overall investment risk





Investing in different asset-class combinations that are non-correlated helps reduce your overall risk, and potentially increase your overall returns.

The less positively correlated your portfolio is, the better diversified you are.





Diversify!

Asset diversification allows you to divide your investment portfolio among different types of assets-classes such as stocks, bonds & cash equivalent.

A correct **diversification strategy** can decrease your overall investment risk, and aid you into ensuring more consistent returns.





Conservative Portfolio **Aggressive Portfolio**



Investors can achieve Optimal Diversification through putting together a balanced portfolio spread across various industries.





True!

Diversification allows you to spread your investments within different sectors, which **allows the risk to spread** as well, thus decreasing it.

Diversification minimizes risk but cannot eliminate it.





MALAYSIA

WORLD INVESTOR WEEK 2018

National Coordinator: Securities Commission Malaysia (SC Malaysia)

Other IOSCO members:None

Other participants:

- a) Securities Industry Dispute Resolution Center (SIDREC)
- b) Financial Planning Association of Malaysia (FPAM)

SC Malaysia is once again pleased to support and participate in IOSCO's World Investor Week (WIW) campaign from 1st – 7th October 2018, to raise awareness about the importance of investor education and protection.

SC Malaysia's Activities during WIW 2018


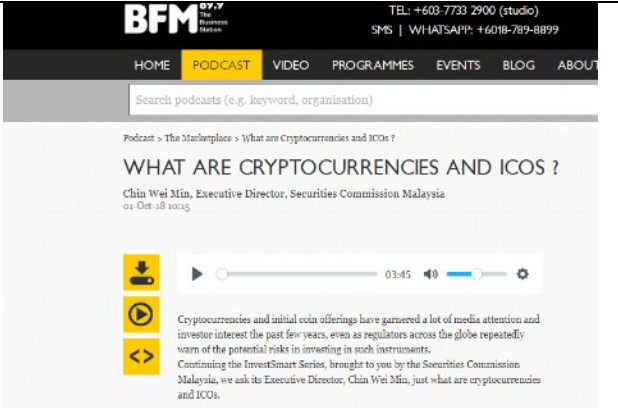
Given the increased popularity of initial coin offering (ICOs) in Malaysia, during WIW 2018, SC Malaysia focused our investor education and protection messaging to caution investors about investing in ICO. Although SC Malaysia has previously issued various press releases cautioning investors on ICOs, we reinforced the key messaging on ICO during WIW 2018.

1. Radio Edumercial

On 1 October 2018, SC Malaysia's Executive Director, Mr Chin Wei Min explained to the public on the mechanism of ICOs and crypto-currency as well as the risks of ICOs.

Sixteen (16) snippets of the radio edumercial were also aired throughout the weekday from 2nd to 5th October 2018. This radio edumercial was aired in Malaysia's prominent business radio station (BFM 89.9) which reaches out to at least 400,000 listeners.

The radio station BFM 89.9 also featured the radio edumercial as a podcast on its website.

	
<p>Radio edumercial by Mr Chin Wei Min, Executive Director, Securities Commission Malaysia</p>	<p>Podcast on BFM 89.9's website</p>

2. Printed Infographics

To make the messaging on ICOs more engaging, an infographic advertisement was produced and the same was inserted in three (3) local mainstream dailies (in three different languages for benefit of all Malaysians), nationwide, throughout the week of WIW 2018. The key message in the infographics emphasized what to look out for when investing in ICOs. The total outreach and readerships for the 3 local mainstream dailies reached out to approximately 4.60 million people.



The infographic is titled "INTERESTED IN ICOs BUT DO YOU KNOW ENOUGH?". It is a message from the Securities Commission Malaysia in conjunction with World Investor Week 2018. It defines an ICO as an Initial Coin Offering and lists five key points to look out for: 01 | HIGH RISK/FRAUD, 02 | PROMOTER / TEAM, 03 | INFORMATION, 04 | RISK OF LOSING YOUR INVESTMENTS, and 05 | FOREIGN ICOs. It also includes contact information for more details.

INTERESTED IN ICOs BUT DO YOU KNOW ENOUGH?

A message by the Securities Commission Malaysia in conjunction with World Investor Week 2018

What is an ICO?

ICO
INITIAL COIN OFFERING

An Initial Coin Offering (ICO) is a form of fundraising exercise that involves the offering of digital "tokens" or "coins" to fund projects or business activities.

If the ICO displays features of a capital market product, the promoter must comply with securities laws administered by the Securities Commission Malaysia.

What to look out in an ICO?

01 | HIGH RISK/FRAUD
If the ICO promises high or guaranteed returns for your investment, be cautious.
If it is too good to be true, it probably is.

02 | PROMOTER / TEAM
Find out more about the people promoting the ICO. Even if the ICO is promoted by celebrities, it does not mean it is legitimate.
Do your homework!

03 | INFORMATION
Do you have enough information about the ICO? Do you understand the project or business activities and your rights in the ICO? If you have doubts, seek professional advice.

04 | RISK OF LOSING YOUR INVESTMENTS
Similar to other investments, the ICO projects or business activities are uncertain and carry risks.
Only invest what you can afford to lose.

05 | FOREIGN ICOs
Some ICOs are offered from foreign countries. In the event of fraud or default, your rights are limited.
Be extra careful!

For more details, you may email us at aduan@seccom.com.my or visit investsmartsc.my

Printed infographics (English version)

3. InvestSmart® website

Both the radio edumercial and printed infographics are available on SC Malaysia's InvestSmart® website at www.investsmartsc.my.

4. Social Media Messaging on WIW

In raising awareness of WIW, SC Malaysia also utilized its social media platforms to post educational messages throughout the week of WIW.

WIW participation by SC Malaysia's Affiliate and Stakeholders

(a) Securities Industry Dispute Resolution Center (SIDREC)

During WIW 2018, SIDREC posted its "Did You Know" series featuring bite size information about smart investing practices. These series were posted on each day of the week during WIW 2018 on SIDREC's social media platforms such as Facebook, Instagram, Twitter as well as on the professional networking platform, LinkedIn. The posting of these artworks included "hashtags" in an effort to tie in with WIW 2018, IOSCO and other investment-related categories across all social media platforms.

DID YOU KNOW?

SIDREC can now handle claims above RM250,000

... where both the claimant and the Member company agree to seek SIDREC's assistance. A fee is payable for the service by both the Member and claimant.




DID YOU KNOW?



As an investor, you need to make sure that you only invest through investment professionals who are licensed or registered with the Securities Commission for the service they are providing you.




DID YOU KNOW?

Smart investors are meticulous in doing their homework before investing.

And when the investment returns offered seem too good to be true, it usually is. Be smart, avoid "get-rich-quick" schemes.

DID YOU KNOW?

Matters can often be resolved by your financial service provider (e.g. bank, broker, fund management company), if they are made aware of the problem.

However, if you are unable to get a satisfactory resolution to your complaint, you have up to 180 days of receiving the response from your financial service provider, to file your claim with SIDREC. If in doubt, call us.




DID YOU KNOW?

SIDREC case managers as trained mediators with capital market experience, are fully equipped to fairly assist disputing parties.

The result: Both parties get the support of informed experts in resolving their dispute and the whole process becomes much easier for them.




DID YOU KNOW?

Smart investors only make payments for their investments directly to their capital market service providers (e.g. bank, broker, fund management or unit trust management company) and never through an agent or other third parties.

Investors are advised to ensure the payment is made to the benefit of their own name and account with the capital market service provider, and obtain an official receipt.




DID YOU KNOW?

SIDREC's mediation and adjudication services for investors with claims of up to RM250,000 are free.

For claims above RM250,000.00 and court referred mediation, a fee is payable by both parties and participation is voluntary (i.e. both the claimant and member company must agree to use SIDREC's service).




(b) Financial Planning Association of Malaysia (FPAM)

Apart from participating in the World Financial Planning Day on 3 October 2018, FPAM also featured and promoted WIW 2018 in its social media platforms.



MALDIVES

**National Coordinator: Abdullah Zakariyya,
Capital Market Development Authority, Maldives.**

Other IOSCO members:

Other participants:

- Maldives Stock Exchange Company Pvt Ltd
- Maldives Centre for Islamic Finance
- Ghiyasuddin International School
- Ahmadhiyya International School
- Maldives National University
- Islamic University of Maldives
- Allied Insurance Company of the Maldives
- Maldives Pensions Administration Office

World Investor Week 2018 activities and events – Maldives

The World Investor Week 2018 (WIW2018) saw an encouraging level of collaboration from stakeholders. Several activities targeting potential investors were conducted to increase awareness on savings and investment. Target audiences were school, college and university students and the general public.

On the 1st of October 2018, a capital market related mobile application - the CMDA App named “Viyavathi” which included a stock trading game was launched, to mark the commencement of the WIW 2018. Further, a week - long quiz was conducted via social media, to educate participants on capital market issues.

Details of the activities conducted are provided in the following pages.

1- Launching of CMDA App and Teaching Tube e-Learning Platfor

CMDA App - Viyavathi

CMDA launched the Capital Market App on 1st October 2018. This is a platform to disseminate information about the capital market. It includes information regarding major functions carried out by CMDA as well as an interactive game on trading in a virtual stock market. The aim of the game is to introduce trading activities to the youth in a fun manner, create enthusiasm while educating them on the products of the capital market and encourage them to participate in investing activities at a young age.

Teaching Tube Videos

CMDA, in collaboration with Islanders Education (a Maldivian education initiative), commenced developing video lessons aimed at educating secondary school students about capital market activities and the financial system.

The videos are divided into three broad categories. i.e. basic, intermediate and advanced concepts related to the capital market and financial system. The videos would be available to the subscribers of Teaching Tube and used by CMDA to create awareness in the coming years.



2 - Financial Planning (for Secondary School Students)

Financial Planning (for Secondary School Students)

On 2nd October 2018, CMDA invited student leaders from secondary schools to participate in a session on financial planning. Thirteen students participated in the programme. The 2-hour session was aimed at creating awareness about identify needs vs wants, saving for the future and the need for financial planning. Emphasis was placed on the importance of financial targets for short-term, medium term and long-term. Additionally, information was provided on the options available for investing in the capital market.

Financial Planning (Ahmadhiyya International School)

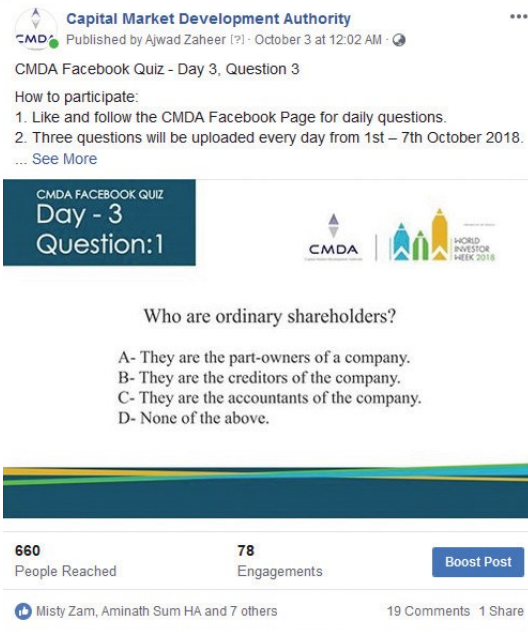
On 4th October 2018, separate sessions were conducted for students of Ahmadhiyya International School Grade 8 (Age: 13- 14 years). Fifty eight students participated in this programme.



3 - Facebook Quiz (1- 7 October 2018)

A capital market related Quiz was conducted via Facebook for Maldivians during the week with 3 questions posted on the Facebook page of CMDA on a daily basis. Forty two users participated in the quiz. This is an increase of 11% participation compared to 2017.

Eleven participants answered all 21 questions correctly. The winner of the quiz, Mrs. Maryam Shafeeq, Dhireylaaluge of S.Hithadhoo, was awarded a cash prize MVR 3,000 (USD200) and 5 GB mobile data from Dhivehi Raajjeyge Gulhun Plc.(Dhiraagu- a telecom provider in Maldives).



Capital Market Development Authority
Published by Ajwad Zaheer [?] · October 3 at 12:02 AM ·

CMDA Facebook Quiz - Day 3, Question 3

How to participate:
1. Like and follow the CMDA Facebook Page for daily questions.
2. Three questions will be uploaded every day from 1st – 7th October 2018.
... See More

CMDA FACEBOOK QUIZ
Day - 3
Question:1

Who are ordinary shareholders?

A- They are the part-owners of a company.
B- They are the creditors of the company.
C- They are the accountants of the company.
D- None of the above.

660 People Reached 78 Engagements [Boost Post](#)

Misty Zam, Aminath Sum HA and 7 others 19 Comments 1 Share



4 - Forum on Financial Literacy

The session on investing was a 2-hour programme aimed at college and university students to provide information on Fintech, covering recent developments in financial technology, Maldives Retirement Pension Scheme and pension related Annuity products. Thirty seven students participated in the programme.

Session on FinTech

This session focused on financial technology, cryptocurrency and blockchain and how financial technology has revolutionized the way business is conducted. The possible developments for the Maldives in the near future was touched upon in the presentation. This presentation was conducted by a CMDA staff member engaged in market development activities.



Session on Retirement Pension

The session focused on the basics of retirement pension. It included the need for financial security and why retirement planning at an early age must be considered. The session was presented by a senior official of the Maldives Pension Administration Office.



Session on Trading Financial Securities

The session focused on how shares are traded through a stock exchange. The process of buying and selling of shares as well as how markets operate and factors influencing share prices were discussed. This session was presented by the Maldives Stock Exchange Company Pvt Ltd.



Session on Annuity Products

The session on annuity products was presented by Allied Insurance Company of the Maldives. It was aimed at creating awareness about the pension related products to be launched by the company in collaboration with the Maldives Pension Administration Office.



5 - Workshop on Islamic Finance

The workshop on Islamic Finance was carried out in collaboration with the Maldives Centre for Islamic Finance, Islamic University of Maldives and the Allied Insurance Company of the Maldives. Twenty three participants successfully completed the programme.

The programme consisted of information on basic Islamic financial concepts, basic contracts and Islamic insurance (takaful). The focus of the session on insurance was the need to mitigate risks faced in everyday life as well as using insurance products as investment opportunities.

6 - Video message on “Saving and Investing”

A video message by Mr. Thoha Saleem, the principal of Ghiyasuddin International School, on savings and investing was uploaded to Facebook page of Capital Market Development Authority. The video is intended to encourage children to think about savings from an early age. In his message he touched upon strategies and experiences of many successful global investors. This message was intended to resonate with many aspiring youngsters to be more proactive and methodical in their approach to saving and investing. As of 22nd October 2018, the video was viewed more than 3,700 times.



7 - Activities by Maldives Stock Exchange Company Pvt Ltd.

Infographics - one infographic for each day of WIW shared on social media (Facebook, Twitter and LinkedIn)

Infographic videos based on the following concepts related to investing were shared on social media during WIW2018. The reach and number of shares are indicated below:

Day 1: Investing (17,632 reach, 32 shares)

Day 2: Dividends (4,498 reach, 1 share)

Day 3: Capital Gain (5,708 reach, 7 shares)

Day 4: Sukuks (14,531 reach, 19 shares)

Day 5: Diversification (12,948 reach, 26 shares)

Day 6: Risk vs Reward (19,238 reach, 26 shares)

Day 7: Crowdfunding (7,063 reach, 12 shares)

Article on “The Art of Investing” published on ‘The Edition’ by Mihaaru and shared on social media

The article was published in the online news “The Edition” titled “The Art of Investing” had a reach of 1.225 and was shared by 4 users. The article aims at providing basic information on investing and the need to consistently invest and focus on returns as well as the risk factor in making investment decisions.



Photo: Maldives Stock Exchange Company Pvt. Ltd.

MEXICO

WORLD INVESTOR WEEK 2018

National Coordinator: Comisión Nacional Bancaria y de Valores

Same as 2017, in Mexico, the World Investor Week (WIW) was held at the same time that the National Financial Education Week. CNBV organized in its stand different activities related to financial inclusion and education, and included activities regarding investor protection and education. For this event, the CNBV exhibited the video where our spokespersons from the *Orquesta Sinfónica de Minería* (Mining Faculty Symphonic Orchestra) provided the IOSCO key messages for investor education and protection. This year, the central event of the National Financial Education Week had 91, 212 attendants, 21% more than last year.

Also, the CNBV implemented a WIW campaign in Twitter and for the first time in Facebook, posting all of the material created for disseminating the key messages. In summary, 24 tweets were published in two official CNBV accounts, including images, videos and infographics with more than 95,250 impressions, 211 retweets, and 322 favs. In Facebook CNBV published 11 posts with 14,877 organic impressions, 149 shares, and 267 likes or reactions. As part of this year's WIW fintech key messages, the CNBV also posted special material focused on ICO's, and investor protection in online investments and digital environments.



El riesgo al adquirir criptomonedas y participar en Ofertas Iniciales de Monedas es grande, decide cuidadosamente antes de hacerlo.

Al realizar inversiones en línea, detecta las señales de fraude.

COMISION NACIONAL BANCARIA Y DE VALORES | gob.mx/cnbv



MOROCCO

WORLD INVESTOR WEEK 2018

National Coordinator: Autorité Marocaine du Marché des Capitaux (AMMC)

Morocco

1 - OVERVIEW

The AMMC takes part in IOSCO'2018 second annual World Investor Week (WIW)

- launched through October 2018 –

Aware of the important role financial education plays in building up trust, a pivotal factor in capital markets development, and the benefits of promoting investors financial literacy, the AMMC organized a range of investor-focused activities aimed at both general public and professionals.

- Convinced that the "enforcement" aspect is the spine of every effective capital market regulation, the AMMC organized a training seminar on October 11, 2018, for the student magistrates of the Higher Institute of the Judiciary. The purpose was to promote IOSCO principles as of regulation of securities sector and enhance the knowledge and understanding of over 100 student magistrates with regard to the AMMC investigation process.

- Aimed at a wider audience, the AMMC organized in collaboration with National School of Computer Science and Systems Analysis (ENSIAS), a Web Awareness Conference, on October 17, 2018. Besides keeping abreast with the latest Fintech developments, this webinar covered the role capital markets play in financing the economy, investments' opportunities, as well as the protective measures to be undertaken for this type of investments.

This conference was streamed live in the presence of 250 senior students and professors from over six public and private colleges and universities, countrywide.

- The AMMC organized in collaboration with General Confederation of Moroccan Enterprises (CGEM) on October 24, 2018, a conference on the theme of financing of SMEs and Start-ups using capital markets. This conference focused on the role of private equity in financing start-ups, addressing the regulatory aspects, tools and instruments available to support this sector as well as innovative financing solutions such as crowdfunding. Over 100 companies attended this event.

In order to engage and involve professionals in this initiative, the AMMC invited them to intervene, each in his own field of expertise.

- The AMMC published in October 2018, a didactic guide on investment in UCITS (OPCVM).

[Click here to download the guide.](#)

- Finally, The AMMC launched on the sidelines of the "World Investor Week" a competition for the development of a mobile or web-based educational and entertaining application to impart financial content and knowledge among its users.

The Authority aims through this initiative to open up on associations and schools that accompany young people in the field of technological innovation, to offer participants an opportunity to become familiar with the capital market field, and to provide teams with a creative working environment.

As for media coverage, the AMMC has published three press releases in both French and Arabic to allow wide dissemination to the public. Around 80 articles were published in both printed and electronic media.

Data and indicators of the campaign



2 - COMMUNICATION MATERIALS

- 3 press releases;
- Flyers and emailing;
- On site advertising;



AMMC partecipa à la deuxième édition de la « World Investor Week », une fête de plus de 40 pays.

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LES INSTRUMENTS FINANCIERS ET LA PROTECTION DES INVESTISSEURS

Mercredi 17 octobre 2018 à 14h

PROGRAMME

- 14h00 - 14h15 : Accueil
- 14h15 - 14h30 : Introduction
- 14h30 - 14h45 : Les instruments financiers
- 14h45 - 15h00 : La protection des investisseurs
- 15h00 - 15h15 : Questions-Réponses
- 15h15 - 15h30 : Clôture



Une journée d'étude sur :

LES PRINCIPES DE RÉGULATION DU MARCHÉ DES CAPITALS

JEUDI 18 OCTOBRE 2018



AMMC partecipe à la deuxième édition de la « World Investor Week », une fête de plus de 40 pays.

AMMC partecipe à la deuxième édition de la « World Investor Week », une fête de plus de 40 pays.

3 - PRESS REVIEW

« World Investor Week » 2018 : Programme spécial de l'AMMC

AMMC

WORLD INVESTOR WEEK 2018

AMMC participe à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

L'AMMC participe de nouveau à la World Investor Week

AMMC participe de nouveau à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

الهيئة المغربية لسوق الرساميل - لجمهورية المغرب

تشارك في أسبوع المستثمر

AMMC participe à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

الهيئة المغربية لسوق الرساميل تشارك في «الأسبوع العالمي للمستثمر»

AMMC participe à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

L'AMMC participe à la dernière édition de la « World Investor Week »

AMMC participe à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

الهيئة المغربية لسوق الرساميل تجدد مشاركتها في دورة 2018 لأسبوع المستثمر العالمي

AMMC participe à la 10^{ème} édition de la semaine internationale de l'investisseur (World Investor Week) organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII) à l'occasion de la 10^{ème} édition de la semaine internationale de l'investisseur organisée par l'Association des Capitaines des Bourses (ICBC) et le Comité International de l'Investissement (CII).

Focus

«World Investor Week» L'AMMC participe à la dixième édition

الهيئة المغربية لسوق الرساميل تشارك في «الأسبوع العالمي للمستثمر»

Marché des capitaux : L'AMMC et la CGEM se préoccupent du financement des start-up

Dans le cadre de la «World Investor Week», L'AMMC et la CGEM mettent la lumière sur le financement des start-up et PSE

الهيئة المغربية لسوق الرساميل تجدد مشاركتها في دورة 2018 لأسبوع المستثمر العالمي

Finances

AMMC et l'AMMC lance son guide





NEPAL

WORLD INVESTOR WEEK 2018

National Coordinator: Securities Board of Nepal (SEBON), Associate Member of IOSCO

Other IOSCO members:

Other participants: Nepal Stock Exchange Ltd. (NEPSE), CDS and Clearing Ltd. (CDSC), ICRA Nepal Ltd., CARE Ratings Nepal Ltd., Stockbrokers Association of Nepal (SBAN), Nepal Merchant Bankers Association (NMBA), Stockbrokers, Merchant Bankers, Depository Participants, and Investors Associations

SEBON with the support of C8 WIW Working Group and collaboration of securities market participants actively involved in commemorating World Investor Week (WIW) 2018 by organising different awareness building, educational, and interactive programmes to empower the investors and sensitise the people from all walks of life to the securities markets.

Some important events organised during October 1 to 7 aiming at raising awareness to the securities markets and empowering investors are summarised below:

1. Securities Markets Rally

On 1 October, to start and spread the message of WIW 2018, SEBON organised the securities market rally with active participation of NEPSE, CDSC., Credit Ratings Agencies, Securities Businesspersons, Investors' Associations. A total of 3000 securities markets stakeholders had attended the rally with blue cap especially prepared for WIW 2018. The rally marched 4 kilometers with play cards and banner containing different informative messages relating to securities markets and smart investments under the title of WIW 2018.

2. Press Meet on First Provincial Capital Market Expo 2018

On 2 October, 2018 SEBON organised a press meet at Biratnagar—the second largest financial and industrial city of Nepal, province no.1 to provide the information about **'The First Provincial Capital Market Expo (PCME)-2018'** to be organised on October 5-6. The objective of PCME and expectation from the local stakeholders for the success of PCME and subject matters of the PCME were highlighted in the press meet along with addressing queries raised by the journalists/media persons of province no.1 on PCME, WIW 2018, and issues and prospects of securities markets. A total of 60 provincial journalists were participated in the press meet. The objective of press meet was to sensitise the people of province no.1 and economic media persons to the securities markets, ongoing initiatives and efforts in developing and promoting securities markets including WIW and PCME, and securities markets reform and development policy and priorities.

3. Technical Sessions to investors, Quarterly Interaction with Investors and Launching Investors' Friendly Websites and different Informative Booklets

On 3 October 2018 SEBON organised technical sessions and Quarterly Interaction with Investors and launching investors' friendly websites and different informative booklets in collaboration of market participants—NEPSE, CDSC, Credit Ratings Agencies, SBAN, and MBAN. Technical presentations were made by CDSC and ICRA Nepal to a total of 150 investors. For the education, awareness and empowerment of investors SEBON launched publications entitled SEBON: A Brief Introduction, Frequently Asked Questions (FAQ), and Capital Market Literacy and distributed to investors. Similarly, NEPSE, CDSC, ICRA Nepal, CARE Ratings, SBAN launched different informative booklets and

SEBON and CDSC lunched investors friendly websites in the programme. Quarterly interaction with investors was organised with the objective of addressing the grievances and queries of Investors. Investors participated actively in all the programmes and had raised the questions which were addressed by the heads of regulator and market participants attending the programmes.

4. Live Television Interview and Interaction Programme

On October 4, SEBON organised a live television interview and interaction programme through ABC television, Nepal with the objective of giving information about reforms, development, and policy and priorities in reforming and developing the securities markets to empower the investors. The interaction programme was attended by the senior authorities from SEBON, NEPSE, Investors Association, senior professor and capital markets expert, and senior economic journalist.

5. Fortnightly Radio Programme on securities and Commodities Derivatives Markets

On October 5, SEBON launched a fortnightly radio programme on securities and commodities derivatives markets to build awareness and educate the people residing in every part of country in a simple and effective manner. The programme was targeted to empower the investors to be smart along with increasing their interest in securities markets and commodity derivatives markets and promoting securities and commodity derivatives markets throughout the country. The first episode was broadcasted on 6 October, 2018 Saturday morning from 7:40-7:55 from Radio Nepal-the National Radio Broadcaster of Nepal.

6. First Provincial Capital Market Expo

On October 5 and 6, SEBON with the overwhelming engagement of markets participants organised the First PCME in Province no.1 in Industrial area of Nepal; Biratnagar with the slogan of 'The basis of prosperous Nepal is 'Dynamic, Credible and Nationwide Capital Markets'. The PCME was inaugurated by honourable Chief Minister of Province no. 1 Sherdhan Rai. Honourable Chief Minister had also launched the publication containing provincial economic, banking and securities markets indicators. There were a total of 110 stalls relating to securities markets products and services and two technical sessions entitled 'securities markets and real sector financing' and 'securities businesspersons: Nepalese perspective' were organised including the presentations and comments of market experts, university professors, and senior media persons in the expo. A total of 10,000 visitors had visited the PCME and some of them had actively participated in the technical sessions and they were provided informative study materials free of the costs especially published for WIW.

With collaboration of SEBON, NEPSE, CDSC, Depository Participants, One Nepali One Demat Account campaign was launched allowing to open Demat account free of costs. The Campaign was launched by honorable Minister of Finance and Planning of Province no.1 Indra Bahadur Angbo in the inaugural session of PCME.

7. Participation of all the Securities Market Stakeholders

In the initiation and coordination of SEBON, all securities market stakeholders actively participated in the different programmes organised in the WIW. ICRA Nepal organised the interaction programme on the credit rating agency and its services to the economic journalists on October 2 and published the information related to credit rating and frequently asked questions about credit ratings. NEPSE published informative pamphlets to the investors on October 2. Similarly, on October 4, CDSC

organised a press meet on EDIS-Electronic Debit Instruction Slip with the participation of 25 media persons and heads of different investors associations.

The events organised by SEBON and market participants on the occasion of WIW were well received and being taken over print, online and radio-TV. Below are the major links that refer to some of aforementioned activities:

A Brief Agenda for Observing the World Investor Week 2018

<http://sebon.gov.np/news/a-brief-agenda-for-observing-the-world-investor-week-2018>

Participants on Rally organised on 1 October, 2018

<http://sebon.gov.np/audios-and-videos>

Provincial Indicator of Economy, Banking and Securities Market

<http://sebon.gov.np/uploads/uploads/2P4MGfRxJ8pKpjAtTIOGrjvdu3iZoK75NgSbndUR.pdf>

Introduction to SEBON

<http://sebon.gov.np/uploads/uploads/8PH8fCgnVcAff0RZfdca2WB4BX9riLEyY3x42tY4.pdf>



NEW ZEALAND

World Investor Week: Financial Markets Authority, New Zealand

This year, the Financial Markets Authority (FMA) in New Zealand used World Investor Week as an opportunity to amplify our investor capability messages. Our primary focus was to direct people to our investor pages and rich content, to encourage people to start thinking about investing. Measurement of the campaign elements are discussed below.

Content

Our campaign ran mainly on our digital channels. We created a 2-minute video ([here](#)) to explain the basics of investing and ask people to people to 'dip their toes' in investing. We used the analogy of a swimming pool, and created a number of personas, based on demographic information on who is most likely to be thinking of investing. The swimming/investing metaphor was carried through our campaign with short social media ads, and media appearances. More details can be found below.

Website

Paid digital advertising directed people to our 'ways to invest' pages, along with a homepage banner ad. Traffic on our overall investor pages and ways to invest pages increased by 37.78% on last year, with the following increases on the previous week:

- Page Views Unique Page views Avg. Time on Page
- Overall investor pages: 294.53% 320.95% 3.13%
- Ways to invest page: 1,028.36% 1,728.40% 135.62%

The highest amount of referral traffic (31.51%) came from organic searches (people using search engines), followed by Facebook advertising (32.62%). Those who came directly to the website spent longer on the website and visited more pages.

Digital media

Facebook

We had 771,494 impressions on our Facebook page, with 4,820 clicks. Our new Wise Up invest Well branding made the page more dynamic and engaging, and we gained 62 new followers during the week with 18 shares, 175 reactions and 15 comments.

Influencers

Two sponsored influencers initially posted on Facebook but then transferred to Instagram, due to Facebook regulations.

- The first sponsored influencer's posts had an organic reach of 4,267 followers and the Instagram post reached 15,313 followers, 38% of his followers were a new audience for the FMA.
- The second sponsored influencer's Facebook post reached 4,435 people and her Instagram post reached 8,556 people, receiving 162 likes and 4 positive comments

YouTube

Over the week of the campaign, our animated video had 920 views, 254,462 impressions on YouTube and 225 clicks.

Targeted

email

We sent two messages about WIW to our stakeholder list. One to our 6,500 subscribers and another to a smaller number of targeted stakeholder, encouraging their involvement. We got a 35% open rate with 13 video views.

Paid

digital

advertising

The campaign generated 1.2 million impressions. The ads drove 3,255 people to the website, with an average 1.36 pages per visit. Each visit spent average 39 seconds, which is long enough to view the content.

Media

An interview with our Director of Strategy and Risk, Simone Robbers, was aired on a prime time TV show, Seven Sharp, with 520,700 views. She led a five minute segment discussing World Investor Week and encouraging people to begin investing.

The media release went to 233 stakeholders and garnered ten media mentions. We created a media release written in Chinese, targeted at Chinese people living in New Zealand, which was carried in the Chinese NZ Herald.

Stakeholder partnership activities

- We sent a targeted email to 77 key stakeholders, highlighting the week and asking them to share our message among their clients. (We know Advice First did).
- We supported the New Zealand Exchange (NZX) with their investor information evenings, which had 331 registrations: 85 in Auckland, 100 in Wellington and 146 in Christchurch.
- We created two videos with our Investor Capability manager interviewing the Chief Executive of an online shares platform Sharesies and the CEO of Financial Advice NZ. We shared them on our social media channels, which got 78 views and they shared them further.
- We wrote an article for Financial Advice NZ, which was circulated to their financial advisers to promote the week.
- We asked New Zealand's Commission for Financial Capability (CFFC) to support WIW and they created a video, a blog and ran WIW branded adverts in their channels as well as issuing a media release
- Our Inland Revenue Department shared our content their channels.

Internal Communications

Our Internal communications initiatives and metrics for this campaign were as follows:

- 'In the know' information session on investing for 200 staff
- All-staff memo about the week with a survey for staff with a prize of a 10-swim pass for the winner.

ONTARIO

WORLD INVESTOR WEEK 2018 ONTARIO SECURITIES COMMISSION (OSC)

The Ontario Securities Commission (OSC) was proud to support the International Organization of Securities Commissions' (IOSCO) second World Investor Week. The OSC also participated in the first-ever World Investor Week last year which was well-received by investors and other stakeholders.

The OSC is committed to building investor confidence and participating in World Investor Week helps promote investor education and protection both locally and globally.

In the province of Ontario, World Investor Week coincided with Investor Education Month activities in October. The OSC utilized existing resources to reach investors across the province. Investor awareness, education and outreach were primarily achieved by focusing on digital channels and in-person investor seminars through the OSC in the Community program. World Investor Week key messages were integrated into the OSC Investor Office's social media strategy and linked to relevant OSC resources such as GetSmarterAboutMoney.ca.

In comparison to last year, traffic to the OSC consumer website GetSmarterAboutMoney.ca and other educational resources increased 28% (increased to 100,900 visits from 78,700 visits) during World Investor Week as investors sought information on investing and fraud protection. The OSC also held more OSC in the Community events this year and intensified engagement efforts on social media.

The OSC's World Investor Week 2018 activities are summarized as follows:

(i) National News Release to mark World Investor Week and Investor Education Month

The OSC led the development of a [national news release](#) to announce the launch of World Investor Week and Investor Education Month initiatives across Canada (published through the Canadian Securities Administrators, the council of the securities regulators of Canada's provinces and territories that co-ordinates and harmonizes regulation for the Canadian capital markets).

(ii) Investor News Newsletter

The OSC newsletter [Investor News](#) is published periodically and informs investors about the latest investor initiatives, educational resources, topical issues, key dates and investor warnings and alerts. It is read by thousands of investors and distributed by email as well as through social media and the OSC's award-winning consumer website, GetSmarterAboutMoney.ca.

World Investor Week was highlighted in the [October 2, 2017 issue](#). We included important information on investing basics, investor protection and fraud prevention such as checking the registration of individuals and businesses, understanding investing topics like risk-and-return, compound interest, and diversification, and planning for short- and long-term financial goals. Resources and links to the Week's activities were provided as well as an explanation of the importance of the IOSCO-sponsored initiative.

(iii) OSC in the Community and Telephone Townhall

The OSC hosted several [OSC in the Community](#) investor seminars during World Investor Week and Investor Education Month in October. OSC in the Community is an investor outreach program that

takes the OSC's important regulatory mandate from Bay Street to Main Street. OSC staff visit communities across the province and present tips on investment fraud protection as well as learning how to find unbiased information about investing. OSC staff also highlighted the World Investor Week initiative and its key messages during the presentations.

We also held a telephone townhall for investors on October 10 after promoting it during World Investor Week. Telephone townhalls are a way for the Investor Office to connect with thousands of Ontarians at a time. Telephone townhalls work much like a call-in radio show where OSC staff provide information, answer questions and conduct live polls over the course of one hour.

(iv) Social Media

The OSC engaged investors through social media using Facebook ([@GetSmarterAboutMoney](#)) and Twitter ([@smarter_money](#)). The hashtag #WIW2018 was used to encourage conversation and raise awareness.

Our content calendar built upon last year's successes and we developed a comprehensive engagement strategy to reach investors in Ontario. For each day of World Investor Week, the OSC tweeted and posted investor education and protection messages through its social media channels, aligning with the IOSCO's "smart investor" key messages. We also posted short animations which reminded investors to check the registration of any individual or business trying to sell them investments or give investment advice.

(v) GetSmarterAboutMoney.ca Calculators and Tools

We promoted several of our calculators and tools to help investors make informed investing decisions.

- [Compound Interest Calculator](#): helps investors understand how much their money could grow to over time at different interest rates.
- [Investor Knowledge Quiz](#): tests investors' investing knowledge and how well they understand important concepts like investment risk, diversification and fraud prevention.
- [Pay Down Debt or Invest Calculator](#): helps investors understand if they should pay off debt or invest.



OSC Staff speaking to investors about investing and investment fraud prevention at OSC in the Community investor seminars. OSC in the Community is an investor outreach program that takes the OSC's important regulatory mandate from Bay Street to Main Street. OSC staff visit communities across the province and present tips on investment fraud protection as well as learning how to find unbiased information about investing.

PALESTINE

WORLD INVESTOR WEEK 2018

National Coordinator: Dr. Bashar AbuZarour.
IOSCO member: Palestine Capital Market Authority.

Other participating organizations in Palestine:

- Ministry of Education.
- Palestine Stock Exchange.
- United Securities Company.
- Target Jordan Palestine Securities.
- Al-Watanieh Securities Company.
- Global Securities Company.
- Sahem Trading & Investment Company.
- Lotus Financial Investment.
- Al-Wasata Securities Company.
- Al Arabi Investment Group.

PCMA has participated and supported IOSCO in its efforts by conducting several activities and events during the World Investor Week that took place between 2 and 7 October, 2018. PCMA has encouraged other organizations in Palestine to participate in IOSCO's WIW by focusing their investor education and awareness programs during that week.

Palestine Capital Market Authority has been working on targeting new geographical areas in Palestine and also reaching out to people in different occupations through out it's website, press releases and newspaper. Such events included creating a portal for the investor week on PCMA official website containing different awareness materials related to securities, holding an awareness meeting with investors in the Palestinian securities sector in cooperation with the securities companies, holding an open day in two local universities in celebration of the investor's week and coordinating with the Ministry of Education to host different school's delegation at PCMA and other participating organisations. PCMA served different segments during the WIW, such as : school students, university students and the investors. Moreover, it has served different activities to those segments in order to increase their understanding and awareness of the securities sector, to encourage them to invest in stock exchange and to protect all of the customers.

Meetings with different local radio stations were set up to discuss the conclusion of events for the world investor week.

Several evaluation tools have been used to measure the effectiveness and impact of these events on the targeted audiences. Results turned out to be fruitful:

- The number of clicks on PCMA official website portal for the World Investor Week reached 1237. The WIW logo as an icon was placed on PCMA and Stock Exchange website as well as on the websites of the participating brokerage companies. Moreover, the portal contained a variety of icons including awareness messages, frequently asked questions, publications, caricatures, radio advertisements, media coverage, the participating parties and events schedule.
- The number of news related to the WIW events that were published in newspaper, news agencies and official websites of securities companies, Palestine Stock Exchange & participating universities reached 25.

- An open day have been held in two local universities in celebration of the World Investor Week. The participating universities were An-Najah University and Birzeit University. It took place in October 3rd and October 4th respectively. On the one hand, the number of students attending the workshop at An-Najah University reached 50 (35 female students and 15 male students) and about 7 teachers attended the workshop. 300 awareness broucheres were distributed. The workshop included 4 activities. The number of questions asked about this topic was 4. The number of students attending the workshop at Birzeit University reached 150 (90 female students and 60 male students) , 10 teachers attended the workshop. 300 awareness broucheres were distributed. The workshop included 4 activities. The number of questions asked about this topic was 4.

Several activities were conducted to school students. Such as specialized school radio bulletins through out of the cities of the country were used to announce the launch of the world investor week. Moreover, Several school visits were conducted in different companies participating in the WIW event. These visits targeted school students from different schools and aimed to increase awareness among students about the securities sector in Palestine. These visits were directed to:

- **1) PCMA.** Four school visits were conducted at the PCMA headquarter. The 1st visit took place in October 3rd. The round included 37 female students and 16 male students, 4 teachers. Around 100 materials were distributed to them. A presentation about the securities sector was conducted to them, the number of questions asked about the topic was 21. The 2nd visit took place in October 3rd. The round included 30 female students and 2 teachers. Around 65 materials were distributed to them. A presentation about the securities sector was conducted to them, the number of questions asked about the topic was 8. The 3rd visit took place in October 7th. The round included 22 female students and 2 female teachers. Around 48 materials were distributed to them. A presentation about the securities sector was conducted to them, the number of questions asked about the topic was 18. The 4th visit took place in October 7th. The round included 24 male students, 1 teacher. Around 50 materials were distributed to them. A presentation about the securities sector was conducted to them, the number of questions asked about the topic was 10. The percentage of female students attending at the PCMA headquarter was 69%.
- **2) Al-Watanieh Securities Company.** One school visit was conducted at Al-Watanieh Securities company. The visit took place in October 2nd . The round included 5 female students and 18 male students, 2 teachers. Around 23 materials were distributed to them. A presentation about the securities sector was conducted to them, the number of questions asked about the topic was 4.
- **3) United Securities Company.** Three school visits were conducted at United Securities Company. The three visits took place in October 3rd. The first one from Jenin included 25 female students and 3 teachers. Around 25 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 8. The second one from Bethlehem included 15 female students and 2 teachers. Around 17 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 6. The third one from Nablus included 30 emale students and 2 eachers. Around 30 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 9.
- **4) Sahem Trading & Investment Company.** School visit was conducted to Sahem Trading and Investment Company. The visit took place October 2nd. The round included 28 male students and 1 teachers. Around 58 materials were distributed to them. A presentation about the

- securities sector was conducted to them and the number of questions asked about the topic was 15.
- **5) Lotus Financial Investment Company.** One school visit was conducted at Lotus Financial Investment Company. The visit took place in October 2nd. The round included 38 male students and 2 teachers. Around 80 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 4.
 - **6) Al Arabi Investment Group Co.** One school visit was conducted at Al Arabi Investment Group Co. The visit took place in October 4th. The round included 30 female students and 2 teachers. Around 64 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 5.
 - **7) Target Jordan & Palestine securities company.** One school visit was conducted at Target Jordan & Palestine co. The visit took place in October 3rd. The round included 30 female students and 2 teachers. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 16.
 - **8) Palestine stock exchange.** Two school visits were conducted at Palestine stock exchange. The visits took place in October 3rd. The 1st visit included 55 female students and 2 teachers. While the 2nd visit included 45 female students and 1 teacher. A presentation about securities sector was conducted in both visits to the students.
 - **9) Al-Wasata company.** Two school visits were conducted at Al-Wasata Co. The 1st visit took place in October 3th. The round included 15 male students and 1 teacher from Gaza. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 8. The 2nd visit took place in October 4th. The round included 35 female students and 2 teachers. Around 38 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 4.
 - 10) Global securities company.** One school visit was conducted at Global securities co. The visit took place in October 3rd. The round included 45 female students and 2 teachers. Around 94 materials were distributed to them. A presentation about the securities sector was conducted to them and the number of questions asked about the topic was 6.
- An awareness meeting to the investors was held at different financial companies. Those meetings were held about Corporate governance to increase the investors understanding of the financial market and to encourage them to invest their money knowing that they are protected. These meeting were held at: **1) Al-Watanieh Securities Company.** The visit took place in October 3rd. A presentation about Corporate Governance were conducted to 7 investors (2 females and 5 males). And the number of questions asked about this subject was 9. Around 27 materials were distributed to them. **2) Al-Wasata Company.** An awareness meeting about Corporate Governance was conducted at Al-Wasata Company in October 2nd. It was held for 7 investors (6 males and 1 female). About 7 materials were distributed to them and the number of questions asked about the topic was 3.





PANAMA

WORLD INVESTOR WEEK 2018

National Coordinator:Superintendency of the Securities Market of Panama

Other IOSCO members:

Other participants:Panama Stock Exchange and Chamber of Capital Markets

The Superintendency of the Securities Market of Panama (SMV) during WIW had different activities as well as an active twitter campaign with two daily tweets with WIW key messages regarding investor protection and education. This year the SMV invited the Panama Stock Exchange and Chamber of Capital Markets in promoting WIW on their social media and events.

The SMV had seminars, lectures and trainings impacting 855 people, aimed at market participants, investors, school and universities students and SMV staff. Flyers containing key messages and promoting WIW were handed out in all events. Also, the investor education section of the SMV website was updated and posted during the WIW.

WIW activities started with a seminar for a University with attendance of more than 300 students, which included topics such as: Capital Market function and SMV faculties, Introduction to Panama Stock Market and Licenses granted by the SMV. Also targeting students, the SMV visited a public school and had a lecture for 81 six grade students about the importance of saving and investing. The SMV had a visit from students of two Colombian universities: Universidad Simon Bolivar and Corporación Universitaria Antonio José Sucre.

The SMV celebrated on October 3rd its "XII Investor Day", inviting international and national speakers to discuss topics such as: Colombia Fintech Experience, Cryptocurrencies and ICO'S and Sustainable Finance: Green Bonds. This event was targeted to market participants and had livestreaming, the event video was posted on the SMV website and you tube channel with a total of 77 views.

The SMV twitter account for WIW earned a total of 21.4 K impressions. In addition, three articles were published in magazines and newspapers reaching around 103,000 people.

Seminars, lectures, trainings and visits



SMV Investor Day



Publications



Roynaldo Coto, Víctor Mejía, Marielisa Quiñero de Starobila, Superintendente del Mercado de Valores, Juan Carlos Clement, Juan Vicencia y Dagoberto Angilla.



Ricardo Morera, Verónica Ramírez y Julio Ramírez.



Juan Pineda, Marielisa Quiñero de Starobila y Edwin Soto.



Con los temas "Innovación y Tecnología en el Mercado de Valores: Fintech, Financiamiento Colectivo, Criptomonedas, ICO'S y RegTech; y la Inversión Socialmente Responsable: Bonos Verdes", la Superintendencia del Mercado de Valores de Panamá (SMV) realizó la XII versión del Día del Inversorista. Los paneles contaron con la participación de expertos locales e internacionales.

Este año, se organizó dentro del marco de la Semana Mundial del Inversorista o World Investor Week, de la Organización Internacional de Comisiones de Valores (IOSCO), campaña global que busca crear conciencia sobre la importancia de la educación



José Ramón García de Paredes, Presidente de la Junta Directiva de la SMV; Marielisa Quiñero de Starobila, Superintendente de la SMV y Roberto Borrás, Ex Superintendente Financiero de Colombia.

financiera y protección del inversionista.

El señor Roberto Borrás, Ex Superintendente Financiero de Colombia, fue el orador invitado, se refirió a las innovaciones tecnológicas que abren paso en el mercado de valores y a la necesidad de establecer proyectos que propicien la convivencia amigable y sostenible.

El Día del Inversorista forma parte de las actividades de educación que lleva a cabo anualmente la SMV, a través de la Unidad de Educación al Inversorista y Comunicación. La entidad mantiene el compromiso con la educación y protección del inversionista, a través de actividades como ésta.

Día del Inversorista 2018

La Superintendencia del Mercado de Valores de Panamá llevo a cabo la XII versión del "Día del Inversorista".

El "Día del Inversorista" tiene como objetivo compartir temas relevantes sobre el mercado de valores con el público inversionista y los profesionales que forman parte del mismo.

Este año, el Día del inversionista, fue organizado en el marco de la Semana Mundial del Inversorista / World Investor Week, de la Organización Internacional de Comisiones de Valores (IOSCO), campaña global para crear conciencia sobre la importancia de la educación financiera y protección del inversionista, que se celebró del 1 al 7 de octubre.

En su XII versión se seleccionaron temas de actualidad para el mercado: Innovación y Tecnología en el Mercado de Valores: Fintech, Financiamiento Colectivo, Criptomonedas, ICO'S y Reg Tech; y la Inversión Socialmente Responsable: Bonos Verdes.

Este año el orador invitado fue el licenciado Roberto Borrás, socio Garrigues, Ex Superintendente Financiero de Colombia, quien expuso sobre la experiencia de su país en Regulación Fintech y Crowdfunding. Así como, expositores nacionales e internacionales.

El experto colombiano se refirió a las innovaciones tecnológicas que se abren paso en el mercado de valores y a la necesidad de establecer proyectos que propicien una convivencia amigable y sostenible. Destacó el hecho que los integrantes del mercado bursátil se "encuentra en un proceso de aprendizaje en temas como Fintech, financiamiento colectivo y criptomonedas".

El Día del Inversorista forma parte de las actividades de educación que lleva a cabo anualmente la SMV, a través de la Unidad de Educación al Inversorista y Comunicación. La Superintendencia del Mercado de Valores de Panamá mantiene el compromiso con la educación y protección del inversionista, a través de eventos como este.

Panel Innovación Tecnológica en el Mercado de Valores. En la foto Carlos Barakó, Felipe Echandi, Marcelo Deschamps y Christian Schneider.

Panel Inversión Socialmente Responsable: Bonos Verdes. En la foto Olga Cantillo, José Ramón García de Paredes, Darío Castillo y Mateo Salomón.

La importancia de la educación financiera

MAHE LISSA AIZPURUA
Abogada y jefa de la Unidad de Educación al Inversionista y Comunicación
Superintendencia del Mercado de Valores de Panamá
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Sabemos que la economía de un país y su futuro está sujeta al manejo financiero de sus ciudadanos, que con una mejor comprensión y conocimiento de términos financieros se contribuye a su crecimiento y a su vez logra una mayor participación en los mercados laborales y económicos. A fin de lograr esto, es importante sembrar la semilla desde jóvenes de conceptos financieros básicos como: El funcionamiento de una cuenta de ahorro, manejo de presupuesto familiar y ahorro para el retiro, en aras de que logren en un futuro alcanzar independencia financiera y contribuir al desarrollo del país. Asimismo, a lo largo del crecimiento profesional de los ciudadanos, crear espacios en que se puedan obtener herramientas que apoyen sus empresas y también su economía personal.

En consideración de lo anterior, dentro de la complejidad del Mercado de Valores y en la medida que los instrumentos financieros se hacen más sofisticados e innovadores es más relevante la necesidad de entender los nuevos conceptos y su funcionamiento para evitar malos manejos, malas prácticas y cualquier tipo de fraudes o conductas dolosas. En ese contexto es donde nace y resalta la importancia de la educación al inversionista como complemento de la regulación, supervisión e investigación del ente regulador. En este sentido, la Organización Internacional de Comisiones de Valores (Iosco, por sus siglas en inglés), lanza la iniciativa, "Semana mundial del inversionista", este año del 1 al 7 de octubre, semana en donde los miembros de Iosco inician campañas a través

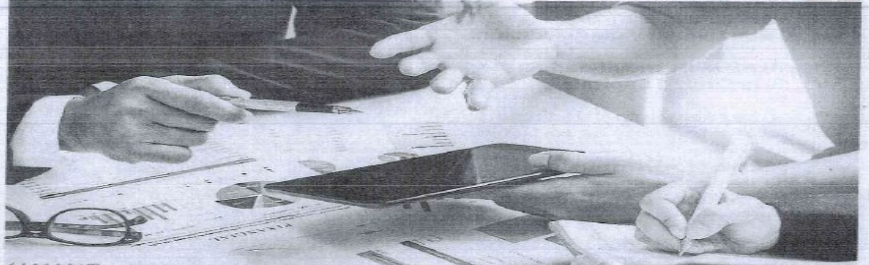


Foto: Fotolia

de redes sociales con mensajes cortos y claros, seminarios y conferencias enfocados en la educación y protección del inversionista.

La Superintendencia del Mercado de Valores, a través de la Unidad de Educación al Inversionista y Comunicación (UEI), tiene como objetivo capacitar a la población en general sobre el Mercado de Valores y proteger a los inversionistas dándoles el conocimiento para que entiendan sus derechos y responsabilidades en cuanto al manejo de sus inversiones. Para lo cual, tiene un programa anual de capacitaciones destinado a escuelas, universidades, entidades del Estado y gremios con el fin de llevar el conocimiento y mantener actualizada a la población en materia del Mercado de Valores y el papel que desempeña

la Superintendencia. Para la Semana mundial del inversionista, la UEI tiene organizado diversas capacitaciones y eventos, destacando el Día del Inversionista (3 de octubre), evento en donde se tratarán temas como: Innovación tecnológica en el Mercado de Valores, Calificación de Riesgo de Estructuras Jurídicas y Bonos Verdes, también se publicarán cápsulas educativas en la cuenta de Twitter de la Superintendencia del Mercado de Valores (SMV), con mensajes claves que refuerzan la iniciativa de Iosco, tales como: "Un inversionista informado: Verifica que el profesional que le ofrece el servicio tenga licencia; investiga sobre el producto antes de invertir; evita esquemas "hazte rico rápido" y "no puedes perder"; Reconoce la importancia de la diversificación", entre otros.

Examine los Riesgos

- Tenga en cuenta que toda inversión posee riesgos implícitos. En consecuencia, entre más ganancias se quieren obtener, el riesgo que deberá asumir será mayor.
- Debe solicitar información sobre los riesgos asociados a su inversión y tener claro cuáles puede tolerar y cuáles no.
- Sea consciente de los riesgos a los que nos exponemos nos permite tomar una mejor decisión sobre nuestra inversión y nos ofrece mayores posibilidades de conseguir rentabilidades satisfactorias.

Persona Idónea

Asegurar que la persona que le ofrece el servicio cuenta con licencia, es importante que la entidad o la persona que le ofrece invertir en valores tenga la licencia emitida por la SMV. Puede verificar en la página web: www.supervalores.gob.pa.



Visítanos
www.supervalores.gob.pa
@Supervalores_Pa



CONSIDERACIONES AL MOMENTO DE INVERTIR



Conozca su Rentabilidad

Para conocer cuál es la opción de inversión que mejor se adapta a nuestros objetivos y necesidades, es preciso tener en cuenta los tipos de rentabilidad que existen y los riesgos a los que nos exponemos en cada uno de ellos.



Diversifique

Distribuya sus inversiones entre distintas opciones que le brinda el mercado, a fin de reducir sus riesgos.



Entienda su Inversión

Lea previamente el prospecto, el contrato y documentación relativa a su inversión. Asegúrese de comprender el contenido, datos y demás información incluida en dichos documentos.



Siempre Alerta

Posponga la decisión de invertir en valores, ofrecidos a través de teléfono, visitas inesperadas, por correo electrónico o redes sociales, hasta que obtenga más información y verifique quien se lo ofrece.



Supervalores Panamá @Supervalores_Pa - Oct 4
worldinvestorweek.org #IOSCOWIW #worldinvestorweek #WIW2018 #WIWSMV #UEI @IOSCOWIW

Un inversionista informado
No se olvida de la importancia de la debida diligencia al considerar invertir en ambientes digitales o en línea.

Del 1 al 7 de Octubre

Un inversionista informado:
Comprende que en toda inversión existe riesgo.

Del 1 al 7 de Octubre

PARAGUAY

WORLD INVESTOR WEEK 2018

National Coordinators: Marcelo Echagüe Pastore; Luis Talavera Insfrán

The Comisión Nacional de Valores of Paraguay (CNV) participated at the so-called World Investor Week (WIW) 2018 by organizing five open public talks in universities that lasted approximately two hours each. Several stakeholders stemming from the stock market and banking industry were invited as lecturers, including brokerage houses, trust funds, private banks, the stock exchange itself, among others. Every stakeholder hence developed a topic related to financial education and investor protection, while also highlighting important information related to their own fields of expertise.

The first series of talks took place on October 1 at the Universidad Nacional del Este (UNE), in the city of Ciudad del Este, hosting about 240 participants, mostly university students from UNE and other universities around the city. Four lectures took place that day, with professionals kindly offering themselves to travel to Ciudad del Este for the event in order to discuss about regulation and situation of the stock market in Paraguay, the role and functions of market intermediaries, the understanding of futures as a hedging instrument against price fluctuations, as well as the importance of consuming, saving and investing for households and people in general.

The second series of talks took place on October 2 at the Universidad Nacional de Caaguazú (UNCA), in the city of Coronel Oviedo, hosting about 130 participants, mostly university students from UNCA and other universities around the city. Four lectures took place that day as well, covering the same topics but with different experts offering the talks, who kindly offered themselves to travel to Coronel Oviedo for the event.

The third series of talks took place on October 3 at the Universidad Católica de Asunción (UCA), in the capital city of Asunción, hosting almost 130 participants, mostly Economics and Business School students from UCA and other universities in Asunción. Five lectures took place that day, with professionals discussing about regulation and situation of the stock market in Paraguay, the role and functions of market intermediaries and trust funds, the functioning of the electronic trading system at the stock exchange, as well as the importance of consuming, saving and investing for households and people in general.

The fourth series of talks took place on October 4 at the Católica de Asunción (UCA), in the city of Villarrica, hosting about 100 participants, mostly university students from UCA and other universities around the city. Four lectures took place that day, with professionals kindly offering themselves to travel to Villarrica for the event in order to discuss about different topics such as: regulation and situation of the stock market in Paraguay, the role and importance of trust funds to diversify investments, the functioning of the electronic trading system at the stock exchange, as well as the importance of consuming, saving and investing for households and people in general.

The fifth and last series of talks took place on October 5 at the Universidad Autónoma de Encarnación (UNAE), in the city of Encarnación, hosting more than 270 participants, mostly university students from UNAE and other universities around the city. Four lectures took place that day as well, with professionals kindly offering themselves to travel to Encarnación for the event in order to discuss about different topics such as: regulation and situation of the stock market in Paraguay, or even the role and importance of brokerage houses and trust funds to diversify investments.

It is important to mention that all attendees will receive a certificate for their participation during the WIW. Finally, presentations displayed in the abovementioned events are public and currently available at the CNV's website: www.cnv.gov.py



PERU

WORLD INVESTOR WEEK 2018

National Coordinator:Superintendence of Securities Market (SMV)

Other IOSCO members:-

Other participants:Lima Stock Exchange (BVL); Mutual Funds Association (AFM); Brokerage Firms Association (ASAB); National Bank (Banco de la Nación);San Marcos University (Universidad Nacional Mayor de San Marcos);Catholic University of Santa María (Universidad Católica de Santa María de Arequipa) y HighSchool President of Peru (Colegio Mayor Secundario Presidente del Perú).

The Superintendence of Securities Market (SMV) of Peru participated for the second consecutive year in the WIW, recognizing with this the importance of investor education as a mechanism for the development and promotion of securities market, given the direct and positive impact that this has on its development in the medium and long term.

Thus, from October 15 to 21, the SMV, together with other institutions, carried out different activities with the aim of raising awareness among investors and the general public about the relevance of investor education and his protection.

In that way, the SMV, included a special section of World Investor Week in its Securities Market Portal (www.smv.gob.pe), where the main activities and key messages of the campaign were described. In this section, the general public could review the complete program of activities and register in those of their interest, as well as review educational materials such as infographics and comics related with the securities market.

Main activities carried out

Several free activities were carried out for different segments of the population, in order to present and focus the suitable topics according to the interests of each group. The main activities were the following:

- At High School. -Conference "Introduction to the Securities Market and SMV Role", was carried out on October 15 for students of Presidentedel Perú High School. This conference was attended by 140 students.
- At Private University. -Seminar "Investment alternatives in the Securities Market", aimed at university students and the general public, was held on October 16 at the Catholic University of Santa María in the city of Arequipa, with the attendance of 345 people. Authorities of the SMV, BVL and AFM presented topics related with investor protection, investment through stock exchange and investment in mutual funds.
- At Public University. -University Meeting with the Securities Market, held on October 17 in the Auditorium of the Faculty of Administrative Sciences of the San Marcos University, which was aimed at university students who could get information and guidance on the securities market in modules attended by officials of SMV, BVL, AFM and ASAB. In addition, topics related to investor protection, investment through the stock exchange and investment through mutual funds were addressed through a conference. It was attended by approximately 310 students.
- For General Public. -Seminar "Investment Alternatives in the Securities Market", aimed at the general public, held on October 18 at the National Bank Auditorium and attended by around 500 people. Topics related to investor protection, investment through the stock exchange and investment

in mutual funds were presented by SMV officials and other market participants. It should be noted that this event was transmitted via Webinar, which made it possible to expand the audience.

- For Senior Citizen Investors. -Senior Citizen Guidance Session, held on October 19, in order to present to this segment of the public the investment alternatives available in the securities market, according to their profile, as well as the available mechanisms for information and consultation. In this way, two officials of the SMV presented topics related to investor protection and mutual funds. It was attended by around 65 people.
- For General Public. -Free guided visits to the BVL. Two guided visits were held on October 16 and 19, with the purpose that the interested public in general can meet the facilities of the BVL and how the local market works and operates. It was attended by more than 200 people.

Social network and media campaign

The use of SMV's social networks (Facebook) was intensified to disseminate information, objectives and the WIW's activities as well as the key messages of the campaign (two messages per day). Likewise, an event was created on Facebook and the hashtag *#semanadelinversionista*.

On the other side, an online banner in mobile format was contracted from the Agencia Andina website during a month, in which the WIW 2018 activities were disseminated, including a link that addressed the WIW section of the SMV's web site. In addition, the SMV published a press release at the beginning of the activities of the WIW that was sent to all relevant written media.

Other initiatives

Articles about WIW 2018 were published in the SMV's Blog, on the web site of the country's main financial newspaper and in a magazine specialized in capital markets, which make it possible to reach a specialized audience, but which also allowed expanding the reach of the WIW's dissemination.

It should also be noted that the closing of the WIW 2018 activities occurred with the traditional bell-ringing at the BVL, with the participation of the Superintendent of the Securities Market and other authorities.

Main results

WIW 2018 made it possible to reinforce the dissemination of messages and key aspects to be taken into account in order to participate in the securities market, using various technological, written and face-to-face tools to obtain the widest possible reach, surpassing the results obtained in WIW 2017. Thus, it is estimated that with the written publications it was possible to reach approximately 560 thousand people; through Facebook, 21 publications were made with a total of 360 likes and 103 times were shared. Likewise, about 2,600 visits were made to the event page. Finally, the physical attendance to the various conference and seminars was about 1,600 people, more than double the number of people compared to the previous year.



Seminar "Investment Alternatives in the Securities Market" at the National Bank Auditorium



Closing Ceremony of the WIW 2018 – special bell-ringing at Lima Stock Exchange

PORTUGAL

WORLD INVESTOR WEEK 2018

National Coordinator: CMVM

Other IOSCO members:

Other participants: Bank of Portugal, ASF (Insurance and Pension Funds Supervisory Authority), Euronext Lisbon, APB (the Portuguese Banking Association), APS (the Portuguese Insurance Association), APIFPP (the Investment and Pension Funds Association), APAF (Portuguese Association of Financial Analysts), AEM (the Listed Companies Association), Nova SBE, Porto Business School Universidade Sénior de Almada and Universidade Católica Portuguesa

CMVM's participation in the World Investor Week (WIW) was conducted together with eight relevant regulators stakeholders in the Portuguese financial market: Bank of Portugal, ASF (Insurance and Pension Funds Supervisory Authority), Euronext Lisbon, APB (the Portuguese Banking Association), APS (the Portuguese Insurance Association), APIFPP (the Investment and Pension Funds Association), APAF (Portuguese Association of Financial Analysts) and AEM (the Listed Companies Association). Furthermore, some universities collaborated on WIW with relevant participation, such as Nova SBE, Porto Business School Universidade Sénior de Almada and Universidade Católica Portuguesa. This collaboration enriched the experience and maximized the dissemination of key messages to investors. The WIW also benefited from the participation of local communities all over the country. We list below the main initiatives:

One Day Conference on FinTech and market development:

The Conference took place during a day and was targeted at market stakeholders, financial intermediaries, entrepreneurs, university students and its content was spread through media, given that we plan to have members of the media as panel moderators which tends to ensure a full press coverage.

During the morning, we characterized the Fintech market in Portugal, the initiatives going on, and the role of the regulator in the process. We will cover the importance of the Innovation Hub and present the innovation hub that is being set up by the financial supervisors (apparently a unique case). To close the morning there was a discussion about virtual currency and ICOs held by representatives of the Central Bank, Insurances Authority and the Securities Market Regulator. In the afternoon, we focused more on the development of the market. We started by presenting the 2018 Investor Profile results of the inquiry and discussed the results and the way they may influence the future financial education programme. We also discussed the need to attract more investment/investors. Finally, we discussed the importance of having companies (medium sized companies) that are ready and aware of the advantages of the capital market as an alternative source of financing, presenting and debating the "Portuguese Capacitation Program" and how it is expected to impact the market.



Open Day — Banks branches in main cities:

Initiative that was developed with the Portuguese Banking Association, where CMVM's staff went to the bank branches across the country, in 12 district capitals, to talk with regular clients (retail investors) of the respective banks at the same time and day. We covered Investment and FinTech topics. This initiative had an enormous importance and impact, considering that in Portugal, especially at certain demographics, the commercialization of financial instruments is done in bank branches.



Session about Blockchain and Cryptoassets:

During the week, we had a session in our facilities of awareness of the opportunities and risks Blockchain and Cryptoassets can imply, targeted to university students and entrepreneurs. We started to present CMVM's vision about Fintech and, afterwards, we had a presentation from the President of the Portuguese Blockchain and Cryptocurrencies Association, followed by a debate with the audience. In this session, our main aim was to provide information of this new phenomena to the public to promote investors protection.



Senior Universities' Session:

During the week, there was also awareness sessions for senior citizens (investors) of Universidade Sénior de Almada. We addressed the importance of savings, the importance of investing savings carefully and making informed decisions. We also targeted new investment realities such as crowdfunding, robot advice and virtual currencies.



National Financial Education Plan Session:

We had a session targeted at young people conducted by the National Plan for Financial Education (a national programme developed by the three financial regulators) that has vast experience in these types of sessions. The students that attended were from the senior high school (target age 16 -18) and that the sessions covered informed decisions, how the markets work and its purpose and some new realities such as virtual currencies, crowdfunding, robot advising.



Workshops about Crowdfunding and Fintech:

On the 11th of October, we had two workshops in Universities (that have partnerships with CMVM) of Porto, the second largest city in Portugal, to bring WIW 2018 to other areas of the country. In the morning, we had a session about crowdfunding as an alternative mean of financing projects. In the afternoon, we also organized a workshop about Fintech and the investors' protection. In both workshops, we had perspectives from the Academia, the market (fintechs, banks and crowdfunding platforms), and CMVM.



QATAR

WORLD INVESTOR WEEK 2018

National Coordinator: Qatar Financial Markets Authority (QFMA)

Other IOSCO members:

- Commission d'Organisation et de Surveillance des Opérations de Bourse, Algeria.
- Conseil du marché financier, Tunisia.

Other participants:

- (Local): Public & Investors - Financial Sector Invitees - Financial Market.
- (International Participants): UTRF Morocco - GOVERN Center - Corporate Governance Group IFC - CFA Institute MENA - BI Norwegian Business School – Oman Center for Governance and Sustainability.

The Qatar Financial Markets Authority (QFMA) has inaugurated the IOSCO World Investor Week 2018 by launching a series of events across 2nd – 4th October, 2018 starting with the "2nd Corporate Governance Conference" held in Qatar on the 2nd October, 2018.

The conference was formally opened in the presence of high government dignitaries both local and international, at their forefront from the Qatar Central Bank, the Governor and Chairman of the Board of Directors of the QFMA, H.E. Sheikh Abdullah bin Saoud Al Thani, the Deputy Governor and Board member of the QFMA, H.E. Sheikh Mohammed bin Hamad Al Thani, QFMA Chief Executive Officer, Mr. Nasser Ahmad Al Shaibi and QFMA board members.

As part of the joint international cooperation effort, QFMA were also honoured by the presence of IOSCO AMERC members, including the Chairman, Organization and Supervisory Commission for Stock Exchange Operations of Algeria, Mr. Abdelhakim Berrah, Chairman of the Financial Market Council of Tunisia, Mr. Salah Essayel and Director of Exchange Office of Morocco, Mr. Hassan Boulaknadal.

The audience included top industry leaders, board members from listed companies, market participants and investors from the public who flocked to the event from the media coverage which had been going on for over a month in advance, as well as, invitations extended to all relevant sectors within the Financial Markets.

The QFMA aimed through the conference to raise the level of investor awareness, highlight the importance of investor roles and rights, including the enhancements the new code of corporate governance regulations have on disclosure and transparency in the Qatari Capital Market, as well as, contributing to the increased efficiency of the financial markets.

Participants at the conference, whether panelists, speakers or even moderators, provided a rich platform for discussion as they come from different entities, different parts of the globe and with a variety of experiences, which enriched the panel discussions on the main topics of the conference, such as:

- Article (8) of the Governance Code, Inside and outside Board of Directors, Role of Market, Role of QCSD, Role of Contact Person.
- Scope of implementation of the Governance & Compliance.
- Article (24) Functions and Responsibilities of the External Auditor Environmental, Social and Governance (ESG).
- "Putting Investors First" How to promote and serve investors rights in order to contribute to the development of the financial markets in Qatar.

With the launch of the specialist workshops during Day 2 of the WIW 2018 in Qatar, QFMA found more than double the anticipated attendance arriving for the specialized workshops as the audience turnout amounted to over 130 participants, as the following main topics were addressed during these workshops:

- Governance Internal Reporting Workshop:
Old and New Reporting, Challenges in reporting, and recommendations for implementation.
- Governance External Reporting Workshop:
Challenges in reporting, and recommendations for implementation.
- Investment Portfolio Management: Instruments & Principles.

The WIW 2018 - Day 3 - Thursday, October 4, 2018 - has proved to be yet another great success as the audience turnout at the QFMA WIW 2018 event continued to be quite encouraging where active audience participations with questions and enquirers rendered these workshop sessions truly worthwhile.

The topics of the last day of the WIW 2018 event were also of primary importance to investors of the Qatari Capital Market, as well as, market participants and their people, adding a practical and pragmatic flavor to the sessions, as the focus was set on the following latest Regulatory Workshop topics:

- Stock Split.
- Anti-Money Laundering & Combating Financing of Terrorism.

The overall value delivered under the umbrella of the IOSCO WIW 2018 has not only increased the public's awareness of the IOSCO role and its importance, but has also supported a unified effort across Capital Market Regulators and their counterparts within the Financial Markets to cooperate at both local and international scales to maximise on the WIW campaign and its rewards.

World Investor Week 2018
"2nd Corporate Governance Conference"
October 2, 2018





Corporate Governance Workshops October 3, 2018



Latest Regulatory Workshops October 4, 2018



Press coverage



QUEBEC

WORLD INVESTOR WEEK 2018 AMF QUEBEC

National Coordinator: Mr. Camille Beaudoin, Director, Financial Education Department.
Other participant: Canadian Securities Administrators (CSA)

AMF Quebec (Autorité des marchés financiers) has been actively involved in the coordination working group of the second edition of the *World Investor Week*.

AMF Index launching

The AMF Quebec has released results of the fourth AMF Quebec Financial Awareness Index. The main objective of the Index is to measure Quebecers' knowledge (perception of usefulness) and adoption of vigilant behaviours in the use of financial products and services. The data collected will guide the AMF Quebec when implementing awareness campaigns: <https://lautorite.qc.ca/en/general-public/publications/amf-publications/amf-index/>

The AMF Quebec WIW 2018 Press Release : ([English](#)) & ([French](#)).

More specifically, this study aims to create indexes for the following themes:

- Management of personal finances
- Credit management
- Interest and indebtedness
- Investments and financial investments
- Dealing with a financial advisor
- Insurance (life, auto and home insurance)
- Level of awareness of financial fraud
- Retirement planning

3rd Edition of the *Talking money in class!* Contest

The AMF Quebec also promoted the third edition of its *Talking money in class!* contest inviting teachers at the primary, secondary, adult education and CEGEP (College) levels to incorporate the concepts of money, budgeting, investment or credit into their courses or teaching activities.



The AMF Quebec at the FADOQ Montreal SENIORS' Fair

The AMF Quebec took part in the FADOQ Montreal Seniors' Fair, a very well-attended fair that attracted more than 18 000 visitors this year.



Social medias

Social medias (AMF Quebec's Facebook, Linked In and Twitter accounts) have been used to add some visibility to WIW 2018:



Canadian Securities Administrators (CSA)

The Canadian Securities Administrators (CSA) is an umbrella organization of Canada's provincial and territorial regulators. CSA's members, including AMF Quebec, also supported *World Investor Week* by highlighting cross-country activities. The CSA WIW 2018 Press Release : ([English](#)) & ([French](#)).

The AMF Quebec has enjoyed taking part and promoting *World Investor Week* and is looking forward to next year's edition.

ROMANIA

WORLD INVESTOR WEEK 2018

National Coordinator: The Financial Supervisory Authority, IOSCO member

Other IOSCO members:

Other participants: Bucharest Stock Exchange (BVB), the Central Depository, universities, schools, kindergardens

Financial education is the foundation for a good understanding of the concepts that an adult faces in his life. The education level is important for the good functioning of the economy as a whole. Only a population that knows how to manage their revenues and how to achieve savings can lead to maintaining a general financial equilibrium. Financial capability, as a result of financial education, determines the economic growth and population welfare. The low level of non-banking financial knowledge has led over time to underdeveloped markets, and was a consequence of the state intervention (stock market privatization, mandatory insurance products or compulsory private pension pillar), and increasing degree of public mistrust produced instability, low liquidity of capital markets and lack of responsiveness.

Under the umbrella of the World Investor Week 2018 (WIW), the Financial Supervisory Authority (FSA), as a member of IOSCO's Committee on Retail Investors (C8), has organized a series of activities aimed at raising awareness of the population about the importance of financial education and the involvement of children and young people, as follows:

Opening of the Bucharest Stock Exchange (BVB) on October 1, 2018 with a group of 60 high-school students from a school that is part of the ASF financial education program. In the first part of the meeting, representatives of FSA and BVB gave a presentation on the role of the capital market and the stock market in the life of an adult. Next, FSA representatives organized an interactive investment finance game that was enjoyed by the participants. The event took place in the Millennium Hall of the Bucharest Stock Exchange Building and was promoted on FSA and BVB webpages.

During the same day (October 1st, 2018) as well as during the second day of WIW (October 2nd, 2018), the President and the Prime Vice-president of FSA have opened the academic year of four important universities (Bucharest Academy of Economic Studies, Titu Maiorescu University, Dimitrie Cantemir Christian University, Romanian-American University). During the events, they gave speeches related to the importance of capital market.

On Tuesday (October 2nd, 2018) FSA organized a debate contest on financial education subjects. 4 teams debated their arguments with the subject "shares investments vs. bonds investments" in order to win the jury's vote.

On Wednesday (October 3rd, 2018), FSA representatives held three train-the-trainer seminars addressed to schoolteachers interested in improving their knowledge in non-banking financial markets.

In order to celebrate WIW, on Wednesday (October 3rd), the Central Depository issued free of charge account excerpts for the shareholders resulted from the mass privatisation program present at the headquarters of the institution, so that they will learn the investment fund/company where they are shareholders.

On Thursday (October 4th, 2018), was organised a workshop by FSA and the Bucharest Stock Exchange (BVB) called *Financial Wellness*. This workshop approached topics related to companies' employee's investments on the capital market, legislation and related opportunities. The main objective of this initiative was to increase the level of investment education on the capital market for employees of Romanian companies, to improve their understanding of concepts, notions and financial mechanisms in order to reduce financial exclusion and improve their future level of economic well-being. Also, the workshop promoted FSA working place financial education program.

During the same day (October 4th, 2018) FSA organized a workshop for kindergarten children during which FSA representatives conducted financial educational games in order to teach the kids the importance of money, savings and personal budget.

On Friday, October 5th, 2018, FSA have organized for Bucharest primary school pupils a presentation regarding investments and money (money, investment, savings, personal budget), teaching financial concepts presented in a way appropriate to their age.

On Saturday (October 6th, 2018), FSA representatives have been into direct public contact with Bucharest citizens and discussed non-banking financial consumer matters under a Financial Education Tent placed in a central pedestrian zone from Bucharest. They got closer to the people, explained them the basic information about non-banking financial markets and highlighted to Bucharest citizens their most elementary rights as consumers of financial services. FSA representatives also used the opportunity to distribute flyers and guidebooks about stock-exchange investments in order to inform the population.

Due to the increased demand we had from our institutional partners, some of the events mentioned above were also organised in Oradea and Iași in the following weeks, as mentioned below:

- *Oradea, October 8th – 10th, 2018*: train the trainer seminar addressed to schoolteachers, Let`s talk about the non-banking financial markets lessons dedicated of our educational program addressed to children and teenagers, debate on the same subject "shares investments vs. bonds investments", Academic Laboratories which offered the opportunity to university students to engage in interactive discussions with professionals from non-banking markets while comparative analyses between various practical and specific technical concepts in the non-banking financial markets are being presented, a Financial Wellness workshop and on the street public interaction and sampling.
- *Iași, October 24th– 26th, 2018*: train the trainer seminar addressed to schoolteachers, debate on the necessity of financial education in schools, the Financial Education Tent and a primary school pupils workshop about money, savings and personal budget.

From a media point of view, these events organized by the ASF were well received, appreciated, being taken over both online and radio-TV. Below are the main links that refer to WIW's ASF actions, as follows:

<http://files.agerpres.ro/eview.php?i=7F64566D486B0D3028A331D12C3E103738374120&c=378>

<http://files.agerpres.ro/eview.php?i=A31BECC87D25FA79DC76CEE7BBDA0D6A38373437&c=378>

<http://files.agerpres.ro/eview.php?i=C0C1A9D5524131353EAB94FDFACBC78B38373367&c=378>

<http://files.agerpres.ro/eview.php?i=9E9CD123809B590260C57D5C0B0ABFE138372176&c=378>

<http://files.agerpres.ro/eview.php?i=94F94229AB4802BE3C0D6F0BA795C7E738370598&c=378>

<http://files.agerpres.ro/eview.php?i=3EC57EE04A59408B76148954B4A64B0338368941&c=378>

<http://files.agerpres.ro/eview.php?i=E6B0833DAB6C0D81986995D13B9A337338376619&c=378>

<http://files.agerpres.ro/eview.php?i=E3407727D2BCB0AE4F4D9F8D9AF75A9938372968&c=378>

<http://files.agerpres.ro/eview.php?i=1D28428692EB43F9D9D31366090FA8EC38390716&c=378>

<http://files.agerpres.ro/eview.php?i=DA89ADBA6773E122108B4A64DAE21A4E38383933&c=378>

<http://files.agerpres.ro/eview.php?i=BBA9AE9A473B7D4BA4778CEFC50FE4DC38391818&c=378>

<http://files.agerpres.ro/eview.php?i=02108D625C51D7945B74A12F79938D3838409221&c=378>

<http://files.agerpres.ro/eview.php?i=6E1648091B521E65BD359DDF58C851BB38407094&c=378>

<http://files.agerpres.ro/eview.php?i=C6A18E6CA3DEBC9C85DEC12BAAC491A638406015&c=378>

<http://files.agerpres.ro/eview.php?i=05C5E6D0FF1E78F316EBAD320B806C8138405632&c=378>

<http://www.iasig.ro/Leonardo-BADEA-Educatia-financiara-nu-este-importanta-doar-pentru-piata-financiara-ci-si-pentru-dezvoltarea-tarii-articol-3,100-59651.htm>

<https://www.agerpres.ro/economic-intern/2018/10/04/badea-asf-pentru-ca-romania-sa-se-dezvolte-avem-nevoie-de-o-piata-de-capital-care-sa-creasca-in-adancime--186980>

https://www.banknews.ro/stire/85646_badea_asf_pentru_ca_romania_sa_se_dezvolte_avem_nevoie_de_o_piata_de_capital_care_sa_creasca_in_adancime.html

http://www.economica.net/badea-asf-pentru-ca-romania-sa-se-dezvolte-avem-nevoie-de-o-piata-de-capital-care-sa-creasca-in-adancime_159307.html

http://www.economica.net/wlassopol-asf-de-anul-viitor-asf-va-dezvolta-un-program-gratuit-de-educatie-financiara-non-formala-pentru-salariatii-societatilor_159327.html

<https://www.agerpres.ro/economic-intern/2018/10/04/wlassopol-asf-de-anul-viitor-asf-va-dezvolta-un-program-gratuit-de-educatie-financiara-non-formala-pentru-salariatii-societatilor--187024>

https://www.banknews.ro/stire/85659_wlassopol_asf_de_anul_viitor_asf_va_dezvolta_un_program_gratuit_de_educatie_financiara_non-formala_pentru_salariatii_societatilor.html

<https://www.wall-street.ro/articol/Money/235217/de-ce-romanii-prefera-mai-bine-sfatul-vecinului-decat-al-bancherului.html>

<https://jurnalul.antena3.ro/bani-afaceri/economia/economia-are-nevoie-de-bursa-788765.html>

<http://ziarulfaclia.ro/romania-are-cel-mai-redus-nivel-de-educatie-financiara-din-uniunea-europeana/>

<http://www.bihon.ro/invatati-cum-sa-si-cheltuiasca-banii/2123878>

https://www.jurnalbihorean.ro/ro_economic/2018/10/08/invatati-cum-sa-si-cheltuiasca-banii.bihorean





RUSSIA

WORLD INVESTOR WEEK 2018

National Coordinator: Bank of Russia

Other IOSCO members:

Other participants: Moscow Exchange, Federal Foundation for the Protection of Investor and Shareholder Rights

In Russia World Investor Week 2018 (WIW) was organized by the Bank of Russia, the Moscow Exchange and financial market stakeholders.

On September, 21-23 the Moscow Exchange held the FINFAIR (Financial Solutions Fair) as a part of the WIW. The FINFAIR's agenda was diverse enough so as to reach both beginners and professional investors.

The FINFAIR's agenda included 100+ talks, workshops and discussions featuring the executives of the Bank of Russia and the Russian Ministry of Finance, top managers of Russia's biggest companies, banks and broker agencies as well as professors of the leading economic universities.

The Moscow Exchange exhibition hosted workshops and presentations of Russia's biggest companies that were visited by around 7,000 FINFAIR participants; over 16,000 viewers watched the FINFAIR video recordings.

In the run-up to the WIW on September 28th the Bank of Russia posted on its website a video greeting for the WIW's participants from Bank of Russia Governor Ms. Elvira Nabiullina.

The WIW featured an online marathon, during which the representatives of the Bank of Russia, the Moscow Exchange and the stock market discussed various investment products and the associated risks as well as investment opportunities in the securities market. Around 1,700 participants joined the marathon.

Furthermore, 12,000 Russian university students in 80 regions of Russia visited WIW-related lectures 'Smart Investor in the Financial Market'.

The Bank of Russia official Facebook page was updated daily in order to raise the readers' awareness on the topics highlighted by the IOSCO. The total reach⁸ among Facebook users was about 20,200 people, and users engaged in around 3,800 actions⁹ on the WIW posts.

By the end of the week, the WIW's special page on the Bank of Russia website had over 1,700 unique visitors and about 3,600 views.

⁸The number of people who saw the posts.

⁹I.e., liked a post, reposted it or clicked on the link in it.

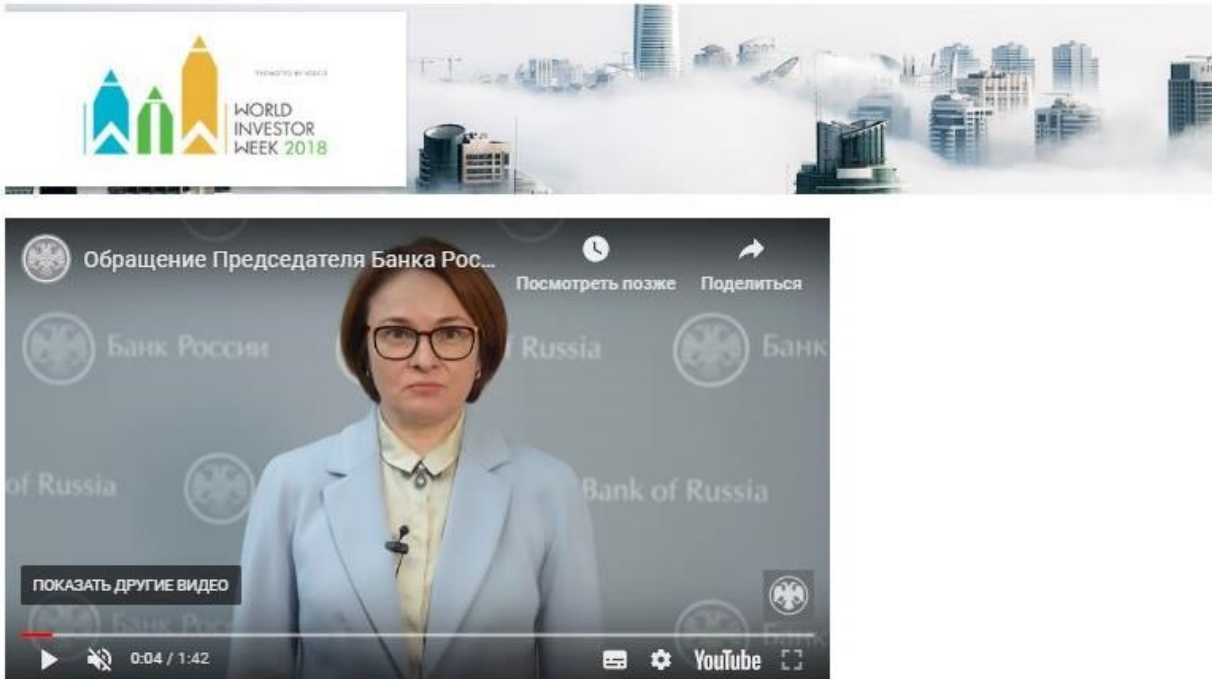
Representatives of financial institutions, including members of the Bank of Russia Financial Literacy Expert Council and other stakeholders also took part in the WIW.

Thus, on October 2-3 the Federal Foundation for the Protection of Investor and Shareholder Rights and the Eurasian Economic Commission held the III International Conference on Protecting of Investors' Rights as a part of the WIW.

About 400 delegates from 11 jurisdictions (Armenia, Belarus, Bulgaria, China, Hong Kong, Hungary, Italy, Kazakhstan, Malaysia, Russia and Uzbekistan) attended the conference. The key point of the agenda was the investor protection across all sectors of the financial markets of the Eurasian Economic Union and other jurisdictions represented.

On November 4th the Moscow Exchange held several webinars on the specifics of the Exchange's key markets, differences between various investment products, long-term investment options and tax preferences.

Video greeting from the Bank of Russia Governor Ms. Elvira Nabiullina



Мероприятия Международной недели инвесторов – 2018

Онлайн-марафон. Часть 1: для начинающих инвесторов.

Дата	Время	Тема	Спикеры
2 октября	11:00 – 12:00	Личное финансовое планирование	Никита Ходов , руководитель направления развития образовательных технологий, Управление по развитию розничных клиентов, Московская Биржа
	13:00 – 14:00	Основные финансовые продукты для начинающего инвестора. Как выбрать брокера?	Алексей Тимофеев , президент Национальной ассоциации участников фондового рынка, НАУФОР
	15:00 – 16:00	Мошенничество на финансовом рынке	Валерий Лях , директор Департамента противодействия недобросовестным практикам, Банк России
	17:00 – 18:00	Грамотный инвестор на финансовом рынке: основные риски и как защитить свои права	Михаил Мамута , руководитель Службы по защите прав потребителей и обеспечению доступности финансовых услуг, Банк России

Онлайн-марафон. Часть 2: для инвесторов с начальным опытом.

Дата	Время	Тема	Спикеры
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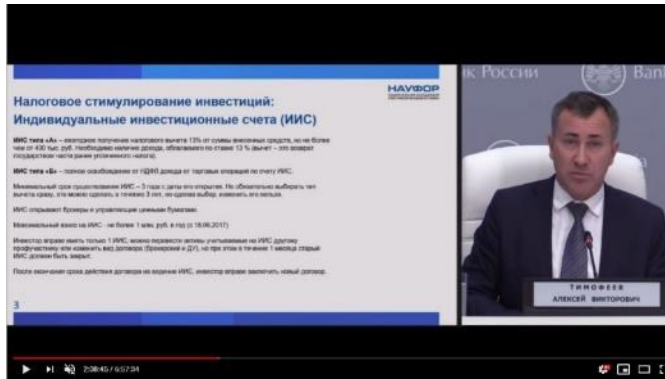
Online marathon: a series of webinars featuring the Bank of Russia and the Moscow Exchange representatives as well as representatives of the stock market stakeholders



Nikita Khodov
Head of Educational Technology Development at the Moscow Exchange

Topic: **Personal financial planning**

Онлайн-марафон для начинающих инвесторов



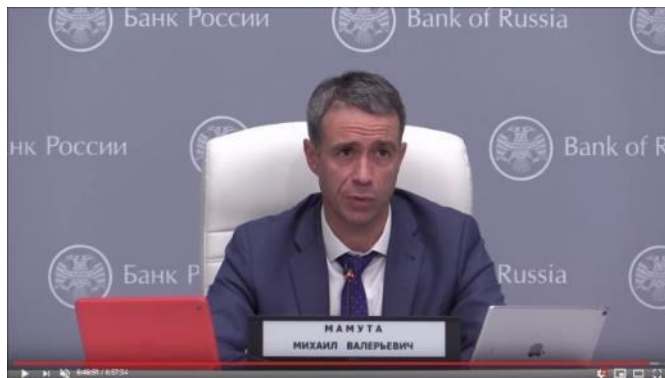
Alexey Timofeyev
President of the National Association of Securities Market Participants (NAUFOR)

Topic: **Basic financial products for beginner investors. Choosing a broker.**



Valery Lyakh
Director of the Bank of Russia Department for Countering Misconduct

Topic: **Fraud in the financial market**



Mikhail Mamuta
Head of the Bank of Russia Service for Consumer Protection and Financial Inclusion

Topic: **Being a smart investor in the financial market: how to address key risks and protect your rights**

Posts in the Bank of Russia social media official groups

Банк России поделился(-ась) публикацией.
1 октября · 🌐

К первому дню Международной недели инвесторов Финансовая культура подготовила небольшой тест для тех, кто только начинает разбираться в финансовых инструментах: <http://cbr.ru/s/?i=3a9>. Перед его прохождением можно ознакомиться со статьями на сайте.

Завтра, в 11:00, на нашем Youtube-канале начнется онлайн-марафон для начинающих инвесторов, главные темы можно узнать здесь: <http://cbr.ru/s/?i=3a4>. Свой вопрос можно задать в комментариях или в прямом эфире.



Хорошо ли вы разбираетесь в инвестировании?

Финансовая культура
1 октября · 🌐

Начинается Международная неделя инвесторов! Сегодня в Москве пройдет лекция на тему "Фондовый рынок: с чего начать?", начало в 19:30, зарегистрироваться можно з...
Еще

Банк России поделился(-ась) видео.
1 октября · 🌐

Начинающему инвестору важно понимать, с кем и чем он имеет дело. Об основных участниках финансового рынка – в видео от Финансовая культура.



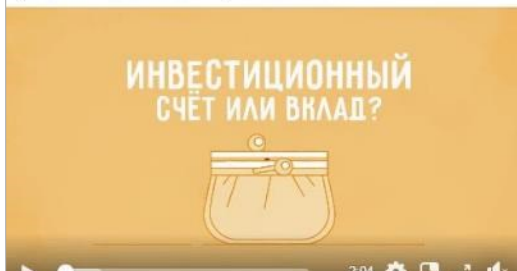
Просмотры: 1 637

Финансовая культура
1 октября · 🌐

Чтобы начать инвестировать, нужно понимать, кто есть кто на финансовом рынке. Подписывайтесь на бейджик «Привет, меня зовут Мистер Инвестор» и бежать на биржу необязательно, сперва можно посмотреть наше видео.

Банк России поделился(-ась) видео.
3 октября в 14:32 · 🌐

Международная неделя инвесторов продолжается, сегодня Финансовая культура в своем видео расскажет об основных особенностях и отличиях банковского вклада и индивидуального инвестиционного счета (ИИС). Подробнее об этих и других финансовых инструментах можно узнать в статьях по ссылке: <http://cbr.ru/s/?i=32b>




Просмотры: 8 828

Финансовая культура
3 октября в 14:19 · 🌐

Среди финансовых инструментов наиболее популярны банковские вклады и индивидуальные инвестиционные счета (ИИС). Банковский вклад, по сути, это верный способ заш...
Еще

Банк России поделился(-ась) публикацией.
4 октября в 14:17 · 🌐


Основная особенность индивидуального инвестиционного счёта состоит в том, что вы можете получить налоговый вычет на доход или на взнос. Конечно, для этого необходимо соблюдать некоторые условия – о них в своём видео расскажет Финансовая культура.



Просмотры: 1 066

Банк России поделился(-ась) публикацией.
5 октября в 17:41 · 🌐

Международная неделя инвесторов подходит к концу, и сегодня Финансовая культура в своём видео покажет, как выбрать брокера для работы на фондовом рынке.



Просмотры: 1 200

III International Conference on Protecting Investors' Rights



“SmartInvestorin the Financial Market”: financial literacy lectures for university students in 80 regions of Russia



RWANDA

WORLD INVESTOR WEEK 2018

National Coordinator: Capital Market Authority, Rwanda

1. INTRODUCTION

The World Investor Week 2018 (WIW) was a campaign by the International Organization of Securities Commissions (IOSCO) initially created in 2017 with two (2) primary marketing objectives:

1. Disseminating key messages that further investor education and protection, as well as financial literacy; and
2. Fostering learning opportunities for investors.

A secondary objective was to strengthen collaboration within IOSCO members on investor education and protection.

WIW 2018 was implemented in a similar fashion, pursuing the same objectives, but aiming higher when it comes to the expected campaign impact. IOSCO's Committee on Retail Investors (C8) on behalf of the IOSCO coordinated the World Investor Week.

2. INITIATIVES BY THE CAPITAL MARKET AUTHORITY, RWANDA

The Rwandan Capital Market Authority (RCMA) joined the rest of the world to celebrate the 2018 WIW from October 1-7, 2018 through a combination of several investor education outreach programs to promote greater investor education and protection, since we believe that a well-educated investor is a well-protected investor.

A key objective of the WIW was to highlight the importance of investor education and protection, and to foster learning opportunities for investors, given today's rapidly changing environment of online and technological innovations.

The aim for this year's WIW was to create general awareness about investor rights with respect to retail investors on the Rwandan Capital Market. RCMA had planned to conduct various public education activities to create awareness among investors by disseminating information on how the benefits and importance saving and investing through the capital market, emphasizing mainly on the cultivation of a savings culture aimed at promoting greater retail participation in the local capital market.

2.1. Launch of the World Investor Week 2018

The official launch took place at the Adventist University of Central Africa on 2nd October where 1,200 students were educated and sensitized on how to save and invest through the capital market, RCMA aimed at introducing the students, faculty members and university staff to the capital market and the culture of saving while at the university. We also aimed at interesting them to the industry as future investors, market practitioners and professionals.

“Small savings by retail investors including students are essential for the country to increase deposits that can be used to fund investment initiatives.” The Acting Executive Director of CMA Rwanda, Eric Bundugu reiterated during the launch.

John Uwiduhaye, a final year student at the Adventist University of Central Africa noted that “investor education is vital to ensure financial inclusion and equip young people with necessary skills as entrepreneurs of tomorrow as saving and investing through the capital market supports initiatives geared at entrepreneurship and job-creation.”



CMA's Ag. Executive Director giving a presentation at the official launch of WIW at the at the Adventist University of Central Africa, Masoro Campus on 2nd October 2018



1200 Students and 10 faculty members from at the Adventist University of Central Africa, Masoro Campus witnessed the official launch of WIW 2018 in Rwanda, 2nd October 2018

2.2. World Investor Week 2018 at the University of Kigali

Celebrating the annual World Investor Week took place on 5th October 2018 at the University of Kigali where over 120 students were in attendance. CMA emphasized the importance of Capital Market as a channel for saving and investment, the importance of introducing the youth to the capital market and the culture of saving while they are still at the university as well as the importance to interest them to the industry as future investors, market practitioners and professionals.

The Public Relations Officer, Magnifique Migisha noted that “Capital markets is a saving and investment destination for everyone – institutional and individual investors.”

Prof. Singh Narayan, Associate Deputy Vice Chancellor Academics and Dean, Faculty of Business Management and Economics at the University of Kigali said that “This engagement was very important for both students and faculty members for it was a chance to get first-hand information about the capital markets; ways to save and invest and the kind of protection that one is provided after investing his/her money in the capital markets.”



Mr. Migisha Magnifique, Public Relations Officer at CMA giving a presentation to students and members of the faculty at the University of Kigali, 5th October 2018



120 students attended the public lecture at the University of Kigali, 5th October 2018

2.3. World Investor Week 2018 at Cogebanque

Compagnie Générale de Banque PLC (Cogebanque) is one of the leading commercial banks in Rwanda, it is licensed and regulated by the National Bank of Rwanda (BNR). It was incorporated on July 17, 1999, which marked the start of an impressive growth that led to 23 branches opened across the country so far.

It is one of the key institutional investors in the Rwanda's stock exchange, but as the theme for the 2018-year's World Investor Week was to create general awareness about investor rights with respect to retail investors on the Rwandan Capital Market, CMA targeted the retail investors at COGEBANQUE.

CMA's Ag. Executive Director reiterated to 20 senior managers of COGEBANQUE that: "Capital market sustains high economic growth through easy access to long-term finance", and the Ag. Executive Director encouraged them to save and invest in Rwanda's Capital Market as well as becoming its ambassador as far as education and awareness programs is concerned.



Ag. Executive Director, Eric Bundugu encouraged Cogebanque Senior Management Staff to save and invest in Rwanda's capital market as well as become its ambassador, 12th October 2018

2.4.Social Media Campaign during the WIW 2018

CMA embarked on an intensified social media campaign educating investors on various investment vehicles on the market through sharing short educational messages on opportunities, products and services within the capital markets as well as investor protection techniques in form of short tips and illustrations. All educational messages were themed around the notion of being a smart investor.

These created a platform for engagements on Social Media targeting; University students, professionals and the population at large. It was evident that the campaign was a success given the number of people reached on Facebook, impressions, interactions and the increased website traffic as evidenced below:

2.4.1. Facebook

On Facebook, CMA reached 400 people during the campaign period. Twelve (12) post engagements were recorded on the platform. The page followers on Facebook grew by 3 whereas the content on the page received 3 likes and 22 page views per Facebook analytics.

Facebook was a great medium to channel our message in the World Investor Week 2018, since it touches our target audience.

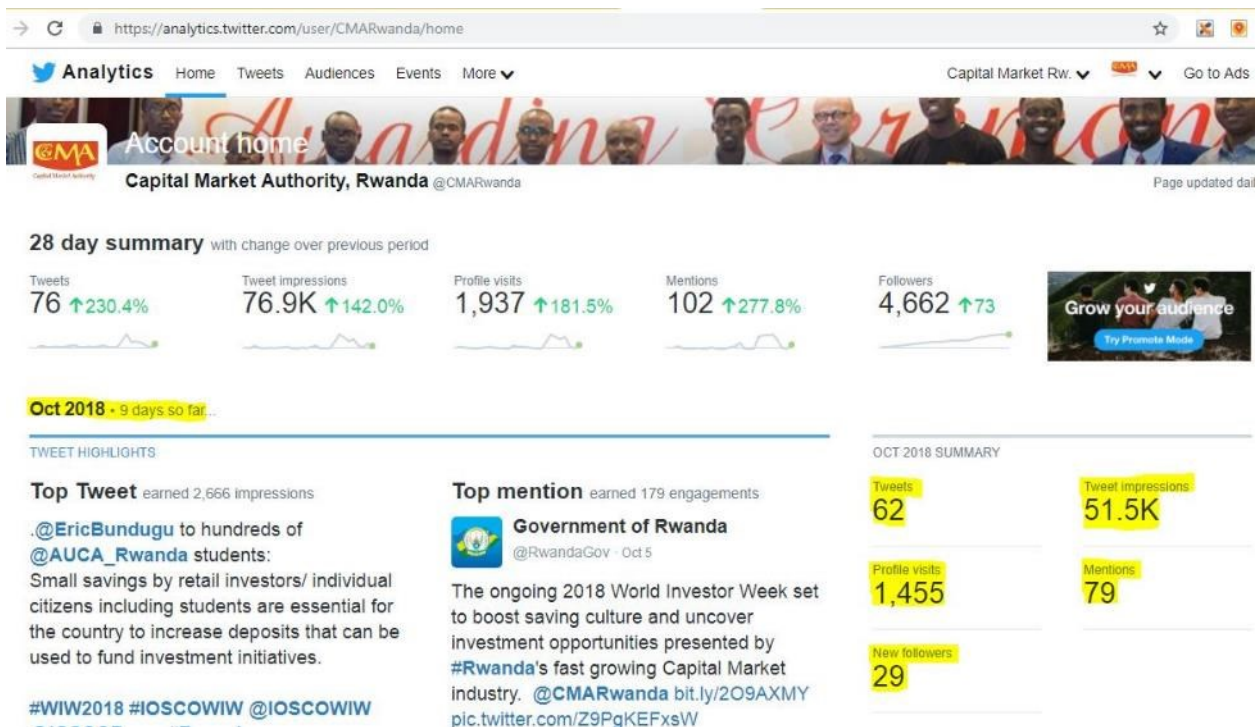
2.4.2. Twitter

On Twitter, sixty-two (62) tweets were shared during the period under review and our profile was visited 1,455 times. 51,500 impressions were generated, a total of 254 retweets, and 367 likes, 10 replies, 122 link clicks and 79 mentions were recorded. The number of followers on Twitter grew by 29 new followers during the period under review, to the current followers base of 4,663.

On this platform, 85 percent of the total followers are male while 15 percent are female. Sixty eight (68) percent of the followers are from Rwanda, four (4) percent from United States as well as Kenya. The rest were from Uganda, United Kingdom, Canada, Tanzania, South Africa, India, France. It was noted that desktop and laptop computers are the device of choice for many of these followers.

Concisely, we managed to reach and engage a big number of our target audience (University students and professionals) as well as other population segments in an efficient and cost

effective way using twitter to deliver short educational messages that talked about investor education and protection techniques.



Analytics on our twitter handle (@CMARwanda)

2.4.3. Website and Government portals

Three articles on the World Investor Week were published on the CMA Rwanda portal, and another article was published on the official portal of the Government of Rwanda, the same article was tweeted by the official twitter handle of the Government of Rwanda and got 22 retweets and 36 likes, the fourth article was published on the Ministry of Finance and Economic Planning portal.

These articles helped us reach many people since the Government of Rwanda portals are visited by a big number of people seeking to access public information. In total, 4 articles were published on Government of Rwanda run portals.

2.5. Print/online newspapers Campaign

The Rwandan Capital Market Authority gave a press release to the online and print media, this was followed by articles published in The New Times (Rwanda's leading daily in print media) and on IGIHE (Rwanda's leading online newspaper).

Rwanda Business daily also featured an article about the World Investor Week. The official portal of the Government of Rwanda as well as the CMA portal also highlighted the importance of investor education and the essence of the World Investor Week.

2.6. National Radio Campaign

Radios play an important role in providing information to masses not connected to the national power grid, hence CMA Rwanda making use of two National Radios to reach out to everyone around the country.

CMA Rwanda's message on how to save and invest through Rwanda's capital market was aired live on Royal FM and another segment was publicly aired on City Radio. Both educational radio talk-shows had a great impact because we saw an increase in the number of engagements on our social media accounts especially on our Twitter page.

2.7. National TV campaign

Television programs play a key role in engaging the urban population as well as inform them on the current affairs within the Rwandan capital market industry. We therefore had Rwanda TV (the biggest National TV by listener affinity and audience measurement) air two live segments and one prerecorded segment in the evening news.

The CNBC Africa (the largest business TV station in Africa) aired two live shows; one hosted CMA's Ag. Executive Director and the other hosted CMA's Public Relations Officer. Another live show was aired on Isango TV and this hosted the CMA's Public Relations Officer. The National TV campaign was also successful and had a bigger urban audience which includes our target audience.



The Ag. Executive Director was hosted on the late evening show (Closing bell) on CNBC Africa, xx October 2018.

3. CONCLUSION

The 2018 WIW boosted CMA Rwanda's investor education programs where we had a great opportunity to engage various audience through numerous ways on embracing the savings culture through capital market as we believe that investor education is one very important way that we protect investors.

CMA Rwanda continues to carry out its regular investor education sessions and spearheads several initiatives through various communication channels to help support and guide individuals' decision-making to balance their budget, make ends meets, identify risk and rewards investment factors as well as plan for their long-term prosperity appropriately.

SINGAPORE

WORLD INVESTOR WEEK 2018

National Coordinator: Toh Hooi Min

Other IOSCO members:

Other participants: CFAS (the Chartered Financial Analyst Society Singapore), IFL (Institute for Financial Literacy), SAS (the Securities Association of Singapore), SIAS (the Securities Investors Association Singapore), SGX (the Singapore Exchange), and the SPF (the Singapore Police Force)

The Monetary Authority of Singapore (MAS) and its partners organized a series of four investor education townhalls as part of the second edition of "World Investor Week".

The WIW townhalls were popular, attracting hundreds of participants. It covered topics such as basic investment principles, digital financial services, investment scams, and leveraged investing.



New to investing? Wondering what to make of new investment products or services? Or whether you can do more with your current investments? Join us at World Investor Week in Singapore: Investing with Confidence on 4, 6, 11 and 12 October 2018, at the Central Public Library! Experts from partners such as SGX, CAD, SIAS, SAS, CFAS, and IFL will be on hand to field your burning questions on various topics at a series of free townhall-style sessions:

Investing with Confidence

4 Oct 2018 (Thu), 7pm – 8.30pm

Not sure how to go about investing? Learn from experts what you should do before putting your first dollar in, including defining your objectives and risk appetite. Learn ways to cope with market movements. Find out about the different products available and how to build a diversified portfolio of investments.

Panelists: Chua J Min, SGX | Goh Eng Yew, IFL |
Wong Chin Loon, CFAS | Ho Seng Foo, SAS
Moderator: Richard Dyason, SIAS

Digital Financial Services and You

6 Oct 2018 (Sat), 12pm – 1.30pm

You can now buy insurance or lend to others directly online, and even get robo-advice on investing. Find out how to get the most out of digital financial services as an investor or a consumer. What should you look out for as you navigate the new platforms that have sprouted, and what should you steer clear of?

Panelists: Goh Eng Yew, IFL | Subhajt Mandal, CFAS |
Freddy Lim, Stashaway | Stanley Yong, IBM
Moderator: Richard Dyason, SIAS

Is the Deal Too Good to be True?

11 Oct 2018 (Thu), 7pm – 8.30pm

When is an "investment" not an investment? From binary and forex options to property investment schemes – how do you know which are safe to deal with? Who's behind cryptocurrencies and ICOs and what else should you know about them? Let experts share the tell-tale signs of investments to be wary of. Find out what trading is really about, and why you should stay away from bold claims.

Panelists: Lim Kok Meng, CAD | V Mahantharan, IFL |
Richard Dyason, SIAS | Steve Ng, SAS
Moderator: Goh Eng Yew, IFL

Leveraged Investing

12 Oct 2018 (Fri), 7pm – 8.30pm

Trying to get more out of investing using leverage? Figure out whether you have the stomach for this approach and learn about structured warrants, contracts for difference, and daily leveraged certificates. Find out why it's important to know your limits.

Panelists: Wong Kon How, SGX | Wong Chin Loon, CFAS |
David Kuo, SIAS | Margaret Tang, SAS
Moderator: Richard Dyason, SIAS

SAVE THE DATES AND REGISTER NOW! www.sgxacademy.com/wiwsq

Brought to you by:       

Investors who attended the townhalls could freely submit questions through a live-voting platform or ask questions from the floor. Many of those who attended participated actively, giving the discussions good breadth and depth. The panelists also generously shared their experiences (personal & professional) as well as lessons learned from observing less-than-ideal customer behaviours to illustrate how investing concepts and biases work in practice.



Participant feedback confirmed that the candid sharing was much appreciated. Panelists for all sessions were rated highly as being knowledgeable and engaging. Overall, participants enjoyed the townhall format and said they looked forward to attending such events every 1-3 months.

MAS also published a press release and social media posts to raise awareness about the event. The online posts went out to more than 145,000 viewers.



In addition, many of our partners also helped to spread the word about the event through Electronic Direct Mailers to their members.

The WIW townhalls were also featured in Channel NewsAsia (CNA)'s Money Mind programme on Sunday, 13 October. The programme covered issues ranging from how financially knowledgeable Singaporeans are today and where local investors source their investment knowledge from, to upcoming digital financial services such as robo-advisories. It also included soundbites from some of our panelists and a representative from MAS who underlined the key objective of the WIW format as allowing consumers and investors to learn to ask questions more confidently, and feel comfortable about questioning when they receive financial advice.

SOUTH AFRICA

WORLD INVESTOR WEEK 2018

National Coordinator: Financial Sector Conduct Authority

Other IOSCO members:

Other participants:

In South Africa, World Investor Week was implemented together with Money Smart Week SA programme and both initiatives were executed from 8 to 12 October 2018. The programmes were implemented in Tembisa, Mamelodi, Soweto and Alexandra in the Gauteng Province of South Africa. A variety of activities were held during the course of the week, ranging from workshops, exhibitions, industrial theatre performances and media activities. This was collaboration between the Financial Sector Conduct Authority (FSCA) (IOSCO member), Financial Services Institutions and Non-Profit Organisations.

Topics covered during the campaign were:

- How to get out of debt?
- Basic money management
- Saving and Investing
- Financial literacy for Entrepreneurs
- How to go about buying a home?
- Understanding the deductions on your payslip
- Consumer rights and responsibilities
- Unauthorised deductions on your bank account
- Understanding credit agreements
- The importance of financial planning
- Banking and insurance

Radio, Print, Commuter and social media were used to market the campaign. Live reads were featured on Jozi FM, Alex FM, Voice of Tembisa and Mams FM. Radio interviews took place on the abovementioned radio stations at the Outside broadcasts which took place at Denlyn Shopping Centre, Phumalani Mall, Maponya Mall and Alexandra plaza. The interviews took place with representatives of those who exhibited at the Mall and at the Halls. Interview opportunities were provided to the organisers and partners of the campaign to discuss their role in the campaign as well as their purpose.

The Sowetan, Sunday World and Daily sun featured strip adverts which were used to create pre-awareness of the week. 40 000 Flyers were distributed in the pre-preceding the campaign in the key areas.

Video adverts appeared on screens in Taxi ranks, bus stations, train stations and in taxi within the commuter networks serving the areas of focus.

Facebook, LinkedIn, Twitter, YouTube and Instagram were used to market the campaign holistically and referred consumers to the website for a detailed list of activities.

Participants were permitted to cross market, by developing their own media releases, taking photos and posting on their media platforms using a hashtag to link to the campaign.

There were 9.3 million media impressions. A media impression is a comprehensive calculation of the number of people who have seen/heard about the project within a given time period.

Four participating institutions conducted a total of 17 investor education workshops to 518 consumers. Topics covered during workshops were:

- Researching on a product before investing
- Investment needs analysis
- Understanding risks that exist in investments products
- Importance of diversification
- Illegal investment vehicles
- Financial planning
- Financial management (Taxation and cash management)



Workshop conducted in Tembisa at Ivory Park Community Centre in Gauteng Province, South Africa.

SPAIN

WORLD INVESTOR WEEK 2018

National Coordinator: COMISIÓN NACIONAL DEL MERCADO DE VALORES

Other IOSCO members:

Other participants:

CNMV has supported the second edition of the World Investor Week (WIW).

The WIW was held during the second week of October. Throughout the week, CNMV promoted it by having the logo on its homepage.

IOSCO's press releases were published on the social media to announce the WIW.

Social media:

CNMV disseminated key messages in order to increase the awareness of the importance of investor education and investor protection and a number of posts have been shared on Twitter.

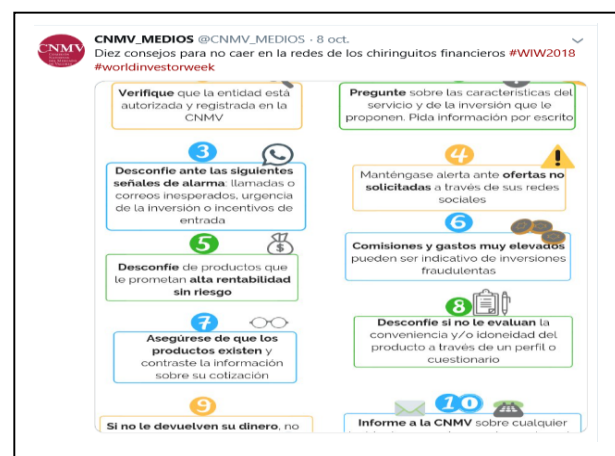
CNMV shared the following posts on Twitter during the week:

- A smart investor verifies that an investment professional is licensed- October 8th
- A smart investor conducts research on a product before investing- October 9th.
- A smart investor assesses the impact of fees when choosing an investment- October 9th.
- A smart investor avoids "get rich quick" and "can't lose" schemes- October 10th
- A smart investor obtains the information available on the official registers about any firm authorized to provide investment services- October 10th.
- A smart investor plans for and invests according to his/her future needs and goals- October 11th

Two Infographics were shared on Twitter as well:

- 1- Ten advices to avoid a fly-by-night operations- October 8th.
- 2- How to know your investor profile- October 11th.

Each of the social posts reached more than 5000 people.



SWEDEN

WORLD INVESTOR WEEK 2018

National Coordinator:Finansinspektionen (Swedish Financial Supervisory Authority)

Other IOSCO members:

Other participants:Gilla Din Ekonomi ("Like Your Economy"), The Swedish Consumers' Banking and Finance Bureau and the Swedish Police.

During World Investor Week, Finansinspektionen organised several blogposts on Facebook regarding investment frauds. The target group was adult Swedish citizens. In order to strengthen the financial skills of individuals the campaign focused on providing information about frauds, how impostors operate and how citizens might avoid frauds. The ambition was for the information in the posts to make the target group less likely to be deceived.

In collaboration with Like Your Economy, The Swedish Consumers' Banking and Finance Bureau and the Swedish Police, Finansinspektionen wrote five posts related to fraud. The posts were spread via Like Your Economy's Facebook page. The network educates residents in Sweden on personal finances on a daily basis and is coordinated by Finansinspektionen. Each of the five posts focused on a different angle about investment fraud, and the total reach was 16,242 people. In addition, 2,000 people engaged with the post through likes, comments and shares.

The campaign during WIW was part of Finansinspektionen's assignment to strengthen consumers on the financial market by educating them in financial matters. Most of FI's work with educational programs about personal finances is conducted in close cooperation with other authorities, firms and organisations. The educational initiatives run throughout the year with specific target groups, such as high school students, new arrivals to Sweden and adults.



UNITED ARAB EMIRATES

WORLD INVESTOR WEEK 2018

National Coordinator: Ali Abdulla Al Abdouli, Head of Awareness & Education Section, Securities and Commodities Authority (SCA), UAE

Other IOSCO members:

Other participants: Dubai Financial Markets (DFM), Abu Dhabi Global Markets (ADX) and Dubai Gold & Commodities Exchange (DGCX)

The Securities and Commodities Authority (SCA), as an active member of the International Organization of Securities Commissions (IOSCO), participated in the World Investor Week 2018 which was organized by the IOSCO. Abu Dhabi Securities Exchange (ADX), Dubai Financial Market (DFM), and Dubai Gold and Commodities Exchange (DGCX) joined the SCA in taking part in the events of the World Investor Week 2018.

Following were the activities organized by SCA along with other participants:

1. Round table panel discussion with key market players

The CEO of the SCA, CEO and senior officials of officials exchanges in the UAE and financial experts and analysts participated in this panel discussion titled “the role of the financial market institutions in encouraging the investment performance”. The participants also included brokers, investors, academics, media professionals, and specialists in the financial market legislations as well as participants from the Arab Union for Securities Commissions, Zohair Tameem Al-Jarkas, Mubadala, among others.

2. Financial Awareness through Media collaboration

Following

- TV interviews with H.E. Dr. Obaid Al Zaabi, SCA Chief Executive Officer, with CNBC Arabia and Sky News.
 - <https://www.skynewsarabia.com/video/1189458/>
 - <https://www.cnbcarabia.com/news/view/45691/-/هيئة-الأوراق-المالية-والسلع-الإماراتية-.html#.W73olt-1KU8.whatsapp>
- Press interviews by the H.E. the Chief Executive Officer of the SCA with a number of the UAE newspapers issued in Arabic and English, in addition to Reuters News Agency.
 - <https://www.sca.gov.ae/english/news/pages/articles/2018/2018-9-30.aspx>
 - <https://www.sca.gov.ae/Arabic/News/Pages/Articles/2018/2018-10-10.aspx>
 - <https://www.sca.gov.ae/Arabic/News/Pages/Articles/2018/2018-10-2.aspx>

- Publishing of a set of news articles in the daily newspapers on the activities of the awareness campaign implemented during the World Investor Week 2018.
- Publishing of an awareness brochure on merger and acquisition on the SCA website.



3. Awareness campaigns, seminars and field visits

- Seminars on investment for the students of Al-Falah University at Dubai and Al Ain University of Science and Technology (AAU) at Abu Dhabi.
- An awareness seminar organized by the DGCX for the students of the American University in the UAE on the derivatives and forwards.
- Conducting field tours for school and university students to the ADX and DFM trading floors. During the visit, the financial market representatives presented an overview about the functioning system of the financial market and the key rules that govern dealings in the market. The female students visited the trading floors and checked the trading screens and the data presented thereon and their different indications.



4. Financial awareness for women empowerment

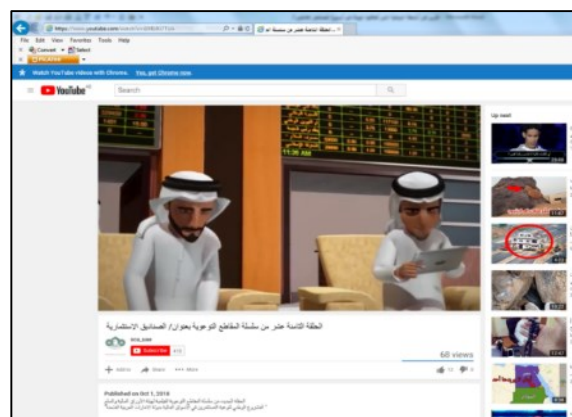
An awareness seminar was held for the financial market female investors entitled “The supervisory role and investor protection” in cooperation with the General Women's Union. The seminar was presented by a legal specialist from the SCA which included introduction of the financial market, investment mechanism, importance of investment, types of securities, and the key rules that govern trading in the markets, as well as the violations that can be committed by the investor, and an overview about the most important regulations and legislations that govern trading on the financial market.



5. Financial awareness through social networking platforms

SCA undertook following initiatives to promote World Investor Week and the significance of investor awareness and education:

- Organizing a contest on Instagram throughout the campaign period (The contest included questions about topics relevant with the rules and terminologies of trading on the financial market)
- Publishing short awareness videos on the SCA’s YouTube channel.
- Publishing awareness messages on the SCA social networking pages.





6. Release of draft document on investor rights in the UAE capital market

SCA and the participants ended the World Investor Week events by releasing its draft document on investor rights before the industry representatives, representatives of the newspapers for community dialogue among the parties to the capital market industry, with the objective of receiving the observations and inputs of all the parties in preparation to draft the final document to serve as an honor code for all the parties to the industry. Another objective of the document is to familiarize the investors with their rights which are contained in the document for further publication on the website of the SCA.



UNITED STATES

WORLD INVESTOR WEEK 2018

National Coordinator: U.S. Securities and Exchange Commission (SEC)

Other IOSCO members:

- Financial Industry Regulatory Authority (FINRA)
- U.S. Commodity Futures Trading Commission (CFTC)
- National Futures Association (NFA)

Other key participants:

- North American Securities Administrators Association (NASAA)

During World Investor Week, SEC staff, FINRA, CFTC and supporters participated in several outreach events and activities with a goal of amplifying WIW's key messages. Some noteworthy activities and accomplishments in the United States include:

- On October 1, 2018, the [SEC](#) and [FINRA](#) issued press releases to promote World Investor Week.
- The SEC's Office of Investor Education and Advocacy (OIEA) issued a [joint investor bulletin](#) with the CFTC, FINRA, and NASAA on key messages of the campaign. OIEA also published a [guide for teachers](#) that provides K-12 educators with information about the basics of saving and investing, planning for retirement, and ways to protect themselves from fraud.
- The CFTC hosted "FinTech Forward 2018: Innovation, Regulation and Education," October 3-4 at its Washington, D.C., headquarters. The event convened innovators, market participants, members of the public, and 11 domestic and international regulators, to examine a wide range of FinTech issues, including cryptoassets, machine learning, cloud technologies, and RegTech. More than 200 people attended the event and approximately 1,500 people from 56 countries watched the conference online.
- Through the FINRA Investor Education Foundation and in collaboration with CFA Institute, FINRA released a [national research report](#) exploring the attitudes and behaviors of millennials—comparing those who invest through taxable accounts, those who invest only through a workplace retirement plan, and those who do not invest. FINRA also launched an educational [webpage for millennials](#), and the FINRA Foundation released [additional materials](#) related to the research, including an infographic and two videos.
- NFA, together with representatives from the CFTC, SEC and FINRA, conducted a webinar entitled, "Investor Protection: A Worldwide Impact Starts with You."
- NFA promoted World Investor Week in its quarterly Investor Newsletter in September 2018: <https://www.nfa.futures.org/investors/investor-newsletters/september-2018.html>.
- SEC staff, the CFTC, and FINRA (and the FINRA Foundation) and WIW supporters participated in dozens of in-person events throughout the week and actively promoted World Investor Week online and via social media.



SEC's Office of Investor Education and Advocacy (OIEA) and U.S. Army co-hosted a Twitter Chat on financial readiness during World Investor Week.



At CFTC's FinTech Forward conference, Owen Donley (SEC OIEA), C8 Chairman José Alexandre Vasco, and Gerri Walsh (FINRA) discussed global approaches to investor education.



Mary S. Head represented the SEC and Erica Richardson represented the CFTC at the Financial Literacy and Education Commission meeting held during World Investor Week.

Credit: Chris Taylor, U.S. Department of the Treasury



The CFTC and the Australian Securities and Investments Commission (ASIC) [signed an arrangement](#) to cooperate and support innovation through each other's financial technology initiatives – CFTC's Lab CFTC and ASIC's Innovation Hub. Fromleft, CFTC Commissioner Dawn DeBerry Stump; CFTC Chairman J. Christopher Giancarlo; Marty Robinson, Senior Treasury Representative, Embassy of Australia; CFTC Commissioner Brian D. Quintenz.



SEC OIEA Director Lori J. Schock and Randy Urban of the Federal Retirement Thrift Investment Boardspoke at a World Investor Week event for government employee shield at SEC headquarters.



In support of World Investor Week, staff from the FINRA Foundation and FINRA's Dallas District Office teamed up to interact with the hundreds of investors who attended the MoneyShow in Dallas, Texas.

ZAMBIA

WORLD INVESTOR WEEK 2018

National Coordinator:Securities and Exchange Commission (SEC) Zambia

Other IOSCO members:N / A

Other participants:Ministry of Finance (MoF), Capital Markets Association of Zambia (CMAZ), Lusaka Securities Exchange (LuSE) Plc., Laurence Paul Investment Services, Madison Asset Management Company (MAMCo), Hobbiton Investment Management Limited, African Life (Aflife) Financial Services, Radio Phoenix and UNZA Radio.

For the second time, the SEC in collaboration with financial sector stakeholders partnered with the International Organization for Securities Commissions (IOSCO) in commemorating the World Investor Week (WIW) 2018, under the theme '**A Smart Investor**' which was adapted to suit the domestic environment and recast as '**A Smart Investor through Capital Markets**'.

A summary report of activities undertaken during the WIW 2018 campaign period included some of the following:

- 1. Stakeholders planning meeting:** A preliminary stakeholders planning meeting was held at the SEC offices on 30th August 2018. The objective of the meeting was to inform stakeholders about the WIW 2018, discuss the concept note and to get their buy-in. The meeting was well attended and stakeholders committed to support the commemoration of the WIW 2018 campaign.
- 2. Pre-launch Radio Interview:**To build momentum, the SEC featured on the University of Zambia's radio station 'UNZA Radio' on 26thSeptember 2018 to explain the objectives of the WIW 2018 and highlight planned activities to be undertaken as part of the awareness and sensitization campaign.
- 3. Public Exhibition:** The SEC facilitated a capital market operators' public exhibition on Saturday, 6th and Sunday, 7th October 2018 at Novare Great North Road Mall (situated in a high foot traffic area). Exhibitors took time to educate and sensitize passersby on among other things, characteristics of being a smart investor through the capital markets, the types of products offered, and the benefits and risks associated with investing. Account opening for investment products was also made possible for potential clients that showed interest. Between three hundred to five hundred mall goers visited the exhibition stands

over the two days. Exhibitors included: the SEC, fund managers, stockbrokers, the Capital Markets Association of Zambia and the Lusaka Securities Exchange.



A senior citizen seeks more information on Capital Markets during the exhibition



A SEC member of staff undertaking Investor Education



Members of Staff from the Lusaka Securities Exchange interact with mall goers



SEC members of Staff position to interact with members of the public

4. **Media activities:** The SEC developed daily educational media messages based around the theme for dissemination on social media platforms such as Facebook, Twitter and WhatsApp. Throughout the week, capital market professionals also featured on various radio channels disseminating investor education.



5. **Stakeholder activities:** Capital market operators planned and undertook individual activities such as open doors; targeted educational visits; media programmes; et cetera.



**Financial Planning
Standards Board
(FPSB)**

WORLD INVESTOR WEEK 2018

International Coordinator: Financial Planning Standards Board (FPSB)

Other participants: FPSB Member and Supporting Organizations in 20 Territories

Financial Planning Standards Board and the network of certification and professional bodies representing more than 175,000 CERTIFIED FINANCIAL PLANNER professionals worldwide were pleased to participate in World Investor Week and host the second annual World Financial Planning Day (#WFPD) on Wednesday, 3 October, using the theme Plan Well to Live Well.

FPSB launched #WFPD in 2017 during IOSCO's inaugural World Investor Week so that the global financial planning community could play its part to support increased levels of consumers' financial literacy and capability globally, and to promote how financial planning could help the public to take control of their finances, thereby increasing their confidence and helping them stay on track with their financial and life goals.

In 2018, leading up to and during World Investor Week and World Financial Planning Day, FPSB and its network of member organizations provided programs and events to increase consumers' level of financial literacy and capability, and to help consumers understand the value of financial planning and making investment choices within the context of financial and life goals. FPSB directed consumers to a [microsite](#) to learn about World Investor Week and World Financial Planning Day.



FPSB Members and supporting organizations in Australia, Austria, Brazil, Bulgaria, Canada, Chinese Taipei, France, Germany, Hong Kong, India, Indonesia, Japan, Malaysia, New Zealand, Peru, Singapore, South Africa, Thailand, the United Kingdom and the United States created specialized campaigns to promote World Investor Week and World Financial Planning Day, or featured both global campaigns as part of events scheduled during World Investor Week.

In addition to heavy promotion through FPSB Members' own websites and social media accounts, Members reached consumers through online and print publications; blogs and news releases; radio and television interviews; in person events; and regulatory engagement.

In this [overview video](#), FPSB CEO Noel Maye shares highlights from FPSB's two years of participation in World Investor Week and World Financial Planning Day.

Select FPSB Member Activities

The overwhelming majority of FPSB Members were able to promote IOSCO's global program leading up to and during World Investor Week in October, which resulted in mentions of World Investor Week during various FPSB network campaigns around the world that included:

- Press releases distributed during World Investor Week and on World Financial Planning Day were picked up by at least **155 national and trade media** outlets around the world.
- Social media efforts resulted in at least **350,000 impressions** on Facebook, LinkedIn and Twitter, receiving more than **1,700 likes**.
- Postings on websites that have collectively received **690 million visits**.
- More than **530 consumers** attended in-person events. Events in Austria and Malaysia included pro bono services and youth financial literacy initiatives.
- The FPSB member organization in Chinese Taipei hosted a consumer video contest that received **57 video entries**, which **100,000 people** voted for online. Following are two of the video submissions:



<https://www.youtube.com/watch?v=CizaXPMZZP4>



<https://www.youtube.com/watch?v=YDKQ-kSbpv8>

World Investor Week 2019

A survey conducted by FPSB shows that the FPSB network is looking forward to participating in World Investor Week 2019 and partnering once again with IOSCO and securities regulators in FPSB territories to host World Financial Planning Day during World Investor Week to empower more consumers to take control of their finances—to plan well to live well. To further raise the profile and impact of WIW 2019, the FPSB network would welcome:

- Increased participation by more IOSCO members at the territory level in WIW 2019
- Increased collaboration between IOSCO members and the local FPSB member organization in the months leading up to, and during, World Investor Week
- Additional marketing material from IOSCO to promote the objectives of World Investor Week, and details of territory-specific events

It was a pleasure working with IOSCO C8 and local IOSCO members on this important initiative to raise awareness about the importance of investor education, and to increase consumers' level of financial literacy and capability. FPSB and the FPSB network look forward to continued partnership with IOSCO on WIW/WFPD and other projects in the years to come.



Committee on Retail Investors (Committee 8) members

Members (38)	
Comisión Nacional de Valores	Argentina
Australian Securities and Investments Commission	Australia
Financial Services and Markets Authority	Belgium
Comissão de Valores Mobiliários (Chairman)	Brazil
China Securities Regulatory Commission	China
Autorité des marchés financiers	France
Bundesanstalt für Finanzdienstleistungsaufsicht	Germany
Securities and Futures Commission	Hong Kong
Securities and Exchange Board of India	India
Indonesia Financial Services Authority	Indonesia
Israel Securities Authority	Israel
Commissione Nazionale per le Società e la Borsa (Vice-Chairman)	Italy
Financial Services Agency	Japan
Jersey Financial Services Commission	Jersey
Financial Services Commission/Financial Supervisory Service	Korea, Republic of
Commission de Surveillance du Secteur Financier	Luxembourg
Securities Commission	Malaysia
Comisión Nacional Bancaria y de Valores	Mexico
The Dutch Authority for the Financial Markets	Netherlands, The
Securities and Exchange Commission	Nigeria
Ontario Securities Commission	Ontario
Comissão do Mercado de Valores Mobiliários	Portugal
Autorité des marchés financiers	Quebec
Financial Supervisory Authority	Romania
The Bank of Russia	Russia
Capital Market Authority	Saudi Arabia
Monetary Authority of Singapore	Singapore
Financial Services Board	South Africa
Comisión Nacional del Mercado de Valores	Spain
Securities and Exchange Commission of Sri Lanka	Sri Lanka
Finansinspektionen	Sweden
Financial Supervisory Commission	Chinese Taipei
Securities and Exchange Commission	Thailand
Capital Markets Board	Turkey
Financial Conduct Authority	United Kingdom
Commodity Futures Trading Commission	United States of America
Financial Industry Regulatory Authority	United States of America
Securities and Exchange Commission	United States of America
Observers (2)	
European Securities and Markets Authority	European Union
Japan Securities Dealers Association	Japan

